# David B. Mount Martin R. Pollak



# A Companion to Brenner & Rector's The Kidney











1600 John F. Kennedy Blvd. Ste 1800 Philadelphia, PA 19103-2899

#### MOLECULAR AND GENETIC BASIS OF RENAL DISEASE

ISBN: 978-1-4160-0252-9

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#### Library of Congress Cataloging-in-Publication Data

Molecular and genetic basis of renal disease / [edited by] David B. Mount, Martin R. Pollak. p.; cm.
Includes bibliographical references and index.
ISBN 978-1-4160-0252-9 (alk. paper)
1. Kidneys—Diseases—Molecular aspects. 2. Kidneys—Diseases—Genetic aspects. I. Mount, David B. II. Pollak, Martin R.
[DNLM: 1. Kidney Diseases—genetics. 2. Kidney Diseases—physiopathology. 3. Genetic

Predisposition to Disease. 4. Molecular Biology—methods. WJ 300 M718 2008]

RC903.9.M65 2008 616.6'1042—dc22

2006036125

Acquisitions Editor: Susan Pioli Developmental Editor: Agnes Hunt Byrne Project Manager: Joan Sinclair Design Direction: Steven Stave

Printed in China

Last digit is the print number: 9 8 7 6 5 4 3 2 1

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> To my parents: Helen and Barth Pollak —MP

We also dedicate this textbook to our mentors: Steve Herbert, Al George, Jr., Christine and Jon Seidman, and Barry Brenner —DBM and MP

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# **SECTION 1**

# The Tools of Molecular Nephrology

# Chapter 1

# The Impact of Molecular Genetics on Nephrology

Martin R. Pollak and David B. Mount

It is difficult to overstate the impact of the ongoing revolutions in genetics and genomics on biomedical science. In the three decades since the publication of the first edition of Brenner & Rector's *The Kidney*, the scientific and technologic tools available to biologists have transformed renal science. We now know the molecular basis of a large number of inherited kidney diseases and are seeing steady progress in dissecting the genetics of common and complex renal phenotypes. This, in turn, is just now beginning to influence the treatment of patients with kidney disease.

Our rapidly advancing understanding of the pathobiology of polycystic kidney disease (PKD) is among the best examples. When, just over 20 years ago, Reeders et al mapped the PKD1 locus to chromosome 16, this sort of genome-wide linkage analysis in extended pedigrees was a laborious task based on Southern blot analysis of restriction fragment length polymorphisms.<sup>1</sup> With the subsequent cloning of the autosomal dominant PKD genes PKD1 and PKD2, and the autosomal recessive PKD gene PKHD1, investigations into PKD biology have accelerated at a remarkable pace.<sup>2-4</sup> Genetically faithful animal models of human PKD are now being used to examine new approaches to therapy. The role of cilia in mediating cyst formation is becoming increasingly clear. Genetic screens in zebrafish support the notion of ciliary defects as a unifying theme in cystic disease (moving from "Fish to Philosopher" has clearly become an important biologic tool!).<sup>5,6</sup>

Advances in expression cloning methodologies have led to the identification of a many of the transport proteins that are critical components of the renal tubule. Related human genetic studies and genetic manipulations in the mouse have allowed for the integration of these many molecular components into a much deeper understanding of integrated tubule physiology in health and in disease states.

In some cases, molecular advances have led to novel and highly specific treatments. Work on Fabry disease has progressed from an understanding of the genetic basis of this lysosomal storage disease to the development of effective therapy with recombinant  $\alpha$ -galactosidase.<sup>7–9</sup> Of course, the best clinical example of how molecular cloning and protein expression has transformed clinical practice in nephrology is recombinant erythropoietin, administered to most end-stage renal disease patients. In this case, molecular cloning has led to a clear improvement in the quality of life for many patients. The ability to clone genes for production of recombinant proteins and expression in cells and animals has also made an enormous indirect impact on biomedical studies. It is rare now to see a research publication that does not in some way utilize reagents developed through molecular genetic technologies.

Understanding even extremely rare forms of inherited diseases can lead to a much deeper understanding of the pathophysiology of common disease. The cloning of the nephrin gene by molecular genetic analysis of families with congenital nephrotic syndrome helped spark an explosion in our understanding of glomerular biology.<sup>10</sup> Our understanding of the acquired forms of thrombotic thrombocytopenic purpura and the hemolytic uremic syndrome has advanced through a better understanding of inherited mutations in *ADAMTS13* and complement factor H.<sup>11,12</sup> Although this has not yet had a significant direct impact on therapy, these molecular advances allow investigations of novel treatment approaches to be much more focused on the underlying pathobiology.

Knowledge of the genetic basis of inherited disease has direct impact on diagnosis. Although genetic testing has yet to become commonplace in the practice of nephrology, molecular genetic analyses can be expected to become increasingly commonplace in guiding the diagnosis of a variety of disorders, from glomerular and cystic disease to electrolyte abnormalities and hypertension. Such testing will lead to more refined diagnoses and, in turn, better therapeutic decisions.

Although we are unable to predict the details, certainly advances in biomedical research will continue to transform the study and understanding of the kidney. We are just now beginning to see the impact of such advances on patient care. The most common forms of kidney disease are clearly multifactorial, involving the interactions of both weak and strong environmental and genetic factors. Integrating laboratory studies of these diseases with epidemiologic observations, population genetics, and the development of therapeutic strategies will be a major challenge for the next several decades.

In this companion volume to *The Kidney*, we have attempted to cover four major topics, reflected in its main sections: I. Tools of Molecular Nephrology, II. Genetic Disorders of Renal Growth and Structure, III. Genetic Disorders of Renal Function, and IV. Acquired and Polygenic Renal Disease. We hope that readers will find this book to be both a useful companion to *The Kidney* as well as an interesting and informative discussion of the major topics in molecular nephrology.

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# **Chapter 2**

# Manipulation of the Mouse Genome: Studying Renal Function and Disease

Alexander Gawlik and Susan E. Quaggin

Complete mapping of the genetic code in several mammalian organisms has led to the need for functional characterization of a vast number of different gene sequences. During the last 10 years new genetic tools have been developed allowing precise genetic targeting in mammals. Investigators can now modify a gene locus by homologous recombination in mouse embryonic stem (ES) cells. Using this technique, studies of loss and gain of function for specific target genes can be performed in vivo. Because of the remarkable similarities between mouse and human gene and cellular functions, the mouse is an excellent model system to study human biology and disease. This has established gene targeting in mice as a widely used and powerful tool for gene function studies.

Although conventional gene targeting within the germline has provided great insight into the biologic functions of many genes, several disadvantages exist. Disruption of gene function in ES cells may result in embryonic or perinatal lethality, preventing the functional characterization of the gene in organs such as the kidney that develop relatively late in fetal life. Additionally, many genes are expressed in multiple cell types, and the resulting knockout phenotypes can be complex and difficult or impossible to dissect. The ability to limit gene targeting to a specific cell type overcomes some of these problems, while the temporal control of gene expression permits more precise dissection of a gene's function. Moreover, if a gene's function depends on tight regulation of its expression, knockout and overexpression studies represent two extremes. In this case, generation of mice with intermediate levels of gene expression is advantageous. Indeed, an ideal tool to manipulate gene function would combine tight control over the spatial and temporal levels of expression with cost effectiveness and efficiency. New developments in genetic engineering should allow realization of many of these criteria.

## CONVENTIONAL GENETIC ENGINEERING

In principle, there are three different ways to specifically alter mammalian genomes: Transgene random integration, targeted integration ("knockin"), and targeted deletion ("knockout"). Transgene random integration is used predominantly for overexpression studies. Transgene expression cassettes contain two major components: the transcription unit of a gene of interest and regulatory elements controlling the expression of the transgene. The expression of a gene can be investigated under its own or a heterologous promoter, which can be tissuespecific or broadly expressed. There are no ideal regulatory elements leading to ubiquitous expression, but several fusion proteins direct widespread expression: Examples include the human cytomegalovirus (CMV) enhancer, chicken  $\beta$ -actin promoter and the phosphoglycerate kinase promoter. However, these regulatory sequences lead to broad, but scattered expression, which in addition can be variable in different transgenic lines. The *ROSA26* gene promoter R26 seems to direct generalized and uniform expression.<sup>1</sup>

The dominant technique leading to random integration of a transgene involves microinjection of the construct purified from bacterial DNA sequences into the pronucleus of a developing zygote (Fig. 2-1). Within a 3- to 5-hour period after fertilization before fusion of the male and female pronuclei, DNA can be injected into the larger male pronucleus. Viable eggs are then transferred into the oviducts of pseudopregnant mice. The F0 generation is screened by Southern blot or polymerase chain reaction for the presence of the transgene, and positive animals are called "founders." Usually the F0 generation has multiple integration sites of the transgene. The number of transgenes can be determined by Southern blot analysis of the flanking genomic area. Segregation of independent integration sites starts in the F1 generation, permitting independent transgenic lines for each single integration site to be established. The dramatic differences of transgene expression between the different founder lines are usually due to different integration loci rather than dependence on the number of transgene copies. Transcriptional inactivity in transgenes can be due to insertion into a transcriptionally silent genomic region. Moreover, incorrect spatial expression of the transgene can result from regulatory elements of the flanking sequences. Thus, the investigator must thoroughly evaluate the expression level and locus of the transgene and compare the observed phenotypes of independent transgenic lines.

In contrast to random integration, targeted integration or deletion require the use of ES cells (Fig. 2-2). When a fragment of genomic DNA is introduced into a mammalian cell it can locate and recombine with the endogenous homologous sequence (homologous recombination). Targeting vectors consist of two arms of homology surrounding the mutated area of interest. Possible mutations include the exchange of a coding exon against a positive selection marker or a reporter gene for generation of knockouts, insertion of site-specific recombinases into tissue-specific chromosomal loci, or introduction of point mutations. Electroporation of the linearized construct to ES cells leads to random integration as well as targeted integration by homologous recombination. Positive and negative selection is performed to enrich for ES cells with integration of the transgene at the desired genomic locus. After confirmation of homologous recombination by Southern blot or polymerase chain reaction, targeted ES cells are used to



**Figure 2-1** Basics of pronuclear injection. The fusion gene construct is microinjected into the pronucleus of a fertilized egg. The blastocyst is transferred into the oviduct of a pseudopregnant mouse. After birth the offspring are screened for incorporation of the transgene by Southern blot or polymerase chain reaction. Individual transgenic lines are established from each positive founder.

generate chimeric mice by blastocyst injection or embryo aggregation. Chimeras consist of two different tissues: one derived from the ES cells, the other from the host embryo, as shown by chimeric coat color. Backcrossing the chimera to the host strain eventually leads to mice entirely derived from ES cells in the F1 generation.

In addition to generating knockout animals, gene targeting in ES cells also provides a useful alternative to random integration of transgenes, since expression cassettes can be targeted to a previously characterized genomic locus. Ideally this locus is transcriptionally active and does not influence locus or time of transgene expression. For example, the *Rosa26* locus provides generalized transgene expression and numerous knockin lines have been generated.<sup>1</sup> The *Hprt* locus has also been used for the integration of transgenes and provides an additional advantage.<sup>2–5</sup> In ES cell lines with a partially deleted *Hprt* locus, expression of hypoxanthine-guaninephosphoribosyltransferase is no longer possible and cells die after the addition of hypoxanthine-aminopterin-thymidine to the medium. Targeting a transgene into the partially deleted *Hprt* locus restores the expression of *Hprt* by the inclusion of sequences in the targeting vector that complement the deletion. Correctly targeted clones can be enriched by positive selection.

Finally, the addition of docking sites into specific genomic loci of ES cell lines can greatly enhance the targeting frequency (see "Use of Site-Specific Recombinases in the Kidney").

1. Targeting construct



8. ES-cell derived embryos

**Figure 2-2** Homologous recombination in ES cells. The targeting construct (vector) consists of the 5' and 3' homologous regions surrounding the targeted region of interest. After electroporation into ES cells, colonies surviving positive and negative selection are screened for the desired recombination event by Southern blot. Positive stem cell clones are microinjected into a host blastocyst, which is transferred into a pseudopregnant foster mother. The chimeric offspring are partially derived from ES cells and from the host strain. Breeding of the chimeras to the host strain will result in germline transmission and birth of totally ES cell–derived embryos. Although this approach is very efficient, ES cells must first be generated to contain docking sites, using homologous recombination.

## **KIDNEY-SPECIFIC GENE TARGETING**

#### **Kidney-Specific Promoters**

Several kidney-specific promoters have now been characterized, making it possible to drive gene expression under spatial control (Table 2-1).

Within the tubule, proximal cells can be targeted using a chimeric construct containing a 1,542–base pair (bp) fragment of the 5' flanking region of the *KAP* gene (kidney androgen-regulated promoter) and the remainder of the human *AGT* structural gene (human angiotensinogen) starting with the last 36 nucleotides of exon II through the poly(A) addition site<sup>6</sup> or 346 bp of the  $\gamma$ -glutamyl transpeptidase type II promoter.<sup>7</sup> Recently a more specific promoter has been described: the mouse *Slc5a2* (Na/glucose cotransporter) 5' region consisting of the first exon, the first intron, and part of the second exon drives expression specifically in proximal tubule cells.<sup>8</sup>

A 3.0-kilobase (kb) segment of the Tamm-Horsfall protein promoter directs expression to the thick ascending limb of the loop of Henle and early distal convoluted tubules.<sup>9</sup> A 1.34-kb fragment of the Ksp- (kidney-specific) cadherin promoter directs expression to the thick ascending limb of the loop of Henle and collecting ducts of the adult nephron and weakly in other cell types and to the ureteric bud, wolffian duct, müllerian duct, and developing tubules in the mesonephros and metanephros,<sup>10</sup> whereas a 324-bp fragment limits expression to tubular epithelia of the developing kidney and genitourinary tract.<sup>11</sup> The HoxB7 promoter marks the ureteric bud and its derivatives.<sup>12</sup> Principal cells in the renal collecting duct can be targeted using 14 or 9.5 kb of the human aquaporin 2 (*AQP2*) 5' flanking region.<sup>13,14</sup>

Substantial progress has been made in podocyte-specific gene targeting: 1.25 kb of the human *NPHS1* and 8.3 kb, 5.4 kb, 4.125 kb, and 1.25 kb of the mouse *NPHS1* promoter direct expression to podocytes.<sup>15–17</sup> A podocyte-specific enhancer element from the *NPHS1* gene also directs podocyte-specific gene expression when placed in front of a heterologous promoter.<sup>18</sup> Recently *NPHS2*, another podocyte-specific promoter, has been added to the list.<sup>19</sup>

Despite the growing list of kidney-specific promoters, certain cell types remain untouchable in vivo and include mesangial cells and subpopulations of metanephric mesenchymal cells and their derivatives. Recently, a 2.5-kb promoter fragment from the 5' flanking region of the megsin gene was described that directs gene expression that is largely restricted to the mesangial cell lineage within the glomerulus in vitro.<sup>20,21</sup> Mesangial cell culture studies have shown that this promoter is functional in vitro.<sup>22</sup> Using the published 5' flanking fragment that is functional in vitro, we generated a megsin-lacZ transgene but were unable to detect any transgene expression within mesangial cells in vivo in 20 individual transgenic founder lines (Gawlik and Quaggin, unpublished data, 2004). Because several genes have been identified that are highly expressed in metanephric mesenchymal cells, it has been possible to generate Cre recombinase lines that express in these cell types, if not through isolated promoters, then through genomic knockin approaches and/or BAC transgenics (see Cre-loxP System).

Promoter	Renal Expression	Extrarenal Expression	Reference
Kidney androgen promoter 2	Proximal tubules	Brain	31
γ-Glutamyl transpeptidase	Cortical tubules	None reported	47
Na/glucose cotransporter ( <i>Slc5a2</i> )	Proximal tubules	None reported	46
Aquaporin 2	Principal cells of collecting duct	Testis, vas deferens	40,58
Hox-B7	Collecting ducts, ureteric bud, wolffian bud, ureter	Spinal cord, dorsal root ganglia	54
Ksp-cadherin	Renal tubules, collecting ducts, ureteric bud, wolffian duct, mesonephros	Müllerian duct	50
Tamm-Horsfall protein	Thick ascending limbs of loops of Henle	Testis, brain	59
Nephrin	Podocytes	Brain: developing cerebellum	35,57
Podocin	Podocytes	None reported	36
Renin	Juxtaglomerular cells, afferent arterioles	Adrenal gland, testis, sympathetic ganglia, etc.	48

#### Table 2-1 Kidney-Specific Promoters

Using these promoters, investigators can determine whether overexpression of their candidate "disease gene" or a dominantnegative version of their protein (one that interferes with the function of the wild-type protein) leads to kidney disease. However, the biologic relevance of these models must be interpreted carefully. In particular, it is difficult to control the level of expression tightly with this approach, and expression of supraphysiologic levels of a gene may not be biologically relevant. Interpretation of experimental results using cell-specific transgene approaches must include these considerations.

#### ARTIFICIAL CHROMOSOME-BASED STRATEGIES

As discussed above, random integration of plasmid-based constructs frequently leads to different transgene expression levels and incorrect spatial expression. The use of vectors with much larger cloning capacity has allowed researchers to include all transcriptional and post-transcriptional regulatory elements that often are present in intronic sequences or at a considerable distance from the coding region. Besides tightly regulating the transgene expression, the size of artificial chromosomebased vectors minimizes the influence of regulatory sequences in the chromosomal flanking regions. Large-insert clones can be produced using yeast artificial chromosomes (YACs), bacterial artificial chromosomes (BACs), and P1 bacteriophage artificial chromosomes (PACs). In the kidney, PACs containing the entire human renin gene locus have been used to generate transgenic mice. Human renin was only expressed in the kidney and was restricted to juxtaglomerular cells. No positional effects among the lines were reported, and the transgene expression levels correlated strongly with the transgene copy number.<sup>23</sup>

The size of PACs and BACs complicates traditional cloning strategies including restriction digestion and ligation. Plasmidor phage-based homologous recombination strategies make it possible to modify genes contained in artificial chromosomes. Chi-stimulated recombination, RAC prophage-based ET cloning, and bacteriophage  $\lambda$ -based Red recombination have been used.<sup>24</sup> Using these techniques, artificial chromosomes can be used to target the expression of a gene of interest to a specific tissue: a significant portion of the coding region of a gene encoded on the BAC or PAC is replaced by a complementary DNA of interest, resulting in its expression exclusively in tissues where the BAC or PAC gene is normally expressed. Mullins et al replaced exons III and IV of the murine renin gene encoded on a BAC with the *lacZ* reporter gene. Transgenic animals expressed β-galactosidase only in renin-expressing cells.<sup>25</sup> Thus artificial chromosomes may help to overcome significant problems of conventional generation of transgenic mice.

#### USE OF SITE-SPECIFIC RECOMBINASES IN THE KIDNEY

In addition to overexpression studies, investigators often wish to knock down or knock out the function of a specific protein within the kidney. Although expression of a dominant-negative protein may be relevant to human disease or the pathway under study, deletion of a gene within the genome of a specific cell type is also valuable to study gene function. To facilitate the generation of kidney-specific knockouts, site-specific recombinase systems have been used.

**Cre-loxP System.** Of the recombinase systems available, the Cre-*loxP* system has been most widely used in mice. Cre



**Figure 2-3** Cre-*loxP* system in the kidney. Two transgenic mouse lines are required to achieve a knockout of a gene of interest exclusively in the kidney. One of these lines carries *loxP* sites around a critical portion of, or the entire, gene of interest, the other expresses Cre recombinase under the control of a kidney-specific promoter. After intercrossing, Cre recombinase will excise the floxed gene of interest only in kidney cells. All other cells do not express Cre recombinase.

recombinase (cyclization recombination) is a 38-kDa protein that recognizes a 34-bp DNA target called *loxP* (locus of X-over of P1) and was discovered in the bacteriophage P1.<sup>26,27</sup> This minimal target sequence site is unlikely to occur randomly in the mouse genome and is small enough to be "neutral" when integrated into chromosomal DNA. If two *loxP* sites are located on the same DNA molecule, Cre causes inversion or excision of the intervening DNA segment depending on their respective orientation.

Two transgenic mouse lines are required to facilitate tissuespecific knockouts (Fig. 2-3). The first mouse line expresses Cre recombinase under the control of a tissue-specific promoter. The second carries *loxP* sites around the gene of interest ("floxed gene"). After intercrossing, the gene of interest will be removed selectively from cells expressing Cre recombinase. One or both copies of the gene can be targeted (heterozygosity or homozygosity of the floxed gene, respectively), permitting a crude examination of dosage sensitivity for a specific gene. The Cre-*loxP* system can also be used to activate the expression of genes by excision of a "floxed STOP codon" placed between a highly active promoter and the gene of interest. The advantage of this system over the standard approach using a cell-specific promoter to directly drive expression of a gene is that it permits robust and reproducible expression of the gene under a well-characterized potent promoter, such as the promoter from the chicken  $\beta$ -actin gene.

Integration of the transgene into an active part of the genome can be rapidly determined using a reporter gene such as *lacZ* or the enhanced green fluorescent protein (EGFP). In a similar manner, activating reporter genes such as *EGFP* makes cell lineage tracing studies possible in vivo. Cells can be tagged at specific time points and their fate followed during development or in disease.<sup>28</sup>

Thus far, kidney-specific Cre mouse lines have been characterized for most of the kidney-specific promoters and exist for  $\gamma$ -glutamyltranspeptidase,<sup>29</sup> *SLC5A3*,<sup>8</sup> Aquaporin 2,<sup>13</sup> HoxB7,<sup>8</sup> Ksp-cadherin,<sup>30</sup> Tamm-Horsfall protein,<sup>31</sup> nephrin,<sup>17</sup> podocin,<sup>32</sup> and renin,<sup>33</sup> and a stromal cell gene BF-2 (Mendelson, unpublished data).

As the number of mouse lines with different floxed genes increases exponentially, it will be possible to determine the role of these genes in podocytes or tubular epithelial cells simply by crossing two mouse strains and analyzing the offspring. In this regard, numerous mouse phenotyping facilities have emerged that permit high-resolution imaging and physiologic analyses. Furthermore, a database has been established by Andras Nagy and colleagues (www.mshri.on.ca/ nagy) that describes the characteristics and contacts for many of the transgenic conditional mouse lines currently available.

**Flp Recombinase System.** In addition to the Cre-*loxP* system, two other recombinase systems have been used successfully in vivo: the Flp-FRT system from the budding yeast *Saccharomyces cerevisiae*<sup>34</sup> and  $\alpha$ -integrase.<sup>35</sup>

Flp recombinase recognizes a 34-bp consensus sequence known as *FRT* and induces recombination between two of these sites. The activities of Cre and Flp have different temperature sensitivities in vitro. Cre was shown to be active over a wider range of temperatures than Flp with a maximal performance at 42°C and therefore offered a theoretical advantage for use in in vivo systems.<sup>36</sup> To improve the activity of Flp, a Flp mutant was developed by introduction of four amino acid changes (Flpe).<sup>37</sup> Flpe has activity in ES cell cultures equivalent to that of Cre and thus should be just as useful for in vivo experiments. Combining both Flp and Cre systems may allow an investigator to target cell lineages that would otherwise be inaccessible.

**Integrase System.** Phage integrases also mediate recombination between short sequences of DNA, the phage attachment site (attP), and a short sequence of bacterial DNA, the bacterial attachment site (attB). They are categorized as tyrosine or serine integrases, according to their mode of catalysis. The *Streptomyces* phage-derived  $\phi$ C31, a member of the serine integrase family, has been shown to work efficiently in mammalian cells without any requirement for host cofactors.<sup>35</sup> The ability of phage integrases to unidirectionally and irreversibly integrate an external DNA sequence into the genome makes them useful for genetic engineering in ES cells.<sup>35</sup> Once an "at" site is inserted into a specific chromosomal locus by homologous recombination, any gene of interest may theoretically be integrated into that site at relatively high efficiency. With this strategy, it is possible to insert genes of interest into the same genomic locus so that their effect can be studied and compared in the same context. The biology and applications of phage integrases have recently been reviewed elsewhere.<sup>38</sup>

**Caveats.** Despite all of the promising results and insights that recombinase systems have provided, there are several caveats that require consideration. The degree and timing of Cre-mediated excision during embryonic development is critical, because incomplete excision (<100% of targeted cells) might lead to unexpected results, particularly if the gene of interest is a secreted molecule. The presence of residual wild-type cells may produce a different phenotype from that produced by the complete absence of wild-type cells. Although Cre-mediated excision of a floxed reporter allele may be 100%, this can vary from locus to locus.

Efficiency of recombination drops with the distance between *loxP* sites. Although it is not always possible to determine genomic excision for each "floxed" gene in every cell, additional steps such as laser capture microscopy<sup>39</sup> of the cell of interest should be performed to ensure cell-specific and complete excision. The exact stage of Cre-mediated excision during embryonic development of the tissue or cell of interest is also important, and if a gene is expressed before the time of excision, a less severe phenotype may ensue. The stability of the

protein and/or gene products may determine this. Though largely theoretical, endogenous pseudo-*loxP* sites that occur naturally in the mammalian genome have been reported; whether any of these sites are associated with genes expressed by renal cells and if recombination of these sites could alter the phenotype of the kidney is not known.

Another theoretical concern in the kidney that has been reported with other tissue-specific promoter-driven Cre systems is that the promoter itself may "mop up" cell-specific transcription factors and alter the phenotype. This is an important consideration that can be overcome by thorough examination of control mice. On occasion, transmission of both the Cre and floxed transgenes through the same germline leads to ubiquitous deletion (i.e., the cell-specific promoter is active within the germ cell leading to widespread excision). This is a major concern if there is a haplo-insufficient phenotype associated with the floxed allele (e.g., vascular endothelial growth factor).<sup>40</sup> Finally, it is mandatory that the investigator periodically check the degree of Cre-mediated excision during a continuing experiment, because activity may decrease in subsequent generations of mice. This can be accomplished by breeding subsequent generations of kidney-specific Cre lines to reporter strains.

From this discussion, it is clear that full characterization of the gene excision in individual Cre lines must be performed. Several excellent reporter mouse strains exist to test cell-specific Cre-mediated excision known as the Z/AP and Z/EG lines.<sup>41,42</sup> In our experience, the Z/EG line that expresses the EGFP subsequent to excision of a floxed STOP allele permits the highest resolution of cell-specific expression when examined using a commercially available antibody to GFP.<sup>17</sup>

Despite the potential pitfalls associated with recombinase systems, as the availability and variety of Cre lines increase, investigators should be able to find lines that suit their experimental needs. In addition, an optimist might consider that mosaic excision or excision after onset of expression of a gene may be desirable—and reveal phenotypes or gene functions that would otherwise be masked by a more complete knockout.

#### ADDING TEMPORAL CONTROL

The dissection of the role of specific genes in disease requires temporal control of gene expression in addition to tissue specificity. To achieve this goal, tetracycline-sensitive systems have successfully been used in the kidney (Fig. 2-4). Tetracycline binds to the transactivator tTA or "reverse" rtTA. These complexes repress or activate the expression of the gene of interest by binding to the Tet operator (tetO). Shigehara et al<sup>43</sup> recently produced a transgenic line using the human podocin (NPHS2) gene promoter to restrict expression of the rtTA cassette to podocytes; they bred these mice with a reporter mouse line that contains the cytomegalovirus minimal promoter and tetO promoter elements together with lacZ. Administration of tetracycline in the drinking water or subcutaneously initiated the expression of the *lacZ* reporter gene selectively in podocytes. Replacing *lacZ* by Cre recombinase would allow researchers to benefit from the pool of mouse strains with floxed genes of interest by generating triply transgenic mice that carry the podocyte-specific rtTA, tetracycline-sensitive Cre recombinase transgenes, and the floxed line of interest.



**Figure 2-4** The reverse tetracycline transactivator (rtTA)-tetO system in the kidney. To achieve inducible knockout of a gene of interest exclusively in the kidney, triple-transgenic mice are generated. The rtTA is expressed under the control of a kidney-specific promoter. Only in the presence of rtTA and tetracycline is the Tet-operator promoter activated, and Cre recombinase is expressed and excises the gene of interest.

Though widely used in vivo, a disadvantage of the tetracycline system is that to knock out a gene of interest in a temporal manner requires generation of triple or quadruple transgenic offspring. Another technique that overcomes this problem and can achieve inducible activity of site-specific recombinases is the development of ligand-regulated forms of Cre and Flpe by fusing a mutant estrogen receptor (ER) ligand binding domain to the C terminus of the enzymes. These fusion proteins are induced by application of the synthetic estrogen antagonist 4-OH tamoxifen but are insensitive to endogenous  $\beta$ -estradiol. Researchers can choose between three different mutant estrogen receptors: Mouse ER<sup>TM</sup>,<sup>44</sup> human ER<sup>T</sup>,<sup>45</sup> and human ER<sup>T2</sup>.<sup>46</sup> CreER<sup>T2</sup> is about 10-fold more sensitive than CreER<sup>T</sup>.<sup>47</sup> By placing CreER<sup>T</sup> under the control of a kidney-specific promoter, investigators should be able to generate a simpler system to knock out genes in the kidney in a temporally controlled manner. Although clearly this system would be beneficial, it has not yet been achieved in the kidney. In our own laboratory we generated 12 independent CreER<sup>T2</sup> lines under control of the nephrin promoter (Quaggin, unpublished data, 2004) but were never able to demonstrate excision.



**Figure 2-5** Principles of shRNA. Self-complementary short pieces of RNA forming hairpin-like structures (shRNA) activate cytoplasmic Dicer enzymes that cleave the RNA into short pieces (miRNA). miRNAs are incorporated into an miRNA-protein complex (miRNP). The antisense strand guides miRNP to its homologous target mRNA, resulting in inhibition of translation.

#### **RNA INTERFERENCE**

Creating knockout animals using homologous recombination in embryonic stem cells is still costly and time consuming. The development of new techniques has made it possible to silence gene expression using short pieces of RNA. RNA interference is a highly conserved mechanism throughout evolution and can be found in plants as well as humans. RNA interference is the process of sequence-specific, post-transcriptional gene silencing initiated by double-stranded RNA (dsRNA) that is homologous in sequence to the silenced gene. The presence of dsRNA in the cytoplasm activates Dicer enzymes that cleave the RNA into short pieces.<sup>48</sup> The mediators of sequencespecific messenger RNA (mRNA) degradation are 21- and 22nucleotide small interfering RNAs (siRNAs) generated by cleavage from longer dsRNAs.<sup>49</sup>

These siRNAs are incorporated into a multiprotein RNAinducing silencing complex (RISC). The antisense strand guides RISC to its homologous target mRNA, resulting in cleavage. Unfortunately in mammals the introduction of long dsRNAs leads to a nonspecific inhibitory response mediated through interferon. In 2001, Elbashir et al were able to show that transfection of chemically synthesized siRNAs into mammalian cells effectively silenced the expression of a reporter gene in a sequence-specific manner.<sup>49</sup> These findings have led to widespread application of RNA interference in mammalian species.

Transfection of chemically synthesized siRNA into cells is of a transient nature. To overcome this problem, techniques have been developed using DNA vectors expressing substrates that can be converted into siRNAs in vivo. Expression of these substrates is usually driven by promoters of genes transcribed by RNA polymerase II or III, which produce RNA from a DNA template. To generate dsRNA, transfection with two vectors coding for the sense and antisense strands is necessary. Meanwhile it has been shown that Dicer can process small hairpin RNA (shRNA) structures resulting in the generation of micro-RNAs (miRNAs) (Fig. 2-5). By inhibition of translation, miRNA can effectively silence gene expression, making it possible to target genes using only one vector.<sup>50</sup> These systems can now be used to generate transgenic animals that silence gene expression stably. Two independent groups<sup>51,52</sup> demonstrated successful genomic integration and germline transmission of a plasmid expressing siRNA against Ras-gap or Neil-1. Individual ES cell lines were generated that showed varying levels of silencing. Of note, mice derived through germline transmission showed the same level of reduction in the targeted gene as the ES cell line from which they were established. This makes it possible to screen ES cell clones for the desired reduction level (either equal to or less than 100%), permitting the rapid generation of an "allelic series" (i.e., one can look at the phenotype in several lines and determine the effect of more or less expression of a gene on its function). However, the ability to screen expression levels in ES cells before generation of the mouse demands that the gene be expressed in ES cells.

Using pronuclear injection transgenesis, Hasuwa et al<sup>53</sup> accomplished germline transmission of RNA polymerase III (polIII) H1 promoter–driven shRNA expression constructs silencing EGFP expression in *EGFP* transgenic mice to 18%, 4%, and 24% of the control level. Gene silencing by RNA interference exclusively in the kidney might be realized using RNA polymerase II promoters; Ling and Li were able to show that shRNAs transcribed from the CMV promoter could mediate gene silencing.<sup>54</sup> This approach would enable researchers to use any kind of tissue-specific promoter coupled to their shRNA of interest for generation of transgenic mice by simple pronuclear injection.

Additionally, tissue-specific expression can be achieved by the addition of a recombinase system to the siRNA or shRNA plasmid (Fig. 2-6). However, adding *loxP* sites to RNA polIII promoter–driven systems is challenging, because these promoters are extremely compact. The spacing between the different functional elements as well as the transcriptional start site are critical, and the addition of the 34-bp *loxP* sequence to achieve Cre-inducible shRNA expression would severely impair promoter activity.<sup>55</sup> Kasim et al recently generated a Creinducible, polIII-driven shRNA expression system in vitro by placing the *lox*-STOP-*lox* cassette in the loop region of the shRNA.<sup>56</sup> However, because of the palindromic nature of the *loxP* sequence, shRNAs expressed from this system have an 8bp loop, and the residual *loxP* site is transcribed within the shRNA, resulting in the synthesis of dsRNAs that are 13 bp longer, are less efficient, and could be more prone to nonspecific responses.

Ventura et al elegantly avoided these problems by generating a mutated *loxP* sequence that assumes the function of a specific element of the polIII-U6 promoter, the TATA box, obtaining the promoter activity.<sup>57</sup> The second *loxP* site is placed downstream of an EGFP expression cassette followed by the shRNA sequence (Fig. 2-6). Using this approach in a lentiviral system, the authors were able to conditionally knock down *p53* in mouse embryonic fibroblasts. Moreover, using the same vector with an shRNA against CD8, mouse ES cells were infected and the chimeras were crossed to Cre-expressing mouse lines, resulting in tissue-specific knockdown of CD8. In combination with inducible Cre mouse lines, it should now be possible to have spatial and temporal control over shRNA expression in the kidney, permitting rapid and high-throughput analysis of gene function in a timely and cost-effective manner.

Figure 2-6 Conditional shRNA expression. A, A construct driven by the RNA-polymerase III (pol III) U6 promoter is used to express shRNAs only in kidney cells. Two loxP sites separated by an 813-bp fragment are placed between sense and antisense regions of shRNA, including six thymines serving as a stop signal for RNA-pol III. In the presence of Cre recombinase the 813-bp fragment is excised and shRNAs with an 8-nucleotide hairpin loop are transcribed. The palindromic region of the loxP sequence is transcribed within the dsRNA. B, A mutated loxP sequence that assumes the function of a specific element of the pol III-U6 promoter was generated. These sites flank the pol III-STOP signal followed by an EGFP expression cassette. Without recombination, EGFP can be expressed. In the presence of Cre, the cell stops making EGFP and in turn starts the production of intact shRNAs with a desired loop sequence.



#### **RANDOM MUTAGENESIS**

Using the mouse as a model system, standard and conditional gene targeting have provided great insights into our understanding of the function of many genes within the kidney. However, this approach is time consuming, and most knockouts lead to major gene disruptions, which may not be relevant to the subtle gene alterations that underlie human renal disease. Moreover, with many of the complex traits underlying human pathogenesis, predictions about the nature of the genes involved in these diseases are difficult to make.

Whereas in gene targeting experiments the gene is known at the beginning of the experiment (reverse genetics), random mutagenesis represents a complementary phenotype-driven approach (forward genetics). Random mutations are introduced into the genome at high efficiency by chemical mutagenesis. Consecutively, large numbers of animals are screened systematically for specific phenotypes of interest. As soon as a phenotype is identified, test breeding is used to confirm the genetic nature of the trait. The mutated gene is then identified by chromosomal mapping and positional cloning.

The chemical mutagen, N-ethyl-N-nitrosurea (ENU), is one of the most powerful and well-characterized mutagens in the mouse. It acts through random alkylation of nucleic acids, inducing point mutations in spermatogonial stem cells of injected male mice. The mutagenized male will then produce many different mutagenized gametes, with each gamete carrying multiple mutations. Mutations may be complete or partial loss of function, gain of function, or altered function, and can be dominant or recessive. The specific locus mutation frequency of ENU is 1 in 1,000. Assuming a total number of 25,000 to 40,000 genes in the mouse genome, a single treated male mouse should have between 25 and 40 different heterozygous mutagenized genes. In the rare event of a multigenic phenotype, segregation of the mutations in the next generation allows the researcher to focus on monogenic traits. In each generation, 50% of the mutations are lost, and only the mutation underlying the selected phenotype is maintained in the colony.

The screening in ENU mutagenesis experiments can focus on dominant or recessive mutations. Screening for dominant phenotypes is popular, because breeding schemes are simple and a great amount of mutants can be recovered through this approach (Fig. 2-7). About 2% of all F1 mice display a heritable phenotypic abnormality.<sup>58,59</sup>

Because the nature of the mutant phenotypes is random, improved screening techniques to detect subtle phenotypes will result in the recovery of more mutants. Most of the mouse ENU screens thus far are directed toward identification of mouse mutants that serve as models of human disease. Screening focuses on congenital malformations, relevant blood-based biochemical alterations, immunologic defects, and behavior. The Center for Modelling Human Disease in Toronto has recently added a primary renal screen to the ENU mutagenesis project. It includes a laboratory evaluation of plasma creatinine, electrolytes, and urea in blood drawn at 6 weeks of age. Urinalysis is performed by dipstick to detect proteinuria, hematuria, or pyuria. Outliers are retested at 8 weeks of age. The screen already has identified a heritable mouse mutant with glucosuria and isolated glomerulocystic kidney disease ("sweet pee"). None of the known genes for glucosuria or glomerulocystic kidney disease map to the



Figure 2-7 Breeding scheme for dominant *N*-ethyl-*N*-nitrosourea (ENU) screens. Male strain A mice (GO) are treated with ENU, then bred with wild-type strain B mice to generate G1 mice, which are screened for kidney disease and other phenotypes. Outliers are bred to strain B mice to generate G2 mice, which are phenotypically screened and used for microsatellite mapping. Outliers are again bred for G3 mice, which are used for further microsatellite mapping and in-depth phenotypic analysis.

identified chromosomal region of the new mutation, suggesting the discovery of a new pathophysiologic pathway. These promising results should encourage researchers to use forward genetic approaches to identify new genes responsible for kidney diseases on a broader basis.

Although dominant mutations can unequivocally cause some renal diseases, many others result from interacting mutations in multiple genes that contribute to disease progression. Mutations in "predisposing" genes can be uncovered in "sensitized" mouse strains. G1 ENU-mutagenized males are crossed with females carrying mutations in genes known to predispose to renal disease. G2 animals are phenotypically screened (Fig. 2-8). Using this technique, renal biologists will be able to discover new genes that predispose individuals to their disease of interest.

## CONCLUSIONS

New developments in genetic engineering have led to significant improvements in conditional gene targeting in the kidney. Investigators are now able to drive the expression of **Figure 2-8** Breeding scheme for sensitized ENU screens. G1 ENU-mutagenized males are crossed with females carrying mutations in genes known to predispose to renal disease. G2 animals are phenotypically screened and bred further for mapping. G3, mouse with disease.



specific genes in specific cell types under temporal control. As the number of cell-specific promoters and Cre lines in the kidneys increases, researchers will be able to target virtually any gene of interest within the kidney. The use of RNA interference will simplify and accelerate this process. Forward genetic approaches like ENU random mutagenesis will supplement conditional gene targeting. In turn, this should lead to great advances in our knowledge about renal physiology, development, and diseases.

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# **Chapter 3**

# **Cardiorenal Physiology of the Mouse: From Loss of Genome to Gain of Phenome**

Jürgen Schnermann, John N. Lorenz, and Josephine P. Briggs

Mice have been the preferred experimental animals in several scientific fields including immunology, cancer research, and toxicology. In contrast, they were only sporadically used in the past for studies of organ physiology and pathophysiology. The main limitations of the mouse as an experimental model for physiological studies are for the most part various consequences of their small size. Access to body fluids, analysis of body fluids, and immobilization are all substantially more difficult and fraught with complications than in rats or dogs, the preferred species for the "physiological" approach. In addition, whereas long-term inbreeding has created a few strains of rats used by the vast majority of laboratories, a large number of both inbred and outbred mouse strains are in use that can show considerable variations in physiological phenotypes. This can make it difficult to determine "control" or baseline values for a given physiological variable and complicates comparisons between laboratories.

Nevertheless, the value of mice for laboratories studying system physiology has increased dramatically since the availability of embryonic stem cells has made genetic manipulations in mice a routine laboratory technique. The ability to induce targeted loss-of-function mutations in single genes has permitted a direct test of the functional deficits caused by the mutation and, by implication, the overall function of the affected gene. The continuing development of approaches that allow temporal and spatial control over the gene mutation has widened the appeal of the genetic approach to system physiology. The early targeting of this species for wholegenome sequencing has facilitated the practice of genetic manipulations, and the relatively low costs of breeding and maintenance are an important bonus in the push of physiological research toward the mouse. Overall, however, the driving motivation for pursuing system and organ physiology in the mouse remains the genetically altered animal.

Although the mouse has some distinct physiological characteristics, the general principles of salt and water homeostasis and kidney function resemble those of all other mammalian species. The "physiology of the mouse" is therefore the improved understanding that comes from studies in genetically altered mouse models. The main goal of this chapter is to take an inventory of the use of transgenic mice in renal and cardiovascular regulation, and to highlight aspects where studies in genetically modified mouse models have led to new and sometimes unexpected insights. Rather than discussing what can be done in mice, we are focusing here on what actually has been achieved in the areas of renal sodium chloride (NaCl) and water transport, tubuloglomerular feedback (TGF), and blood pressure regulation. Technical questions related to the use of mice have been discussed in several recent articles<sup>1-4</sup> and are not the topic of this chapter; nor do

we emphasize the potential pitfalls in the use of transgenic mice that have been outlined by others in detail.<sup>5–7</sup> Even within the relatively narrow scope of this overview, it has been necessary to make selections in the material covered. In part the rationale for these selections is our attempt to avoid overlap with other topics in this book. Thus, aspects of kidney development, of the functional characteristics of the glomerular capillary barrier, and of the relationships of mouse phenotypes to human disease have not been addressed. A list of mouse models with targeted null mutations that are not discussed but that nonetheless display distinct cardiorenal phenotypes is given in Table 3-1.

# RENAL SODIUM CHLORIDE AND WATER TRANSPORT

Mice with genetic alterations, usually null mutations, of most renal transporters responsible for NaCl and fluid reabsorption have been generated to improve understanding of nephron function and to investigate the compensatory responses to clearly defined transport deficits. Moreover, NaCl transporters have been targeted to further explore the concept that salt transport by the kidneys is critical for long-term homeostasis of body fluids and arterial blood pressure.\*

### Na<sup>+</sup>/H<sup>+</sup> Exchangers

The direct and indirect effects of the apical Na<sup>+</sup>/H<sup>+</sup> exchanger (NHE3) account for most of Na<sup>+</sup> transport in the proximal tubule. A synthesis of numerous studies indicates that this transporter represents the predominant direct uptake mechanism for Na<sup>+</sup>, that its action generates the electrochemical gradient for passive paracellular Na<sup>+</sup> absorption, and that it operates in parallel to Cl<sup>-</sup>/base exchange in the later part of the proximal tubule. Free-flow micropuncture studies in *NHE3<sup>-/-</sup>* mice showed a 40% to 45% reduction of proximal fluid reabsorption,<sup>8</sup> perhaps somewhat less than expected on the basis of current models of proximal Na<sup>+</sup> and fluid absorption. Rates of proximal fluid absorption were reduced by 60% to 70% and of HCO<sub>3</sub><sup>-</sup> absorption by 50% to 60% in proximal tubules per-

<sup>\*</sup>Several methods are used to measure arterial blood pressure in the mouse. We believe that they are not equally suited to detect small differences between populations of animals, with telemetry being the gold standard. We therefore indicate the specific technique employed whenever we refer to specific observations. We use the following abbreviations: TEL, telemetric pressure; ICP, indwelling catheter pressure (aorta, femoral artery, or carotid artery); TCP, tail cuff plethysmography; AAP, anesthetized animal pressure.

Protein Product <sup>†</sup>	Gene Symbol	Major Cardiorenal Phenotype	References
Nephrin	Nphs1	Early postnatal death, nephrotic syndrome, edema	Putaala et al: Hum Mol Genet 10:1–8 (2001)
Podocin	Nphs2	Antenatal proteinuria, early renal failure, mesangial expansion	Roselli et al: Mol Cell Biol 24:550–560 (2004)
CD2AP	CD2AP	Renal failure at 6–7 weeks, podocyte abnormalities	Shih et al: Science 286:312–315 (1999)
B7-1	CD80	Protection from LPS-induced nephrotic syndrome	Reiser et al: J Clin Invest 113:1390–1397 (2004)
GLEPP1	Ptpro	Abnormal podocytes, predisposition of hypertension	Wharram et al: J Clin Invest 106:1281–1290 (2000)
Collagen IV	Col4a3	Progressive glomerulonephritis, renal failure	Cosgrove et al: Genes Dev 10:2981–2992 (1996)
Protein kinase C	Prkca	Prevention of diabetic albuminuria	Menne et al: Diabetes 53:2101–2109 (2004)
CFTR	Cftr	Impaired cAMP-mediated C1 <sup>-</sup> secretion in CCD	Bens et al: Am J Physiol Renal Physiol 281:F434–F442 (2001)
CFEX/PAT1	Slc26a6	Absence of oxalate-stimulated fluid absorption	Wang et al: AJP Cell Physiol (2004)
MinK	KCNE1	Reduced Na <sup>+</sup> and fluid absorption	Vallon et al: J Am Soc Nephrol 12:2003 (2001)
NKCC1	Slc12a2	Reduced MAP (TCP), reduced venous contractility	Meyer et al: Am J Physiol Heart Circ Physiol 238:H1846–H1855 (2002)
TASK2	Kcnk5	Reduced Na <sup>+</sup> absorption during HCO <sub>3</sub> <sup>-</sup> administration	Warth et al: Pfluegers Arch 443:171 (2002)
ΒΚ <sub>Cα</sub> -β1	Kcnmb 1	Increased MAP (AP), smaller rise of GFR, K <sup>+</sup> excretion after VE	Pluznick et al: Am J Physiol Renal 284:F1274–F1279 (2003)
TRPV5	Trpv5	Hypercalciuria, reduced Ca <sup>2+</sup> absorption in DCT	Hoenderup et al: J Clin Invest 112:1906–1914 (2003)
Sgk1	Sgk	Deficient adaptations of Na <sup>+</sup> and K <sup>+</sup> excretion to dietary intake	Huang et al: J Am Soc Nephrol 15:885 (2004)
Pendrin (PDS)	Slc26a4	Absence of CCD HCO <sub>3</sub> <sup>-</sup> secretion	Royeux et al: Proc Natl Acad Sci U S A 98:4221 (2001)
NHERF-1	Slc9a3r	Absence of PKA-mediated inhibition of NHE3	Weinman et al: FEBS Lett 536:141 (2003)
Pept2	Slc15a2	Reduced uptake of filtered dipeptides	Rubio-Aliaga et al: Mol Cell Biol 23:3247 (2003)
Ca <sup>2+</sup> sensing receptor	Casr	Homozygous mutants not viable, hypercalcemia, hyperparathyroidism	Ho et al: Nat Genet 11:389–394 (1995)

Tab	le 3	-1 S	Selection o	f Taraeted	Null Mutatio	ns as Indicate	ed by	Their P	Protein an	d Gene	dentificat	ions The	at Are A	Associated	l with	Cardiorenal	Phenotype	s in Ad	ult Mice	*
							/													

Protein Product <sup>†</sup>	Gene Symbol	Major Cardiorenal Phenotype	References
1α-Hydroxylase	Cyp27b1	Hypocalcemia, hyperparathyroidism, rickets	Dardenne et al: Endocrinology 142:3135–3141 (2001)
Vitamin D receptor	Vdr	Failure to thrive after weaning, hypocalcemia	Yoshizawa et al: Nat Genet 6:391–396 (1997)
Oct1/Oct2	Slc22a1/a2	No tubular secretion of organic cations	Jonker et al: Mol Cell Biol 23:7902 (2003)
Adrenomedullin	Adm	Homozygous mutants embryonic lethal, increased MAP (ICP) in heterozygous mutants	Shindo et al: Circulation 104:1964–1971 (2001)
HO-1	Hmox 1	Increased severity of renovascular hypertension (TCP)	Wiesel et al: Circ Res 88:1088 (2001)
Caveolin-1	Cav1	Impaired urinary bladder contractions	Lai et al: Neurochem Int 45:1185–1193 (2004)
Gp91 (Phox)	Pirb	Reduced renal vascular resistance	Haque et al: Hypertension 43:335–340 (2004)
Vimentin	Vim	Renal failure and death after partial nephrectomy	Terzi et al: J Clin Invest 100:1520–1528 (1997)
DARPP-32	Ppp1r1b	Increased MAP (TCP), reduced natriuretic response to ANP	Eklof et al: Clin Exp Hypertens 23:449–460 (2001)
5-Lipoxygenase	Alox5	Reduced renal plasma flow, accelerated allograft rejection	Goulet et al: J Immunol 163:359–366 (1999)
Cyp4a14	Cyp4a14	Increased MAP (ICP), increased RVR, reduced autoregulation	Holla et al: Proc Natl Acad Sci U S A 98:5211–5216 (2001)
Epoxide hydrolase	Ephx 1	Reduced MAP (TCP) in males	Sinal et al: J Biol Chem 275:40504–40510 (2000)

Table 3-1 Selection of Targeted Null Mutations as Indicated by Their Protein and Gene Identifications That Are Associated with Cardiorenal Phenotypes in Adult Mice\*-cont'd

\*Targets that cause mainly developmental changes or that do not show major renal or cardiovascular symptoms are not included. The table does not claim to be complete. \*Protein product names used are those commonly encountered in the physiological literature. Gene names from MGI.

ANP, atrial natriuretic peptide; CD2AP, CD2-associated protein; CFEX/PAT1, chloride/formate exchanger/putative anion transporter; CFTR, cystic fibrosis transmembrane regulator; CCD, cortical collecting duct; Cyp4a14, cytochrome P450 4a14; DARPP, dopamine- and cAMP-regulated phosphoprotein; DCT, distal convoluted tubule; GLEPP1, glomerular epithelial protein 1; GFR, glomerular filtration rate; HO-1, heme oxidase 1; ICP, indwelling catheter pressure; LPS, lipopolysaccharide; MAP, mean arterial pressure; MinK, minimum K channel; NHERF, Na<sup>+</sup>/H<sup>+</sup> exchanger regulating factor; NKCC1, NaK2Cl cotransporter 1; Oct, organic cation transporter; RVR, renal vascular resistance; Sgk, serum- and glucocorticoid-regulated kinase; TASK, twik-related acid-sensitive K+ channel; TCP, tail cuff plethysmography; TRP, transient receptor potential; VE, volume expansion.

fused in situ.<sup>9,10</sup> Hydrogen (H<sup>+</sup>) secretion in in vitro-perfused proximal tubules from NHE3-/- mice was reduced by 51% as compared with wild-type tubules.<sup>11</sup> The remaining NHE3independent NaHCO3 absorption may be driven by H+adenosine triphosphatases (ATPases) or by an unidentified amiloride-sensitive pathway that has been observed in tubules from NHE3<sup>-/-</sup> mice.<sup>11</sup> Formate-, but not oxalate-induced NaCl and fluid absorption was completely abolished in NHE3-/mice, indicating tight functional coupling between NHE3 and the Cl<sup>-</sup>/formate exchanger.<sup>12</sup> In addition to having a reduced basal fluid absorption rate, perfused proximal tubules of NHE3<sup>-/-</sup> mice have a markedly diminished response of fluid absorption to an increase in tubular flow rate. Thus, the phenomenon of glomerulotubular balance is at least in part the result of flow-dependent stimulation of NHE3.13 NHE3-/mice also exhibit a blunted pressure-natriuresis relationship, consistent with a role for this transporter in pressuredependent changes in proximal reabsorption.<sup>14</sup>

Despite this major transport defect, NHE3-/- mice on a normal NaCl intake can achieve Na<sup>+</sup> balance and are only mildly acidotic, although an increased plasma aldosterone and slightly reduced mean arterial pressure (TCP, AAP, TP) signal the presence of persistent but compensated extracellular volume (ECV) depletion.9,14 When placed on a low-sodium diet, NHE3<sup>-/-</sup> mice succumb to progressive volume losses with further decrements in blood pressure, hyperkalemia, acidosis, and salt wasting.<sup>15</sup> However, intolerable salt wasting in these mice is mostly due to lack of intestinal rather than renal NHE3, in that tolerance to a low sodium intake can be restored by transgenic expression of NHE3 in the intestine.<sup>16</sup> NHE3-imice have been found to have a 30% to 40% reduction of glomerular filtration rate (GFR), and this reduction of filtered load is largely responsible for the essentially normal flow rates found by micropuncture at the end of the proximal and in the distal tubule.<sup>8,14,16</sup> The reduction in GFR seems to be due to an activation of the TGF mechanism, in that it was only observed when the macula densa (MD) segment was perfused.<sup>8</sup> An increased HCO<sub>3</sub><sup>-</sup> reabsorption along cortical and outer medullary collecting ducts was observed in perfused collecting duct segments of NHE3-/- mice, due to an increased H+-ATPase and hydrogen/potassium (H+/K+)-ATPase activity.<sup>17</sup> NHE3deficient mice exhibit tubular proteinuria, suggesting that NHE3 supports proximal tubular receptor-mediated endocytosis of filtered proteins in vivo.<sup>18</sup> Overall, Na<sup>+</sup> and fluid absorption along the proximal tubule remained unexpectedly high in NHE3<sup>-/-</sup> mice, inviting a renewed consideration of the quantitative role of individual transporters and transport pathways in proximal Na<sup>+</sup> absorption.

Acid-base or electrolyte balance and plasma aldosterone levels were not measurably changed in  $NHE2^{-/-}$  mice, indicating that the NHE2 isoform does not contribute significantly to renal  $HCO_3^-$  conservation.<sup>15,19</sup> Nevertheless, NHE2-dependent acidification along the distal tubule seems to limit urinary losses of  $HCO_3^-$  in states of elevated distal  $HCO_3^-$  delivery, including that caused by the *NHE3*-null mutation.<sup>20</sup>

The overall renal function of  $NHE1^{-/-}$  mice has not been studied. However,  $HCO_3^-$  absorption in perfused thick ascending limbs of the loop of Henle (TALs) from  $NHE1^{-/-}$  mice was reduced by 60%, an effect that was mimicked in wild-type mice by bath addition of amiloride. Thus, basolateral NHE1 seems to regulate the activity of the apical Na<sup>+</sup>/H<sup>+</sup> exchanger, probably NHE3.<sup>21</sup>

### Sodium/Inorganic Phosphate Cotransporter

Mice with targeted deletion of *Npt2*, the major Na<sup>+</sup>/inorganic phosphate (Na<sup>+</sup>/P<sub>i</sub>) cotransporter in the brush border membrane of proximal tubules, show the expected phosphaturia and hypophosphatemia as well as the resulting changes in vitamin D and calcium metabolism.<sup>22</sup> Heterozygous *Npt2*<sup>+/-</sup> mice are only mildly phosphaturic, presumably because Npt2 protein and Na<sup>+</sup>/P<sub>i</sub> cotransport activity was essentially normal despite a reduction of Npt2 messenger RNA (mRNA).<sup>22</sup> From the magnitude of the functional deficit in *Npt2*<sup>-/-</sup> mice one may conclude that Npt2 accounts for about 80% of proximal phosphate transport.<sup>23</sup> Other P<sub>i</sub> transporters such as Npt1 are not upregulated in the *Npt2*<sup>-/-</sup> mice as compared with controls.<sup>23</sup>

## Na+/K+/2Cl- Cotransporter

The dominant role of the Na<sup>+</sup>/K<sup>+</sup>/2Cl<sup>-</sup> cotransporter (NKCC2) in overall NaCl reabsorption along the TAL has been directly demonstrated in mice with targeted deletion of the transporter gene. Mice homozygous for the mutation died within 2 weeks after birth with symptoms of severe volume depletion such as a 200-fold increase in plasma renin concentration (PRC).<sup>24</sup> A small percentage of NKCC2<sup>-/-</sup> mice could be kept alive by salt supplementation and the administration of indomethacin. The dominant phenotype of adult NKCC2-deficient mice was a marked, vasopressin-resistant polyuria and concentrating defect.<sup>24</sup> Like patients with loss-of-function mutations of NKCC2, NKCC2-/- mice have an increased excretion of prostaglandin E<sub>2</sub> (PGE<sub>2</sub>), presumably resulting from activation of COX-2 in TAL cells.<sup>24,25</sup> Mice heterozygous for the NKCC2-null mutation (NKCC2<sup>+/-</sup>) have the expected 50% reduction of mRNA expression as compared with wild type, but NKCC2 protein was near normal and no differences in salt balance, PRC, or concentrating capacity were observed between NKCC2<sup>+/-</sup> and wild-type animals.<sup>26</sup> Similarly, NaCl reabsorption across perfused TAL segments was not measurably altered in NKCC2<sup>+/-</sup> segments as compared with wild type.<sup>26</sup> It seems to be quite common that heterozygosity, though typically leading to a 50% reduction of mRNA expression, is not associated with corresponding reductions in the expression of the functional protein.

Several isoforms of NKCC2 have been identified that arise from alternative splicing of the mutually exclusive cassette exons A, B, and F.<sup>27</sup> Because the A, B, and F isoforms have been shown to possess different ion affinities, their distinct distribution pattern along the TAL may create an axial pattern of NaCl transport heterogeneities.<sup>28</sup> Mice with a targeted deletion of the B isoform of *NKCC2* have recently been generated in which the transcription and splicing of the A and F isoforms were maintained.<sup>286</sup> These mice show a mild concentrating defect and a significant reduction in the dilution of tubular fluid as measured by distal tubule micropuncture.

## **Renal Outer Medullary K+ Channel**

Recycling of K<sup>+</sup> across the apical membrane of TAL cells is required for the maintained activation of NKCC2 and therefore for NaCl transport. In fact, the dominant symptoms associated with loss of function of the renal outer medullary K<sup>+</sup> channel (ROMK), a small-conductance K<sup>+</sup> channel responsible for some part of K<sup>+</sup> recycling, are similar to those caused by NKCC2 deficiency, including a high perinatal mortality due to volume depletion.<sup>29</sup> Those Romk-i- mice that survived to adulthood were hypotensive (TCP) and had a marked reduction in urinary concentrating ability and hydronephrosis. Whole-kidney GFR was drastically reduced but singlenephron GFR was nearly normal, suggesting a decline in the number of functioning nephrons. Cl- concentration in the distal tubule was somewhat elevated, and net Cl<sup>-</sup> reabsorption rate was reduced by about 50%.29 This relatively wellmaintained NaCl absorption is surprising in that patch clamp studies showed not only absence of small-conductance but also of intermediate-conductance K<sup>+</sup> channels in Romk<sup>-/-</sup> mice.<sup>30,31</sup> Whereas adult Romk<sup>-/-</sup> mice had a metabolic acidosis, plasma K<sup>+</sup> concentration was not significantly altered, suggesting that K<sup>+</sup> excretion required for K<sup>+</sup> balance was achieved through alternative transport pathways.<sup>29,31</sup>

### **Chloride Channels**

The exceptionally high Cl<sup>-</sup> permeability of the thin ascending limbs of Henle's loop is caused by the presence of the CLC-K1 channel. The assumed critical role of Cl<sup>-</sup> transport for the operation of the countercurrent mechanism has been established in mice with a deletion of the *CLC-K1* gene.<sup>32</sup> *CLC-* $K1^{-/-}$  mice have a vasopressin-resistant polyuria and concentrating defect that did not seem to be due to reduced collecting duct water permeability, because the expression of aquaporin-2 (Aqp2) and the secretion of vasopressin were normal.<sup>33</sup> Accumulation of Na<sup>+</sup>, Cl<sup>-</sup>, and urea in the renal medulla was markedly reduced under basal conditions and hardly affected by dehydration, suggesting a primary defect in overall countercurrent function.<sup>33</sup> The underlying cause for this defect is presumably the absence of transcellular Cl<sup>-</sup> absorption across the thin ascending limbs.<sup>34</sup>

ClC-5 is a Cl<sup>-</sup> channel that is abundantly expressed in the kidney, where it is found predominantly in subapical endosomes of proximal tubules and colocalizes with a V-type H+-ATPase. ClC-5<sup>-/-</sup> mice have an impairment of endocytosis that may result from defective acidification of endosomes.<sup>35,36</sup> As a consequence of impaired endocytosis, ClC-5<sup>-/-</sup> mice have an increased excretion of endogenous and exogenous lowmolecular-weight proteins and of amino acids.<sup>35–37</sup> The phosphaturia of  $ClC-5^{-/-}$  animals has been related to reduced endocytosis of parathyroid hormone (PTH), with the result that concentrations of intraluminal PTH rise to a point where the hormone causes tonic internalization of the Na<sup>+</sup>/P<sub>i</sub> cotransporter.<sup>36</sup> Intestinal calcium (Ca<sup>2+</sup>) absorption and plasma  $Ca^{2+}$  were found to be unaltered in *ClC-5<sup>-/-</sup>* mice, suggesting that the hypercalciuria resulting from ClC-5 deficiency is of bone or renal rather than intestinal origin.<sup>37,38</sup> Endocytosis of proteins like β-microglobulin, vitamin D-binding protein, retinol-binding protein, and others from the luminal space requires mediation by the megalin/cubilin tandem receptor.<sup>39</sup> Thus, megalin-null mice have major disturbances in both vitamin D and vitamin A metabolism because of deficiencies in endocytotic uptake of vitamin D-binding protein/vitamin D, and retinol-binding protein/retinol complexes.<sup>40,41</sup> Moreover, kidney-specific deletion of megalin has been shown to prevent internalization of the Na<sup>+</sup>/P<sub>i</sub> cotransporter.<sup>42</sup> A link between the requirements for both megalin and ClC-5 in endocytosis was provided by the finding that  $ClC-5^{-/-}$  mice have reduced levels of megalin and cubilin proteins and a selective deletion of megalin and cubilin from brush border membranes.<sup>36,43</sup> Thus, the impaired endocytosis of *ClC-5<sup>-/-</sup>* mice may result from defective trafficking of megalin and cubilin.

## **NaCl Cotransporter**

Apical thiazide-sensitive NaCl cotransport is the main Na<sup>+</sup> uptake mechanism in the distal convoluted tubule. An early study in mice with targeted deletion of the NaCl cotransporter (NCC) did not show clear symptoms of volume depletion in animals on a standard diet with mean arterial blood pressure (AP) and plasma aldosterone not being different from wild type.<sup>44</sup> More recently, however, a significant increase of plasma aldosterone and a decrease of whole-kidney and singlenephron GFR was reported, suggesting some degree of volume depletion.45 When NCC-/- mice were fed a low-sodium diet for 2 weeks, their blood pressure (AP) decreased significantly.44 NCC<sup>-/-</sup> mice did not show a marked metabolic alkalosis that is typically seen in the human equivalent of Gitelman syndrome, but mice had a reduced plasma magnesium concentration and reduced excretion of calcium.44 NCC-/- mice were found to have a marked hypertrophy of the connecting tubule and enhanced expression of apical Na<sup>+</sup> channels.<sup>45</sup> Thus, upregulation of connecting tubule NaCl reabsorption seems to be one of the mechanisms compensating for the NCC deficiency, although a marked reduction of GFR also contributes to limiting salt losses.45

## **Epithelial Na+ Channel**

The epithelial Na<sup>+</sup> channel (ENaC) is an amiloride-sensitive, multimeric protein consisting of  $\alpha$ ,  $\beta$ , and  $\gamma$  subunits, which are all required for its full activity. ENaC is expressed in the apical membrane of the collecting duct, and it provides the entry pathway for the movement of Na<sup>+</sup> from lumen to cell. Studies in knockout mice have shown that ENaC, though responsible for only about 2% of total Na<sup>+</sup> absorption, is indispensable for the maintenance of fluid and electrolyte balance. Mice with loss-of-function mutations of the  $\beta$  or  $\gamma$ subunits of ENaC die shortly after birth from dehydration and hyperkalemia,46,47 whereas  $\alpha$ -ENaC-deficient mice show a fatal failure to clear the lungs from secreted fluids.<sup>48</sup> Survival and physiological phenotypes of mice heterozygous for ENaC subunits or mineralocorticoid receptor (MR)-null mutations were essentially normal, although in this case the expression of the respective proteins was also reduced.

Partial restoration of  $ENaC\alpha$  by transgenic expression of the gene in an  $ENaC\alpha^{-/-}$  background reduced perinatal mortality by 50% and generated surviving animals with Na<sup>+</sup> wasting and metabolic acidosis akin to human pseudohypoaldosteronism.<sup>49</sup> Normalization of the electrolyte and acidbase disturbance was observed in adult animals of this strain, indicating that the relative ENaC $\alpha$  deficiency can be compensated with kidney maturation. Transgenic mice with expression of Cre-recombinase under the control of the Hoxb7 promoter were used to generate animals with selective deletion of ENaCin collecting ducts.<sup>50</sup> Although amiloride-sensitive currents were completely absent in cortical collecting ducts (CCDs) of these mice, no abnormalities of salt and water homeostasis were observed even under conditions of water deprivation, or the administration of a low-sodium or high-potassium diet.<sup>50</sup> The somewhat unexpected conclusion would be that the ENaC-expressing nephron segments required for maintaining salt balance must be located upstream from the collecting duct, perhaps in the aldosterone-sensitive part of the distal convoluted and connecting tubules. This study provides one of the still few examples of the successful use of the Cre/lox recombination strategy to exert spatial control over the expression of a renal transport protein. A summary of currently available mice in which Cre-recombinase is expressed under the control of a "renal" promoter, and their application for cell-specific recombination, is given in Table 3-2, whereas mice with *loxP*-flanked sequences are listed in Table 3-3.

A comparably severe phenotype of salt wasting and postnatal mortality has been observed in mice with targeted disruption of the *MR* gene.<sup>51</sup> Noncompensated volume depletion in *MR*<sup>-/-</sup> mice is indicated by the observation that PRC was not different from wild type at birth but had increased 8-fold by day 4, and 440-fold by day 8, the time at which mice began to die.<sup>51</sup> Conversion of corticosterone to the inactive 11dehydrocorticosterone occurs in aldosterone-sensitive tissue through the action of 11β-hydroxysteroid dehydrogenase type 2 (11β-HSD2). Effective intracellular removal of glucocorticoids is required to maintain the aldosterone sensitivity of MR. Consistent with a role of 11β-HSD2 in preventing a syndrome of apparent mineralocorticoid excess is the observation that  $11\beta$ -HSD2<sup>-/-</sup> mice are hypokalemic and that they develop marked hypertension (ICP) in the presence of greatly reduced levels of plasma renin and aldosterone.<sup>52</sup>

Table 3-2Summary of Studies in Which a LoxP-Flanked Target Was Successfully Removed by Cre-Recombinase Expressed by aPromoter That Is Either Kidney-Specific or Highly Active in the Kidney

Promoter	Renal Location	Floxed Target	Reference
SGLT2	Proximal tubule	LacZ	Rubera et al: J Am Soc Nephrol 15:2050–2056 (2004)
γ-Glutamyl transpeptidase	Cortical tubules	GFP	lwano et al: J Clin Invest 110:341–350 (2002)
AQP2	Collecting duct	ET1	Ahn et al: J Clin Invest 114:504–511 (2004)
Renin	JG cells	LacZ, GFP	Sequeira Lopez et al: Dev Cell 6:719–728 (2004)
Tamm-Horsfall protein	Thick ascending limb	LacZ	Stricklett et al: Am J Physiol 285:F33–F39 (2003)
NPHS2 (podocin)	Podocytes	LacZ	Moeller et al: Genesis 35:39–42 (2003)
Ksp1.3-cadherin	Developing genitourinary tract	Kinesin-II	Lin et al: Proc Natl Acad Sci U S A 100:5286–5291 (2003)
NPHS1 (Nephrin)	Podocytes	VEGF-A	Eremina et al: J Clin Invest 111:707–716 (2003)
Apolipoprotein E	Kidney tubules	Megalin	Leheste et al: Am J Pathol 155:1361–1370 (1999)
HoxB7	Mesonephros, ureteral bud	Sonic hedgehog	Yu et al: Development 129:5301–5312 (2002)
HoxB7	Collecting duct	αENaC	Rubera et al: J Clin Invest 112:554–565 (2003)
Tie 1	Endothelial cells	PDGFB	Bjarnegard et al: Development 131:1847–1857 (2003)

Table 3-3 List of Mouse 3	Strains with <i>LoxP</i> -Flanked	Genes of Potentia	l Interest fo	or Renal	Research
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Gene	Reference
Human angiotensinogen	Stec et al: J Biol Chem 274:21285–21290 (1999)
EP4 Receptor	Schneider et al: Genesis 40:7–14 (2004)
Glucocorticoid receptor	Tronche et al: Nat Genet 23:99–103 (1999)
Caveolin 1	Cao et al: Am J Pathol 162:1241–1248 (2003)
GLUT 4	Abel et al: J Clin Invest 104:1703–1714 (1999)
IGF 1	Liu et al: Endocrinology 141:4436–4441 (2000)
Endothelin 1	Ahn et al: J Clin Invest 114:504–511 (2004)
Megalin	Leheste et al: Am J Pathol 155:5301–5312 (2002)
ENαC	Rubera et al: J Clin Invest 112:554–565 (2003)
Calcineurin B1	Neilson et al: Immunity 20:255–266 (2004)
Gsα	Chen et al: Annual meeting Endocrine Society, abstract (2004)

## **Aquaporins**

Mice with an Aqp1 deficiency have a marked, vasopressinresistant concentrating defect that is due to reduced water permeability of the descending limb of the loops of Henle.<sup>53,54</sup> Osmotic water flux across outer medullary vasa recta was also reduced, which may lead to increased medullary blood flow and contribute to the concentrating defect.<sup>55</sup> Proximal fluid reabsorption of  $Aqp1^{-/-}$  mice, assessed either in situ by micropuncture or in the perfused proximal tubule, was reduced by about 50%.<sup>56</sup> Continued salt absorption across a less waterpermeable epithelium caused tubular fluid osmolarity to fall below plasma levels.<sup>57</sup> GFR was significantly reduced in  $Aqp1^{-/-}$  mice, and the reduced GFR normalized fluid delivery rates to the distal nephron.<sup>56</sup>

Targeted insertion of a point mutation in the Aqp2 gene that is associated with nephrogenic diabetes insipidus generated a mouse model with functional Aqp2 deficiency.<sup>58</sup> The appearance of these mice was normal at birth, but the animals failed to thrive and died between days 5 and 6. The major phenotype is a severe concentrating defect with urine osmolarities below isotonicity and absent responses to vasopressin. Plasma osmolarity was significantly elevated, suggesting prerenal failure as the cause of death.<sup>58</sup> A similar phenotype of severe polyuria with early postnatal death was also observed in mice in which a nephrogenic diabetes insipidus resulted from the introduction of a nonsense mutation into the V2 vasopressin receptor gene.<sup>59</sup> The severity of the consequences of these forms of nephrogenic diabetes insipidus is somewhat surprising given that newborn mice do not concentrate their urine well and that they do not need to in view of their liquid diet.

Mice with a deficiency of Aqp4, a mercurial-insensitive water channel in the basolateral membrane of collecting duct cells, have a mild impairment in urinary concentrating capacity during water deprivation.<sup>60</sup> The relatively small effect of Aqp4 deficiency on osmotic urine concentration contrasts somewhat with an almost 80% reduction in vasopressinstimulated water permeability observed in perfused inner medullary collecting ducts.<sup>61</sup> Absence of Aqp3, another basolateral water channel in the collecting duct, is associated with a much more dramatic loss of concentrating ability with urine osmolarity being around or below isotonicity.<sup>62</sup> Aqp3 deficiency, especially on the background of an  $Aqp1^{-/-}$  mutation, is associated with hydronephrosis and atrophy of the renal medulla, common consequences of chronic elevations of urine flow in the mouse.<sup>63</sup>

#### **Urea Transporters**

Facilitated urea transport by two families of urea transporters is required for the accumulation of urea in the renal inner medulla. Members of the UT-A family are expressed along inner medullary collecting ducts and thin descending limbs of Henle's loop, whereas UT-B type urea transporters in the kidney are found on medullary vasa recta.

Mice with a deletion of the major collecting duct urea transporters UT-A1 and UT-A3 had an increased basal urine flow and a markedly reduced urinary concentrating ability that remained essentially unaltered after 24 hours of water deprivation.<sup>64</sup> Isolated perfused inner medullary collecting ducts of  $UT-A1/3^{-/-}$  mice did not show phloretin-inhibitable

and vasopressin-dependent urea transport.<sup>64</sup> Accumulation of urea in the inner medullary tissue was greatly reduced, whereas Na<sup>+</sup>, Cl<sup>-</sup>, or K<sup>+</sup> contents were unaffected. Although these data confirm that facilitated urea transport through UT-A across inner medullary collecting ducts is critical for medullary urea enrichment, they are difficult to reconcile with the so-called passive concentration of NaCl in the inner medulla.

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Mice with loss-of-function mutations of the vascular UT-B urea transporter have a 25% reduction of urinary concentrating ability, and they are able to increase urine osmolarity in response to water deprivation albeit to a lesser extent than wild-type mice.<sup>65</sup> Consistent with this is the finding that papillary urea concentrations were reduced whereas papillary Cl<sup>-</sup> concentrations were not significantly different from wild type. In response to an increase in plasma urea concentration by either urea infusion or the administration of a high-protein diet,  $UT-B^{-/-}$  mice were unable to increase overall urinary concentrating ability or to increase urea excretion to the extent seen in wild-type mice.<sup>66</sup> As a result, plasma urea concentrations increased markedly in the knockout animals.<sup>66</sup>

#### JUXTAGLOMERULAR APPARATUS FUNCTION: TGF, AUTOREGULATION, AND RENIN SECRETION

#### **TGF and Autoregulation**

Genetic targeting of proteins believed to be involved in tubuloglomerular feedback (TGF) has helped to elucidate the signaling pathways involved in this homeostatic mechanism. Strong support has been obtained for the hypothesis that adenosine, generated in response to changes in MD transport activity, provides the signal for afferent arteriolar constriction. Two independent laboratories using separately generated mutant mouse lines with targeted deletion of the A1 adenosine receptor (A1AR) have demonstrated a complete absence of TGF responses in micropuncture measurements of stop-flow pressure or single-nephron filtration rate.<sup>67,68</sup> The proximal-distal single-nephron GFR difference, a measure of the basal GFR-suppressing effect of TGF, as well as the TGFinduced oscillations of proximal tubule pressure were abolished in A1AR<sup>-/-</sup> mice.<sup>69</sup> Finally, the efficiency of both GFR and renal blood flow (RBF) autoregulation was blunted in A1AR-deficient mice.<sup>70</sup> It was concluded that adenosine, as the natural ligand of A1AR, is an obligatory and nonredundant component of the TGF signaling pathway. Absence of TGF regulation makes A1AR<sup>-/-</sup> mice a unique model to test the physiological role of this regulatory system. This approach was used in studies designed to test the generally accepted notion that the fall of GFR caused by carbonic anhydrase (CA) deficiency results from TGF activation subsequent to increased fluid delivery to the MD. The results of these experiments do not support this concept, however, because the CA inhibitor benzolamide caused identical reductions of GFR and RBF in wild-type and A1AR<sup>-/-</sup> mice.<sup>71</sup> In a similar approach we have tested our suggestion that the reduction of GFR is the main mechanism that prevents major salt losses in Aqp1-deficient mice.<sup>72</sup> However, when these mice were crossed with A1AR<sup>-/-</sup> animals that lack TGF regulation of GFR, Aqp1-deficient mice were able to maintain long-term salt balance and blood pressure without a reduction of GFR.<sup>73</sup>

The studies in the Aqp1/A1AR double-knockout mice further revealed that the higher single-nephron GFR was accompanied by higher proximal absorption rates, suggesting that the flow-dependent stimulation of fluid absorption may not require Aqp1.<sup>73</sup>

Thromboxane, another proposed contributor to TGFinduced vasoconstriction, does not seem to play a major role, because TGF responses of stop-flow pressure were normal in thromboxane receptor–deficient mice.<sup>74</sup>

Earlier studies had shown that mice with loss-of-function mutations of the angiotensin II (AT1) receptor or of angiotensin-converting enzyme (ACE) are also incapable of feedback regulation of glomerular capillary pressure.75,76 Together with previous results from rats, these findings suggest that the formation of angiotensin II and its interaction with AT1 receptors is a necessary prerequisite for adenosine to alter afferent arteriolar tone. This notion is further supported by the observation that the vasoconstrictor effect of a locally applied A1AR agonist was greatly reduced in AT1-/- mice.77 The angiotensin required for this interaction seems to be generated predominantly by endothelial ACE, in that TGF activity was also found to be reduced in mice in which all vascular ACE was deleted but in which the ectopic expression of ACE maintained normal plasma ACE and normal blood pressures.78

Because the P2X1 purinoceptor is expressed in interlobular arteries and afferent arterioles, extracellular ATP has been proposed as an important mediator of juxtaglomerular signaling events that regulate glomerular hemodynamics. In support of such a role, autoregulatory adjustments to afferent arteriolar diameter in blood-perfused juxtamedullary nephrons were found to be blunted in kidneys from P2x1 receptor knockout mice.<sup>79</sup> Because autoregulatory responses in these mice were not further impaired by interruption of MD signaling by papillectomy or administration of furosemide, it was concluded that TGF signals in these juxtamedullary arterioles are coupled to autoregulatory changes in vascular tone through ATP-mediated activation of P2x1 receptors.<sup>79</sup> In a study that to some degree reconciles the roles of adenosine and ATP in TGF signaling, mice lacking ecto-5'-nucleotidase, a membrane-bound enzyme responsible for the generation of adenosine from the nucleotide precursor 5'-adenosine monophosphate (5'-AMP), were found to exhibit blunted, though not abolished, TGF responsiveness.<sup>80</sup> These observations suggest that the TGF response involves the generation of adenosine at the vascular pole by ecto-5'-nucleotidase-dependent dephosphorylation of 5'-AMP, which in turn may be derived from ATP released from the MD.

TGF responses as well as the TGF-dependent part of renal autoregulation are thought to be initiated by NaCl transport in MD cells. As in TAL cells, salt absorption in MD cells depends on Na<sup>+</sup>, Cl<sup>-</sup>, and K<sup>+</sup> uptake via isoform 2 of the NKCC2 cotransporter, with Cl<sup>-</sup> as the rate-limiting electrolyte. Energy for this process is provided by the inward Na<sup>+</sup> gradient that is established by the  $\alpha$ 1 isoform of the Na<sup>+</sup>/K<sup>+</sup>-ATPase ( $\alpha$ 1NKA) in the basolateral membrane. K<sup>+</sup> is recycled across the luminal membrane via the ROMK transporter, and Cl<sup>-</sup> exits the cell via Cl<sup>-</sup>-conductance channels, most likely CLC-K2. TGF responses have been determined in mouse models with transporter mutations that may be expected to affect TGF responsiveness. Because of the early postnatal death of *NKCC2<sup>-/-</sup>* mice, the effect of this mutation on TGF could not be tested.<sup>24</sup> In mice with a selective deficiency in the B isoform of NKCC2 that is predominantly expressed in cortical TAL and MD cells and was recently generated in our laboratory, maximum TGF responses were near normal, suggesting that other Nkcc2 isoforms are involved or may compensate.<sup>28a</sup> A role of NaCl transport in MD cells for TGF initiation is supported by the observation that ROMK deficiency results in marked blunting, though not elimination, of TGF responses.<sup>29</sup> This observation has been confirmed in mice in which selective breeding of surviving animals had generated ROMK-deficient mice with less compromised kidney structure.<sup>31</sup> As one would expect based on its ubiquitous expression, homozygous α1Na<sup>+</sup>/K<sup>+</sup>-ATPasedeficient mice are not viable,<sup>81</sup> and heterozygous mice appear overtly normal with regard to renal function (J. Lorenz, unpublished observation, 2005). Detailed studies of the transport properties of MD cells have led to the identification of several other transporters that may possibly be involved in MD signaling functions.<sup>82</sup> As a prime example, it has been proposed that Na<sup>+</sup>/H<sup>+</sup> exchange at the apical membrane of MD cells may modulate the signaling pathways for renin secretion and TGF, perhaps by altering intracellular pH or cell volume.83,84 Both NHE2 and NHE3 knockout mice exhibit robust TGF responses,<sup>8,84a</sup> an observation consistent with the notion that changes in cell pH may be coupled to TGF via activation of neuronal nitric oxide synthase (nNOS).83 NHE3deficient animals have also been found to efficiently autoregulate blood flow and GFR,14 a finding that is interesting in the context of the hypothesis that pressure-induced changes in proximal tubule NHE3 activity may ultimately provide an error signal to the distal nephron for activation of TGF. Because autoregulatory behavior is normal in NHE3<sup>-/-</sup> mice, however, it seems that this mechanism is not an essential component of the autoregulatory response. It has also been suggested that signaling pathways in the juxtaglomerular apparatus (JGA) may be modified by the colonic or  $\alpha 2$  isoform of the  $H^+/K^+$ -ATPase (HK $\alpha$ 2) that is expressed in TAL and MD cells.<sup>85</sup> However,  $HK\alpha 2^{-/-}$  mice exhibit normal blood pressure and GFR<sup>86</sup> and strong TGF responses.<sup>84a</sup>

In addition to angiotensin II, nitric oxide (NO) generated by nNOS in the MD is an important modifier of TGF responsiveness. Despite the observation that stop-flow pressure responses to changes in loop of Henle perfusion rate were not altered in *nNOS<sup>-/-</sup>* as compared with wild-type mice, singlenephron filtration rate in these mice was lower than in wildtype mice and could be returned to levels indistinguishable from wild-type animals by eliminating NaCl delivery to the MD.87 Similar results were reported using the isolated perfused JGA preparation.<sup>88</sup> Micropuncture studies in nNOS-/mice do not support the notion that enhanced TGF responses result from enhanced bulk NaCl transport, because early distal Cl<sup>-</sup> concentration and net Cl<sup>-</sup> absorption along the loop of Henle were not altered in nNOS knockout mice.87 MDderived NO may counteract the TGF-enhancing effect of angiotensin II, because in the blood-perfused juxtamedullary nephron preparation the constriction of afferent arterioles in response to nNOS inhibition was found to be greater in AT1A receptor-deficient than in wild-type mice.89

### **Macula Densa Control of Renin Secretion**

In contrast to the central role of adenosine in mediating TGF responses, studies in isolated kidneys of  $A1AR^{-/-}$  mice have

shown a normal renin secretory response to the administration of bumetanide, suggesting that adenosine does not mediate the stimulation of renin secretion caused by a reduction of MD NaCl transport.<sup>90</sup> In contrast, recent studies in our laboratory have shown that the inhibitory response of renin to an increase in luminal NaCl may well be mediated by adenosine, because an injection of a saline bolus caused a decline in PRC in wild-type but not in  $A1AR^{-/-}$  mice.<sup>90a</sup>

Substantial effort has been expended to study the role of nNOS-generated NO in MD-dependent renin secretion. Renin secretion has been measured in isolated kidneys of nNOSdeficient mice, and it was found to be markedly reduced under basal conditions.<sup>91</sup> However, the stimulatory effect of loop diuretics on renin secretion that is thought to be mediated through the MD pathway was essentially normal in both nNOS and endothelial NOS (eNOS)-deficient mice, whereas it was drastically reduced by the administration of L-N-nitroarginine methylester (L-NAME).<sup>91</sup> Thus, the presence of NO in the JGA is necessary for the regulation of renin release by the MD, but its exact source does not seem to be critical. The effect of NO on renin release is the net result of an inhibitory action mediated by activation of cyclic guanosine monophosphate (cGMP)-dependent protein kinases (cGKI, cGKII) and a stimulatory effect mediated by cGMP-mediated inhibition of phosphodiesterase III (PDEIII) and the subsequent increase of cAMP.92 Consistent with this concept is the finding that Prkg2-deficient mice (human synonym cGKII) have increased renal renin mRNA levels; furthermore, isolated granular cells from *Prkg2<sup>-/-</sup>* mice did not show the inhibitory effect of cGMP on renin release seen in wild-type and Prkg2-derived cells.93

#### INTEGRATIVE REGULATION OF NaCI AND WATER HOMEOSTASIS

#### The Renin-Angiotensin System

As in other species, angiotensin II in the mouse is formed by successive enzymatic cleavage of angiotensinogen by renin and ACE; however, the murine renin-angiotensin system (RAS) exhibits some unusual features. One of these distinctions is a rather dramatic difference between plasma renin activity (PRA) and PRC in the mouse. In most species, the rate of angiotensin I generation measured in the presence of excess substrate (PRC) is only two to three times greater than without exogenous substrate (PRA); in contrast, mouse PRC is 50 to 100 times greater than PRA; typical PRA values are in the order of 10 ng angiotensin I/mL/hr, whereas the PRC range may be 500 to 1,500 ng angiotensin I/mL/hr. This marked effect of substrate addition on angiotensin generation suggests that the mouse RAS operates with very high levels of renin and very low levels of angiotensinogen (Agt), whereas the opposite is true, for example, in humans. In fact, plasma Agt levels measured directly by radioimmunological methods using a polyclonal Agt antibody are rather low in the mouse, around 30 nM or about 2 µg/mL;<sup>94,95</sup> in contrast, Agt concentrations in humans or rats are in the low micromolar range.<sup>96,97</sup> When deduced indirectly in mice from the amount of angiotensin I generated, either with or without excess renin, Agt concentrations are generally higher and quite variable, with reported values ranging from about 150 nM to 100 µM.98,99 Thus, for reasons that are not immediately apparent, angiotensin I

generation rate in mice does not seem to reliably predict the Agt pool. Measured angiotensin I generation rate in mouse plasma (i.e., PRA) has previously been shown to be much lower than renin activity calculated from enzyme reaction constants, and from renin and Agt concentrations,<sup>100</sup> perhaps as a result of overestimation of Agt. Because plasma Agt seems to be rate limiting for the formation of angiotensin in mice, one might predict that angiotensin formation would be primarily regulated by changes in Agt synthesis and release. This does not seem to be the case, however; plasma Agt has been found to be remarkably unaffected by physiological interventions that alter PRC, for example, variations in salt intake.94 Furthermore, we have observed that stimulation of the RAS by furosemide or isoproterenol causes strictly parallel increases of both PRA and PRC (Fig. 3-1). Because a major increase of plasma Agt would cause PRA to increase without necessarily changing PRC, this parallelism between PRA and PRC indicates that angiotensin formation rate in the mouse seems to be regulated by changes in renin secretion, as it is in other mammals. In practical terms this observation also implies that measurements of either PRA (provided reliable data can be obtained given the low levels) or PRC can be used to assess whether an intervention alters renin secretion. PRC measurements have the disadvantage that rather large amounts of substrate have to be available to remain in substrate saturation during the incubation period.

Another distinguishing feature of the RAS in mice is that some strains possess only one renin gene (C57BL/6, C3H, BALB/c), whereas other strains carry a renin gene duplication (129SvJ, DBA/2, Swiss Webster, CD1). This renin gene polymorphism can affect transgenic strains, because genetic modification by homologous recombination is usually performed in embryonic stem cells derived from 129J strains, whereas propagation of the mutation is often done in a more vigorous strain such as C57BL/6J. Because C57 and 129J strains have one and two renin genes, respectively, the number of renin genes in individual offspring of such crosses is unpredictable. Most studies assessing PRC in strains with one or two renin



**Figure 3-1** Relationship between plasma renin activity (PRA) and plasma renin concentration (PRC) in mice. The data in this graph were collected in our laboratory and include results from several strains of wild-type mice as well as from *A1AR*<sup>-/-</sup> and *bNOS*<sup>-/-</sup> mice. Measurements were made under control conditions and following stimulation of renin secretion by furosemide and isoproterenol. Ang I, angiotensin I.

genes have failed to demonstrate a direct correlation between the number of renin genes and PRC or renal renin content.94,101-103 The implication that the contribution of Ren2 to total plasma renin under basal conditions is relatively small is supported by the finding that PRC is markedly reduced in Ren1d-deficient mice, particularly in female animals,<sup>104,105</sup> and by the early report that removal of the submaxillary glands did not affect plasma renin or plasma Agt, whereas removal of the kidney markedly reduced plasma renin and increased plasma Agt concentrations.<sup>106</sup> In addition, a null mutation of Ren2 (which depletes submaxillary gland renin) was associated with an increase rather than a fall in PRC, suggesting that the interaction between Ren2 and Ren1 is complex.<sup>107</sup> Arterial blood pressure (ICP, TCP) was only marginally affected in Ren1d-deficient mice, probably reflecting that, in view of the general renin excess, even low levels of renin can generate sufficient amounts of angiotensin II for blood pressure maintenance.104,105,108

Although the *Ren1* locus seems to be the primary determinant of basal plasma renin, salivary gland renin and the *Ren2* locus must be considered when designing studies of the RAS in mice, because large amounts of renin can be released from salivary glands and other extrarenal sources during stress.<sup>109,110</sup> This effect is most pronounced in male mice with two renin genes,<sup>111</sup> because *Ren2* gene expression is responsive to androgens.<sup>112</sup>

#### **Genetic RAS Modifications**

The use of mice with null mutations of single components of the RAS has provided unique evidence in support of the fundamental importance of this system for the maintenance of body salt balance and arterial blood pressure. Salt depletion (noncompensated in the newborn and compensated in the adult), arterial hypotension, and a concentrating defect form the primary triad characteristic of a genetic loss of RAS function. Whereas the first two symptoms of RAS deficiency are not unexpected, the medullary consequences are surprising and still not completely understood.

#### Renin

Because of the renin gene duplication in some mouse strains, renin null mutations are most conveniently generated in mice with one renin gene. Homozygous *Ren1c<sup>-/-</sup>* mutants usually die shortly after birth.<sup>99</sup> Because they can be rescued by the subcutaneous administration of saline, they apparently succumb to unbalanced NaCl losses, an observation that firmly establishes the necessity of an intact RAS for postnatal salt balance. Surviving reninless mice are severely hypotensive (TCP) and remained polyuric and polydipsic. Renin null mutant mice also show a marked dilatation of the pelvic space and an atrophy of the papilla and medulla.

#### Angiotensinogen

The consequences of Agt deficiency are similar to those observed in renin null mice. Mice without Agt are born in the expected Mendelian ratio, but postnatal survival is compromised.<sup>98,113</sup> Surviving Agt-deficient mice are polyuric and resistant to vasopressin, and show the typical hydronephrotic syndrome with pelvic space dilatation, papillary effacement,

and cortical thinning.<sup>114,115</sup> In addition, Agt-deficient mice are severely hypotensive (TCP).<sup>98,113,115</sup> From crosses of mice with either disruption or duplication of the *Agt* gene, animals with *Agt* copy numbers ranging from zero to 4 have been generated. Regression analysis showed that blood pressure changed in these animals with a slope of about 8 mmHg per *Agt* copy,<sup>113</sup> although in other studies a reduction in copy number from 1 to zero seems to have a stronger effect, around 20 mmHg.<sup>98,115</sup> With administration of a high-salt diet, arterial blood pressure essentially normalizes, indicating that hypotension is related to salt depletion.<sup>116</sup>

There is less certainty about the role of the RAS in kidney development and maturation. Most evidence suggests that embryonic kidney development is not affected by RAS deficiency, because in general there are no clear morphological abnormalities at birth.<sup>98,115</sup> However, postnatally a slowing of glomerular maturation rate and a medial hyperplasia of interlobular arteries and arterioles have been noted.<sup>113,115</sup> These structural changes resemble those of hypertensive nephrosclerosis, but it is noteworthy that they occur in the absence of angiotensin II and hypertension, both of which are thought to be mediators of vascular remodeling.

#### Angiotensin-Converting Enzyme

The human ACE gene encodes both a somatic enzyme found predominantly in endothelial cells and plasma, and a testisspecific isozyme found exclusively in sperm. Mice with null mutations of the Ace gene affecting both isozymes exhibit the expected phenotype of severe arterial hypotension (TCP), postnatal survival problems, and a concentrating defect associated with hydronephrosis and medullary tissue loss.<sup>117,118</sup> Kidney and plasma Ace activities were undetectable in the null mutants,<sup>117,118</sup> but residual levels of angiotensin II were still found.<sup>119,120</sup> A gene titration study has shown that arterial blood pressure (TCP) does not differ significantly between mice with one, two, or three Ace gene copies,<sup>121</sup> suggesting that total Ace activity has to be reduced by more than 50% to affect blood pressure. This is probably because Ace activity has to be reduced by more than 50% to reduce plasma angiotensin II.<sup>119</sup> The reduced degradation of bradykinin that one would expect in Ace-deficient mice does not seem to be involved in the hypotension and decreased urinary concentrating ability of these animals, because this phenotype was not altered in bradykinin b2 receptor/Ace double-knockout mice.122

Several mouse strains with genetic Ace modifications have been generated to discriminate between effects of membranebound, largely endothelial, and serum Ace. One conclusion from these studies is that arterial blood pressure, perhaps not surprisingly, correlates best with plasma angiotensin II (Fig. 3-2), such that a reduction of plasma angiotensin II to less than 30% of control is regularly associated with significant blood pressure reductions.<sup>119,120</sup> Although blood pressure also correlates relatively well with serum Ace activity, levels of kidney Ace seem to be rather unimportant for blood pressure maintenance. For example, kidney Ace including endothelial Ace is virtually absent in two models with ectopic expression of Ace in the liver, but blood pressure (TCP) in these mice was normal.<sup>123,124</sup> A normal blood pressure (TCP) was found in transgenic mice with exclusive expression of Ace in the endothelium, but these mice also had a supranormal plasma Ace activity whereas their kidney Ace was only 20% of



**Figure 3-2** Relationship between the percentage change of mean arterial blood pressure (MAP) and the percentage changes of plasma angiotensin II (left) and serum angiotensin-converting enzyme (ACE) activity (right). Changes were normalized for wild-type mice from data obtained in several strains of ACE-transgenic mice<sup>120</sup> and from several strains of recombinant ACE variants.<sup>123,124</sup> Note that the change of blood pressure correlates significantly with plasma angiotensin II but only marginally with serum ACE activity.

normal.<sup>120</sup> Overall, plasma Ace does not seem to correlate well with kidney Ace in these models, and it seems to be plasma Ace, not renal endothelial or proximal tubule Ace, that determines blood pressure.

Male Ace-deficient mice are relatively infertile without showing abnormal counts, morphology, or motility of sperm.<sup>117,118</sup> Studies in mice with selective deletion of somatic Ace or transgenic restitution of germinal Ace have shown that this effect is solely a consequence of the lack of testis Ace and unrelated to somatic Ace.<sup>125,126</sup> Infertility associated with lack of germinal Ace is not a consequence of the lack of angiotensin II, because male Agt-deficient mice are normally fertile although they also lack angiotensin II production. By implication one may conclude that germinal ACE does not seem to affect fertility through hydrolysis of angiotensin I but that it may act on some other substrate or even nonenzymatically.

An ACE homologue, called ACE2, has recently been described that acts as a carboxypeptidase, cleaving a single amino acid from either angiotensin I or angiotensin II generating angiotensins 1-9, or 1-7, respectively.<sup>127,128</sup> Ace2-knockout mice have normal blood pressures (TCP) in the presence of increased levels of angiotensin II. However, cardiac contractility is impaired, as determined echocardio-graphically as decrements of fractional shortening and shortening velocity, and invasively as decreased dP/dt.<sup>129</sup> The contractility defect was not seen in *Ace/Ace2* double-null mutant mice, suggesting that Ace2-dependent cleavage of angiotensin II may normally prevent angiotensin II from reaching pathogenic levels.<sup>129</sup>

#### Angiotensin II Receptors

Rodents possess three known AT receptors: two isoforms of the AT1 receptor, AT1A and AT1B, which are products of different genes, and the AT2 receptor. The generation of the full RAS knockout triad of salt depletion and poor perinatal survival, arterial hypotension (TCP, ICP), and a concentrating defect with accompanying changes of papillary structure requires deletion of both AT1A and AT1B receptor genes.<sup>130,131</sup> The acute administration of angiotensin II did not affect blood pressure in double AT1 knockouts, arguing against the existence of unrecognized AT receptors.<sup>130,131</sup> An explanation for the characteristic phenotype of the widened renal calyces and papillary atrophy has been provided by studies in AT1 double-knockout mice that show absence of pelvic development, ureteral smooth muscle cell proliferation, and peristaltic ureteral contractions, causing a phenotype that resembles obstructive nephropathy.<sup>132,133</sup> It should be noted that papillary atrophy and calyx dilatation is associated with RAS deficiency.

Selective AT1A receptor deficiency is associated with arterial hypotension and markedly reduced pressure (TCP) responses to angiotensin II, but the structure of the kidney including the renal papilla were normal.<sup>134-136</sup> Ambient urine osmolarities of AT1A<sup>-/-</sup> mice were not different from wild type, although the concentrating ability in response to dehydration was reduced.<sup>137,138</sup> Selective AT1B receptor deficiency, on the other hand, did not cause detectable changes in phenotype as far as tested.<sup>139</sup> It seems that there is a mutual functional compensation among AT1 receptors, but that any compensatory power of the AT1A receptor is considerably stronger. It is likely that this is simply the consequence of the much higher renal expression of the AT1A receptor isoform.<sup>140</sup> Nevertheless, during suppression of endogenous angiotensin II formation, the peptide caused a dose-dependent and losartan-inhibitable increase of blood pressure (TCP) in AT1A-/- mice, demonstrating the pressor potential of AT1B receptors.141

Targeted deletion of the AT2 receptor has uncovered a previously unrecognized dilator action of angiotensin II. AT2knockout mice are slightly hypertensive, by less than 10 mmHg, and respond to angiotensin II with an enhanced pressor response (ICP, TEL).<sup>142–144</sup> Furthermore, chronic infusion of angiotensin II for 7 days at a rate that did not elevate blood pressure in wild-type mice caused a progressive and marked increase of blood pressure in  $AT2^{-i-}$  mice that was accompanied by sustained Na<sup>+</sup> retention.<sup>145</sup> The resetting of the pressure natriuretic response implicit in these findings has also been observed in  $AT2^{-/-}$  mice during acute changes in blood pressure (AP), suggesting that AT2 receptors facilitate the excretion of NaCl.<sup>146</sup> Overall, it seems that the AT2 receptor mediates effects that counteract both the pressor and antinatriuretic actions of angiotensin II.

#### **Prostanoid System**

Subsequent to its generation through the action of cytosolic phospholipase  $A_2$  (cPLA2), free arachidonic acid is catalytically processed by cyclooxygenases (COXs) to form endoperoxides (e.g., prostaglandin H<sub>2</sub>, PGH<sub>2</sub>). Subsequent processing by several enzymes converts PGH<sub>2</sub> into the biologically active spectrum of prostaglandins, which exert their action usually by activating membrane receptors of the G protein–coupled, seven-membrane-spanning family of receptors.

#### Cytosolic Phospholipase A<sub>2</sub>

Targeted deletion of cPLA2 has established that this enzyme is responsible for a sizable portion, but not all, of arachidonic acid release and PGE<sub>2</sub> production by macrophages under both basal and stimulated conditions.<sup>147,148</sup> Similarly, basal as well as furosemide-stimulated urinary PGE<sub>2</sub> excretion was reduced by more than 50% in cPLA2-knockout mice but not abolished.<sup>149</sup> The cardiovascular and renal phenotype associated with cPLA2 deficiency is not dramatic, with most renal functional parameters including arterial blood pressure (AP) being in the normal range.<sup>147</sup> Maximal urinary concentrating ability, however, was significantly reduced, and this deficit became more pronounced with aging.<sup>149</sup> Female cPLA2-knockout mice produce smaller litters with mostly dead pups, indicating a female fertility abnormality that seems to be related to events preceding oocyte implantation.<sup>147</sup>

#### Cyclooxygenases

Oxygenation and peroxidation of arachidonic acid to form PGH<sub>2</sub> occurs through the action of two cyclooxygenases, COX-1 and COX-2. Gene targeting has allowed a more complex characterization of the two enzymes, somewhat modifying the dogma that constitutive COX-1 serves housekeeping functions and inducible COX-2 serves selective local functions. The phenotypes associated with deletions of either COX-1 or COX-2 are very different. COX-1 deficiency is associated with reduced basal PGE<sub>2</sub> release from macrophages and slowed platelet aggregation; in addition, females display the fertility abnormality characteristic for functional deficits in the prostaglandin system.<sup>150,151</sup> When COX-1-deficient mice were placed on a low-sodium diet, their blood pressure (TCP) fell significantly and their short-term sodium excretion was greater than in wild-type mice.<sup>152</sup> An abnormal regulation of medullary blood flow in response to the diet-induced stimulation of angiotensin II formation may be the underlying cause for the inappropriate sodium excretion.<sup>152,153</sup> Thus, COX-1 seems to mediate sodium-retaining, prohypertensive, and renin-inhibitory actions that are opposite to those elicited by COX-2.

The dominant renal phenotype of COX-2-deficient mice is a maturational abnormality that develops postnatally and

manifests itself in an abundance of small immature subcapsular glomeruli, and general cortical atrophy. The structural abnormalities progress with age to cystic deformation, juxtamedullary glomerular hypertrophy, and diffuse interstitial fibrosis.<sup>154,155</sup> Progression of the structural changes is accompanied by progressive loss of renal function<sup>154</sup> that probably contributes to the relatively short life span of COX-2–deficient mice. The degree of renal failure seems to include a genetic background effect of unknown nature, because COX-2 deficiency on a congenic 129J background causes accelerated renal damage and proteinuria as compared with C57BL6 or BalbC backgrounds.<sup>156</sup>

The specific role of COX-2 in the regulation of renin secretion has been corroborated in COX-deficient mice. Mice with deletions of COX-2 have a low basal expression of renin mRNA and protein and low rates of renin secretion.157 Furthermore, the stimulatory effects of a low-sodium diet and of ACE inhibitors on renin expression and release were markedly attenuated.<sup>157,158</sup> Because a COX-2 inhibitor abolished the stimulation of the renin system by ACE inhibition in COX-1-deficient mice just as it did in wild-type mice, the effect on renin seems to be independent of COX-1 metabolites.<sup>159</sup> COX-2-deficient mice show an enhanced blood pressure response to angiotensin II, consistent with low ambient PRA.<sup>153</sup> Overall, COX-2 seems to constitute a blood pressuremaintaining and salt-losing principle whose interruption may be associated with the development of hypertension and salt retention.

Female COX-2-deficient mice are essentially infertile, a phenotype that seems to be multifactorial in nature.<sup>160</sup> The high mortality of COX-2-deficient mice in early postnatal life  $(\sim 35\%)$  is the result of a patent ductus arteriosus.<sup>161</sup> The penetrance of this phenotype in COX-2<sup>-/-</sup> mice is modulated by COX-1-derived prostaglandins, with mortality reaching 80% in COX-1+/- and 100% in COX-1/COX-2 doubleknockout mice.<sup>161</sup> The administration of indomethacin a few hours before birth by caesarean delivery causes premature closure of the ductus arteriosus and neonatal lethality in mice in which COX-2 is present, but it has no effect in COX-2-deficient mice regardless of the presence or absence of COX-1.<sup>161</sup> Thus, fetal closure of the ductus arteriosus during prenatal COX inhibition is mediated by an effect of the inhibitor on COX-2, suggesting that COX-1-specific inhibitors may prolong gestation without the deleterious side effect of premature duct closure.<sup>162</sup>

#### **Prostaglandin Receptors**

**Prostacyclin Receptors.** Renovascular hypertension is a renin-dependent increase in blood pressure in which the exact nature of the renin stimulatory signal has remained unclear. In prostacyclin (IP) receptor–deficient mice, the increase in blood pressure after renal artery constriction was found to be markedly attenuated, and the rise of PRC and renal renin mRNA blunted,<sup>163</sup> suggesting that PGI<sub>2</sub> is the mediator of renin release in this condition. Because COX-2 inhibition reduced blood pressure (TCP, ICP) and PRA in wild-type but not in  $IP^{-/-}$  mice, PGI<sub>2</sub> seems to be generated by COX-2.<sup>163</sup> The renal structure of  $IP^{-/-}$  mice was normal; in contrast, mice with targeted deletion of PGI<sub>2</sub> synthase and absence of detectable PGF<sub>1a</sub> in the kidney, developed progressive renal

structural abnormalities, hypertension, and reduced renal function.<sup>164</sup> Thus, the effects of  $PGI_2$  on kidney structure may be mediated by other receptors.

**Prostaglandin E<sub>2</sub> Receptors.** PGE<sub>2</sub> exerts its effects through four types of G protein-coupled receptors. Null mutations of genes encoding individual PGE2 receptors (EPs) have shed light onto their differential effects on renal function. Exogenous PGE<sub>2</sub> causes enhanced vasodilatation in EP1- and EP3-deficient mice, whereas it consistently decreased RBF over a wide concentration range in mice lacking EP2 and EP4.165,166 Similarly, juxtamedullary afferent arterioles of EP2<sup>-/-</sup> mice constricted in response to PGE<sub>2</sub>, whereas the same dose of PGE<sub>2</sub> caused dilatation of wild-type vessels.<sup>167</sup> Thus, the effects of PGE<sub>2</sub> represent the net result of the opposing actions exerted by the different EP receptors. EP3 receptors may be the predominant determinants of the effects of endogenous PGE<sub>2</sub> under basal conditions, in that EP3-deficient mice were found to have a 43% increase of RBF and a 50% decrease of renal vascular resistance; these parameters were indistinguishable from wild type in EP2-deficient mice.<sup>166</sup> Despite this marked effect on baseline renal hemodynamics, GFR and urinary concentrating and diluting ability of EP3-/mice was not significantly different from wild-type controls.<sup>168</sup>

The effects of individual EP receptor deficiencies on systemic hemodynamics are relatively minor. Although significant blood pressure (ICP, TCP) changes have been reported in EP2<sup>-/-</sup> mice, the unsystematic nature of these changes suggests either marked genetic background effects or problems associated with the use of the tail cuff method to determine blood pressures.<sup>166,169–171</sup> Nevertheless, the administration of a high-salt diet to EP2-/- mice caused a marked increase of blood pressure (TCP) accompanied by increased urinary PGE, excretion.<sup>169,171</sup> Salt sensitivity of EP2<sup>-/-</sup> mice may result from the unopposed salt-retaining actions of EP1 and EP3 receptors, resulting, for example, from their potential vasoconstrictor actions in the kidney. PRC was significantly reduced in EP4<sup>-/-</sup> mice as compared with wild type, and normal in all other EPdeficient strains.<sup>165</sup> In conjunction with the finding that the stimulation of renin secretion by PGE<sub>2</sub> was attenuated in kidneys from both EP2- and EP4-deficient mice, but unaltered in EP1- and EP3-knockout mouse kidneys, one would conclude that PGE<sub>2</sub> regulates renin release exclusively through Gsadependent formation of cAMP.<sup>165</sup>

The reproductive abnormalities that cause female infertility in COX-2–deficient mice are reproduced to some extent by EP2 deficiency, suggesting that  $PGE_2$  effects mediated by EP2 receptors play a critical role in egg fertilization.<sup>169,171</sup> The patency of the ductus arteriosus and associated early postnatal mortality observed in COX-2–deficient mice is mediated by EP4 receptors, because  $EP4^{-/-}$  mice were found to display the same phenotype.<sup>172</sup>

**Thromboxane Receptors.** The formation of thromboxane in the kidney is relatively low under basal conditions, but the prostanoid can cause marked vasoconstriction when its production is stimulated, as it is, for example, during ureteral pressure elevation. Consistent with negligible tonic effects is the finding that arterial blood pressure of mice lacking the thromboxane  $A_2$  receptor  $(TP^{-/-})$  (TCP, AP) is normal and that there are no changes of renal hemodynamics in these mice that would suggest removal of a vasoconstrictor influence.<sup>173–175</sup> Interestingly, the hypertensive effect of chronic infusion of angiotensin II is markedly blunted in  $TP^{-/-}$  mice, suggesting that thromboxane contributes to angiotensin II–dependent hypertension.<sup>174,175</sup> The mechanism of action is complex and may involve reduced NO synthesis subsequent to TP-dependent generation of reactive oxygen species.<sup>175</sup> Attenuation of angiotensin II–induced hypertension (TCP) was also seen in COX- $I^{-/-}$  mice, an observation that may reflect an effect of decreased thromboxane formation.<sup>174</sup> The renal vasoconstrictor response to intraperitoneal administration of lipopolysaccharide was also found to be attenuated in  $TP^{-/-}$  mice.<sup>176</sup>

**Prostaglandin F Receptors.** Renal and cardiovascular functions of  $FP^{-/-}$  mice (lacking PGF receptors) have not been studied extensively. However, these mice have provided additional insights into the theme of the intimate involvement of prostanoids in female fertility.  $FP^{-/-}$  mice are unable to initiate parturition, and this failure of delivering pups is due to a lack of induction of oxytocin receptors in the uterus.<sup>177</sup> FP receptors are apparently critical in the inhibition of progesterone production that precede uterine oxcytocin receptor induction.<sup>177–179</sup>

## **Nitric Oxide Synthases**

The family of NO synthases consists of at least three members, the constitutive neuronal and endothelial isoforms (nNOS and eNOS) and the inducible isoform (iNOS). All NO synthases are capable of generating NO from L-arginine in a complex reaction that requires a number of co-substrates and co-factors.

**Endothelial NOS.** Mice with a deletion of the eNOS gene have a marked and consistent elevation of arterial blood pressure (ICP, AP, TCP) that is not further increased by the administration of nonspecific NOS inhibitors.<sup>180-184</sup> Thus, eNOS is the dominant isoform influencing arterial pressure. Moreover, blood pressure (ICP) is remarkably variable in eNOS<sup>-/-</sup> mice, suggesting that release of NO serves as a blood pressure-buffering mechanism.185 Because eNOS-generated NO is an important mediator of the relaxant effect of numerous vasodepressor agents, it would seem likely that the cause for the increased blood pressure is a general increase in peripheral vascular resistance. Although an increase in the resistance of large arteries has been observed, 180,186 the vasodilator responses of smaller resistance vessels from eNOS-/mice to bradykinin or acetylcholine or to flow are not consistently abnormal, suggesting that other endothelial factors such as prostanoids or endothelial-derived hyperpolarizing factor can compensate for chronic NO deficiency.<sup>187,188</sup> Nevertheless, the vascular resistance of the kidney is significantly increased in *eNOS<sup>-/-</sup>* mice with or without a reduction in basal renal blood flow,<sup>184,188a,188b</sup> presumably a result of loss of eNOS-mediated dilatation and diminished blunting of vasoconstrictor effects of agents such as angiotensin II.189 Despite the elevated blood pressure, PRC is not consistently reduced.<sup>91,181,184</sup> In contrast, direct measurements of the renin release rate by isolated perfused kidneys showed a marked reduction in kidneys from eNOS<sup>-/-</sup> mice,<sup>91</sup> consistent with the reduction of renin mRNA.181,190 Studies in eNOS-/- mice have

suggested several specific protective actions of eNOS: a reduction of the renal fibrosis caused by ureteral obstruction,<sup>191</sup> mediation of the attenuation of renal damage caused by ischemic preconditioning,<sup>192</sup> and protection from endotoxin-induced acute renal failure.<sup>193</sup> Furthermore, on an *eNOS<sup>-/-</sup>* background, the atherosclerotic lesions of apolipoprotein E–deficient mice were enhanced and accompanied by kidney damage, in part as a consequence of the increased blood pressure caused by eNOS deficiency.<sup>194</sup>

In addition to its vascular effects, NO generated by NO synthases in renal epithelial cells can affect transtubular NaCl reabsorption. Bath administration of L-arginine was found to inhibit Cl<sup>-</sup> absorption in isolated perfused TALs of wild-type mice as well as of nNOS- and iNOS-deficient mice, but no effect was seen in tubules of  $eNOS^{-/-}$  animals.<sup>195</sup> Thus, eNOS seems to be the source of the NO involved in the suppression of TAL NaCl and NaHCO<sub>3</sub> absorption after administration of L-arginine. This was confirmed in experiments in which the interstitial injection of an adenoviral vector containing an NKCC2 promoter–eNOS construct caused expression of eNOS in TALs from  $eNOS^{-/-}$  mice that was sufficient to elevate basal Cl<sup>-</sup> absorption and to restore susceptibility of Cl<sup>-</sup>flux to L-arginine–induced inhibition.<sup>196</sup>

**Neuronal NOS.** Mean arterial blood pressures (AP) in mice with a null mutation in the nNOS gene have been reported to be either normal or significantly reduced.<sup>87,197,198</sup> The variability of the blood pressure response may result from unpredictabilities in the impact of multiple vascular and cardiac effects of nNOS-generated NO. For example, basal parasympathetic tone was lower in nNOS-deficient mice, and vagally induced bradycardia was reduced in  $nNOS^{-/-}$  atria, effects that suggest facilitation of vagal inputs by NO and an antihypertensive outcome in regard to blood pressure.<sup>199,200</sup> On the other hand, pharmacological NOS inhibition caused a reduction of blood pressure (AAP) in  $eNOS^{-/-}$  mice, and the contractile cardiac response to isoproterenol was decreased in  $nNOS^{-/-}$  mice, suggesting a prohypertensive potential of nNOS.<sup>182,197</sup>

Renal blood flows under basal conditions and RBF autoregulation are not significantly different between  $nNOS^{-/-}$  and wild-type mice.<sup>87</sup> Single-nephron GFR was reduced by about 35% in  $nNOS^{-/-}$  mice, and the cause of this reduction seems to be the enhanced TGF response typically found in the absence of nNOS in the MD.<sup>87</sup> Free-flow micropuncture studies showed that the fractional absorption of fluid and Cl<sup>-</sup> was significantly elevated along proximal tubules of nNOSdeficient mice while absorption was normal along the loops of Henle.<sup>87</sup> In proximal tubules of  $nNOS^{-/-}$  mice perfused in situ, on the other hand, rates of fluid and HCO<sub>3</sub><sup>-</sup> reabsorption were reduced by 60% and 49%, respectively.<sup>198</sup> Thus, the net effect of NO on proximal absorption can be inhibitory or stimulatory, but the modifying factors are still undefined.

**Inducible NOS** The inducible isoform of NOS plays an important role as a generator of large amounts of NO in the primary inflammatory response. Nevertheless, iNOS is also expressed constitutively, and some studies have used iNOS-deficient mice to assess the role of iNOS-generated NO under basal conditions. Fluid and  $HCO_3^-$  reabsorption was significantly reduced in in situ–perfused proximal tubules of  $iNOS^{-/-}$  mice, similar to the results observed in nNOS-

deficient animals.<sup>201</sup> Blood pressure (AP) was not significantly reduced despite this abnormality in salt absorption.<sup>201,202</sup>

#### Natriuretic Peptide System

The family of natriuretic peptides describes a group of related compounds (atrial natriuretic peptide, ANP; brain natriuretic peptide, BNP; c-type natriuretic peptide, CNP; urodilatin) that are widely expressed and exert multiple effects throughout the organism. The effects of an acute administration of these peptides include a reduction of arterial blood pressure and an increase in renal NaCl excretion. The effects of natriuretic peptides are mediated by at least two membranebound receptors (NPRA, NPRB) that are linked to guanylate cyclase, and a so-called clearance receptor (NPRC) without guanylate cyclase activity.

#### Atrial Natriuretic Peptide

Mice with targeted deletion of ANP have mildly elevated blood pressures (TCP, ICP) and cardiac hypertrophy, phenotypes that were markedly augmented in mice fed a high-salt diet.<sup>203</sup> Circulating levels of ANP were around 150 pg/mL in wildtype and heterozygous ANP mutants, and undetectable in the knockout animals.<sup>203</sup> ANP-/- mice are able to achieve salt balance even when fed a high-salt diet, a compensation that is probably due at least in part to the elevated blood pressure.<sup>204</sup> However, the increase in salt excretion caused by an acute saline infusion was blunted in ANP-/- mice.204 Transgenic overexpression of ANP that caused a plasma ANP elevation of about 10-fold was not associated with relentless salt loss, although it significantly lowered blood pressure (AP).<sup>205,206</sup> Although ANP had been thought to act in part by increasing GFR, neither ANP-overexpressing nor ANP-null mutant mice showed GFR values different from wild type.<sup>204,206</sup> It has been noted that PRA was inappropriately elevated in ANP-/- mice on a high-sodium diet and that the increase in blood pressure could be prevented by losartan, suggesting that salt sensitivity in ANP deficiency may be due in part to the absence of a renin-inhibitory action of ANP.207,208

#### Brain Natriuretic Peptide

The effects of genetic alterations of BNP expression are similar but not identical to those described above for ANP. Although transgenic mice with hepatic overexpression of BNP are hypotensive (TCP), *BNP*-null mutant mice have been found to be normotensive.<sup>209,210</sup> In addition, the cardiac hypertrophy and remodeling that is a highly reproducible and striking finding in *ANP*<sup>-/-</sup> mice was not observed in *BNP*<sup>-/-</sup> animals.<sup>210</sup> An increased incidence of cardiac fibrosis and expression of profibrotic factors such as transforming growth factor  $\beta$  in *BNP*<sup>-/-</sup> mice, and relative protection against renal immune injury and a reduction of transforming growth factor  $\beta$  in BNP-overexpressing mice, indicate that BNP may initiate a general antifibrotic response.<sup>210,211</sup>

#### Natriuretic Peptide Receptor

Of the three known receptors for natriuretic peptides, it seems to be the NPRA receptor that mediates most of the cardiovascular actions of ANP. Like ANP-/- mice, NPRAdeficient mice are hypertensive (TCP), and a gene-dosing study has shown that the average blood pressure change per NPRA copy number is 7 mmHg.<sup>212,213</sup> Whereas initial studies failed to observe a significant effect of increases or decreases in salt intake on blood pressure, salt sensitivity (TCP) was noted recently in a study in which the genetic background noise was reduced by using F1 animals.<sup>212–214</sup> PRC in NPRA<sup>-/-</sup> mice was reduced to 28% of control, establishing the low-renin nature of the hypertension.<sup>214</sup> The natriuretic response to the administration of exogenous ANP or to an acute blood volume expansion with iso-oncotic saline or whole blood was virtually abolished in NPRA<sup>-/-</sup> mice and exaggerated in mice with an NPRA gene duplication, strongly indicating that the ANP-NPRA receptor system mediates the excretory responses to volume expansion.<sup>215,216</sup> GFR and effective renal plasma flows were higher than wild type in mice with four copies of the NPRA gene and lower in NPRA-null mice.<sup>216</sup> The antihypertrophic effect of ANP is mediated by NPRA receptors: NPRA-deficient mice show marked cardiac hypertrophy that was unaffected by correction of blood pressure, suggesting a direct effect of ANP on cardiomyocyte growth.<sup>217</sup> In male NPRA<sup>-/-</sup> mice, the structural remodeling of the heart was associated with sudden cardiac death before the age of 6 months.<sup>214</sup> The gender differences may be related to the reduced testosterone levels in NPRA-/- mice resulting from absence of NPRA-dependent testicular steroidogenesis.<sup>218</sup>

Mice with a null mutation of the gene encoding the ANP/ BNP clearance receptor have a slightly reduced blood pressure (TCP) consistent with a prolonged half-life of the dilator natriuretic peptides.<sup>219,220</sup> NPRC<sup>-/-</sup> mice have a reduced concentrating ability, and an increase in hematocrit and hemoglobin concentration indicates dehydration.<sup>219</sup> However, skeletal deformations are the most striking phenotype of NPRC-null mutant mice, suggesting that CNP plays an important role in bone formation.<sup>219,220</sup>

#### Guanylins

The guanylin family of peptides (guanylin, uroguanylin, and lymphoguanylin), expressed to a large extent in the gastrointestinal system as well as in the kidneys and other organs, seem to participate in whole-body electrolyte and fluid homeostasis through their interaction with another member of the receptorguanylate cyclase family, GC-C (not to be confused with the NPRC clearance receptor). Largely on the basis of their ability to elicit diuresis and natriuresis,<sup>221,222</sup> uroguanylin, and to a lesser extent guanylin, have been proposed as putative intestinal natriuretic peptides that can regulate renal sodium excretion in an anticipatory response to changes in dietary salt intake. Though apparently unrelated to the atriopeptin class of peptides themselves, the guanylin peptides share the same family of receptor guanylate cyclases as ANP, BNP, and CNP. Uroguanylin-deficient mice exhibit mild hypernatremia and modest elevations in blood pressure (TEL) under basal conditions, and have a blunted natriuretic response to an oral, but not intravenous, NaCl load.<sup>223</sup> Guanylin-knockout mice have normal blood pressure (TEL).<sup>223a</sup> Interestingly, the natriuretic response to exogenous uroguanylin and guanylin is preserved in GC-C-knockout mice, suggesting, as other studies have,<sup>224</sup> that the renal effects of guanylin peptides include a GC-C-independent pathway.225

## Kallikrein-Kinin System

Kinins are formed by enzymatic cleavage of high- and lowmolecular-weight kininogens by tissue and plasma kallikrein (TK, PK) as well as other kininogenases. The ability of various organs including the kidneys to generate bradykinin from exogenous or endogenous kininogen was mostly lost in *TK*null mutant mice, suggesting that TK is the main kiningenerating enzyme.<sup>226,227</sup> Although basal blood pressure was found to be the same in *TK*<sup>-/-</sup> and wild-type mice, TK deficiency was associated with a reduced cardiac performance.<sup>226</sup>

The effects of bradykinin (BK) are mediated by two types of BK receptors (B1 and B2), of which the constitutively expressed B2 receptor (B2R) is thought to be physiologically more relevant under most conditions. The effects of B2R deletion on blood pressure and cardiac function are controversial. In one report,  $B2R^{-/-}$  animals had elevated blood pressures (TCP, ICP) that worsened with age, and a progressive cardiomyopathy,<sup>228,229</sup> whereas in another basal blood pressures (TCP, AAP) were normal, but a chronically elevated salt intake produced mild hypertension and a reduction of RBF.<sup>230,231</sup> This variability was ascribed to differences in the genetic background,<sup>232</sup> but more recent studies found unaltered blood pressures (TEL, TCP) and renal function as well as absence of salt sensitivity in  $B2R^{-/-}$  mice on either 129Sv or C57BL6 backgrounds.<sup>233,234</sup>

On the basis of the observation that water restriction and vasopressin caused a much larger decrease in urine flow and increase in urine osmolarity in  $B2R^{-/-}$  mice, it was concluded that BK antagonizes the action of vasopressin through activation of B2 receptors.<sup>235</sup> The renal tubulointerstitial fibrosis caused by unilateral ureteral obstruction was enhanced in  $B2R^{-/-}$  mice and reduced in BK-overexpressing animals, suggesting that the B2 receptor exerts an antifibrotic effect.<sup>236</sup> Furthermore, the renal vascular remodeling observed in AT1-deficient mice was aggravated by B2 receptor blockade, an observation that suggests a protective effect of bradykinin against the structural alterations caused by RAS deficiency.<sup>237</sup>

## **Adrenergic System**

Catecholamines released from adrenergic nerve terminals or from the adrenal medulla exert profound effects in the kidney and virtually all other tissues in the body. Their effect are mediated by three subtypes of  $\alpha_1$ -adrenergic ( $\alpha_{1A}$ ,  $\alpha_{1B}$ ,  $\alpha_{1D}$ ), three subtypes of  $\alpha_2$ -adrenergic ( $\alpha_{2A}$ ,  $\alpha_{2B}$ ,  $\alpha_{2C}$ ), and three subtypes of  $\beta$ -adrenergic receptors ( $\beta_1$ ,  $\beta_2$ ,  $\beta_3$ ). Knockout models for all adrenergic receptors have been generated, but the renal function of these mice has not been investigated extensively.

### **Adrenergic Receptors**

A decrease of basal blood pressure (TCP, ICP) was found in both  $\alpha_{1A}$ - and  $\alpha_{1D}$ -knockout mice that in both models was not the result of a decrease in cardiac performance.<sup>238,239</sup> There was a reduction of about 50% in  $\alpha_{1A}$ -receptor expression in the kidney as judged by [<sup>3</sup>H]prazosin binding capacity. Plasma electrolyte, urea, and creatinine levels were normal, indicating a well-maintained renal function.<sup>238</sup> Basal blood pressure (AAP) was normal in  $\alpha_{1B}$  receptor–deficient mice, and there was no reduction in renal [<sup>3</sup>H]prazosin binding, suggesting that the salt-conserving effect of renal  $\alpha$ -adrenergic activation is mediated by  $\alpha_{1A}$  and  $\alpha_{1D}$ , rather than  $\alpha_{1B}$  receptors.<sup>240</sup> This notion was confirmed in studies in which salt loading of partially nephrectomized mice caused marked hypertension (TCP, ICP) in wild-type and  $\alpha_{1B}$ -deficient mice but not in  $\alpha_{1D}$ -knockout mice (TCP).<sup>239,241</sup>

Adrenergic receptors of the  $\alpha_2$  subclass mediate both hypotensive effects through presynaptic inhibition of norepinephrine release and hypertensive effects through direct activation of peripheral postsynaptic receptors. Absence of  $\alpha_{2A}$  receptors eliminated the hypotensive (ICP) effect of specific agonists, indicating that the central sympathoinhibitory action is mediated by this class of receptors.<sup>242,243</sup> Disinhibition of presynaptic receptors was associated with a marked increase in plasma norepinephrine levels and a reduction in tissue norepinephrine stores including in the kidney.<sup>243,244</sup> A shift toward enhanced sympathetic activation was indicated by increased basal heart rates or a slightly elevated blood pressure (ICP, TCP).<sup>243,244</sup> The peripheral constrictor action of  $\alpha_2$ activation seems to be mediated by  $\alpha_{2B}$  receptors, because the acute hypertensive response to an  $\alpha_2$  agonist was absent in  $\alpha_{2B}$ -knockout mice.<sup>245</sup> Furthermore, five of six nephrectomized mice heterozygous for an null mutation in the  $\alpha_{2B}$ receptor gene were resistant to the hypertensive (TCP, ICP) effect of oral salt administration seen in wild-type or  $\alpha_{2C}$ knockout mice.<sup>246</sup> This effect is apparently not related to kidney function, because it was also seen in anephric mice in which volume expansion should be identical between genotypes (ICP).<sup>247</sup>

Mice with null mutations of any of the three  $\beta$ -adrenergic receptors have normal blood pressures (ICP) and heart rates at rest.<sup>248-250</sup> In addition, exercise capacity was found to be normal in  $\beta_1$  receptor-deficient animals and even slightly increased in animals deficient in  $\beta_2$  receptors.<sup>250</sup> Removal of both  $\beta_1$  and  $\beta_2$  receptors was necessary to reduce the capacity to perform work.<sup>251</sup> On the basis of the responses to isoproterenol and epinephrine in individual β-adrenergic receptorknockout mice, one can conclude that the inotropic and chronotropic effect is almost entirely due to activation of  $\beta_1$ receptors, whereas vasodilatation is an effect of  $\beta_2$  receptors.<sup>251</sup> Because of the absence of the vasodilatory component to sympathetic activation, mean arterial blood pressure of  $\beta_2$ receptor-knockout mice responded to exercise with a greater blood pressure (ICP) increase than seen in wild-type animals.<sup>250</sup> Recent studies in our laboratory have shown that basal levels of PRC are markedly reduced in  $\beta_1/\beta_2$  receptor double-knockout mice but that the response of plasma renin to the administration of furosemide is maintained (S. Kim et al, personal communication, 2006). Thus, adrenergic input plays a major role in setting basal renin release, but it does not seem to be important for MD-dependent renin secretion.

#### **Catecholamine Synthesis**

A model of complete catecholamine deficiency is provided by mice with a null mutation in the dopamine  $\beta$ -hydroxylase (*Dbh*) gene, which is required for producing the enzyme that converts dopamine to norepinephrine. *Dbh<sup>-/-</sup>* pups conceived by heterozygous mothers have a 95% intrauterine mortality, indicating a critical developmental role of catecholamines.<sup>252</sup> Intrauterine death can be prevented by the administration in the drinking water of dihydroxyphenylserine, which can be converted to norepinephrine by aromatic-L-amino-acid decarboxylase or by administration of isoproterenol.<sup>252,253</sup> After birth  $Dbh^{-/-}$  mice can mature into adults without further intervention, although postnatal mortality is high and the growth rate reduced.  $Dbh^{-/-}$  mice are hypotensive (TEL) and display a reduced amplitude of the circadian pressure difference.<sup>254</sup>

### Dopamine

Dopamine exerts its effects through two classes of D receptors, the D1-like receptors (D1 and D5) and the D2-like receptors (D2, D3, D4). D1-like receptors are coupled to Gs and various phospholipases, whereas the effects of D2-like receptors are transduced by Gi. Both D1- and D5-deficient mice develop hypertension (AAP), which in the case of the  $D5^{-/-}$  mice results from central stimulation of sympathetic outflow.<sup>255,256</sup> Because the physiological functions of the D1-like receptors include vasorelaxation and inhibition of renal Na<sup>+</sup> reabsorption, the hypertensive phenotype may result from renal salt retention. Surprisingly, however, ablation of D2-like receptors was also associated with hypertension (TCP), which in the case of the D2-/- mice was strictly sodium dependent and required a high sodium intake to become manifest.<sup>257</sup> The elevated blood pressure (AAP) in D3-/- mice was accompanied by a marked increase in renal renin content, raising the possibility that an angiotensin II-dependent Na<sup>+</sup> retention was responsible for the blood pressure effect.<sup>258</sup>

#### **Endothelin System**

Endothelin 1 (ET-1) was originally described as an exceptionally potent, endothelium-derived constrictor peptide. Subsequently two additional members of the ET family, ET-2 and ET-3, were discovered. ETs are generated by cleavage of the precursor molecule big-ET through the action of endothelinconverting enzymes. The effects of ETs are mediated by two receptors,  $ET_A$  and  $ET_B$ .

In addition to their vasoactive actions, it has become clear that renal ETs can affect tubular salt and water transport and elicit proliferative responses. Studies in mice with loss-offunction mutations in the ET system have been hampered by several severe and lethal phenotypes. Craniopharyngeal and cardiac malformations cause early postnatal death in ET-1 and ET<sub>A</sub> receptor-knockout mice, but studies performed in heterozygous ET-1 mice have revealed a mild hypertensive effect (ICP).<sup>259,260</sup> ET-3 and ET<sub>B</sub> deficiencies cause congenital megacolon and early postnatal death, but the occurrence of a natural  $ET_B$  mutant and its crossing into  $ET_B^{+/-}$  mice permits the generation of mice with low ET<sub>B</sub> expression that are nevertheless high enough to prevent Hirschsprung's disease.<sup>261</sup> Blood pressure was elevated in these mice, and the hypertension (ICP) associated with ET<sub>B</sub> deficiency was salt sensitive.<sup>261</sup> A renal contribution to ET-induced hypertension (TCP) was recently shown in mice in which ET-1 was selectively deleted in collecting ducts by Aqp2 promoterdependent generation of Cre-recombinase.<sup>262</sup> Blood pressure was increased in these mice, and the administration of a highsalt diet caused transient salt retention and further blood pressure elevation.<sup>262</sup> Mice deficient in CD-ET-1 had an enhanced response to the concentrating effect of vasopressin, confirming a paracrine role of ET-1 in the modulation of AVP action.<sup>263</sup> Plasma levels of renin and aldosterone were not
significantly different between wild-type and CD-ET–deficient mice despite the assumed volume expansion caused by disinhibition of CD salt transport.<sup>262</sup> On the other hand, ET-1 transgenic mice with a 30% to 40% increase of plasma and renal ET-1 had a normal blood pressure (AAP) but a reduced creatinine clearance and progressive glomerulosclerosis.<sup>264</sup>

#### Adenosine

Adenosine exported from cells by equilibrative nucleoside transporters or generated in the extracellular space by ecto-ATPases and nucleotidases exerts its effects through four types of G protein-coupled receptors. Mice with null mutation of the A2a adenosine (A2AAR), A1 adenosine (A1AR), and A3 adenosine receptors (A3AR) have been used to examine the effect of adenosine in mouse models of renal ischemia/ reperfusion injury. An increase in the severity of the renal injury was found in A2AAR<sup>-/-</sup> mice, and this effect probably reflects the greater generation of proinflammatory cytokines by bone marrow-derived cells and macrophages.<sup>265,266</sup> Similarly, the renal injury of A1AR<sup>-/-</sup> mice was markedly greater than in wild-type animals, and this was the result of an enhanced inflammatory response.<sup>267</sup> Thus, in the kidney, A2AAR and A1AR seem to exert synergistic anti-inflammatory actions. On the other hand, ischemia- and myoglobin-induced renal failure was less pronounced in A3AR<sup>-/-</sup> mice, suggesting that activation of the A3AR may exacerbate renal injury.<sup>268</sup>

#### SOME GENERALIZATIONS

Gene targeting experiments have become so central to modern renal physiology that explorations of the importance of gene products and their interrelationships are believed to be incomplete without them. Nevertheless, the frequency with which the observed phenotype differed in significant ways from expectations is striking, and it is difficult to decide whether the causes for this lie in incompletely understood biological complexities or in the approach used. Without directly addressing this issue, we have come to a number of arguable generalizations.

- 1. The neonatal period is a time in life of particular vulnerability to salt and water losses; in many cases, neonatal mortality of these small mammals is very sizable, but somewhat surprisingly, the few animals that survive to adulthood or that can be rescued by salt and water supplementation have a surprisingly mild phenotype.
- 2. The phenotype of salt wasting is more profound for more distal tubular defects, with the distal nephron showing an unexpectedly large regulatory reserve to compensate for proximal deficits. Nevertheless, maintenance of body salt balance without collecting duct Na<sup>+</sup> absorption suggests "retrograde" adjustments in transport.
- **3.** Primary and defined changes in NaCl transport often do not correlate well with blood pressure changes. This is surprising in view of the concept that such changes in transport are believed to be the most common (or sole?) mechanism for long-term blood pressure deviations.
- 4. Reductions of the urinary concentrating ability to varying degrees are very common. Chronically elevated urine flows in the mouse are always associated with a hydronephrotic

syndrome and severe medullary tissue atrophy. The causes for this and the secondary consequences for overall kidney function are not clear.

- 5. Modest changes in blood pressure (less than ~15 mmHg) are a phenotypic observation that is very vulnerable to methodological problems. The threshold change of pressure that can reliably be separated from measurement errors is in need of a definition.
- 6. The influence of genetic background effects is a generally appreciated problem, but it proves to be intractable and difficult to incorporate into practical research. Although there are several notable exceptions, the influence of the genetic background, let alone the exploration of possible modifier loci, is therefore often ignored.
- 7. Phenotypic abnormalities in heterozygous animals are the exception. Although mRNA levels typically show the expected 50% reduction, haplosufficiency and a recessive phenotype are often the result of a protein expression that is not proportionately reduced.

#### APPENDIX: WEBSITES ON MOUSE BIOLOGY

Internet-accessible databases of genomic, transcript, and inferred protein sequences are central to modern biology. Tools for genetic research and genetic reagents such as mapped markers, and genomic and complementary DNA (cDNA) clones must be accessible for genetic studies and manipulation of the mouse genome. Other types of information, specifically gene expression data, anatomic images and images of developmental stages, physiological methods and protocols, and normative data on selected phenotypes of the most used mouse strains, are also becoming increasingly available in systematic and useful formats. The web is also a tool for finding commercial resources, for example for locating mouse lines and instrumentation. The following list is an incomplete repository of websites that we have found useful for exploring the mouse genotype and phenotype via the Internet.

- National Center for Biotechnology Information Entrez site to access genomic, transcript, and protein sequences; carefully curated and publicly maintained fundamental databases with access to nucleotide, protein, and structural data; integration into PubMed. (www.ncbi.nlm.nih.gov/)
- A useful link on the previous URL; directs the user to the mouse-specific genomic resources; portal may be used as primary access site. (www.ncbi.nlm.nih.gov/projects/ genome/guide/mouse/)
- The UCSC Genome Browser, a second popular portal for genomic sequence data; of particular value for analyzing comparative genomic information. (http://genome.ucsc. edu/)
- The publicly financed Mouse Genomic Informatics (MGI) site; a valuable mouse-specific interface to all forms of sequence data; search portal provides access to the Mouse Gene Expression database; also accesses phenotype information for many mutants in an integrated format; uses a "friendly" search tool that accepts a variety of synonyms for gene names. (www.informatics.jax.org/)
- The Trans-NIH Mouse Initiative; a list of URLs related to sequencing and mapping, mutant and mouse resources,

and databases and repositories; will become the site of a knockout mouse model inventory. (www.nih.gov/science/ models/mouse/resources/)

- NCBI tool called Clonefinder, specific for the mouse genome; useful for identifying clones for any region of the mouse genome; clones can be identified visually using map visualization tools, or specific tools used to search for specific reagents. (www.ncbi.nlm.nih.gov/genome/clone/clonefinder/CloneFinder.html)
- National Institute on Ageing clone collection; identification of full-length cDNA clones; clones available without restrictions. (http://lgsun.grc.nia.nih.gov/)
- MGC collection of full-length cDNA clones available through the IMAGE consortium; clones available without restrictions. (http://mgc.nci.nih.gov/)
- RIKEN collection of full-length cDNA clones; a material transfer agreement with some restrictions is required. (http://genome.gsc.riken.go.jp/FANTOM2CLONES/)
- Information about strain characteristics in a searchable form; based on an extensive literature review compiled by Michael Festing, of the Medical Research Council Toxicology Unit<sup>269</sup>; last updated in April 1998; limited information on plasma values and other physiological variables; particularly valuable as a tool to access the older literature for information about strain derivation, behavioral characteristics, immunological properties, and spontaneous tumors and other disorders. (www.informatics.jax.org/ external/festing/search\_form.cgi)
- Jackson Laboratory Phenome project; development of a set of systematic observations on a variety of variables for 40 commonly used mouse strains<sup>270</sup>; community-based project; compiling information provided voluntarily by investigators; database is populated with certain straightforward normative characteristics such as body weight, organ weights including kidney weights, food and water intake, hematological parameters, plasma cholesterol and glucose; some information on tail cuff blood pressures; portal has a variety of easy-to-use analytical tools for comparing parameters in different strains and assessing correlations; descriptions of the methodology used by the project. (www.jax.org/phenome)
- The Edinburgh Mouse Atlas project; provides detailed threedimensional anatomical information about the developing mouse embryo in a database called emap; this data set is linked to the Mouse Gene Expression database maintained by Jackson Laboratories; it is developing detailed information about anatomical patterns of gene expression throughout development; specific patterns of gene expression in the developing kidney and genitourinary tract will soon be added to these electronic resources (URL not yet available). (http://genex.hgu.mrc.ac.uk/)
- University of Iowa site with useful information on mouse husbandry and colony maintenance. (http://research. uiowa.edu/animal/)
- Eumorphia site, a collection of methods developed for highthroughput screens for European mutagenesis studies. (www.eumorphia.org/EMPReSS/servlet/EMPReSS/ Frameset)
- Animal Models for Diabetes Complications website; detailed protocols for renal function assessment as well as methods for assessing other complications. (www.amdcc.org/ shared/Protocols.aspx)

Maintained by the Academic Medical Center of Amsterdam; collecting information on murine physiology and protocols; still in the construction phase. (www.mousephysio.com)

The landscape of Internet information is continually changing, with new sites and improved access tools becoming available at a rapid pace. Some critical data sets are carefully maintained and continuously updated, whereas other resources are dependent on voluntary efforts, and may languish and become dated or disappear entirely. Thus, although the selected specific sites have been useful to us, the reader will appreciate that this information is, by its nature, selective and vulnerable to rapid obsolescence.

#### **Acknowledgments**

Work in the laboratories of the authors was supported by National Institutes of Health/National Institutes of Diabetes and Digestive and Kidney Diseases grant (NIDDK) DK 57552 (J.L.), and by intramural funds from NIDDK (J.S., J.B.).

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# **Murine Models of Kidney Development**

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# DEVELOPMENT OF THE UROGENITAL SYSTEM

The vertebrate urogenital system emerges from the urogenital ridge, a structure along the posterior aspect of the abdominal cavity in the developing embryo. This ridge gives rise to both the kidneys and gonads. Excretory organs develop in three stages along the urogenital ridge, from rostral to caudal. Initially, pronephric tubules are induced at the rostral end of the ridge, followed by mesonephric tubules in the midsection of the ridge, and finally the metanephric kidney is induced at the caudal end of the ridge, to give rise to the adult kidney. Although they become the adult excretory apparatus in lower vertebrates, the mesonephric and pronephric tubules are vestigial structures in mammals and are lost before birth.

#### **Development of the Metanephric Kidney**

The metanephric kidney results from a series of inductive interactions that occur between the metanephric mesenchyme and the epithelial ureteric bud.<sup>1</sup> Initially, the ureteric bud grows out from the wolffian duct and invades the mesenchymal portion of the urogenital ridge. The metanephric mesenchyme becomes histologically distinct from the surrounding mesenchyme. Upon invasion of the ureteric bud into the metanephric mesenchyme, at embryonic day 11.5 (E11.5), the mesenchyme is induced to condense along the surface of the bud (Fig. 4-1). After this initial condensation of induced cells around the bud, a subset of condensed mesenchymal cells collects adjacent and inferior to the tips of the branching ureteric bud and begins to form pretubular aggregates. These pretubular aggregates then undergo a mesenchymal-toepithelial transformation to become the renal vesicle, to give rise to the segmented nephron. It has also been suggested that the condensed mesenchyme generates a population of stem cells, which go on to constitute a population of cells in the periphery of the nephrogenic zone in the developing kidney.<sup>2</sup> It is unknown whether the condensed mesenchyme itself constitutes this stemlike population or is distinct from it, although recent experiments demonstrating that single cells from the condensed mesenchyme can give rise to cells expressing a range of kidney-specific genes suggests that the stemlike population may reside within the condensed mesenchyme. The epithelial component of the adult kidney is therefore derived both from the condensed mesenchyme, which gives rise to the individual nephron units, and the ureteric bud derivatives, which give rise to the collecting system and ureter.

#### **Development of the Nephron**

The simple tubule formed by the pretubular aggregate undergoes a process of segmentation to form the mature nephron.

The glomerulus forms from the proximal end of the S-shaped body during the segmental differentiation of the nephron. During this segmentation, at the capillary loop stage, endothelial cells invade a cleft at the proximal end of the nephron, to begin forming the glomerulus (see Fig. 4-1).<sup>3</sup> A layer of columnar epithelial cells attached to a basement membrane forms one wall of a cleft within the S-shaped body, the other wall being the presumptive proximal tubule. By invading this cleft, the small group of capillaries and presumptive mesangial cells cause a bulging out of this layer of epithelial cells.<sup>3</sup> As this simple capillary structure begins to branch into a more complex structure that ultimately involves six to eight capillary loops, the epithelial cells lose most of their lateral cell attachments and migrate around the capillary loops, extending foot process projections that form a scaffolding to support the capillary loops within the glomerulus. These interdigitated foot processes are in contact with each other by means of the slit-diaphragm, which is an adherens junction-like structure. The slit-diaphragm is the only point at which mature podocytes remain in intimate contact with each other. The extent to which foot processes are formed by migratory extensions from cell bodies, as opposed to selective loss of adhesion along the podocyte basal membrane, is not well understood.<sup>4</sup> However, one probable scenario for the assembly of these interdigitated foot processes is suggested by recent evidence that the slitdiaphragm is indeed an adherens junction-like structure.<sup>5</sup> In this scenario, the interdigitation occurs as the podocytes lose their cell-cell attachments, remaining only attached at the slitdiaphragm. In this sense, the interdigitation would not be the result of migratory events through which two separated cells establish contact, but rather the remodeling of a cell-cell junction. This issue is emphasized because podocyte biology, and particularly the establishment of the slit-diaphragm complex, is a major issue in kidney biology and disease, and is likely to importantly involve integrins and other adhesion molecules, as will be indicated later in this chapter.

The tubular portion of the nephron becomes segmented in a proximal-to-distal order, into the proximal convoluted tubule, descending and ascending loops of Henle, and distal convoluted tubule. The latter connects to the collecting ducts, which are derived from the ureteric bud derivatives, and not from the original mesenchymal component of the metanephric rudiment.

# Branching Morphogenesis—Development of the Collecting System

The collecting system, made up of bundles of collecting ducts, are the tubules through which the urine produced by the nephrons is conducted out of the kidney and to the ureter and then the bladder. Water and salt absorption and excretion also occur in collecting ducts, under regulatory mechanisms Figure 4-1 Kidney development. The derivatives of the metanephric mesenchyme are in *blue* and *gray* shades; those of the ureteric bud are in gray. Not drawn to scale. A, Condensed mesenchyme (light blue) surrounding the epithelial ureteric bud (gray). B, Pretubular aggregates (dark blue) inferior to the branches of the ureteric bud. On the left, the pretubular aggregate is in its pre-induction mesenchymal state. On the right is shown an epithelial aggregate that has undergone the mesenchymal-to-epithelial transformation. C, On the left, a simple comma-shaped tubule is present. On the right, an S-shaped tubule is present, with the portions that will contribute to the glomerulus (G), proximal tubule (P), and distal tubule (D) noted. A capillary (black) has begun to invade the glomerular cleft. (The epithelial nature of the ureteric bud is omitted for simplicity.) D, A maturing nephron connected to a collecting duct. The glomerulus (actually much smaller in relation to the remainder of the nephron) is shown. The glomerular basement membrane is in green. Podocytes are gray. E, Overall structure of the kidney. The cortex is unshaded, outer medulla gray and inner medulla pink. The branched network of collecting ducts is in *black*, and nephrons are blue. The vascular component is omitted. F, A scanning electron micrograph of podocytes extending foot processes around a capillary loop. (Redrawn from Kreidberg JA: Integrins in urogenital development. In Danen EHJ [editor]: Integrins and Development. Austin, Tex, Landes Bioscience, 2006, p 168.)





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#### GENETIC ANALYSIS OF KIDNEY DEVELOPMENT

Much has been learned about the molecular genetic basis of kidney development over the past 15 years. This understanding has primarily been gained through the phenotypic analysis of mice carrying targeted mutations that affect kidney development. Additional information has been gained by identification and study of genes that are expressed in the developing kidney, even though the targeted mutation, or "knockout," either has not yet been done, or the knockout has not affected kidney development or function. The approaches to gene targeting in the kidney have been dealt with extensively in a separate chapter and will not be dealt with further here.

#### In Vivo Phenotypic Analyses Renal Agenesis Phenotypes – Absent Ureteric Bud

The first set of phenotypes that were apparent from gene-targeted mice were those that resulted in complete renal agenesis. The knockout of the Wilms' tumor 1 gene, also known as *WT1*, was the first of this group to be reported,<sup>7</sup> followed by many others including *Pax2*,<sup>8</sup> *Eya1*,<sup>9</sup> *Six1*,<sup>10,11</sup> *Sall1*,<sup>12</sup> *Lim1*,<sup>13</sup> GDNF,<sup>14-16</sup> GDF11,<sup>17</sup> GFRa1,<sup>18</sup> Emx2,<sup>19</sup> gremlin,<sup>20</sup> and c-Ret.<sup>21</sup> GDNF and c-Ret are variable; there is sometimes ureteric bud outgrowth in a minority of embryos. Among this group are putative transcription factors, secreted signaling molecules, a receptor tyrosine kinase, and a co-receptor. In the typical phenotype there is a histologically distinct patch of mesenchyme located in the normal location of the metanephric mesenchyme, but there is no outgrowth of the ureteric bud. Interpretation of these phenotypes involves (1) identification of the expression domains of the mutated gene, (2) analysis of its putative function, and (3) identification of the expression patterns of other genes known to be expressed in the developing kidney. For example, the gene product GDNF (glial cell-derived neurotrophic factor) is a secreted signaling molecule expressed by the metanephric and condensed mesenchyme, which binds the receptor tyrosine kinase c-Ret, and the c-Ret co-receptor, GRFa1, that are expressed at the tip of the ureteric bud.<sup>18,22–24</sup> Thus, it is logical to deduce that GDNF, expressed by the mesenchyme, binds c-Ret and GRF $\alpha$ 1, and attracts outgrowth of the ureteric bud from the wolffian duct, and stimulates further growth and branching of the ureteric bud. This conclusion has been supported by additional studies involving the use of beads soaked in GDNF placed adjacent to the ureteric bud, and transgenic mice expressing constitutively active c-Ret.<sup>25-27</sup>

In considering the agenesis phenotypes of transcription factors such as Eya1, Six1, Sall1, Lim1, Wt1, and Pax2, it is more difficult to move from phenotype and genotype to mechanism. Typically, when one of these is "knocked out," the expression patterns of the others are examined. If a particular gene is expressed, it is deduced that this gene is "upstream" of the targeted gene. On the other hand, if a gene is not expressed, it is conversely deduced that this gene is "downstream" of the targeted gene. Although this approach is useful in that it facilitates the proposal of hypotheses that might ultimately be testable in various in vivo and in vitro systems, it must be used cautiously for the following reasons. First, it must be taken into account whether, at a histologic level, the cells that normally express a gene are present and viable. If the cells are absent or undergoing apoptosis, as is common in renal agenesis phenotypes, the absence of gene expression may be a consequence of the complete absence of the cells that normally express that gene. Second, it may be an oversimplification to assume that there are linear upstream and downstream relationships between genes. Gene regulation is likely to be complex, with multiple parallel pathways intersecting at various points. There are multiple levels at which genes can be regulated, including transcription, post-transcription, translation, and post-translation. Moreover, it is emerging that there are multiple mechanisms by which gene expression can be regulated, including transcription factors binding at 5' ends of genes, chromatin modification through histone acetylation, methylation, and phosphorylation, and localized chromatin winding and unwinding. An additional, as yet unexamined mechanism is whether micro-RNAs, which regulate messenger RNA stability, are involved in kidney development. A third and more obvious issue is whether genes are expressed in the same cell types. For example, if a gene normally expressed in the ureteric bud is knocked out, alterations in gene expression in the mesenchyme are presumably secondary to tissue interactions, rather than being indicative of direct cell-autonomous regulatory effects.

With these caveats in mind, some insights can be discussed with regard to regulatory relationships between different genes that result in renal agenesis phenotypes. Eval and Six1 mutations are found in humans with branchio-oto-renal syndrome.<sup>28</sup> It is now known, through in vitro experiments, that Eya1 and Six1 form a regulatory complex that seems to be involved in transcriptional regulation.<sup>29,30</sup> Interestingly, a phosphatase activity is associated with this complex.<sup>30</sup> Moreover, Eva and Six family genes are co-expressed in several tissues in mammals, Xenopus, and Drosophila, further supporting a functional interaction of these genes.<sup>9,11,31,32</sup> Direct transcriptional targets of this complex seem to include the pro-proliferative factor c-Mvc.<sup>30</sup> In the Eval-deficient urogenital ridge, it has recently been demonstrated that, unlike with some other renal agenesis phenotypes, there is no histologically distinct group of cells in the normal location of the metanephric mesenchyme.<sup>33</sup> Consistent with this finding, Six1 is either not expressed or highly diminished in expression in the location of the metanephric mesenchyme of Eya1<sup>-/-</sup> embryos.<sup>30,33</sup> These findings may identify Eya1 as a gene involved in early commitment of this group of cells to the metanephric lineage. Although Six1 and Eya1 may act in a complex together, the Six1 phenotype is somewhat different, in that a histologically distinct mesenchyme is present at E11.5, without an invading ureteric bud, similar to the other renal agenesis phenotypes.<sup>10,11</sup> Eya1 is expressed in the Six1<sup>-/-</sup> mesenchyme, suggesting that Eval is upstream of Six1. Additionally, Sal1 and Pax2 are not expressed in the Six1 mutant mesenchyme, though WT1 is expressed.<sup>10,11,33</sup> Existing discrepancies in the literature about Pax2 expression in Six1 mutant embryos may reflect the exact position along the anterior-posterior axis of the urogenital ridge of Six1 mutant embryos from which sections are obtained. In Pax2-/embryos, Eya1, Six1, and Sal1 are expressed.<sup>33</sup> These results are most consistent with the possibility that Eya1, Six1, Sal1, and possibly Pax2 act in a distinct regulatory pathway from Wt1. As emphasized above, although it is fair to conclude that the presence of a gene product in a mutant embryo demonstrates that the mutated gene is not required for expression of the observed gene product, final conclusions about possible interactions between genetic regulatory pathways cannot be based solely on studies of gene expression patterns in mutant embryos and must include additional molecular studies. As an example, through a combination of molecular and in vivo studies, it has been demonstrated that Pax2 appears to act as a transcriptional activator of GDNF.34

#### Early Metanephric Phenotypes – with Ureteric Bud

Additional phenotypes have been obtained that are highly informative about early kidney development. Although these would also be categorized as renal agenesis phenotypes, these are distinguished from the previously discussed phenotypes in that the ureteric bud is present, and may have undergone the first round or two of branching. There are also varying extents of induction of nephrons among these phenotypes. These phenotypes tend more often to be due to the loss of signaling molecules rather than transcription factors.

Mutations of members of the Wnt family are examples of renal agenesis phenotypes where the ureteric bud is present. The Wnt family was originally discovered as the "wingless" mutation in *Drosophila* and in mammals as genes found at retroviral integration sites in mammary tumors in mice. Wnt9b is expressed in the ureteric bud, though not at the very tip.<sup>35</sup> In the absence of Wnt9b, there is outgrowth and the first round of branching of the ureteric bud, and the mesenchyme condenses around the bud, but no pretubular aggregates are formed and no nephrons are induced.<sup>35</sup> These results identified Wnt9b as the prime candidate for the key induction molecule expressed by the ureteric bud and responsible for induction of nephrons. Wnt4 is expressed by cells within the pretubular aggregate that is the precursor of the nephron.<sup>36</sup> In Wnt4 mutant embryos pretubular aggregates are present, but they fail to undergo the mesenchymal-to-epithelial transformation into the tubular precursor of the mature nephron, indicating a role for Wnt4 in the formation of epithelial cells from mesenchyme.<sup>36</sup>

Fibroblast growth factors (FGFs) have been implicated in the very early stages of differentiation of the nephron, even earlier than Wnt4. Conditional mutation of FGF receptors in the mesenchyme results in renal agenesis with a ureteric bud, with expression of early markers such as Eya1 and Six1 in the vicinity of the ureteric bud, but without expression of slightly later markers such as Six2 or Pax2, and no branching of the bud or induction of nephrons.<sup>37</sup> Two groups have published conditional mutations in the FGF8 gene that eliminate expression of FGF8 in the mesenchyme of the early kidney.<sup>38,39</sup> Failure to properly express FGF8 did not block formation of a Wt1- and Pax2-expressing condensed mesenchyme, but Wnt4-expressing pretubular aggregates were not present, and consequently S-shaped bodies, the precursor of the nephron, never developed.<sup>38,39</sup> Interestingly, these conditionally mutant kidneys were smaller with fewer branches of the collecting ducts, suggesting that nephron differentiation may have a role in driving continued branching morphogenesis of the collecting system.

Bmp7 is a member of another signaling family, the bone morphogenetic proteins. Bmp7 is first expressed in the ureteric bud and then in the condensed mesenchyme.<sup>40,41</sup> In the absence of Bmp7, the first round of nephrons are induced, but there is no further kidney development.<sup>40,41</sup> It has been suggested that this first round of nephrons might result from maternal contribution of Bmp7 across the placenta, and it is not known whether Bmp7 is absolutely required for the induction of nephrons.

A current theme in cell biology is that growth factor signaling often occurs coordinately with signals from the extracellular matrix transduced by adhesion receptors such as members of the integrin family.  $\alpha_8\beta_1$  integrin, expressed by cells of the metanephric mesenchyme,<sup>42</sup> binds a novel molecule named nephronectin,43 expressed on the ureteric bud. In most  $\alpha_8$ -integrin mutant embryos, the ureteric bud arrests its outgrowth upon contact with the metanephric mesenchyme.<sup>42</sup> In a small portion of embryos this block is overcome, and a single, usually hypoplastic, kidney develops. Thus, the interaction of  $\alpha_8\beta_1$  integrin with nephronectin must have an important role in the continued growth of the ureteric bud into the mesenchyme. This phenotype also implies that there is something about the interaction of ureteric bud cells with the metanephric mesenchyme that distinguishes it from the interaction of the ureteric bud with the undifferentiated mesenchyme of the urogenital ridge, through which it must briefly pass before encountering the metanephric mesenchyme. Whether  $\alpha_{s}\beta_{1}$  integrin–nephronectin signaling occurs in concert with growth factor signaling is not yet known.

#### In Vitro Analyses of Early Kidney Development in Organ Culture Classical Studies

The organ culture system was used extensively in classical studies of embryonic induction. These studies determined such parameters of the induction system as the temporal and physical constraints on exposure of the inductive tissue to the mesenchyme, and the time periods during which various tubular elements of the nephron were first observed in culture.

#### **Mutant Phenotypic Analyses**

Organ culture studies have yielded additional information about gene function in early kidney development. As originally shown by Grobstein, Saxen, and colleagues in classical studies of embryonic induction, it is possible to separate the metanephric mesenchyme from the ureteric bud, and use certain other tissues, the best example of which is embryonic neural tube, to induce the mesenchyme to form nephron-like tubules in organotypic culture.44,45 This experiment is distinguished from placing in culture the whole metanephric rudiment, including the ureteric bud, in that when the whole rudiment is placed in culture, not only do both induction of nephrons and branching of the ureteric bud occur, but the rudiment continues to grow as well. In contrast, when neural tube tissue is used to induce the separated mesenchyme, terminal differentiation of the mesenchyme into tubules occurs, but there is no significant tissue expansion. In renal agenesis situations where there is no outgrowth of the ureteric bud, the mesenchyme can be placed in contact with neural tube to determine whether it has the intrinsic ability to differentiate. In most cases, when the renal agenesis phenotype is due to the absence of a transcription factor, tubular differentiation is not rescued by neural tube, as might be predicted for transcription factors, which would be expected to act in a cell-autonomous fashion.7 Nevertheless, these experiments have been useful in demonstrating that the gene responsible for the agenesis phenotype is not only involved in stimulating outgrowth the ureteric bud but also has a role in the intrinsic differentiation of the mesenchyme.

In contrast to organ culture results obtained with transcription factor mutants expressed in the metanephric mesenchyme, those using mesenchyme from mutants where the transcription factor is expressed in the ureteric bud, such as Emx2, have yielded the opposite result. Emx2 mesenchyme can be induced to form tubules by embryonic neural tube.<sup>19</sup>

# Antisense Oligonucleotides and siRNA in Organ Culture

Several studies have described the use of antisense oligonucleotides and more recently, short interfering RNA (siRNA) molecules, to inhibit gene expression in kidney organ culture. Among the earliest of these was the inhibition of the lowaffinity nerve growth factor receptor, p75 or NGFR, by antisense oligonucleotides,<sup>46</sup> a treatment that decreased the growth of the organ culture. A subsequent study could not duplicate this phenotype,<sup>47</sup> although there were possible differences in experimental techniques.<sup>48</sup> An additional study using antisense oligonucleotides to *Pax2* also showed this gene to be crucial in the mesenchymal-to-epithelial transformation.<sup>49</sup> More recently, one report has demonstrated that siRNA to the *Wt1* and *Pax2* genes can inhibit early nephron differentiation.<sup>47,50</sup>

**Organ Culture Microiniection.** A novel approach to the organ culture system has also yielded insights about a possible function of the WT1 gene in early kidney development. A system was established to microinject and electroporate DNA plasmid expression constructs into the condensed mesenchyme of organ cultures.<sup>51</sup> Injection of Pax2 led to increased expression of GDNF, consistent with previously published results from in vitro studies indicating Pax2 was a transcriptional regulator of GDNF.<sup>51</sup> Overexpression of WT1 from an expression construct led to high-level expression of vascular endothelial growth factor A (VEGF-A). The target of VEGF-A appeared to be Flk1 (VEGFR2)-expressing angioblasts at the periphery of the mesenchyme. Blocking signaling through Flk1, if done when the metanephric rudiment was placed in culture, blocked expression of Pax2 and GDNF, and consequently the continued branching of the ureteric bud and induction of nephrons by the bud. Addition of the Flk1 blockade after the organ had been in culture for 48 hours had no effect, indicating that the angioblast-derived signal was required to initiate kidney development but not to maintain continued development. The signal provided by the angioblasts is not yet known, nor is it known whether WT1 is a direct transcriptional activator of VEGF-A. Flk1 signaling is also known to be required to initiate hepatocyte differentiation during liver development.

#### Genetic Analyses of Nephron Differentiation

Although gene targeting and other analyses have identified many genes involved in the initial induction of the metanephric kidney and the formation of the pretubular aggregate, much less is presently known about how the pretubular aggregate develops into the mature nephron, a process through which a simple tubule elongates, convolutes, and differentiates into multiple distinct segments with different functions. In considering how this segmentation occurs, it has been considered whether there will be similarities to other aspects of development, such as the limb or neural tube, where there is segmentation along various axes.

The Notch group of signaling molecules has been implicated in directing the segmentation of the nephron. Notch family members are transmembrane proteins whose cytoplasmic domains are cleaved by the  $\gamma$ -secretase enzyme, upon the interaction of the extracellular domain with transmembrane ligand proteins of the delta and jagged families, found on adjacent cells.<sup>52</sup> Thus, Notch signaling occurs between adjacent cells, in contrast to signaling by secreted growth factors that may occur at a distance from the growth factorexpressing cells. The cleaved portion of the Notch cytoplasmic domain translocates to the nucleus, where it has a role in directing gene expression. Mice homozygous for a hypomorphic allele of Notch2 have abnormal glomeruli, with a failure to form a mature capillary tuft.<sup>53,54</sup> Because null mutations of Notch family members usually result in early embryonic lethality, further analysis of Notch family function in kidney development has made use of the organ culture model. When metanephric rudiments are cultured in the presence of a  $\gamma$ secretase inhibitor,<sup>55,56</sup> there is diminished expression of podocyte and proximal tubule markers, in comparison with distal tubule markers and branching of the ureteric bud. When the  $\gamma$ -secretase inhibitor is removed, there seems to be a better recovery of expression of proximal tubule markers, in comparison with markers of podocyte differentiation. Similar results were observed in mice carrying targeted mutation of the *PSEN1* and *PSEN2* genes that encode a component of the  $\gamma$ -secretase complex.<sup>57</sup> These results, while requiring confirmation from mice carrying conditional mutations in Notch genes themselves, suggest that Notch signaling is involved in patterning the proximal tubule and glomerulus. Similar results were obtained from mice with mutations in the gene encoding  $\gamma$ -secretase.

There is one example so far of a transcription factor being involved in the differentiation of a specific cell type in the kidney. The phenotype is actually found in the collecting ducts rather than in the nephron itself, but it is discussed in this section because it demonstrates the phenotypic characteristics that investigators expect will be found as additional mutant mice are examined. Two cell types are normally found in the collecting ducts: principal cells that mediate water and salt reabsorption, and intercalated cells that mediate acid-base transport. In the absence of the Foxi1 transcription factor, there is only one cell type present in collecting ducts, and many acid-base-transport proteins normally expressed by intercalated cells are absent.<sup>58</sup>

Several gene-targeted mice have been found to have abnormalities of glomerular development. Those that involve genes that encode podocyte-specific proteins or proteins found in the glomerular basement membrane will be discussed in different chapters. Several transcription factors are known to be expressed in podocytes, whose mutations have resulted in abnormal glomerular development. These include Pod1,59 Wt1,<sup>60,61</sup> Kreisler,<sup>62</sup> and Lmx1b.<sup>63,64</sup> A podocyte-specific conditional mutation of Wt1 has not yet been reported. However, expression of a presumed dominant-negative form of Wt1 in podocytes led to abnormal glomerular capillary development, suggesting a role for Wt1 and podocytes in regulating formation of the glomerular vasculature.<sup>61</sup> Nephrin and podocalyxin have also been suggested as targets of the Wt1 gene.65-67 Poorly formed glomeruli are found in the absence of Pod1.59 However, this might not reflect a cell-autonomous function of Pod1, and when *Pod1*-homozygous null embryonic stem cells are used to make chimeric mice, Pod1-null cells are excluded from the interstitium but not from glomeruli.<sup>68</sup> Lmx1b is a Lim-homeodomain transcription factor implicated as the gene responsible for nail-patella syndrome.<sup>69</sup> Podocytes of Lmx1bdeficient mice fail to form foot processes, and Lmx1b binding sites have been found near the genes encoding podocin and Cd2-AP, two proteins associated with the slit-diaphragm.<sup>63,64</sup> Lmx1b also is apparently involved in regulating expression of components of the mature glomerular basement membrane, including the  $\alpha_3$  and  $\alpha_4$  subunits of type IV collagen.<sup>69,70</sup> Kreisler, a basic leucine zipper protein, is also required for later stages of podocyte differentiation, but less is known about potential targets.

The formation of the glomerular vasculature has also received attention through the study of mutant phenotypes. Mutation of either the platelet-derived growth factor B-subunit (PDGF-B) or the platelet-derived growth factor receptor  $\beta$  result in the failure to form proper glomerular capillaries, and instead the glomerulus resembles a single large glomerular

capillary loop filled with red blood cells.<sup>71,72</sup> It is possible that this phenotype relates to a failure to form the mesangial cells that are usually found at the base of the glomerular capillary tuft and that may serve to hold the capillary loops in place. It has been demonstrated that mesangial cell progenitors express the PDGF receptor, and these cells respond to PDGF-B expressed by vascular endothelial cells, which attract the mesangial progenitor into the glomerular cleft of the capillary loop–stage nephron.<sup>73,74</sup>

Glomerular development is also abnormal in the absence of  $\alpha_3\beta_1$  integrin, an extracellular matrix receptor highly expressed on podocytes and thought to adhere them to the glomerular basement membrane. Podocytes deficient in  $\alpha_3\beta_1$  integrin fail to form mature foot processes.<sup>75</sup> Additionally, abnormally dilated glomerular capillary loops are present in glomeruli deficient in  $\alpha_3\beta_1$  integrin, further suggesting a role for this integrin and for podocytes in regulating glomerular vascular assembly.<sup>75</sup>

#### Formation of the Collecting System

Understanding the formation of the collecting system has been a challenge, because it is not only the result of branching morphogenesis, driven by epithelial-mesenchymal interactions, but also involves extensive remodeling of those initial branches, to finally form the papillary region of the medulla, as well as the collecting ducts within the cortex and outer medulla.

Signaling from several families of secreted growth factors has been demonstrated to be important in the formation of the collecting system, most notably members of the BMP,<sup>76</sup> sonic hedgehog (SHH),<sup>77</sup> and FGF families.<sup>78</sup> The role of GDNF, required for initial outgrowth of the bud and to drive continued branching, was discussed above. Conditional gene targeting of FGF receptor 2 (but not of FGFR1) in the ureteric bud results in greatly decreased branching of the bud.<sup>79</sup> Mice carrying a mutation of *Fgf7* have smaller collecting systems,<sup>78</sup> although this phenotype is not as severe as the conditional mutation of *Fgfr2*, implying that additional FGFs are probably involved in branching of the ureteric bud.

The role of BMP7 in early kidney development was discussed above. BMP2 and 4 also have an important role in branching morphogenesis<sup>76</sup> that was more difficult to decipher, because mouse embryos deficient in these factors undergo embryonic demise too early to examine an effect on kidney development. In organ culture BMP7 stimulates growth, whereas BMP2 was found to be inhibitory to branching of the derivatives of the ureteric bud.<sup>80,81</sup> Further study of the role of BMP2 used a constitutively active form of the BMP2 receptor, ALK3, specifically expressed in the derivatives of the ureteric bud. This resulted in medullary dysplasia, resembling medullary cystic disease as observed in humans.<sup>82</sup> It seems that BMP2 signaling normally acts to suppress a proliferation signal mediated by SMAD signaling and  $\beta$ -catenin, which acts to stimulate expression of the pro-proliferative transcription factor c-Myc.<sup>83</sup>

Decreased branching is also observed in kidneys of *Shh*deficient embryos.<sup>77</sup> This resembles renal dysplasia as observed in humans with mutations of the *Gli3* gene, which encodes an effector of the Shh signaling pathway.<sup>84</sup> Increased expression of Pax2 and Sall1, required for normal kidney development, as well as cell cycle–regulatory genes *N-myc* and cyclin D1, were observed in *Shh*-deficient kidneys<sup>84</sup> Interestingly, the Shhdeficient kidney phenotype was rescued by inhibiting signaling through *Gli3*, providing genetic confirmation that *Gli3* is a regulator of the Shh pathway.<sup>84</sup>

Deficiency in  $\alpha_3\beta_1$  integrin, discussed above with respect to its role in glomerular development, also results in a poorly formed papilla, with fewer collecting ducts and increased interstitium.<sup>75</sup>  $\alpha_3\beta_1$  integrin is expressed in the ureteric bud and collecting ducts.<sup>85</sup> In vitro,  $\alpha_3\beta_1$  integrin seems to have a role both in cell-matrix and cell-cell interaction,<sup>86</sup> but the latter role has not been verified in vivo, although  $\alpha_3\beta_1$  integrin is expressed basolaterally in developing tubules, consistent with both roles. Because integrins are known to signal in coordination with growth factor receptors, it will be of interest to determine whether  $\alpha_3\beta_1$  integrin is involved in any of the signaling pathways discussed in this section.

#### **Positioning of the Ureteric Bud**

A final aspect of kidney development that is of great relevance to renal and urologic congenital defects in humans relates to the positioning of the ureteric bud. Incorrect position of the bud, or duplication of the bud, results in abnormally shaped kidneys, and/or incorrect insertion of the ureter into the bladder, and resultant ureteral reflux that can predispose to infection and scarring of the kidneys and urologic tract.

FoxC1 is a transcription factor of the forkhead family, expressed in the intermediate mesoderm and the metanephric mesenchyme adjacent to the wolffian duct. In the absence of Foxc1, the expression of GDNF adjacent to the wolffian duct is less restricted than in wild-type embryos, and the resulting ectopic ureteric buds give rise to duplex ureters, one of which is a hydroureter, and to hypoplastic kidneys.<sup>87</sup> Additional molecules that regulate the location of ureteric bud outgrowth are Slit2 and Robo2, signaling molecules most well known for their role in axon guidance in the developing nervous system. Slit2 is a secreted factor, and Robo2 is its receptor. Slit2 is mainly expressed in the wolffian duct, whereas Robo2 is expressed in the mesenchyme.<sup>88</sup> Embryos deficient in either Slit2 or Robo2 have ectopic ureteric buds, similar to the Foxc1 mutant. Dissimilar to the Foxc1 phenotype was the observation that none of the ureters in Slit2/Robo2 mutants failed to undergo the normal remodeling that results in insertion in the bladder; instead, the ureters remained connected to the nephric duct.<sup>88</sup> The domain of GDNF expression is expanded anteriorly in the absence of either Slit2 or Robo2. The expression of Pax2, Eya1, and Foxc1, all thought to regulate GDNF expression, was not dramatically different in the absence of Slit2 or Robo2, suggesting that Slit/Robo signaling was not upstream of these genes. It is possible that Slit/Robo signaling is regulating the point of ureteric bud outgrowth, by regulating the GDNF expression domain downstream of Pax2 or Eya1. An alternative explanation is that Slit/Robo are acting independently of GDNF and that the expanded GDNF domain is a response to, rather than a cause of, ectopic ureteric buds.

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### **SECTION 2**

# **Genetic Disorders of Renal Growth and Structure**

### Chapter 5

## Hereditary Disorders of Renal and Urogenital Development

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# DEVELOPMENTAL DEFECTS OF THE KIDNEY AND URINARY TRACT

Human congenital malformations affecting the kidney and genitourinary tract are among the most common structural birth defects, and they are responsible for considerable morbidity and mortality.<sup>1,2</sup> These disorders account for the majority of cases of childhood renal failure, where more than 63% of babies with bilateral renal dysgenesis or agenesis die before the age of 1 year.<sup>3</sup> Importantly, some of these anomalies, although they originate during embryogenesis, may not be clinically apparent until adulthood when they cause serious impairment in renal function and elevated blood pressure. In this review we will focus on human syndromes that produce common developmental defects of the kidney and urinary tract, including the spectrum of renal hypoplasia/agenesis, renal dysplasia, vesicoureteral reflux (VUR), and pelviureteral junction obstruction (PUJO). Congenital anomalies affecting the renal vasculature have received less attention in the nephrology literature, and thus we have included a section on these important disorders that highlights some pertinent advances in vascular biology. Renal cystic diseases and inherited tubular and glomerular disorders will not be discussed here, because they are addressed elsewhere in this volume.

In the last decade there have been significant advances in our understanding of the molecular genetic basis of structural birth defects, including those involving the kidney and urinary tract. Our knowledge of these disorders has benefited from the Human Genome Project, the ability to perform increasingly sophisticated genetic manipulations in the mouse, and use of other model systems to study the kidney. Thus, whereas this review will focus on human syndromes associated with kidney and urinary tract malformations for which there are genetic, embryological, and biochemical data, we will draw heavily on work on model organisms, especially the mouse, to elucidate the molecular pathogenesis. Moreover, it is possible that some of the genes that are disrupted in monogenic syndromes that result in developmental defects in the kidney may also be good candidates for susceptibility loci for chronic kidney failure progression and hypertension. Thus, analysis of relatively rare monogenic syndromes not only is a useful strategy for understanding these disorders themselves but most likely also for gaining a broader insight into the pathogenesis of more common kidney diseases that do not exhibit simple mendelian inheritance.

#### CLINICAL MANIFESTATIONS AND MECHANISMS OF KIDNEY MALFORMATIONS

The advent of routine ultrasonography during pregnancy in developed countries has led to increased prenatal detection of congenital anomalies of the kidney and urinary tract. Congenital hydronephrosis is the most common anomaly identified by this means, although renal hypoplasia, dysplasia, agenesis, cystic diseases, and lower urinary tract anomalies can also be seen. In addition, oligohydramnios can be an important sign of significantly reduced renal function in the fetus and can lead to neonatal respiratory failure (Potter sequence). However, improvement in neonatal care and renal replacement therapy have resulted in improved survival of infants and children who have structural defects involving the kidney and urinary tract, bringing these conditions to the attention of clinicians more frequently.<sup>4</sup> In addition, it has been suggested that a reduction in nephron number as a consequence of impaired nephrogenesis may represent a risk factor for renal failure and hypertension in adulthood.<sup>5</sup> This type of quantitative defect may not be readily detected clinically if hypoplasia of only a moderate degree is present, yet it may still have important clinical implications.

Although detection of some anomalies occurs at the time of antenatal ultrasound, in many cases clinical manifestations only become apparent postnatally or later in childhood. Failure to thrive is a common complication of childhood renal failure. Other manifestations include palpable abdominal masses due to obstructed kidneys, concentrating defects resulting in enuresis, electrolyte disorders, dehydration, hypertension, and urinary tract infections. In more than half of babies with kidney abnormalities, extrarenal manifestations are found and often characterize a syndrome.<sup>1</sup> In addition, specific chromosomal abnormalities may be found with multiple congenital anomalies that also involve the kidney and urinary tract (Table 5-1). Although this chapter will not review extrarenal manifestations in detail, in our discussion of specific syndromes and the accompanying table (Table 5-2) we have highlighted organ system anomalies that are frequently asso-

Table 5-1	Chromosomal	Abnormalities	Associated	with	Renal
Developm	ental Disorders				

Syndrome	Renal Defects
Cat eye Partial tetrasomy 22	Agenesis/hypoplasia, hydronephrosis, supernumerary kidneys
DiGeorge 22q11.2 deletion	Agenesis, hypodysplasia, VUR*
Trisomy 18	VACTERL phenotype
Turner XO	Agenesis, cystic dysplasia, horseshoe kidney, malrotation, duplication
Williams 7q11.23 deletion	Agenesis, ectopia, VUR, renovascular hypertension

\*These renal phenotypes are specifically attributed to a deletion in the *GATA3* gene (see "HDR syndrome" section). VUR, vesicoureteral reflux. ciated with kidney and urinary tract malformations. These phenotypic associations are a potential guide to clinical situations that may prompt screening for kidney and urinary tract disorders. In other instances, an index case leads to screening of family members, some of whom may be affected, albeit with lesser severity, as is commonly seen for VUR. Because there can be considerable variability in expressivity of phenotypes in genetic syndromes, including asymptomatic involvement, screening of family members can be an important means of case finding and may help identify individuals at risk for renal failure and hypertension.

In addition to figuring prominently in human congenital birth defects, the kidney has long been regarded as a premiere model system to study organogenesis and inductive signaling between epithelium and mesenchyme. Classic studies by Grobstein and Saxèn established metanephric organ culture as a critical tool to study nephrogenesis.<sup>5a,6</sup> Landmark observations by Edith Potter characterized the embryological findings in normal and abnormal kidney and urinary tracts in human pathological specimens.<sup>7</sup> Collectively, these pioneering studies have provided a framework for understanding the developmental mechanisms that cause congenital anomalies of the kidney and urinary tract. Several excellent reviews are available that summarize recent exciting findings in the field.<sup>8–12</sup> Below we will discuss the key developmental steps that may be disrupted in the syndromes described later in this chapter.

To understand the developmental mechanisms that lead to anomalies of the kidney and urinary tract we first provide a brief overview of nephrogenesis and highlight the developmental defects to be discussed (Fig. 5-1). The urogenital system is derived predominantly from the intermediate mesoderm of the early embryo (reviewed by Saxen<sup>6</sup>). The earliest morphological event in this process is epithelial transformation of the intermediate mesoderm to form the nephric (wolffian) duct, which extends rostrocaudally in the embryo adjacent to a tract of mesoderm, the nephrogenic cord. The nephric duct induces

Table 5-2 Syndromes That Combine Extrarenal Defects with Kidney and Urinary Tract Abnormalities

Hearing Loss	Ocular	Skeletal/Limb	Heart	Genital Tract
Branchio-oto-renal	Alagille	Cornelia de Lange	Alagille	ATR-X
CHARGE	Branchio-oto-renal	Ectodermal dyplasia	Cat eye	CHARGE
Cockayne	Cat eye	Fraser	CHARGE	Fanconi anemia (males)
Cornelia de Lange	CHARGE	Hand-foot-genital/uterus	Cornelia de Lange	Fraser
Fraser	Cockayne	Okihiro/Acrorenal	DiGeorge	Hand-foot-genital/uterus
Hypoparathyroidism- deafness-renal	Fraser	Pallister Hall	Okihiro/Holt-Oram	Kallmann
Kallmann	Okihiro	Rubenstein Taybi	Simpson-Golabi-Behmel	Mayer-Rokitansky-Kuster-Hauser
Okihiro		Smith-Lemli-Opitz	Townes Brocks	MURCS
Renal coloboma		Townes Brocks	Turner	Roberts
Townes Brocks		VATER/VACTERL; Fanconi anemia	VATER/VACTERL	Smith-Lemli-Opitz
			Williams	Townes Brocks
				Turner

MURCS, müllerian duct aplasia, unilateral renal agenesis, and cervicothoracic somite anomalies.





the adjacent nephrogenic cord mesenchyme to aggregate and transform into epithelial tubules. During its caudal migration the nephric duct induces three embryonic kidneys in the nephrogenic cord: pronephros, mesonephros, and metanephros, in spatial and temporal sequence. Because of the importance of the mesonephros in reproductive tract development, especially in the male, genital duct anomalies may be associated with kidney and urinary tract malformations in some syndromes.

When the nephric duct reaches the level of the developing hind limb, it gives rise to a caudal diverticulum, the ureteric bud, which invades the surrounding metanephric mesenchyme (blastema) at embryonic day 11 (E11) in the mouse and week 4 in humans. Subsequently, the ureter invades the mesenchyme and undergoes branching morphogenesis. Reciprocal inductive interactions between the ureter and blastema lead to formation of all the nephrons that compose the metanephros, the definitive adult kidney. Ultimately, formation of the metanephric kidney therefore depends on initial transformation of intermediate mesoderm to form the nephric duct and its caudal extension to the cloaca where it gives rise to the ureteric bud. Thus, if there is a defect in formation of the nephric duct, the ureteric bud will not grow out and invade the mesenchyme, resulting in renal agenesis. For example, in Pax2 homozygous mutants, the nephric duct does not extend to the cloaca and ultimately causes complete renal agenesis.<sup>13,14</sup> Even if the nephric duct elongates appropriately, an intrinsic defect in this tissue can prevent outgrowth of the ureter as seen in Ret<sup>-/-</sup> mice.<sup>15,16</sup>

Abnormalities in the nephric duct or its surrounding undifferentiated mesenchyme may result in ectopic budding of the ureter. This defect could also result in renal hypodysplasia or agenesis, because contact with the mesenchyme is completely or partially impaired. Formation of more than one bud on the nephric duct can lead to *duplications* and *crossed ectopias*, as seen in several mouse mutants.<sup>17–19</sup> Moreover, it has been postulated that ectopic budding of the ureter may in some cases account for a broad range of developmental defects involving the kidney and urinary tract (CAKUT; see "Obstruction, Hydronephrosis, Hydroureter, and Vesicoureteral Reflux").

Defects in the metanephric mesenchyme can also lead to agenesis. The metanephric mesenchyme (blastema) is specified in the posterior intermediate mesoderm before induction by the ureteric bud. By E10.5 to E11.0 in the mouse, it appears as a morphologically discrete group of cells that can be distinguished by expression of unique molecular markers, such as Pax2, Wt1, and Eya1.6,13,14,20,21 Specification and competency of the mesenchyme is established independent of contact with the ureteric bud.13 In mice with conditional inactivation of both Fgfr1 and Fgfr2 in the mesenchyme, there is no morphologically discrete blastema formed and renal agenesis is observed.<sup>22</sup> Although a distinct metanephric mesenchyme can be observed morphologically in Wt1-/mutants, it is not competent to respond to inductive signals, suggesting an intrinsic or autonomous defect.<sup>23</sup> Thus, if metanephric mesenchyme does not form or is not fully competent to respond to inducer, the ureter cannot induce the blastema, and expected outcomes would be renal agenesis or hypodysplasia.

Signals from the metanephric mesenchyme are required for outgrowth of the ureteric bud. For example, glial cell line– derived neurotrophic factor (GDNF) is secreted by the metanephric mesenchyme and signals to the ureteric bud via its receptors, RET and GFRa1. Absence of this critical mesenchymal signal results in renal agenesis, because the ureteric bud does not grow out, even though there is no intrinsic defect in the nephric duct.<sup>24,25</sup> Thus, the absence of mesenchymal signals to the nephric duct that regulate outgrowth of the ureter can lead to renal agenesis. Recent studies also suggest that distinct molecular events control proper invasion and initial branching of the ureter within the metanephric mesenchyme subsequent to its initial outgrowth from the nephric duct. These events may be cell autonomous in the ureter<sup>26</sup> or depend on signals from the mesenchyme.<sup>27</sup> An example of the latter is the Sall1 mutant where there is outgrowth of the ureter from the nephric duct. but nephrogenesis arrests early because invasion and initial branching fails (S.K. and M.R., unpublished observations). Thus, disruption of steps that control invasion of the mesenchyme subsequent to the initial outgrowth of the ureter can also lead to agenesis and hypodysplasia.

After invasion and initial branching of the ureter within the metanephric blastema, a series of branching events occurs. The developmental and molecular genetic controls of this process are well described in recent reviews.<sup>10,28</sup> Ureteric tips generated by subsequent branching events each induce a cap of mesenchyme to undergo mesenchymal-to-epithelial transformation and differentiation into nephrons. This iterative branching of the ureteric bud occurs for about 15 generations and leads to formation of 300,000 to 1,000,000 nephrons in humans.<sup>29</sup> Thus, there is a direct quantitative relationship between branching of the ureter and nephron number. Impaired branching morphogenesis is an important mechanism leading to renal hypoplasia, especially in cases of pure hypoplasia (oligomeganephronia or OMN) without dysplasia. Both defects intrinsic to the ureter and altered signaling from mesenchyme to the branching ureter have been implicated.<sup>15,16,24,25,30,31</sup> Pure renal hypoplasia does occur and, if severe, is termed OMN, a condition that typically leads to secondary focal glomerulosclerosis and renal failure. More commonly, renal hypoplasia is usually associated with some degree of dysplasia both in humans and mouse models, implicating a defect in differentiation of the mesenchyme alone or in combination with a reduction in branching.

Maturation of the ureter is less well understood, although some recent studies have reinvigorated this field.<sup>32,33</sup> Clinically, these disorders are common and are increasingly recognized by finding congenital hydronephrosis during obstetrical ultrasound. In utero obstruction at any level in the urinary tract can significantly impair normal growth and differentiation of the kidney.<sup>34-36</sup> Both anatomical lesions causing mechanical obstruction to urine flow and functional obstruction due to impaired peristalsis can result in severely hypodysplastic kidneys, atrophic kidneys, or enlarged multicystic dysplastic kidneys (MCDK) with minimal or no function. In some cases these kidneys may involute and present as "renal agenesis."37 Thus, severe obstruction to urine flow in the fetus is a cause of hypodysplasia and may lead secondarily to atrophy of the kidney. PUJO and VUR are among the most common defects affecting the urinary tract, and recent studies have advanced our understanding of these disorders (Fig. 5-2). The portion of the elongating ureteric bud that does not invade the metanephric mesenchyme forms the ureter, the muscular conduit that moves urine from the kidney to the bladder. At the distal end, the ureter is initially connected to the urogenital sinus



**Figure 5-2** Developmental defects of ureter maturation. **A**, Normal urine flow from the kidney requires an intact junction between ureter and pelvis, patent ureter with normal peristalsis, proper insertion of the distal ureters into the bladder, functional bladder, and patent urethra. **B**, Congenital obstruction of the urinary tract occurs most commonly at the pelviureteral junction (PUJ; 1/1500 live births) due to extrinsic compression or abnormal formation of smooth muscle. Ureteral strictures, aperistaltic segments of the ureter near the ureterovesical junction (UVJ), and posterior urethral valves (PUV) in male infants (1/6,500 live births) also cause obstructive uropathy. **C**, Contrast-enhanced computed tomography scan of 5-year-old boy with recurrent abdominal pain showing notching of proximal left ureter (*arrowhead*) and hydronephrosis. **D**, Proper insertion of the ureters into the trigone of the bladder demonstrated by *HoxB7-GFP* transgenic mice. (**E**) Unilateral short intravesical insertion (*arrow*) of the right ureter leading to unilateral vesicoureteral reflux in these mice. (**F**) Voiding cystourethrography demonstrating grade III reflux in an 11-month-old boy (**C**, From McDaniel BB, Jones RA, Scherz H, et al: Dynamic contrast-enhanced MR urography in the evaluation of pediatric hydronephrosis: Part 2, anatomic and functional assessment of uteropelvic junction obstruction. Am J Roentgenol 185:1608, 2005. **D**, Adapted from Murawski IJ, Gupta IR: Vesicoureteric reflux and renal malformations: A developmental problem. Clin Genet 69:105, 2006. **F**, From Lee SK, Chang Y, Park NH, et al: Magnetic resonance voiding cystography in the diagnosis of vesicoureteral reflux: Comparative study with voiding cystourethrography J Magn Reson Imaging 21:406, 2005.)

(future bladder) via the common nephric duct. However, this connection is severed during normal development, and the ureter becomes directly connected to the bladder. *Improper insertion of the distal ureter into the bladder can lead to obstruc-tion at the uterovesical junction and VUR*.

At the proximal end, the pelviureteral junction connects the ureter to the kidney. Corticomedullary patterning occurs relatively late in development and involves remodeling of the initial five or six branches of the ureter to form the renal calyces and pelvis. Conduction of urine down the ureter is initiated by contraction of the renal pelvis. Normal peristalsis of urine depends on proper differentiation of the smooth muscle in the pelvis, where the pacemaker cells are located,

#### and in the ureter. Thus, disruption of smooth muscle formation is an important mechanism of functional obstruction of the urinary tract.

Numerous studies have established a mechanistic framework for understanding developmental defects of the kidney and urinary tract. In the sections that follow, we have not attempted to duplicate an exhaustive overview of the more than 100 syndromes that may involve kidney and urinary tract anomalies.<sup>38</sup> Rather, we discuss specific human syndromes with a goal of highlighting emerging concepts that provide a molecular genetic basis for these congenital disorders and provide new insights into the pathogenesis of their clinical manifestations.

#### TRANSCRIPTIONAL REGULATORS THAT CAUSE KIDNEY DEFECTS

Proper development of any organ, including the kidney, requires sequentially regulated gene expression. This exquisite regulation is largely carried out by transcription factors that bind unique sequences in promoter regions of target genes and activate or repress transcription through multiprotein co-regulatory complexes (Fig. 5-3). Mutations in a single transcription factor can affect the proper expression of many target genes in different tissues and often lead to multiorgan congenital anomaly syndromes. These are discussed below (Table 5-3). In the next section we expand this list to include those genes that act cooperatively with transcription factors by modifying chromatin structure.

#### **Renal Coloboma Syndrome**

Renal coloboma syndrome (RCS; OMIM 120330) is an autosomal dominant syndrome characterized by optic nerve coloboma, renal hypoplasia, and VUR that is caused by mutations in the transcription factor *PAX2*. PAX2 and its eight other family members have been defined by the presence of a highly conserved 128–amino acid paired-box domain in the N-terminal portion of the protein. This domain binds to DNA to allow PAX2 to regulate gene expression of downstream genes during organogenesis. *Pax* genes are highly conserved and are associated with multiple congenital anomalies including Waardenbug syndrome (*PAX3*), aniridia (*PAX6*), and congenital hypothyroidism (*PAX8*).<sup>39</sup>

Deletion of one functional copy of *PAX2* in RCS causes widely varying renal phenotypes ranging from slightly affected

to unilateral renal agenesis. RCS patients are identified for *PAX2* mutational analysis by their optic nerve colobomas, proteinuria, and renal insufficiency, and often also have small kidneys (68%), VUR (26%), and high-frequency hearing loss (16%). *PAX2* mutations have also been found in persons with OMN or bilateral renal hypoplasia with no dysplasia (Fig. 5-4), no lower urinary tract anomalies, and no eye malformations.<sup>40</sup> These individuals show a striking reduction in the number of nephrons and compensatory hypertrophy of glomeruli but otherwise have normal kidneys. All affected OMN children develop progressive renal failure leading to end-stage renal disease before mid-adolescence.<sup>41</sup>

Also affecting renal function in individuals with RCS is VUR. PAX2 is expressed throughout the ureter; therefore, a mutation in *PAX2* could also cause malformations at the junction of the bladder and ureter. VUR has been documented in some individuals with RCS<sup>39</sup> and would contribute to renal insufficiency in these patients. Obstruction of the ureter may also occur during embryogenesis in RCS, because a recent patient has been documented with MCDK, which is thought to often arise from congenital ureteral obstruction during early nephrogenesis.<sup>42</sup>

The importance of PAX2 in kidney development has been well established using mouse models. Three mouse models have been described including a large chromosomal deletion of *Pax2* and 7 cM of surrounding sequence in the Krd mouse<sup>43</sup>; *Pax2<sup>1Neu</sup>*, a 1–base pair insertion that mimics a documented RCS mutation<sup>44</sup>; and *Pax2*-null, a targeted deletion.<sup>14</sup> Deletion of both wild-type copies of *Pax2* results in renal agenesis caused by failure to form the ureteric bud.<sup>14</sup> In the developing kidney, Pax2 is expressed in the wolffian duct, ureteric bud, and metanephric mesenchyme.<sup>45</sup> The loss of Pax2 expression



**Figure 5-3** Transcriptional regulation and chromatin remodeling. DNA (*black lines*) associates with histones and other proteins to form nucleosomes (*light blue cylinders*). Different modifications of histone tails such as lysine acetylation (*blue*) or deacetylation (*gray*) turns transcription on or off. Mutations in classical sequence-specific transcription factors (TF) are common causes of congenital anomaly syndromes involving the kidney. Regulation of gene expression is mediated by multiprotein coactivator (*gray*) and co-repressor (*blue*) complexes that are recruited by the TF and modify chromatin structure. Recent studies have shown that components of these chromatin-remodeling complexes are also mutated in developmental defects of the kidney and urinary tract.

Syndrome	Inheritance	Gene	Characteristic Features	Renal Phenotypes	Mechanism of Action
Alagille	Autosomal dominant	JAG1	Liver disease, cardiac defects, facial deformity	Agenesis, hypoplasia, cystic dysplasia, PUJO, VUR	Haploinsufficiency
Branchio-oto-renal	Autosomal dominant	EYA-1, SIX-1	Branchial fistula, hearing loss, renal abnormalities	Hypoplasia, VUR	Haploinsufficiency
Hypoparathyroidism- deafness-renal	Autosomal dominant	GATA3	Hypoparathyroidism, hearing loss, renal abnormalities	Agenesis, hypodysplasia, VUR	Haploinsufficiency
Maturity-onset diabetes of the young type V	Autosomal dominant	HNF1β	Early type II diabetes, renal abnormalities	Cystic dysplasia, hypoplasia, glomerulocystic, OMN, agenesis	Haploinsufficiency and gain of function
Okihiro	Autosomal dominant	SALL4	Radial limb defects, Duane eye anomaly	Pelvic or horseshoe kidney, hypoplasia, VUR	Haploinsufficiency
Pallister-Hall	Autosomal dominant	GLI3	Polydactyly, imperforate anus, hypothalamic hamartoma	Agenesis, hydronephrosis, hydroureter, renal ectopia, horseshoe kidney	Dominant truncated protein
Renal coloboma	Autosomal dominant	PAX2	Optic nerve coloboma, renal abnormalities	Hypoplasia,VUR, OMN	Haploinsufficiency
Townes Brocks	Autosomal dominant	SALL1	Hearing loss, triphalangeal thumb, imperforate anus	Agenesis, hypoplasia, posterior urethral valves, VUR, meatal stenosis	Dominant truncated protein and haploinsufficiency

Tab	e 5-3	Mutations in	Transcription Factor	rs Associated	with Kid	ney and	l Urinar	y Tract De	fects
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OMN, oligomeganephronia; PUJO, pelviureteral junction obstruction; VUR, vesicoureteral reflux.

causes the wolffian duct to degenerate at E10.5 when the ureteric bud should begin to form.<sup>14</sup> Other tissues derived from the wolffian duct, oviducts, uterus, vagina, epididymis, vas deferens, and seminal vesicles are also not formed, suggesting that *Pax2* is required for proper development of the distal wolffian duct.

The two Pax genes, Pax2 and Pax8, have been termed the "master regulators" of nephric development of all three embryonic kidneys-pronephros, mesonephros, and metanaphros-which fail to form when both genes are removed.46 Deletion of *Pax8* alone has no kidney phenotype<sup>47</sup>; therefore, it appears that Pax2 can completely compensate for the loss of Pax8 in the kidney. Pax2 is not required for formation of the proximal nephric duct, but, as discussed above, the definitive metanephric kidney and other distal wolffian duct structures are not formed.<sup>14,44</sup> The uninduced metanephric mesenchyme is present as a discrete cluster of cells in Pax2-null embryos, suggesting that *Pax2* plays a role in this tissue after induction by the ureteric bud but is not required for specification of the metanephric mesenchyme.<sup>14</sup> Confirming its role as a master regulator of kidney development, Pax2 is able to induce nephric (wolffian) duct and mesenchymal condensate structures when ectopically expressed in chick embryo mesoderm.<sup>46</sup> The specification of the nephric lineage by Pax2 and *Pax8* underscores the fundamental role of *Pax* genes in determination of a variety of cell lineages including B lymphocytes (*Pax5*), insulin-producing  $\beta$  cells, and somotostatin-expressing  $\delta$  cells in the pancreas (*Pax4*), and glucagon-synthesizing  $\alpha$  cells (*Pax6*) (for review, see Bouchard et al<sup>48</sup>).

Renal hypoplasia found in individuals with RCS and OMN points to a role for PAX2 in determining nephron number by controlling the extent of arborization of the ureteric bud during development.49 Most of the documented PAX2 mutations occur within the paired-box domain, and all are predicted to result in loss of functional PAX2 protein from the mutant allele. One wild-type allele and one mutant allele would therefore produce less than the normal amount of functional PAX2 protein in persons with RCS. This type of dosage sensitivity is termed haploinsufficiency. Haploinsufficiency of Pax2 causes ureteric bud branches to undergo apoptosis. This results in a 30% to 40% reduction in early nephrogenic epithelial structures in Pax2<sup>1Neu</sup> mice.<sup>50</sup> This reduction can be partially rescued by the apoptosis inhibitor V-VAD-fmk, which itself is capable of promoting increased branching in normal kidneys.<sup>51</sup> Reduced nephron number correlates with increased risk of hypertension and susceptibility to renal disease in humans.<sup>5</sup> Severe renal hypoplasia observed in RCS accounts for the high incidence of renal failure in many of these patients.<sup>39</sup>



**Figure 5-4** Oligomeganephronia. *PAX2* and *HNF1*β mutations can cause a severe reduction in the number of nephrons, but those that form are normal. (**A**, **B**) Normal gross appearance and renal histology (×25) from an 18-month-old baby. (**C**, **D**) Gross appearance and renal histopathology from a 2-year-old child with a severely hypoplastic kidney. Histopathology (×25) demonstrated markedly enlarged glomeruli without evidence of dysplasia consistent with oligomeganephronia. (Courtesy of M.C. Gubler, Hospital Necker—Enfants Malades, Universite Rene Descartes, Paris.)

#### Hypoparathyroidism, Deafness, and Renal Anomaly Syndrome

The syndrome of hypoparathyroidism, deafness, and renal anomaly (HDR; OMIM 146255), also known as Barakat syndrome, was first described in 1977 in two brothers with progressive renal failure, sensorineural deafness, and hypoparathyroidism.<sup>52</sup> These autosomal dominant congenital abnormalities are caused by mutations in the transcription factor GATA3, a potential downstream target of PAX2-PAX8 in the kidney.53 These individuals exhibit deafness and hypoparathyroidism, although hypocalcemia is not always symptomatic. Clinical characterization of patients with HDR demonstrates that kidney and urinary tract anomalies also occur in a high (60% to 80%) percentage of cases and that the phenotypic spectrum is quite large. Renal hypodysplasia and agenesis may occur in about one-third of cases,<sup>54</sup> and severe bilateral hypodysplasia with Potter's sequence has been documented in one patient.<sup>55</sup> Deformities of the pelvicalyceal system and VUR also occur. End-stage renal failure has been reported at a frequency of as many as 25% to 30% in two small series, but some of these cases involved patients beyond 60 years of age, raising the possibility that other co-morbid factors independent of HDR may have contributed to renal failure. Nonetheless, clinically significant kidney involvement is clearly a prominent feature of HDR and an important cause of morbidity.

Detailed studies of patients with a syndrome with overlapping phenotype, DiGeorge syndrome, led to the discovery that *GATA3* haploinsufficiency was responsible for HDR.<sup>56</sup> Analysis of DiGeorge patients carrying deletions of two nonoverlapping regions on chromosome 10p determined that terminal deletions are associated with HDR, whereas more proximal deletions cause heart malformations and T cellmediated immune deficiency. Some families with HDR do not have cytogenetic abnormalities involving 10p or detectable *GATA3* mutations, suggesting that this syndrome may be genetically heterogeneous.<sup>54,56</sup> *GATA3* is found on chromosome 10p and belongs to a family of six zinc-finger transcription factors that play critical roles in embryonic development of multiple organs. The family is defined by the presence of two highly conserved C2-C2 zinc-finger domains, with the Cterminal finger mediating DNA binding and the N-terminal finger functioning to stabilize DNA binding and to interact with other proteins such as the "friends of GATA" (FOG) group.<sup>57,58</sup> FOG acts as a co-activator or co-repressor of GATA factors in different developmental contexts.

Deletions of GATA3 produce typical HDR that is indistinguishable from cases with missense and nonsense mutations. No functional GATA3 transcription factor would be produced by missense mutations that disrupt DNA binding or truncating mutations that are predicted to be degraded by nonsense-mediated decay. Alternatively, truncated proteins could be expressed from some of these mutant alleles, as described for GATA1-associated leukemia in Down syndrome,<sup>59</sup> thereby causing dominant negative or gain-offunction effects. Most truncated proteins would have no ability to bind DNA; however, in a subset of these mutations DNA binding is not affected, but interaction with its co-factor FOG2 (approved gene symbol ZFPM2) is impaired.<sup>60</sup> As observed with other syndromes characterized by haploinsufficiency, there is variable expression of the phenotype even within the same family members with identical mutations,<sup>54</sup> and no genotype-phenotype correlation exists.54,60

During kidney development, GATA3 is expressed in the nephric (wolffian) duct and in the ureteric bud at the stage of its initial outgrowth from the nephric duct, but not in the metanephric mesenchyme. This expression pattern in mice and humans is consistent with the range of phenotypes involving the kidney and ureter.<sup>61</sup> The nephric duct does not extend fully to the level of the cloaca in Gata3-/- mice, resulting in no ureteric bud outgrowth,<sup>53</sup> and this mechanism could account for renal agenesis observed in some HDR patients. However, these individuals may also exhibit hypodysplasia, suggesting that GATA3 is also important later in kidney development. Consistent with this idea, Gata3 expression is detected in the branching ureter that gives rise to the collecting duct and in the extrarenal portion of the ureter.<sup>62,63</sup> Severe renal hypoplasia is observed in Gata3-/- mice that survive past E11.64 A more complete understanding of the potential role of Gata3 in branching morphogenesis awaits further studies with conditional gene inactivation.

#### **Branchio-Oto-Renal Syndrome**

Branchio-oto-renal syndrome (BOR; OMIM 113650) is an autosomal dominant disorder that results in defective formation of the kidney (agenesis, dysplasia, or hypoplasia) and collecting system abnormalities. These defects are observed with incomplete penetrance and variable expressivity and are associated with other developmental abnormalities such as branchial fistula, hearing loss, and ear deformities. In the Drosophila eye, Pax is in a genetic pathway with two other transcriptional regulators, eyes absent and sine oculus (for review see Donner and Maas<sup>65</sup>), and orthologues of these genes, Eya and Six, have been implicated in BOR. Three different loci have been identified, including EYA1 (BOS1, OMIM 602588), SIX1 (BOS3, OMIM 608389), and a locus on chromosome 1 that has not yet been identified (BOS2, OMIM 120502). The Eya and Six family members are transcription factors that act in concert to regulate transcription. Eya proteins contain a potent transactivation domain but are not

capable of binding to target genes alone. Their binding partners, Six proteins, provide sequence-specific DNA binding by virtue of a Six domain and homeodomain. Eya1 also requires binding to a Six family member to translocate from the cytoplasm to the nucleus where the complex could activate downstream genes.<sup>66</sup>

The mutations that cause BOR in humans are found throughout the 16 exons of the EYA1 gene and are thought to result in no EYA protein produced from the mutant allele.<sup>67</sup> Like PAX2 and RCS, haploinsufficiency of EYA1 causes the developmental defects of the syndrome. Some of the EYA1 mutations that cause BOR are missense mutations and could produce a mutant protein; however, these mutant EYA proteins have been shown to be compromised in transactivation ability,<sup>68</sup> in binding to Six proteins,<sup>69</sup> or in the catalytic protein phosphatase activity,68 and could serve as loss-of-function alleles. The only documented SIX1 mutations causing BOR are missense mutations in the six domain (R110W) or homeodomain (Y129C, delE133). These mutations could also serve as loss-of-function alleles, in that they have been shown to render the protein incapable of properly activating transcription when coexpressed with Eya1.70 This loss of function could be due to the inability of mutant Six1 to bind Eya1 or to bind Six-specific consensus sites in DNA.

Both Eya1 and Six1 are expressed in metanephric mesenchyme and play critical roles in kidney development, because mice that are homozygous null for either Eya1 or Six1 do not develop kidneys.<sup>71-73</sup> Loss of either Eya1 or Six1 renders the metanephric mesenchyme incapable of signaling properly to the ureteric bud to initiate outgrowth, invasion, and branching. In contrast to Pax2 mutants, the metanephric mesenchyme does not form in Eval mutants.<sup>21</sup> In Six1 mutants, the metanephric mesenchyme forms and the ureter grows out, but it fails to branch within the mesenchyme.73 The lack of inductive signal by the invading ureter causes both mutant mesenchymes to undergo apoptosis by E12.5, when formation of a definitive kidney should be evident. Because kidney development is arrested at a slightly earlier stage in the Eya1 mutant and these mutants abolish Six1 expression, but Six1 mutants retain proper Eya1 expression, Eya1 may play a role upstream of Six1 in the kidney.73

The roles of Eya1 and Six1 also synergize in the metanephric mesenchyme, because  $Eya1^{+/-}Six1^{+/-}$  double heterozygotes show a significantly higher percentage of agenesis and hypoplasia as compared with either mutation alone.<sup>71,73</sup> The hypoplasia is first detectable at E13.5 when the number of ureteric bud branches is reduced, and is more apparent later in development at E17.5 when a decrease in the number of epithelial tubular structures is apparent in the peripheral nephrogenic zone, where new nephrons form.<sup>73</sup> The observation that these phenotypes are more evident in the double heterozygotes than in animals heterozygous for either Six1 or Eval suggests that these proteins act synergistically to determine nephron number. As has been shown in Drosophila, they could achieve this by acting in a complex to modulate Sixresponsive genes.<sup>74</sup> Both Eya and Six have been detected by chromatin immunoprecipitation on the promoters of the oncogene *c-Myc* and the growth factor gene *GDNF*.<sup>71</sup> Activation of these genes by this complex could both stimulate proliferation of the metanephric mesenchyme and signal the ureteric bud to branch, two processes that could profoundly affect nephron number. Interestingly, the catalytic phosphatase

activity of Eya seems to be critical for its ability to activate transcription and stimulate proliferation, suggesting that phosphorylation controls the activity of this transcriptional complex.<sup>74a</sup>

#### **Townes Brocks Syndrome**

Another gene that may control similar steps in mesenchymal growth and signaling is mutated in a syndrome that has considerable phenotypic overlap with BOR, Townes Brocks syndrome (TBS; OMIM 107480). This autosomal dominant congenital disorder results in hearing loss, ear deformities, imperforate anus, triphalangeal thumb, and renal abnormalities. These birth defects are caused by mutations in the SALL1 gene,<sup>75</sup> which may act downstream of Eya1 and Six1 in development of nephrogenic mesenchyme.73 Carrying one copy of a mutated SALL1 gene leads to renal abnormalities in 60% of individuals with TBS, including renal hypoplasia, unilateral renal agenesis, posterior urethral valves, VUR, and meatal stenosis.<sup>76</sup> Similar to RCS patients, the most common renal abnormality observed in persons with TBS is renal hypoplasia often necessitating renal replacement therapy.<sup>77</sup> The observation that these patients also exhibit lower urinary tract abnormalities suggests that mutant SALL1 expression in the wolffian duct and the urogenital sinus may cause these tissues to develop improperly.

Like Pax, Eva, and Six proteins, Sall proteins are highly conserved from flies to humans and control organogenesis of multiple organs. The SALL1 gene encodes for a transcription factor that contains zinc-finger domains arranged in doublets that are thought to bind DNA via a highly conserved sequence in the second member of each doublet. Binding to the promoter regions of SALL1-responsive genes could repress transcription of these genes via an N-terminal repression domain that recruits a histone-deacetylase repression complex.78,79 Most of the mutations that cause TBS are clustered around the first double zinc-finger domain. All encode truncating or frameshift mutations that, like RCS and BOR, were thought to produce no functional protein from the mutant allele. However, recent evidence contradicts this hypothesis by showing that a mouse that mimics a mutation found in TBS produces a truncated protein that could act in a dominant negative or gain-of-function fashion.<sup>80</sup> A Sall1-null allele exhibits an exclusively renal phenotype,<sup>27</sup> whereas this mouse model, *Sall1-\Delta Zn*, recapitulates all of the characteristic phenotypes of TBS including hearing loss, imperforate anus, limb defects, and kidney abnormalities. Some of these defects, including high-frequency hearing loss and hypoplastic cystic kidneys, are observed in mice carrying one copy of the Sall1- $\Delta Zn$  allele. This bears a striking similarity to these same abnormalities observed in humans with a single dominant mutant allele of SALL1 and underscores the likelihood that TBS is due to dominant effects of a truncated SALL1 protein. Milder TBS phenotypes have recently been reported in three patients with heterozygous deletions of the SALL1 gene,<sup>81</sup> suggesting that both haploinsufficiency and dominant truncated protein expression are important in the pathogenesis of TBS.

We are just beginning to understand how SALL1 functions normally and how it causes TBS abnormalities. It clearly plays an important role in kidney development, because homozygous *Sall1*-null and *Sall1*- $\Delta Zn$  mice lack kidneys. Like *Pax2*, it is expressed in both the wolffian duct and the metanephric mesenchyme; however, it is the lack of Sall1 in the mesenchyme that is thought to disrupt kidney development. The ureteric bud grows out from a Sall1-deficient wolffian duct but fails to properly invade the metanephric mesenchyme.<sup>27</sup> Metanephric mesenchyme is formed, but it is smaller and fails to be properly induced by the ureteric bud. As a consequence, kidneys are absent bilaterally (44.4%) or unilaterally (38.9%). The kidneys that do form are extremely small and show disorganized cortical structure, shrunken glomeruli, necrotic proximal tubules, and multiple cysts. This phenotype is more severe in Sall1- $\Delta Zn$  homozygous mice, where the ureter grows out but does not initiate branching, resulting in complete bilateral renal agenesis.<sup>80</sup> Furthermore, similar to TBS patients, mice carrying one copy of the Sall1- $\Delta Zn$  allele exhibit renal hypoplasia and cysts. These more severe phenotypes may be due to dominant negative interference of all Sall family members, because truncated Sall1 can bind to the other Sall family members and thereby alter function from these transcription factors and their downstream targets. Further analysis of this model should suggest the precise molecular mechanism by which the truncated protein exerts its deleterious effects on kidney, ear, limb, and anal development.

#### **Okihiro Syndrome**

Mutations in a different SALL family member, *SALL4*, cause a syndrome that is similar to TBS.<sup>82,83</sup> Okihiro or Duane-Radial Ray syndrome (OMIM 607323) is an autosomal dominant disorder characterized by radial limb anomalies and Duane anomaly, an eye movement disorder involving limitation of abduction. Kidney malformations including pelvic kidney, horseshoe kidney, hypoplasia, and VUR are often reported, particularly in a cohort of patients who were previously diagnosed as having "acro-renal-ocular" syndrome.<sup>84,85</sup> Similar to TBS, these individuals also commonly exhibit ear defects including deafness, and heart anomalies, including ventricular septal defect, are more often observed in individuals with Okihiro syndrome.

Identification of SALL4 as the gene mutated in Okihiro syndrome has clarified some clinical overlap in the diagnosis of individuals with Holt-Oram syndrome (OMIM 142900). This syndrome is caused by mutations in the gene for the transcription factor TBX5 and exhibits strikingly similar defects to Okihiro syndrome including limb defects and heart malformations. These patients do not exhibit Duane's anomaly and are therefore diagnosed with Holt-Oram; however, approximately 60% of these individuals do not have TBX5 mutations.<sup>86</sup> A subset of these clinically diagnosed patients has been found to have mutations in SALL4 and have been reclassified by genetic analysis as having Okihiro syndrome. Recently a molecular basis for this clinical overlap has been identified by Koshiba-Takeuchi et al,87 who found that Sall4 and Tbx5 interact physically and genetically to affect development of the heart and limb. The cooperative association between Sall4 and Tbx5 during limb and heart morphogenesis gives a clear rationale for the phenotypic overlap of these two syndromes and suggests that other Holt-Oram loci may be identified in the TBX-SALL genetic pathway.

The mutations that cause Okihiro syndrome are found throughout the *SALL4* gene.<sup>88</sup> Most are nonsense or frame-shift mutations that could encode for a mutant protein as is hypothesized for TBS. However, patients with Okihiro

syndrome have been described who carry chromosomal deletions of the *SALL4* gene, suggesting that this syndrome is caused by haploinsufficiency. One missense mutation has been described in a family that exhibits atypical symptoms including midline defects such as pituitary hypoplasia and a single central incisor.<sup>89</sup> This mutation is located within a conserved C-terminal zinc finger and would be expected to alter DNA binding. A second family carrying a nonsense mutation that would produce a protein lacking this same zinc-finger motif displays more typical Okihiro phenotypes with milder limb abnormalities, suggesting that there may be both gain-offunction and loss-of-function mutations for this syndrome.<sup>90</sup>

The *Sall4* mouse models support a loss of function mechanism. Mice heterozygous for a *Sall4* truncating allele<sup>87</sup> or a *Sall4-null* allele<sup>90a</sup> recapitulate most features of Okihiro syndrome including digit abnormalities and heart defects, but not renal defects. Renal hypoplasia and agenesis were reported in 40% of *Sall1/Sall4* double heterozygous mice indicating a functional redundancy of these genes in kidney development.<sup>90a</sup> However, a recent report describes similar kidney defects in a different *Sall4<sup>+/-</sup>* mutant allele demonstrating that kidney development can be perturbed by Sall4 haploinsufficiency.<sup>90b</sup> This discrepancy between the two reported *Sall4null* alleles is likely attributable to genetic background effects.

#### **Pallister-Hall Syndrome**

Mutations in another transcription factor, GLI3, which is a key mediator of sonic hedgehog (Shh) signaling, cause the autosomal dominant syndrome Pallister-Hall (PHS; OMIM 146510).<sup>91</sup> This disorder is characterized by postaxial or insertional polydactyly, imperforate anus, hypothalamic hamartoma, and a variety of renal abnormalities.<sup>92</sup> Unilateral or bilateral renal agenesis, dysplasia, hydronephrosis, hydroureter, renal ectopia, and horseshoe kidney have all been described.93,94 Mutations in GLI3 are associated with PHS and four other autosomal dominant syndromes, including Greig cephalopolysyndactyly syndrome (OMIM 175700) and three types of isolated polydactyly; however, none of these other syndromes include kidney or urinary tract malformations. This apparent discrepancy was resolved by the realization that mutations in GLI3 that cause PHS would produce truncated proteins that terminate just after the DNA binding domain that could act as constitutive transcriptional repressors.<sup>92</sup> Therefore, like TBS, PHS is due to dominant effects of a truncated protein. In contrast, loss-of-function mutations and gene deletions support the conclusion that Greig cephalopolysyndactyly syndrome is due to GLI3 haploinsufficiency.

Both targeted and natural alleles of *Gli3* in mice demonstrate that C-terminally truncated GLI3 mutant proteins, but not null alleles, result in PHS phenotypes including renal malformations.<sup>95</sup> *GLI3* is an orthologue of *Drosophila* cubitus interruptus, a key transducer of the Shh signal within the cell (for review see Villavicencio et al<sup>96</sup>). In the presence of Shh, *GLI3* activates downstream target genes, whereas in the absence of Shh, *GLI3* is proteolytically cleaved and represses transcription of those same genes. Documented PHS mutations would produce truncated GLI3 protein that would act as a constitutive repressor (*GLI3-R*) and could downregulate *GLI3-responsive* genes regardless of the presence of a Shh signal. A PHS mouse model, *Gli3<sup>Δ699</sup>*, has been produced that generates a truncated *Gli3* protein and exhibits PHS phenotypes including severe renal hypoplasia and agenesis.<sup>95</sup> In contrast, *Gli3*-null mice have normal kidneys. *Gli2/Gli3* double mutants exhibit horseshoe kidney, suggesting that other *GLI* genes can compensate for the loss of *GLI3* in the kidney in *GLI3* haploinsufficient syndromes.<sup>97</sup> Unfortunately, other than a report showing that *Gli1*, *Gli2*, and *Gli3* are expressed in developing kidney.<sup>98</sup> more detailed studies on the role of *Gli* genes in kidney development are lacking.

Several lines of evidence suggest that disruption of Shh signaling can account at least in part for the PHS phenotypes, including those involving the kidney. *Shh*<sup>-/-</sup> mutants exhibit renal agenesis with approximately 50% penetrance, whereas the remaining mutants showed hypodysplastic kidneys located ectopically in the pelvis.<sup>99</sup> Shh is expressed in the ureteric bud epithelium at E11.5 in the mouse, the time at which the ureteric bud invades and induces the metanephric mesenchyme.<sup>100</sup> This suggests that renal agenesis may result from impaired outgrowth of the ureteric bud or improper invasion of the mesenchyme. However, tissue-specific inactivation of Shh in the ureter does not cause renal agenesis.<sup>100</sup> Thus, the developmental mechanisms that lead to renal agenesis as a consequence of abnormal Shh/Gli signaling have not been fully elucidated.

Renal hypodysplasia in PHS may be the result of reduced branching morphogenesis or, alternatively, a secondary consequence of in utero obstruction. In support of the former hypothesis is the observation that inhibition of Shh signaling acting through its co-receptor smoothened (Smo) results in reduced branching in a GLI3-R-dependent manner.<sup>99</sup> These studies suggest that when Shh-Smo is inhibited, GLI3-R is formed and leads to transcriptional repression of downstream targets including Gli1, Gli2, Pax2, Sall1, Myc, and cyclin D1. As outlined earlier, loss of Pax2 and Sall1 also have profound effects on renal development. Reduced cell proliferation in both the mesenchyme and ureteric bud may account, in part, for hypodysplasia in these mutants. A role for the latter in utero obstruction mechanism is supported by studies in which Shh is conditionally inactivated in the ureter.<sup>100</sup> At E14.5 to newborn stage, Shh is localized to the distal collecting ducts in the developing inner medulla, renal pelvis and the epithelial layer of the ureter. Inactivation of Shh in the ureter resulted in hypoplastic kidneys with loss of the inner medulla, hydronephrosis, and hydroureter.<sup>100</sup> These defects were attributed to reduced proliferation of medullary and periureteral stroma. In addition, defective smooth muscle differentiation in the ureter probably accounts for the hydroureter, as proposed for congenital ureteral strictures in humans.<sup>101,102</sup> These two mechanisms are not mutually exclusive, and thus defective branching morphogenesis and functional ureteral obstruction could both contribute to the observed kidney phenotypes in PHS.

#### **Alagille Syndrome**

Alagille syndrome (AGS; OMIM 118450) is an autosomal dominant syndrome that is characterized by liver disease, cardiac defects, and characteristic facies including a prominent forehead and pointed chin. Involvement of one or more additional systems, such as kidney, anterior chamber of the eye, skeleton, vasculature, and pancreas is also common. It represents among the most common forms of genetic liver disease in children and often presents as neonatal jaundice. Cardiac defects are present in 95% or more of patients and typically involve the right side of the heart, ranging in severity from isolated pulmonic stenosis to tetralogy of Fallot. These heart defects and liver failure represent important causes of morbidity and mortality in AGS. In 1987, Alagille reviewed 80 cases of the syndrome that bears his name and noted that 73% of affected individuals had renal anomalies.<sup>103</sup> Subsequent case series involving over 200 patients has confirmed that clinically detectable renal involvement is present at a high (approx. 40% to 50%) frequency in AGS.<sup>104-106</sup> The lower frequency in the more recent series may reflect the inclusion of less severely affected cases that are mutation positive. Lack of detection of subclinical renal involvement is also possible. This has led to the suggestion that individuals with AGS should be screened routinely for renal and urinary tract involvement.106

There is an extremely broad spectrum of kidney anomalies associated with AGS, and both infantile and adult-onset renal failure can occur. The spectrum of renal hypoplasia/agenesis with or without cystic dysplasia (multicystic dysplastic kidneys) is well described.<sup>107–109</sup> Though less common, there are also reports of involvement of the ureter and lower urinary tract causing PUJO, VUR, and duplicated collecting system.<sup>110</sup> Renal tubular acidosis may be the most common functional kidney abnormality in these patients.<sup>106</sup> In addition, biopsyproven glomerular involvement and tubulointerstitial nephritis have been reported.<sup>111</sup> Based on a relatively small number of cases in which biopsies were performed, it seems that mesangial lipidosis is the most common glomerular lesion,<sup>106,112-114</sup> although glomerular sclerosis without lipid deposits is also described. It is not clear if mesangial lipid deposits are secondary to hyperlipidemia in individuals with intrahepatic cholestasis or represent a defect intrinsic to the glomerulus. As discussed below, the gene mutated in AGS, *IAG1*, clearly has a role in glomerular development. Tubular dilatation was also frequently noted. Thus, the clinical presentation of renal involvement in AGS includes proteinuria, renal insufficiency, and hypertension. The presence of small, cystic, or solitary kidney on routine ultrasonography may also be the first clinical indication of urinary tract involvement. Multicystic dysplastic kidneys have also been detected by prenatal ultrasonography in AGS.<sup>107</sup> Although renal biopsy can be performed safely, AGS is associated with an increased risk of bleeding after invasive procedures, including renal biopsy.<sup>104</sup> There is a single report of successful renal transplantation in a patient with AGS who developed end-stage renal disease.<sup>115</sup> While hypertension can occur as a result of intrinsic renal disease, AGS is associated with vascular anomalies that may involve renal arteries. There are six reported cases of renal artery stenosis in AGS proven by angiography and successful treatment by stenting in one case.<sup>116,117</sup> Thus, evaluation for renovascular disease should be considered in patients with AGS who develop hypertension.

AGS results from mutations in *JAG1*, which encodes for a ligand of Notch.<sup>118</sup> As is often the case, identification of the genetic cause leads to expansion of the clinical spectrum. For example, there are now reports of isolated cardiac involvement in patients with *JAG1* mutations. It has also been known for some time that children severely affected may have a parent with very subtle or even subclinical AGS pheno-

types.<sup>119</sup> Individuals with deletions of 20p12 that encompass the entire JAG1 gene express the same phenotype as patients with IAG1 mutations, supporting haploinsufficiency as the mechanism of disease in the majority of patients. Recent studies suggest that mutant JAG1 messenger RNAs (mRNAs) may escape nonsense-mediated decay and potentially produce truncated proteins that would be predicted to have dominant negative effects based on studies in Drosophila and Caenorhabditis elegans.<sup>120</sup> More than 200 unique JAG1 mutations have been described, including small intragenic deletions, insertions, and point mutations, but there is no genotype-phenotype correlation that explains the highly variable expressivity of the phenotype.<sup>121–123</sup> All mutations map to the extracellular or intramembranous domain, and most result in premature stop codons. Thus, if a truncated protein were produced by these mutant alleles, membrane targeting would probably be defective. Similarly, a few missense mutations have been described that result in abnormal glycosylation patterns and defective intracellular trafficking.<sup>124</sup> Mutations in JAG1 account for 70% of AGS cases, suggesting genetic heterogeneity.<sup>105</sup> Other genes in the Notch pathway may be good candidates to account for these cases.

Notch is a highly evolutionarily conserved intercellular signaling pathway that functions in multiple developmental processes in the embryo. A role in regulating kidney or excretory organ formation has been shown in the fly malphigian tubule,<sup>125</sup> *Xenopus*,<sup>126</sup> and zebrafish pronephros,<sup>127</sup> in addition to mammals. It is thought to play a critical role in organ formation by determining cell fate through lateral inhibition and inductive signaling. Both mechanisms seem to operate in the control of kidney development.<sup>128</sup> Notch ligands are membrane-bound transcription factors that are released by two proteolytic events in response to binding by a ligand such as Jag1. The Notch intracellular domain enters the nucleus where it associates with a co-repressor complex, switching it into an activator of target genes (for review see Mumm and Kopan<sup>129</sup>).

Study of the role of this pathway in kidney development has been somewhat limited by the early embryonic lethality of a number of Notch pathway mouse mutants. Nonetheless, several studies have provided important information on the basis for the kidney phenotypes seen in AGS. Notch2<sup>del1</sup>, a hypomorphic Notch2 mutant allele in the mouse, exhibits kidney defects involving the glomerulus and renal hypoplasia.<sup>130</sup> Jag1<sup>-/-</sup> mutants die before metanephric development, so they are not informative. Although AGS is a dominant syndrome attributed to haploinsufficiency, Jag1+/- mutants are normal. However, Jag1/Notch2<sup>del1</sup> double-heterozygous mice exhibit phenotypes involving the biliary tract, heart, and kidney that resemble those seen in AGS.<sup>131</sup> Other Notch ligands do not genetically interact with Jag1, suggesting that Jag1 signals through Notch2 in the kidney.<sup>131</sup> This also raises the possibility that NOTCH2 is a genetic modifier of the AGS phenotype. Notch2 is expressed in the ureteric bud, whereas Jag1 is expressed in both the ureteric bud and condensing metanephric mesenchyme.<sup>132</sup> Impaired branching morphogenesis could account for the observation that in Notch2<sup>del1-/-</sup> mice the number of pretubular aggregates is reduced, but those that form appear normal. Consistent with this observation, inhibition of  $\gamma$ -secretase, the protease that performs the intramembranous cleavage to release the intracellular domain of Notch, results in reduced ureteric bud branching.<sup>133</sup>

Altered Ret-GDNF signaling has been noted when the Notch pathway is disrupted in developing kidney,<sup>132</sup> suggesting a plausible molecular mechanism for renal hypoplasia in AGS. However, it is not clear that the degree of impaired branching can account for hypoplasia. The data also suggest that increased apoptosis of nephrogenic progenitors in the periphery of the cortical zone and impaired segmental differentiation of tubular epithelial may contribute to a reduction in nephron number.<sup>130,133,133a</sup>

*Notch2* is also expressed in glomerular endothelial cells, whereas *Jag1* is detected in podocytes. The glomerular phenotype is thought to result because *Jag1* mutant podocytes fail to attract and properly organize glomerular endothelial and mesangial cells, possibly as a result of reduced expression of *Vegf* and *Pdgfrb*.<sup>130</sup> There may also be a cell-autonomous requirement for *Jag1* in developing podocytes. Pharmacological inhibition or genetic ablation of  $\gamma$ -secretase activity of presenilins also supports a critical role for the Notch pathway in development of glomeruli and proximal tubules.<sup>133,134</sup> Together, these studies in mouse models provide some insight into the molecular pathogenesis of renal hypoplasia, glomerular disease, and renal tubular acidosis in AGS.

#### Maturity-Onset Diabetes of the Young, Type V

Maturity-onset diabetes of the young (MODY) is a monogenic form of type 2 diabetes mellitus characterized by early age of onset, usually before 25 years of age, and autosomal dominant inheritance. One of the six MODY loci, MODY5 (OMIM 604284), also frequently causes a wide clinical spectrum of renal anomalies, including cystic dysplasia, hypoplasia, glomerulocystic disease, pelvicalyceal abnormalities, OMN, and unilateral agenesis. In the more than 50 reported cases of mutation-positive MODY5, renal involvement has been an almost universal feature, affecting approximately 90% of patients.<sup>135</sup> Thus, structural renal anomalies may be completely or near fully penetrant in this syndrome. Clinical manifestations of MODY5 include chronic renal failure often culminating in end-stage renal disease, proteinuria, renal cysts, small kidneys, transient neonatal renal failure with salt wasting, and pelvicalyceal dilatation without obstruction. Although clinical renal involvement may occur in childhood, it is often not detected before the second decade of life. Because both diabetes mellitus and chronic kidney failure are common in the general population, this syndrome may be overlooked in some individuals.

The diabetic and renal phenotypes characterized by MODY5 are due to mutations in the gene for the transcription factor hepatocyte nuclear factor 1 $\beta$  (*HNF1* $\beta$ /*TCF2*).<sup>136</sup> The kidney abnormalities in MODY5 are considered independent of the effects of diabetes, because they usually predate diagnosis of diabetes and are not associated with the well-known features of diabetic nephropathy such as enlarged kidneys with thickening glomerular basement membranes and arteriolar changes, and high-grade glomerular proteinuria is not typical.<sup>135</sup> In a few cases, MODY5 has also been associated with additional clinical features such as genital müllerian aplasia<sup>137</sup> (vaginal aplasia and rudimentary or bicornate uterus) and liver dysfunction,<sup>138</sup> although these manifestations seem to be less penetrant than the kidney abnormalities. Loss of a closely related family member *HNF10*/*TCF1* in mice has been shown to cause a proximal tubule dysfunction resulting in Fanconi syndrome.<sup>139</sup> Mutation of this gene causes autosomal dominant MODY3 in humans. Although these patients do not exhibit renal failure independent of diabetic nephropathy, proximal tubular dysfunction, such as renal glycosuria and Fanconi syndrome, similar to that seen in the mouse mutant, has been described.<sup>140–142</sup> Consistent with this observation, *HNF1* $\alpha$  expression is restricted to proximal tubules.<sup>139</sup> HNF1 $\beta$  can homodimerize or heterodimerize with HNF1 $\alpha$ , the MODY3 gene product; therefore, HNF1 $\beta$  mutant proteins produced in MODY5 could act in a dominant negative fashion to modulate proper HNF function through these physical associations.<sup>143</sup> However, the absence of structural renal defects in MODY3 indicates that these related transcription factors are not functionally redundant in kidney development.

The variable expressivity of renal anomalies may also be compounded by the multiple molecular mechanisms ascribed to MODY5 mutations. Most of the mutations that cause MODY5 are found within the DNA binding domains of the protein and are predicted to cause early truncations, internal deletions, or missense mutations that would abrogate proper DNA binding and activation of downstream target genes.<sup>143</sup> Other mutations remove the nuclear localization signal and cause mislocalization of HNF1B to the cytoplasm.<sup>143</sup> A third type of mutation exhibits a gain-of-function phenotype by causing hyperactivation of downstream genes.144,145 Germline mosaicism may also contribute to variable expressivity. The transcriptional activity of HNF1B is coordinately regulated by binding to co-repressor and co-activator proteins (see Fig. 5-3). Mutations that increase binding of HNF1β to the co-repressor HDAC1 or decrease binding to the co-activator histone acetyltransferases CBP or PCAF would abrogate transcriptional activation and act as loss-of-function mutations. Conversely, mutations that decrease binding to HDAC or increase binding to histone acetyltransferase (HAT) could act as gain-offunction mutations. Studies from other model organisms are consistent with the possibility that different classes of mutations may account for the variable kidney phenotypes in MODY5. Overexpression of MODY5 mutants and wild-type HNF1β in Xenopus embryos exhibits a dose-responsive block to pronephros development. A gain-of-function mutation effectively blocks formation of nephric precursors; wild-type HNF1ß blocks less well, and a loss-of-function mutation blocks to a much lower degree.<sup>144</sup> Furthermore, expression of a human HNF1B mutant with reduced DNA binding leads to reduced nephron number and compensatory hypertrophy in Xenopus, a phenotype strikingly similar to OMN.<sup>144</sup> Thus, results from different mutations of HNF1B may lead to different phenotypic consequences.<sup>146</sup> However, because of the large range of renal anomalies reported for MODY5, a more precise phenotype-genotype correlation awaits detailed phenotypic analysis of additional numbers of patients.

The role of HNF1 in formation of the kidney has yet to be fully elucidated, because mice lacking both copies of the gene die before kidney development.<sup>147</sup> However, renal-specific inactivation of murine  $HNF1\beta$  in developing collecting ducts and thick ascending loops of Henle leads to polycystic kidneys.<sup>148</sup> In a separate in vivo assay, loss of functional HNF1 $\beta$ caused by overexpression of a dominant negative mutant in developing tubules also causes cyst formation.<sup>146</sup> These phenotypes may be explained at least in part by direct transcriptional regulation by HNF1 $\beta$  of *Pkd2*, *Pkhd1*, and uromodulin, genes directly implicated in human cystic kidney disease. Loss of  $HNF1\beta$  leads to increased proliferation of cystic epithelium<sup>148</sup> and may account for recent observations suggesting that germline mutation of  $HNF1\beta$  may predispose to a subtype of renal carcinoma.<sup>149</sup> Cystic disease exhibits varied expressivity in MODY5 by presenting as one or a few isolated cysts detected on renal ultrasonography, MCDK, glomerulocystic disease associated with hypoplastic kidneys, and enlarged polycystic kidneys detected at prenatal ultrasonography.<sup>150</sup> There is also an isolated report of juvenile hyperuricemic nephropathy, a variant of medullary cystic disease, associated with MODY5.<sup>151</sup> These results confirm that  $HNF1\beta$  mutations can cause structural developmental defects in the kidney and elucidate the potential molecular mechanisms of cystogenesis associated with MODY5.

Additional roles of  $HNF1\beta$  are predicted to account for the other renal phenotypes associated with MODY5. HNF1 $\beta$  is expressed in the wolffian duct, in the ureteric bud, and in differentiated renal tubular epithelium along the entire nephron from proximal tubules to collecting ducts.<sup>152</sup> Therefore, independent roles of HNF1 $\beta$  during different steps of kidney development may account for the wide clinical spectrum of MODY5 anomalies. For example, impaired branching morphogenesis is probably the mechanism of renal hypoplasia and OMN because of a requirement for HNF1 $\beta$  in the ureteric bud. In zebrafish, mutations of *vhnf1*, the *HNF1* $\beta$  orthologue, disrupt development of the pronephros and lead to development of cysts.<sup>153</sup> In *vhnf1* mutant embryos, *pax2.1* expression is lost in prospective pronephric tubules, sug-

gesting that these two genes may function in the same pathway. Human mutations in *PAX2* and *HNF1* $\beta$  represent the only examples of monogenic OMN, supporting an important role for these genes in determining nephron number.

#### CHROMATIN REMODELING IN STRUCTURAL RENAL DEFECTS

It has been recognized that the modification of DNA and chromatin is integral to the regulation of gene expression in developing organs. Gene regulation is controlled by multiprotein complexes that include sequence-specific transcription factors in association with co-activators and co-repressors (see Fig. 5-3). Characterization of these complexes has identified a complex set of enzymatic modifications including acetylation, methylation, and ubiquitination that either repress or activate chromatin at selected target genes. As discussed above, several syndromes that prominently affect the kidney and urinary tract, such as TBS78 and RCS,154 are due to mutations in sequence-specific DNA binding transcription factors that can associate with co-activator and co-repressor complexes. Recently, mutations in genes encoding chromatin-modifying enzymes or proteins that maintain chromatin structure have been described (for review see Hendrich and Bickmore<sup>155</sup>). Below we discuss the growing number of these chromatinremodeling syndromes that can result in kidney and urinary tract anomalies (Table 5-4). Because of the often ubiquitous nature of these genes and general involvement in regulation of

Syndrome	Inheritance	Gene	<b>Characteristic Features</b>	Renal Phenotypes	Mechanism of Action
ATR-X	X-linked	ATRX	Mental retardation, α-thalassemia, facial deformities, skeletal defects, genital anomalies	Hypoplasia, agenesis, VUR, hydronephrosis	Not yet determined
CHARGE	Autosomal dominant	CHD7	Coloboma, heart defects, choanal atresia, growth retardation, genital anomalies, ear defects/hearing loss	Agenesis, hypodysplasia, hydronephrosis, hydroureter	Haploinsufficiency
Cornelia de Lange	Autosomal dominant	NIPBL	Mental retardation, growth retardation, facial deformities, limb defects	Hypoplasia, VUR, pelvic dilatation, ectopia	Haploinsufficiency
Fanconi anemia– VACTERL	Autosomal recessive	Multiple genes (see text)	Bone marrow failure, leukemia, VACTERL phenotype	Agenesis, hypoplasia, pelvic kidney, hydronephrosis	Homozygous loss of function
Rubinstein-Taybi	Autosomal dominant	CBP EP300	Mental retardation, skeletal anomalies, heart defects	Unilateral agenesis, cystic hypodysplasia, urethral defects	Haploinsufficiency
Roberts	Autosomal recessive	ESCO2	Overlaps with Cornelia de Lange	Agenesis, hypodysplasia, hydronephrosis	Homozygous loss of function

Table 5-4 Mutations in Chromatin Remodeling and DNA Repair Factors Associated with Kidney and Urinary Tract Defects

VUR, vesicoureteral reflux.

gene expression, these syndromes tend to affect many organs. In some cases (e.g., CHARGE association) the broad range of phenotypes has suggested that perhaps a single gene defect was not responsible.

#### CHARGE and VATER/VACTERL Associations

CHARGE syndrome (OMIM 214800) defines the nonrandom association of congenital anomalies that include choanal atresia, abnormalities of the external and inner ear, ocular coloboma, and cardiovascular malformations. These individuals can also receive the diagnosis of Hall-Hittner syndrome when they exhibit ocular coloboma, atresia choanae, and hypoplastic semicircular canals.<sup>156</sup> A broad range of renal anomalies occur in CHARGE, including renal agenesis and hypodysplasia, and ureteric defects causing hydronephrosis and hydroureter. Although many occurrences are sporadic, familial occurrence and concordance in monozygotic twins has suggested a genetic basis for this syndrome. Vissers et al<sup>157</sup> demonstrated a 2.3-megabase microdeletion on chromosome 8q12 in affected individuals. Subsequent analysis identified heterozygous missense mutations in CHD7 in affected patients without the microdeletion. Together, these results indicate that haploinsufficiency for a single gene located on chromosome 8q12, CHD7, accounts for the majority of CHARGE cases, including sporadic cases where de novo mutations were demonstrated. However, as previously suggested, other loci are probably causative of the CHARGE phenotype.158,159 For example, there is one report of a missense mutation in the semaphorin 3E (SEMA3E) gene causing CHARGE.<sup>160</sup> Recent analysis of 110 patients with CHARGE syndrome found CHD7 mutations distributed throughout the gene in 58% of affected individuals. Consistent with these findings, nine different nonsense and splice-site mutations in Chd7 were associated with CHARGE phenotypes identified in a mouse mutagenesis screen.<sup>161</sup> In contrast to cardiovascular malformations that occur more frequently in CHD7 mutationpositive cases, kidney and urinary tract anomalies seem to be equally prevalent in mutation positive and negative cases.<sup>162</sup>

CHD7 encodes a member of the chromodomain helicase DNA binding proteins. These proteins regulate chromatin organization and thereby have important effects on gene expression. The chromodomain interacts with methylated histones, DNA, and RNA, and the helicase domain can use adenosine triphosphate to enzymatically unwind nucleosomes. The finding of missense mutations in the helicase domain further supports a critical role for chromatin remodeling in this disorder.<sup>162</sup> CHD7 is broadly expressed in developing embryos, including all tissues affected in CHARGE syndrome.157,162 Through their important effects on gene expression, these proteins are thought to control developmental gene expression programs in many different contexts. However, biochemical studies are needed to define the specific roles of CHD7 in remodeling chromatin and regulating gene expression.

VATER/VACTERL (OMIM 192350) association is characterized by a nonrandom pattern of multiple anomalies including vertebral abnormalities, anal atresia, cardiovascular malformations, tracheo-esophageal fistula, and renal and limb defects. Diagnosis requires the presence of at least three of

these abnormalities. The majority of occurrences are sporadic, although familial occurrence is documented especially in cases associated with hydrocephalus. In the latter, both autosomal recessive and X-linked inheritance are probable.<sup>163,164</sup> Kidney and urinary tract defects are common and broad spectrum, including renal agenesis/hypoplasia, pelvic kidney, and hydronephrosis that is sometimes the result of urethral atresia. The constellation of findings that define VACTERL can also be seen in other genetically defined multiple anomaly syndromes such as TBS, Holt-Oram, and Smith-Lemli-Opitz, suggesting the possibility that the Shh-Gli<sup>97</sup> and Sall pathways could play a role. Isolated reports have linked other genetic defects to the VACTERL phenotype. These include mutations in mitochondrial DNA,<sup>165</sup> the PTEN tumor suppressor gene,<sup>166</sup> and trisomy 18. In addition, infants of diabetic mothers and fetal alcohol syndrome may present with the VATER/VACTERL association, indicating a possible role for teratogens.

Fanconi anemia (OMIM 227650) is an autosomal recessive disorder that has also been linked to VACTERL. This condition is characterized by defects in all bone marrow elements leading to pancytopenia, multiple congenital organ anomalies, pigmentary skin changes, and a later risk of cancer, especially leukemia. Overall, renal anomalies occur in about 22% of patients with Fanconi anemia.<sup>167</sup> Approximately 5% to 10% of individuals with Fanconi anemia display a VACTERL phenotype, and in these cases renal anomalies are present in approximately 65%.<sup>167,168</sup> Radial-ray anomalies are common in Fanconi anemia (~50%), further suggesting a potential link between SALL4 and VACTERL phenotypes (see Okihiro syndrome, under "Transcriptional Regulators That Cause Kidney Defects"). Although structural anomalies are present at the time of birth, hematological abnormalities may not appear until later in childhood. Thus, this diagnosis should be considered in individuals with multiple anomalies that also involve the kidney and urinary tract. The presence of pigmentary changes, such as café au lait spots, can be an important clue to the diagnosis of Fanconi anemia in VACTERL patients when hematological findings are not yet present.<sup>169</sup> Fanconi anemia is a genetically complex disorder. Eleven complementation groups have been identified for which nine genes have been cloned.<sup>170</sup> A characteristic feature of Fanconi anemia is that cell lines from affected individuals exhibit increased sensitivity to DNA cross-linking agents, chromosomal instability, and prolonged G<sub>2</sub> phase of the cell cycle.<sup>171</sup> Consistent with this observation, many of the protein products of the Fanconi anemia genes exist in a multiprotein complex that plays a key role in DNA repair and maintenance of chromosomal integrity in response to genotoxic stresses (for review see Kennedy and D'Andrea<sup>172</sup>). Therefore, maintenance of genomic integrity seems to be particularly important for ensuring normal renal development.

# $\alpha$ -Thalassemia, Mental Retardation, X-linked Syndrome

Individuals with  $\alpha$ -thalassemia, mental retardation, X-linked (ATR-X) syndrome (OMIM 301040) typically display moderate to severe mental retardation,  $\alpha$ -thalassemia, characteristic facial features, and skeletal and genital anomalies. Renal and urinary tract anomalies occur in about 15% of cases and consist of renal hypoplasia or agenesis, cystic kidneys, VUR,

and hydronephrosis.<sup>173</sup> Mutations in ATRX cause this dominant syndrome as well as a growing number of syndromes with X-linked mental retardation.<sup>173,174</sup> ATRX encodes a large protein of 2,492 amino acids that contains several functional domains predicted by homology. These include an N-terminal plant homeodomain-like (PHD) zinc-finger that is present in many chromatin-remodeling components. At the C-terminal end there is a helicase and a P domain, both of which are conserved motifs found in SWI/SNF2-type adenosine triphosphatase/helicases. As predicted by these functional domains, ATRX has been shown to exhibit chromatin-remodeling activity. Biochemical evidence suggests that this chromatin remodeling is involved in transcriptional repression, because ATRX physically interacts with the homeotic polycomb family repressor enhancer of zeste 2,<sup>175</sup> localizes to transcriptionally quiescent pericentromeric heterochromatin where it associates with heterochromatin protein  $1\alpha$ ,  $^{176,177}$  and is found in punctate nuclear loci containing transcriptional regulatory complexes (PML bodies).<sup>178</sup> However, it is also possible that ATRX can activate expression of some genes. At the cellular level, ATRX has been shown to regulate progenitor cell survival in the central nervous system, where loss of ATRX increased apoptosis of progenitor cells without affecting proliferation.<sup>179</sup> Whether a similar pathogenetic mechanism occurs in other developing tissues is not clear.

More than 50 different mutations have been described in more than 80 families with this syndrome, and overall phenotype-genotype correlation is generally poor.<sup>173</sup> However, missense mutations are clustered in the zinc-finger and helicase domains, suggesting that disruption of regions in the protein known to be involved in chromatin remodeling is sufficient to cause classic ATR-X. Moreover, truncating mutations that result in loss of the C-terminal P domain conserved among SWI/SNF2 proteins may increase the likelihood of severe urogenital anomalies.<sup>173'</sup> In the brain, both overexpression and loss of ATRX cause brain abnormalities.<sup>179,180</sup> Because expression of truncated proteins has been demonstrated from ATR-X patients,<sup>177</sup> it remains to be determined whether the absence of ATRX, the presence of a truncated ATRX, or both mechanisms cause the developmental defects seen in patients. There are no studies that examine ATRX function specifically in kidney. Because the abdominal B Hox homeotic gene clusters have been shown to be important for urogenital tract development and are regulated by polycomb in some contexts,<sup>176,177</sup> several of these phenotypes could be caused by improper interactions of mutant ATRX with homeotic polycomb family repressors.

#### **Rubinstein-Taybi Syndrome**

Another autosomal dominant syndrome associated with a broad range of congenital anomalies is Rubinstein-Taybi (RSTS; OMIM 180849). Prominent features are mental retardation, skeletal anomalies, and heart defects. Urinary tract anomalies, including unilateral renal agenesis, cystic hypodysplasia, and urethral defects, are relatively frequent in this syndrome. Patients with RSTS also exhibit an increased risk of tumor formation. Mutations in the gene encoding the CREBbinding protein (CBP) are responsible for RSTS.<sup>181</sup> CBP is a co-activator molecule for a large number of transcription factors. It possesses intrinsic HAT activity and is thought to positively regulate gene expression through acetylation of histones thereby opening chromatin structure at selected promoters (see Fig. 5-3). Missense and splice-site mutations in RSTS that selectively disrupt the HAT domain and its associated enzymatic activity are sufficient to cause the syndrome.<sup>182,183</sup> Acetylation of transcription factors themselves by CBP can also have important effects on gene expression, with the cell cycle regulator p53 and general transcription factors being important examples of in vivo targets of CBP acetvlation.<sup>184,185</sup> Most RSTS mutations are thought to occur de novo rather than by germline transmission. Mutations in the closely related EP300 gene that encodes for the p300 HAT have recently been shown in a subset of patients with RSTS who do not have CBP mutations.<sup>186</sup> The presence of microdeletions that remove the entire CBP gene suggests that haploinsufficiency is the cause of the syndrome. However, genetic studies in mice indicate that many of the observed phenotypes are best accounted for by expression of a truncated mutant form of CBP rather than a null allele, raising the possibility that dominant negative effects may occur with some point mutations. Because CBP interacts with many DNA binding proteins, it is unclear at this time what pathways are affected that result in observed urinary tract defects. In Drosophila, dCBP is required for induction of the Bmp orthologue decapentaplegic (*dpp*) expression by sonic hedgehog.<sup>187</sup> Alterations in BMP4 and BMP7 signaling are thus candidates to mediate the renal and skeletal malformations in RSTS.

#### Cornelia de Lange and Roberts Syndromes

Cornelia de Lange syndrome (CDLS; OMIM 122470) is a multisystem developmental disorder that exhibits characteristic facies, limb defects, mental retardation, and hearing loss. Until recently urinary tract anomalies were not considered a common feature of CDLS. However, this probably reflects case-finding bias. Evaluation of an Italian cohort of 61 unselected CDLS cases<sup>188</sup> identified kidney and urinary tract anomalies in about 40% of cases. Significantly, impaired renal function was detected in about one-third of those cases with renal anomalies. The malformations observed were VUR, pelvic dilatation, small kidneys, and ectopia. Recently, two groups identified mutations in NIPBL in patients with classic and mild forms of CDLS.<sup>189,190</sup> Most mutations are predicted to result in premature termination codons. The presence of heterozygous deletions in two individuals with CDLS supports the conclusion that this autosomal dominant syndrome is due to haploinsufficiency for NIPBL. This gene encodes for delangin, a homologue of Drosophila developmental regulator Nipped-B. Nipped-B is thought to mediate multiple functions in chromatin maintenance, including sister chromatid cohesion, DNA repair, and gene regulation, by facilitating interaction between promoter and long-range enhancers in target genes. Interestingly, in Drosophila Nipped-B interacts with genes in the Notch pathway and cut, both of which have been implicated in mammalian kidney development (for review see Strachan<sup>191</sup> or Dorsett<sup>192</sup>).

Roberts syndrome (OMIM 268300) is an autosomal recessive disorder that displays phenotypic overlap with CDLS. Renal anomalies include renal agenesis, dysplasia, horseshoe kidney, and hydronephrosis. *RBS* chromosomes show a lack of cohesion involving heterochromatic C-banding regions around centromeres and the distal part of the long arm of the Y chromosome.<sup>193</sup> Vega et al<sup>194</sup> have demonstrated mutations in *ESCO2* in RBS patients. Consistent with these cytogenetic findings, *ESCO2* is similar to a yeast gene with putative acetyltransferase activity and is essential for establishment of sister chromatid cohesion.<sup>195,196</sup> The clinical overlap of these two syndromes suggests that genes involved in sister chromatin cohesion are critical for proper development of multiple organs.

#### GROWTH FACTORS AND RECEPTORS THAT ALTER KIDNEY MORPHOGENESIS

Numerous growth factors have been implicated in kidney development in mouse models and in vitro studies. However, relatively few mutations in growth factors and their receptors have been found in human syndromes affecting the urinary tract. This may be due to considerable redundancy in these genes as illustrated by the complexity of the more than 20 fibroblast growth factor (FGF) family members and their receptor specificity.<sup>197,198</sup> Alternatively, these genes are critical for many processes in early development that may lead to embryonic lethality. The importance of growth factors for renal development has been more recently highlighted by the identification of human mutations in genes that help regulate the intensity, duration, or range of the growth factor signal. Among these, extracellular matrix (ECM) proteins or their modifying enzymes are increasingly being recognized as playing an important role in this process. Several human syndromes that cause significant renal anomalies are the result of disruption in these genes (Table 5-5). Importantly, those genes involved in Fraser syndrome have established a novel receptor-ligand interaction important for kidney development (Fig. 5-5).

#### Smith-Lemli-Opitz Syndrome

Smith-Lemli-Optiz syndrome (SLOS; OMIM 270400) is relatively common, with an estimated frequency as high as 1 in 20,000 to 1 in 30,000 in populations of northern and central European background.<sup>199</sup> It is a recessive syndrome that affects multiple organs, exhibits a broad range of clinical severity, and frequently involves the genitourinary tract. Common phenotypic features include behavioral problems, mental retardation, craniofacial anomalies such as cleft palate and midline defects, limb abnormalities, and growth retardation. Many affected individuals exhibit genital tract defects, especially hypospadias and cryptorchidism, findings that may lead to early postnatal diagnosis in boys. Upper urinary tract abnormalities were detected in about 57% of affected children.<sup>200</sup> These include defects that involve the ureter, such as PUJO, hydronephrosis, and VUR. Renal cystic dysplasia, also a common feature of SLOS, could be the result of intrinsic impairment of metanephric development or secondary to severe obstruction occurring in utero. Positional abnormalities of the kidney and renal agenesis also occur in a subset of SLOS patients. Because many of these urinary tract anomalies are associated with significant morbidity and may require specific treatment, children with this diagnosis should be screened with renal ultrasonography.<sup>200</sup> Antenatal detection of renal agenesis with oligohydramnios sequence can also be seen in SLOS.201

In the large majority of cases, SLOS results from an inborn error in cholesterol biosynthesis due to a deficiency in 3 $\beta$ hydroxysterol  $\Delta^7$ -reductase activity, which results in impaired conversion of 7-dehydrocholesterol to cholesterol.<sup>202–204</sup> Thus far more than 70 mutations have been described in *DHCR7*, the gene encoding for this enzyme. Phenotypic severity correlates best with the degree of reduction in cholesterol

 Table 5-5
 Mutations in Growth Factor Signaling and Extracellular Matrix Components Associated with Kidney and Urinary Tract

 Defects
 Defects

Syndrome	Inheritance	Gene	Characteristic Features	Renal Phenotypes	Mechanism of Action
Fraser	Autosomal recessive	FRAS1 FREM2	Cryptophthalmos, cutaneous syndactyly, urogenital defects	Renal agenesis, cystic dysplasia, hypoplasia, hydronephrosis, bladder agenesis	Loss of function
Kallmann	X-linked or autosomal dominant	KAL1 KAL2	Hypogonadotropic hypogonadism, anosmia	Unilateral renal agenesis, VUR, cystic dysplasia	Loss of function, haploinsufficiency
Mayer-Rokitansky- Kuster-Hauser	ND	ND	Absent vagina, rudimentary uterus	Renal agenesis, ectopy, malrotation	ND
Simpson-Golabi- Behmel	X-linked	GPC-3	Overgrowth/tall stature, supernumerary nipples, heart defects, kidney defects	Medullary cystic dysplasia	Loss of function
Smith-Lemli-Opitz	Autosomal recessive	DHCR7	Mental retardation, craniofacial anomalies, growth retardation, limb defects, genital tract defects	PUJO, hydronephrosis, VUR, cystic dysplasia	Loss of function

ND, not determined; PUJO, pelviureteral junction obstruction; VUR, vesicoureteral reflux.



Figure 5-5 Growth factor signaling, co-receptors, and extracellular matrix components. At an early stage of kidney development (mouse E11.5) the ureter (gray) has invaded and undergone its initial branching in the metanephric mesenchyme (blue). Reciprocal interactions between the mesenchyme and the ureteric bud induce mesenchymal condensates (*light blue cylinders*) to differentiate into nephrons and the ureteric bud to continue branching. Growth factor (GF) signaling systems such as BMP, FGF, and WNT mediate these interactions. In humans, mutations in proteins that modulate this GF signaling such as co-receptors (glypican) and extracellular matrix components (anosmin) cause kidney malformations. Recent genetic studies have also identified novel pathways mediating interactions between the ureteral epithelium and the mesenchyme (Fras/Frem).

levels.<sup>205</sup> Since this discovery, mutations in other genes that affect cholesterol biosynthesis have been shown to similarly affect organ development, further emphasizing the importance of cholesterol in embryonic development. At least two of these syndromes, Antley-Bixler syndrome and the syndrome of congenital hemidysplasia with ichthyosiform erythroderma and limb defects, result in kidney and urinary tract defects including horseshoe kidney and duplications in the former, and renal agenesis and hydronephrosis in the latter.

Several lines of evidence have linked at least some of the abnormalities in SLOS to defective signaling in the SHH growth factor pathway. First, developmental malformations in this syndrome occur in tissues whose normal development depends on proper signaling by the hedgehog family of secreted proteins. Specific anomalies, such as holoprosencephaly and patterning defects of the distal limb, are virtually identical when each of these pathways is disrupted by mutations in mice and humans, as well as by pharmacologic inhibitors of cholesterol biosynthesis. Phenotypes observed in the urogenital tract of SLOS patients are also consistent with an important role for hedgehog family members. Targeted inactivation of *Shh* in the ureteric bud in mouse and mutation of *DHCR7* 

in SLOS patients both lead to hydronephrosis. Interestingly, defective development of the proximal ureteric bud into the inner medulla and papilla is a feature that is common to *Shh* inactivation and SLOS.<sup>206</sup>

Biochemical studies also support an important role for sterols in hedgehog signaling. Covalent attachment of cholesterol to Shh is required for the autoprocessing step that results in formation of the mature, cholesterol-modified N-terminal Shh mediated by the C-terminal catalytic domain (for review see Cohen<sup>207</sup>). Shh-N seems to possess all the signaling activity of Shh. Interference with the autoprocessing step was thus hypothesized to provide a biochemical link between SLOS and Shh signaling. However, further studies have indicated that Shh autoprocessing is probably not impaired in the presence of 7-dehydrocholesterol, the precursor that accumulates in SLOS. Rather, it seems that depletion of sterols diminishes the responsiveness of target tissues to the hedgehog signal.<sup>208</sup> When hedgehog binds its receptor, Patched, an associated transmembrane protein, smoothened, is freed to activate hedgehog downstream signaling. Patched contains a sterolsensing domain that is required for its activity.<sup>209</sup> Sterol depletion, as occurs in SLOS, affects activity of smoothened, thereby reducing hedgehog signaling. Thus, although the mechanism by which sterol depletion affects hedgehog signaling has not been fully elucidated, these important studies establish a novel link between a specific genetically determined metabolic defect, signaling by a developmental morphogen, and multiple congenital malformations, including those affecting the kidney and urinary tract.

#### Mayer-Rokitansky-Kuster-Hauser Syndrome

Mayer-Rokitansky-Kuster-Hauser syndrome (OMIM 277000) presents as the absence of a vagina and rudimentary uterus in a female with normal karyotype, normal ovaries, and secondary sexual characteristics. Additional abnormalities of the urinary tract are also common, such as renal agenesis, ectopy, or malrotation of one kidney.<sup>210</sup> Recently, this syndrome was postulated to be caused by mutations in the WNT4 growth factor gene, because a WNT4 mutation was identified in a sporadic case of a female patient with an absence of vagina and uterus (müllerian duct-derived structures), unilateral renal agenesis, and androgen excess.<sup>211</sup> This was an attractive hypothesis, because Wnt4 is clearly involved in urogenital development. Targeted disruption of Wnt4 in mice leads to masculinized müllerian duct-derived structures and early arrest in kidney development due to failure of the mesenchymal-to-epithelial transition.<sup>212,213</sup> The missense mutation identified in the patient was thought to result in misfolding of the protein, leading to defective palmitoylation and impaired secretion. As a result of impaired secretion, the mutant protein would be trapped in the endoplasmic reticulum and may exert dominant negative effects from this mislocalization. The phenotype in this patient is similar to that observed in kidneys and female reproductive tracts in Wnt4-null mice.<sup>212,213</sup> Despite the resemblance between this patient's phenotype and that of the Mayer-Rokitansky-Kuster-Hauser syndrome, WNT4 seems to have been excluded as a major gene in this syndrome.<sup>214</sup> However, it remains to be determined if additional WNT4 mutations will be identified in humans and if other factors regulating WNT signaling are involved in this disorder.

#### Simpson-Golabi-Behmel Syndrome

In stark contrast to the human syndromes that produce underdeveloped kidneys, patients with Simpson-Golabi-Behmel syndrome (SGBS; OMIM 312879) develop kidney cystic dysplasia from overgrowth of ureters and collecting ducts. This X-linked syndrome is caused by mutations in the glypican-3 (GPC3) gene, which encodes for a haparan sulfate proteoglycan.<sup>215</sup> These mutations are typically microdeletions encompassing one or more of the eight exons and are predicted to produce no functional protein. Loss of expression of GPC3 causes overgrowth above the 97th percentile, tall stature, "coarse" face, supernumerary nipples, congenital heart defects, generalized muscular hypotonia, and MCDK. There is an increased risk of neoplasia in infancy, particularly Wilms tumor.<sup>216</sup> Cell surface haparan sulfate proteoglycans like GPC3 are well known for their role in controlling growth by acting as co-receptors for growth factor signaling (see Fig. 5-5).<sup>217</sup>

Loss of *Gpc3* in mice recapitulates some of the key features of SGBS, including overgrowth and cystic dysplastic kidnevs.<sup>218</sup> Kidney development is accelerated at early stages when Gpc3-null mice exhibit larger kidneys with increased numbers of ureteric bud branches. Properly forming mesenchymal structures condense at the sites of ureteric bud tips, and the kidneys appear mature for their gestational age. Overgrowth of the kidney seems to be driven by increased proliferation of the ureteric bud, in that the level of mesenchymal cell proliferation does not differ from wild-type kidneys. Later in development (E16.5), when the kidney should have organized into cortex and medullary compartments, mutant kidneys contain no recognizable medullary collecting system. Instead, numerous cysts occupy the medullary space, which is degenerating rapidly because of an increase in cell death by apoptosis.<sup>219</sup> Ureter overgrowth and formation of medullary cysts seem to be a cell-autonomous effect of loss of Gpc3 in collecting ducts, although it is also expressed in the metanephric mesenchyme.<sup>218</sup>

SGBS bears strong similarity to another overgrowth syndrome, Beckwith-Wiedemann (OMIM 130650), which is associated with the loss of imprinting of several genes including the insulin-like growth factor IGF2 (reviewed in Li et al<sup>220</sup>). This syndrome also results in enlarged kidneys and Wilms tumor; therefore, the etiology of SGBS was initially hypothesized to be linked to IGF2 signaling. It was proposed that GPC3 serves as a negative regulator of IGF2 signaling by competing for IGF2 binding with its receptor.<sup>215</sup> In the absence of GPC3, IGF2 signaling would be enhanced and overgrowth would occur in a similar paradigm to mice overexpressing IGF2.<sup>221</sup> However, numerous studies have contradicted this hypothesis by demonstrating that GPC3 does not directly affect the IGF signaling pathway.<sup>218,222,223</sup> Instead, it seems to modulate alternative signaling pathways important for kidney development.

Growth factor signaling through haparan sulfate–mediated proteins like Gpc3 is critical for renal development, because a knockout mouse for an enzyme catalyzing the formation of haparan sulfate proteoglycans, haparan sulfate-2-sulfotrans-ferase, exhibits bilateral renal agenesis.<sup>224</sup> The ureter grows out and invades the metanephric mesenchyme but does not branch. This phenotype could be mediated in part by the interaction of Gpc3 and FGF signaling.<sup>223</sup> In support of this, *Fgf10*-null mice exhibit medullary dysplasia.<sup>225</sup> Whereas most

proteins containing haparan sulfate have been shown to activate Fgf signaling, Gpc1 can inhibit Fgf signaling in a haparan sulfate–dependent manner.<sup>226</sup> Therefore, a similar mechanism would suggest that loss of Gpc3 in SGBS would augment FGF signaling and further promote ureter growth and branching. This seems likely, because addition of a similar Fgf, Fgf7, to *Gpc3*-null E12.5 kidney explants stimulates ureter branch point formation fourfold over wild type.<sup>219</sup> Thus, ureter overgrowth and cyst formation in SGBS patients may arise, in part, from increased FGF signaling.

Another growth factor that is probably affected in SGBS patients is the family of bone morphogenetic proteins (BMPs). Loss of one copy of the Bmp4 gene causes a medullary dysplastic phenotype similar to SGBS,<sup>227</sup> and combining these mice with a Gpc3-null allele results in more severe and more penetrant limb defects not seen in either mutant individually.<sup>228</sup> Embryonic kidney explants and collecting duct cell cultures from Gpc3-null mice are no longer able to respond properly to Bmps, corroborating the idea that Gpc3 is involved in the Bmp signaling pathway.<sup>219</sup> Loss of one copy of a similar *Bmp* gene, *Bmp2*, combined with the *Gpc3*-null allele results in synergistic increases in ureteric bud proliferation.<sup>229</sup> In these mice, loss of Gpc3 decreases levels of receptorphosphorylated Smad1, suggesting that Gpc3 activates the Bmp pathway at the level of the ligand-receptor interaction. These data imply that the hypothesis that glypicans act as coreceptors to promote Bmp signaling in Drosophila may be also be true for the vertebrate kidney.<sup>230</sup>

Glypicans have been also been shown to regulate Wnt and hedgehog signaling systems in Drosophila.231,232 The Wnt signaling system is affected in the Gpc3-null mouse, and overexpression of Gpc3 in mesothelioma cells has the opposite effect.<sup>233</sup> It is tempting to speculate that Gpc3 modulates Wnt11 activity in the branching ureter tips to control growth and branching as it does when controlling cell polarity in zebrafish.<sup>234</sup> An equally likely mechanism of action is that Gpc3 participates in hedgehog signaling. The growth factor sonic hedgehog is strongly expressed in the medullary collecting ducts, and Shh deletion causes a similar disruption of maturation of the renal medulla.<sup>100</sup> The Drosophila glypican Dally-like is required for hedgehog signal transduction upstream or at the level of the receptor; therefore, it is possible that deficient hedgehog signaling between the ureter and the medullary mesenchyme triggers apoptosis and cyst formation in the medulla of SGBS patients.<sup>219,235</sup>

#### Kallmann Syndrome

Kallmann syndrome (KS) is a genetically heterogeneous syndrome caused by mutations in several different loci and is characterized by hypogonadotropic hypogonadism and anosmia. Two loci have been mapped and include an X-linked (*KAL1*; OMIM 308700) and autosomal (*KAL2*; OMIM 147950) form. *KAL1* encodes the anosmin-1 protein, and these mutations account for about 60% of familial KS cases and about 10% to 15% of sporadic cases in males. Mutations in *FGFR1* cause autosomal dominant KAL2 and occur in about 10% of KS cases.<sup>236</sup> Renal agenesis, usually unilateral, may occur in as many as 50% of *KAL1* cases and is predominantly right sided, in contrast to left predominance of sporadic renal agenesis.<sup>237,238</sup> These patients may be at risk for development of hypertension and proteinuria in young adulthood.<sup>239</sup>
Although much less common, VUR and MCDK have also been reported in *KAL1* mutation positive cases. KS patients with no *KAL1* or *KAL2* mutations also exhibit renal agenesis, suggesting there are additional loci that cause KS-associated renal anomalies.<sup>238</sup>

Anosmin-1 is a 680-amino acid protein that contains a whey acidic protein-like domain and four fibronectin-like type III repeats that contain heparan sulfate-binding sequences, suggesting it is an ECM protein. Indeed, immunohistochemical studies confirm that anosmin-1 is present in basement membranes and interstitial matrices in developing organs.<sup>240</sup> FGFR1 mutations in KS clearly demonstrate a role for this growth factor signaling pathway in this syndrome. Cell culture studies show that wild-type anosmin-1, but not proteins with KS mutations, enhances FGF2/FGFR1 type IIIc pathway activity and mitogenic responses.<sup>241</sup> This effect is dependent on haparan sulfate proteoglycan, a known modulator of FGFR signaling<sup>241–243</sup> via direct interaction of the C-terminal fibronectin repeats in anosmin-1 with haparan sulfate proteoglycan. Together the genetic studies suggest that reductions in FGF signaling cause developmental defects in KS. Thus, other modulators of FGF signaling are good candidates for KS cases in which KAL1 and KAL2/FGFR1 mutations are not found.

In the kidney, anosmin-1 is expressed in the mesonephric (wolffian) duct and its adjacent tubules, and in the proximal ureter where it ultimately becomes restricted to medullary collecting ducts.<sup>240</sup> Renal agenesis could be accounted for by a requirement for KAL1 in the mesonephric duct for outgrowth of the ureteric bud. Renal dysplasia in KS could then reflect a later requirement of KAL1 in elongation or maintenance of medullary collecting ducts, as has been shown for GPC3. Studies in the mouse clearly implicate FGF signaling in kidney development, and thus renal malformations in KAL1 may be due to effects on FGF signaling.<sup>244,245</sup> Consistent with murine data in which Fgfr1 is dispensable for ureteric bud outgrowth and branching,<sup>245</sup> no kidney and urinary tract malformations have been noted in KAL2/FGFR1 mutation positive cases.<sup>238</sup> In contrast, conditional ablation of Fgfr2 in the ureteric bud results in small dysplastic kidneys,245 and expression of a soluble dominant negative Fgfr2 in transgenic mice results in renal hypodysplasia and complete agenesis.<sup>244</sup> Homozygous deficiency of both *Fgf7* and *Fgf10*, Fgfr2 ligands, also result in hypoplastic kidneys.<sup>197,225,246</sup> Simultaneous deficiency of *Fgfr1* and Fgfr2 in metanephric mesenchyme results in complete renal agenesis, because the mesenchyme is not properly specified.<sup>22</sup> Therefore, it is likely that anosmin-1 may regulate multiple FGF receptors and thereby affect kidney development.

## **Fraser Syndrome**

Fraser syndrome (OMIM 219000) causes multiple organ defects comprising most commonly cryptophthalmos, cutaneous syndactyly, and urogenital defects. Cryptophthalmos, in which skin covers the globe of the eye, affects the majority (~90%) of patients. Renal agenesis is the most common renal anomaly (45%), and in half of these individuals bilateral agenesis causes perinatal mortality.<sup>247</sup> An additional 12% of affected individuals exhibit hypoplasia of one or both kidneys and less commonly additional urinary tract anomalies such as cystic dysplasia, hypoplasia, or agenesis of the bladder, and hydronephrosis can occur. Thus, overall more than 50% of Fraser syndrome patients have clinically important renal anomalies that affect kidney function and patient survival.

Several recent studies have significantly advanced our understanding of the pathogenesis of Fraser syndrome. McGregor et al<sup>248</sup> identified homozygous mutations in a gene on chromosome 4 for five pedigrees exhibiting autosomal recessive inheritance of the syndrome. These mutations are located within the *FRAS1* gene and are predicted to produce no functional protein. Similarly, *Fras1*<sup>-/-</sup>mice recapitulate the human syndrome, suggesting that complete loss of function of *FRAS1* is responsible for Fraser syndrome.<sup>249</sup> This was verified by the discovery that an older model of Fraser syndrome, the blebbed (*bl*) mouse mutant, also carries a *Fras1* mutation.<sup>248,249</sup> *FRAS1* encodes for a predicted protein of 4,007 amino acids that has similarity to a sea urchin ECM component ECM3.

FRAS1 mutations account for about 50% of Fraser syndrome cases; therefore, other genetic loci were also investigated. Other genes that had corresponding mouse models of Fraser syndrome were prime candidates, including the FRASrelated gene FREM1, and glutamate receptor interacting protein, GRIP1, which have been shown to be mutated in the  $bat^{250}$  and the eye blebs  $(eb)^{251}$  mouse models, respectively. Like Fras1 mutants, these mice exhibit kidney phenotypes including renal agenesis. In developing kidney, they exhibit complementary expression patterns. Frem1 is expressed at E12.5 in the mesenchyme surrounding the proximal, but not distal branching ureter,<sup>250</sup> and *Fras1* has been localized to the mesonephric duct and basal surface of the ureteric bud.<sup>249</sup> GRIP1 is a PDZ domain protein that co-localizes with FRAS1 to the basal surface of epithelia, including the ureteric bud. GRIP1 can physically interact with FRAS1 via its PDZ domain and is required for proper targeting of FRAS1 to the basal membrane.<sup>251</sup> Despite the strong association between Frem1 and Grip1 mouse models and Fraser syndrome phenotypes, thus far no human mutations have been identified in these genes.

Conversely, two unrelated Fraser syndrome families have been found to have mutations in the FREM1-like gene FREM2.<sup>252</sup> One of the affected individuals displayed unilateral renal agenesis and severe hypodysplasia on the other side with the ureter directly connected to the vagina. A gene trap mutation in mice that disrupts Frem2,<sup>252</sup> and the myelencephalic blebs (My) radiation-induced Frem2 mutant<sup>253</sup> cause phenotypes typical of Fraser syndrome. These mutants, like Fraser patients, exhibit phenotypes in the kidney that range from renal agenesis to cystic kidneys. These families exhibit a missense mutation causing an E1974K amino acid substitution in a calcium-binding cadherin-like domain<sup>252</sup> (CALXB). This domain may function in cell adhesion and is shared among FRAS1, FREM1, and FREM2.<sup>250</sup> The charge alteration induced by this mutation is predicted to affect Ca<sup>2+</sup> binding, thereby resulting in a loss of function. These results indicate that the proper function of the CALX $\beta$  domain is required for normal kidney development. FREM2 is strongly expressed in the tips of ureteric buds, similar to FRAS1, and in developing epithelial structures in the metanephros as well as in mature collecting ducts, proximal tubules, and renal blood vessels.

These proteins are thought to be involved in ECM interactions between the ureteric bud and the metanephric mesenchyme. This is based on data from *Fras1* and *bl* mutant mice, where ureteric bud outgrowth and invasion of the metanephric mesenchyme seem to occur normally.<sup>249</sup> However,

induction of the mesenchyme fails, resulting in apoptosis. Because the basal surface of the ureteric bud contacts the metanephric mesenchyme, these results suggest that Fras1 is involved in signaling from the ureter to the mesenchyme. This phenotype is strikingly similar to Six1- or Sall1-null mice, suggesting that these transcription factors may control the mesenchymal responses to ureter signaling at a similar time during kidney development. GRIP1 is probably involved in properly localizing both FRAS1 and FREM2 to the basal surface of the ureter and ensuring the correct stoichiometry of ECM components like the chondroitin sulfate proteoglycan NG2 and collagen.<sup>251</sup> According to predicted functional domains in Fras1 and Frem2, their absence from the basal membrane might affect the FGF signaling pathways involved in survival and differentiation of the metanephric mesenchyme, because FGF2 can interact with NG2,<sup>254</sup> and it has been implicated as a ureteric bud-derived survival factor for the mesenchyme.<sup>255</sup> For FRAS1, the furin domain could modulate BMP signaling activity in that a furin cleavage reaction is necessary to release active ligand. Their well described role in kidney development suggests that BMP4 and BMP7 would be good candidates.<sup>256–258</sup> Frem1 lacks the transmembrane domains of Fras1 and Frem2, suggesting it may be a secreted factor. It has been speculated that Frem1 might interact directly with Fras1 and Frem2 in the ECM, thereby mediating epithelial-mesenchymal interactions in the developing kidney. In addition, defective deposition of collagens in basement membranes may contribute to the pathogenesis of Fraser syndrome. Thus, this newly discovered family of ECM proteins seems to have important functions in induction of the metanephric mesenchyme and proper developmental morphogenesis of the branched metanephric kidney.

### CONGENITAL DEFECTS AFFECTING THE RENAL VASCULATURE

Several monogenic disorders, especially those affecting the ECM, result in defects of renal vasculature (Table 5-6). The most common abnormality is obstruction of the renal artery manifesting clinically as hypertension of renovascular origin. In addition to causing renal artery stenosis, abnormalities of the vascular wall predispose to aneurysm formation that may be complicated by renal artery rupture and renal infarction.<sup>259–261</sup> These manifestations may present in childhood or be silent until the fourth or fifth decade of life. Typically, these conditions affect normal formation of vessels generally, and manifestations are thus usually not restricted to the vascular bed of the kidney. These include Marfan's syndrome and Ehlers-Danlos syndrome type IV, which are caused by mutations in the genes encoding the ECM proteins fibrillin (FBS1) and type III collagen (COL3AI) and will not be discussed further. In some cases, the mutated gene regulates multiple aspects of vascular and kidney development. This is the case for Alagille syndrome (see "Transcriptional Regulators That Cause Kidney Defects"), where renal artery stenosis and glomerular sclerosis are accompanied by renal anomalies that span the entire spectrum that characterizes CAKUT. Those monogenic syndromes affecting renal vasculature for which more detailed pathogenic information is available are discussed below.

 Table 5-6
 Congenital Syndromes Affecting the Renal

 Vasculature
 Vasculature

Syndrome	Gene	OMIM
RTD	REN AGT ANG AGTR1*	267430
Williams	ELN†	194050
Neurofibromatosis type 1	NF1	162200
Marfan's	FBN 1	154700
Ehlers-Danlos	COL3A1	130050
Alagille	JAG1	118450
Cutis laxa	ELN 1 FBLN5	123700
Fibromuscular dysplasia	ND	135580

\*Compound heterozygous or homozygous mutations in any of these genes.

<sup>†</sup>Contiguous gene deletion. ELN deficiency is thought to cause vascular defects.

ND, not determined.

## Williams Syndrome

Williams syndrome (WS; OMIM 194050) is a contiguous gene deletion syndrome of chromosome 7q11.23 that is characterized by typical (elfin) facies, cardiovascular defects, especially supravalvular aortic stenosis, and mental retardation. Renal involvement is common in this syndrome and displays a broad array of defects, of which renal artery stenosis leading to hypertension is probably the most common.<sup>262–264</sup> Hypertension, vascular disease, and possibly reflux nephropathy result in proteinuria and renal insufficiency in some WS patients, especially after the first decade of life.<sup>265</sup> Though less common, structural anomalies of the kidney and urinary tract have been described in WS and include unilateral renal agenesis, crossed fused ectopia, bladder diverticula, and VUR.<sup>262,266</sup> Another feature of WS, hypercalcemia and hypercalciuria, may lead to nephrocalcinosis and contribute to progressive renal failure.<sup>267</sup>

The pathogenesis of this complex disorder is incompletely understood. The gene encoding elastin is deleted in 75% of WS patients and haploinsufficiency at this locus is thought to account for the vascular defects.<sup>268,269</sup> Elastin is an important structural component of the elastic lamina and would be predicted to disrupt the integrity of the blood vessel wall, similar to that observed in Marfan's and Ehlers–Danlos syndromes. In support of this, mutations in elastin (*ELN*) or the elastin-binding protein fibulin 5 (*FBLN5*) cause the heterogeneous disorder cutis laxa (OMIM 123700) and have been associated with fibromuscular dysplasia of renal arteries.<sup>270</sup> Analysis of *Eln*<sup>+/-</sup> mice revealed that structural changes in the wall of large vessels led to alerted vascular compliance. These vascular developmental defects subsequently led to elevated blood pressure in adult mice, suggesting a potentially novel mechanism for initiation of systemic hypertension.<sup>271</sup> Maintenance of hypertension, however, seemed to be dependent on the kidney and the RAS. A detailed clinical and molecular characterization was recently carried out on individuals with WS. This study shows that the prevalence of hypertension was significantly reduced in WS when the deletion included the *NSF1* gene.<sup>272</sup> *NSF1* encodes for a subunit of NADPH oxidase, suggesting that a reduction in oxidative stress reduces the risk of vasculopathy due to elastin deficiency.

The molecular basis that accounts for the less common structural urogenital anomalies in WS patients is not known. Deletion of genes adjacent to ELN, such as those encoding lim kinases, is thought to account for other phenotypes seen in WS, but their role in kidney defects is not known. The mechanism of hypercalcemia is also not well delineated. Although reduced calcitonin release in response to intravenous calcium infusion has been observed, this alone may not account for hypercalcemia.<sup>273</sup> Rather, it is postulated that a defect in signaling via the vitamin D receptor is responsible. The chromosomal deletion on 7q in WS also disrupts the WS transcription factor (WSTF). WSTF interacts with the vitamin D nuclear hormone receptor and recruits a novel chromatin remodeling complex. Impaired recruitment of this complex in persons with WS results in altered regulation of vitamin D-responsive target genes and is thereby postulated to lead to hypercalcemia.<sup>274</sup> Thus, WS may, in part, be a disorder of chromatin remodeling (see "Chromatin Remodeling in Structural Renal Defects").

### **Neurofibromatosis**

The classic form of neurofibromatosis (type I) is due to mutations in the tumor suppressor gene neurofibromin (NF1; OMIM 162200). Although affected individuals may develop severe hypertension due to pheochromocytoma or coarctation of the aorta, it is likely that renal artery stenosis is a more common cause of high blood pressure in these patients, especially children.<sup>275</sup> Other rare causes of hypertension in neurofibromatosis include cerebellar and brain-stem tumors and extrinsic compression of renal arteries by retroperitoneal hamartomas.<sup>276,277</sup> As with Marfan's and Ehlers-Danlos syndromes, aneurysms can also be seen and may involve the renal artery at all levels including intraparenchymal branches.<sup>278</sup> These individuals may have associated stenotic lesions of renal arteries and come to clinical attention because of severe hypertension. Diffuse involvement of intrarenal vessels may contribute to renal failure and resistant hypertension despite revascularization to bypass stenotic lesions.<sup>278,279</sup>

In contrast to disorders in which there is a deficiency in structural components of the blood vessel wall, the mechanism of *NF1* vasculopathy is not understood. *NF1* is a modulator of Ras signaling, although its mode of action likely involves Ras-independent pathways as well, such as via protein kinase A(PKA).<sup>280</sup> Although constitutive activation of Ras probably plays a role in mediating tumorigenesis in *NF1* deficiency, this mechanism has not been clearly linked to the vascular disease. *NF1* is expressed in endothelial and vascular smooth muscle cells (SMCs), and it is hypothesized that defective repair of endothelial injury leads to intimal hyperplasia and defects in the media and external elastic lamina of blood vessels.<sup>281,282</sup> Consistent with this observation is the finding that homozygous deficiency in *Fbln*5 mice leads to abnormal vascular remodeling in response to injury.<sup>283</sup>

Fbln5-/- mutants exhibited enhanced proliferation and migration of vascular SMCs leading to neointima formation and adventitial thickening. Similarly, Eln-/- mutant mice display obstructive vascular lesions due to subendothelial cell proliferation and reorganization of vascular smooth muscle.<sup>284</sup> In contrast, mice deficient in collagen III do not exhibit increased proliferation in vascular SMCs.<sup>285</sup> Thus, certain defects in structural components of the vessel wall lead to altered signaling that can cause vascular defects. Although the exact mechanisms that link a mechanically defective vessel wall to fibromuscular dysplasia-like lesions is not known, NF1 may prove to represent one important mediator. The histopathologic lesions seen in vessels in neurofibromatosis have some features in common with fibromuscular dysplasia, although proliferative intimal nodules and intraparenchymal involvement are more typical of NF1. Familial forms of fibromuscular dysplasia have been reported.<sup>286-288</sup> Whereas some cases may represent undiagnosed NF1 mutation or defects in connective tissue components of the vascular wall, such as loss of elastin or collagen type III in WS and Ehlers-Danlos syndrome, it is likely that this condition has other genetic causes that are yet to be discovered. It is anticipated that advances in vascular biology will clarify the molecular mechanisms that connect altered structural and elastic properties of blood vessels to specific genetic defects and distinct vessel wall pathologies.

### **Renal Tubular Dysgenesis**

Recent studies suggest that vascular defects associated with loss-of-function mutations in various components of the renin-angiotensin system (RAS) leads to a severe form of congenital renal disease, autosomal recessive renal tubular dysgenesis (RTD; OMIM 267430).<sup>289</sup> This represents the first mendelian disorder in humans linked directly to genes in the RAS and uncovers a novel role for RAS in metanephric development. This disease typically is associated with fetal anuria leading to oligohydramnios and pulmonary hypoplasia resulting in perinatal death. Wide cranial sutures and large fontanelles are also commonly observed. Analysis of nine families uncovered homozygous or compound heterozygous mutations in genes encoding renin (REN), angiotensinogen (AGT), angiotensin (ANG), and angiotensin II receptor type I (AGTRI). The characteristic histopathologic lesion in RTD is a reduction or absence of proximal tubules. The similarity of this lesion to multiple conditions characterized by renal hypoperfusion, such as atubular glomeruli seen in renovascular hypertension,<sup>290</sup> support the conclusion that chronic ischemia of the developing kidney is the key pathogenetic defect. Although this could result from low blood pressure in utero, the presence of marked thickening of arterial walls in RTD suggests that hypoperfusion could also result from intrarenal obstructive vasculopathy.

During kidney development renin-expressing cells are present in the metanephric mesenchyme, and elegant lineage tracing studies indicate that these progenitors contribute to various cell types including SMCs and a subset of proximal tubule cells.<sup>291–293</sup> Thus, although renal hypoperfusion is probably a major contributor in RTD, other effects on nephrogenesis due to a disrupted RAS are also possible. Recent evidence points to an important role for signals originating in the developing vasculature, especially angioblasts, in regulating

organ differentiation.<sup>294</sup> Future studies will probably clarify how inductive signaling events involving vascular progenitors regulate kidney development.

### OBSTRUCTION, HYDRONEPHROSIS, HYDROURETER, AND VESICOURETERAL REFLUX

Obstructive and nonobstructive dilatation of the renal pelvis, calyces, and ureter are among the most common human congenital anomalies. Dilatation of the fetal urinary tract is commonly detected by routine prenatal ultrasonography with a frequency of about 1 in 500. Although there is spontaneous resolution of urinary tract dilatation postnatally in the majority of cases, anatomic lesions of clinical significance, such as PUJO, ureterovesical junction (UVJ) obstruction, and VUR persist in a relatively large number of patients. Despite early detection by antenatal ultrasonography, these disorders remain the most common causes of renal failure in infancy and childhood. These anomalies most commonly occur in isolation, although in a significant minority of cases they are associated with other kidney and urinary tract anomalies. The spectrum of congenital anomalies of the kidney and urinary tract (CAKUT) occurs more commonly in males and can cluster within certain families, suggesting an important role for genetic factors. They also occur, albeit much less commonly, as part of a congenital syndrome with multiple-organ anomalies in which a defect in a single gene has been defined, such as VUR in patients with TBS and RCS.

Experimental unilateral obstruction in the fetus impairs normal metanephric growth and differentiation and leads to small dysplastic kidneys.<sup>34–36</sup> It is thus likely that in utero obstruction alone accounts for some cases that present with renal hypoplasia or dysgenesis. However, it is usually not possible to distinguish if in utero obstruction or disruption of other steps in nephrogenesis is the primary source of small dysplastic kidneys. In syndromic cases such as TBS and RCS, isolated hypodysplasia without obstruction is observed. Moreover, both SALL1 and PAX2, the genes mutated in these syndromes, probably regulate multiple steps in development of the kidney and urinary tract, suggesting that obstructive uropathy need not be invoked to explain hypodysplastic kidneys. It has also been postulated that a common early developmental defect can account for the broad spectrum of sporadic, nonsyndromic kidney and urinary tract anomalies (CAKUT).<sup>295</sup> This model proposes that ectopic budding of the ureter leads to improper insertion of the ureter into the bladder trigone and impaired induction of the blastema, leading to both VUR and renal hypoplasia. However, it is not clear how this model would account for PUJO. Several mouse mutants that display urinary tract anomalies, such as Bmp4, Foxc1, and Agtr2, are consistent with this ectopic budding model. Thus, although this hypothesis may explain the spectrum of CAKUT in some situations, it is probable that perturbation of many other steps in development of the kidney and ureter are also important.

## **Pelviureteral Junction Obstruction**

PUJO represents the most common cause of antenatal fetal hydronephrosis, occurring in approximately 1/1,500 live

births.<sup>35</sup> Affected individuals may be asymptomatic, present in the newborn period, or first become symptomatic in late childhood, adolescence, or even adulthood. This broad spectrum is thought to reflect the severity of obstruction and its potential intermittent nature. In the newborn period, PUJO may be suspected by the presence of an abdominal mass due to a massively enlarged obstructed kidney, urinary tract infection, and renal failure associated with failure to thrive. In cases of severe in utero obstruction, a nonfunctioning MCDK may be the end result. Severe bilateral obstruction with evidence of oligohydramnios may necessitate early delivery or prenatal intervention in an attempt to preserve renal function. Intermittent flank or unexplained abdominal pain, hematuria, nephrolithiasis, and urinary tract infection are common modes of presentation in later childhood. In older children and even adults, transient episodes of intermittent pain can be provoked by a brisk diuresis, such as after caffeine or alcohol consumption. Diagnosis may only be confirmed if imaging studies are performed during the acute painful episode (see Fig. 5-2). With the advent of routine maternal ultrasonographic screening in developed countries many cases are now detected antenatally by the presence of fetal hydronephrosis. Diagnosis is then made in the asymptomatic newborn in the early postnatal period. Although there is some controversy about the treatment of asymptomatic patients, surgical treatment is highly successful in the majority of affected individuals. PUJO occurs most commonly in boys and displays a left-sided predominance, although 10% to 40% of cases are bilateral.<sup>296–299</sup> Although most cases occur in isolation, there is an increased association of other urinary tract anomalies, such as VUR. In addition, PUJO can occur as part of a syndrome with multiorgan involvement, although this is relatively rare (Table 5-7).

Although PUJO is a relatively common congenital anomaly, its pathogenesis is poorly understood. Most cases are sporadic, although familial hydronephrosis attributed to PUJO is described. Because the disorder can be clinically silent and display intermittent symptoms that spontaneously resolve, it is possible that some inherited cases are missed, thereby

Table 5-7	Congenital Syndromes Associated with
Pelviureter	al Junction Obstruction

Syndrome	Gene	OMIM
CHARGE	CHD7	214800
Currarino triad	HLXB9	176450
Ehlers-Danlos	COL3A1	130050
Hand-foot-uterus	HOXA13	140000
Russell-Silver	ND*	180860
Smith-Lemli- Opitz	DHCR7	270400
VATER, VACTERL <sup>†</sup>	mtDNA, <i>PTEN</i> , Fanconi anemia genes	192350

\*Unknown epimutation that causes demethylation in the telomeric imprinting center region ICR1 of the 11p15 region.<sup>377</sup> <sup>†</sup>A small percentage of VACTERL cases can be linked to these genes.

mtDNA, mitochondrial DNA genes.

underestimating the frequency of familial PUJO. Analysis of the few reported pedigrees suggests autosomal dominant inheritance with reduced penetrance.<sup>300,301</sup> Linkage to chromosome 6p is implicated in some, but not all reported pedigrees. However, these data also point out that this disorder is genetically heterogeneous, and most cases may be polygenic and involve the interaction of multiple susceptibility loci.<sup>302</sup>

Thus far only one genetic locus, angiotensin type 2 receptor gene (AGTR2) on the X chromosome, has been shown to confer susceptibility for this disorder in humans. A common single-nucleotide polymorphism (SNP) in this gene results in an  $A \rightarrow G$  transition in intron 1. Genotyping of patients with isolated PUIO or MCDK showed that the "G" allele was significantly more prevalent (72% to 74%) in two different cohorts as compared with controls (42%). This SNP occurs at the lariat branch point downstream of the 3' splice site. Patients with the "G" allele show reduced Agtr2 mRNA expression and inefficient splicing of exon 2,303 consistent with a functional effect of this SNP. Further support for the role of AGTR2 comes from genetic studies in the mouse. Inactivation of Agtr2 in the mouse results in a low frequency (2% to 20%) of congenital anomalies of the kidney and urinary tract (CAKUT), including PUJO. These phenotypes are dependent on genetic background, suggesting an important role for other genetic modifiers. Thus far only small cohorts of individuals with PUJO have been analyzed. One study confirmed a statistically significant association of PUJO with the "G" allele,<sup>304</sup> whereas a second did not.<sup>305</sup> Together, these results support the conclusion that AGTR2 is probably one of several loci that contributes to the pathogenesis of PUJO, but other genetic and environmental factors remain to be determined.

The developmental mechanisms that lead to PUJO are not well understood, and both structural lesions and functional disturbances have been noted. Extrinsic compression from an angulated lower pole blood vessel that compresses the renal pelvis is thought to constitute a common structural anomaly.<sup>306</sup> It has been observed that the location of ureteral strictures correlates with where fetal blood vessels normally cross the ureter at the pelviureteral junction.<sup>307</sup> This has led to the suggestion that these fetal vessels, which normally undergo involution, may cause extrinsic compression during development thereby resulting in a stricture. However, in many cases no anatomic obstruction is present and functional disturbances that impair peristalsis are thought to cause PUJO. Analysis of surgical specimens obtained at the time of correction of congenital ureteral strictures and PUJO have noted reduction and disorganization of periureteral smooth muscle.307-310 These anatomic defects could obstruct urine flow because of impaired peristalsis.

Recent studies in the mouse provide support for the hypothesis that impaired peristalsis may be an important developmental mechanism for PUJO. For instance, hydronephrosis in *Shh* mutant mice results from impaired formation of SMCs that surround the ureter.<sup>100</sup> These SMCs are derived from progenitors in the periureteral mesenchyme and are required for peristalsis and hence propagation of urine down the ureter and into the bladder. *Shh* is expressed in the urothelium and acts as a paracrine signal to adjacent periureteral mesenchyme where it induces downstream target genes including its receptor *patched 1* and the *TGF* $\beta$  family member *Bmp4*. Shh signaling from epithelium to mesenchyme in the ureter regulates proliferation of smooth muscle (mesenchymal) cell progenitors and may affect the timing and pattern of SMC differentiation. Interestingly, reports of hydronephrosis and holoprosencephaly in humans have been linked to chromosome 7q abnormalities that are predicted to result in *SHH* haploinsufficiency, although this region also includes *HLXB9*, a gene responsible for sacral agenesis that has been associated with bilateral PUJO.<sup>311–313</sup> The association of PUJO with SLOS is also consistent with a role of Shh signaling in this disorder.<sup>200</sup> Heterozygous loss of function of *Bmp4* in mice results in a broad range of urinary tract anomalies, including hydroureter.<sup>227,314</sup> *Bmp4*, a Shh target, is expressed in the periureteral mesenchyme where it regulates SMC differentiation<sup>315</sup> but not SMC progenitor proliferation.<sup>100</sup>

Genes encoding for types 1 and 2 angiotensin receptors, Agtr1 and Agtr2, are also strongly expressed in periureteral mesenchyme, including in the region of the developing renal pelvis. The renal pelvis does not form in mice deficient in Agtr1 and, like the Shh mutant, periureteral smooth muscle is hypoplastic because of reduced proliferation of mesenchymal SMC progenitors.<sup>316</sup> Although a mutation in AGTR2 is linked to susceptibility for PUJO in humans,<sup>303,304</sup> it is not known if a similar defect in SMCs is involved. Nonetheless, these studies suggest that signaling through angiotensin is critical for maturation of the renal pelvis. It is possible that AGTR1 and AGTR2 are functionally redundant in the periureteral mesenchyme, accounting in part for the low frequency of PUJO associated with AGTR2 mutations. At present it is not known if angiotensin signaling acts in a common or parallel pathway with Shh. In a third mouse model of functional obstruction, conditional inactivation of the ubiquitous calcineurin regulatory subunit isoform, Cnb1, in periureteral mesenchyme leads to congenital PUJO.<sup>317</sup> Analysis of this mutant demonstrates that the renal pelvis fails to form as a consequence of reduced proliferation of SMC precursors in the mesenchyme. Thus, in keeping with observations in human pathologic specimens, defects in the ureteric smooth muscle seem to constitute an important influence in functional obstruction of the urinary tract. Future studies will be needed to elucidate the epistatic relationship of these pathways in the ureter, to discover novel genes involved in formation of the renal pelvis and ureter, and to genotype affected individuals to clarify the role of susceptibility loci in human disease.

A few monogenic syndromes have been associated with PUJO (see Table 5-7). Although these cases are rare, they may provide insight into the pathogenesis of the common sporadic form. For example, PUJO has been reported in the hand-foot-uterus syndrome that results from mutations in *HOXA13*.<sup>318</sup> *AbdB Hox* genes are expressed in periureteral mesenchyme<sup>319</sup> and have been implicated in kidney development in the mouse,<sup>320,321</sup> suggesting they may play an important role in human CAKUT. In addition, targeted deletion of genes not previously suspected of regulating development of the ureter and causing PUJO or congenital hydronephrosis, such as *Scarb2, Foxc1/c2, Gdf11, Id2*, and the gene encoding the metalloproteinase Adamts1, represent novel candidates to be investigated.<sup>19,322–325</sup>

### **Vesicoureteral Reflux**

VUR is defined as the retrograde passage of urine from the bladder into the upper urinary tract and represents the most common urologic malformation after hypospadias. This section will focus on the more common primary VUR that is attributed to a congenital anomaly of the ureterovesical junction. Secondary forms that occur as a result of increased intravesical pressure, such as with posterior urethral valves and neurogenic bladder, will not be discussed.

VUR is found in about 1% of all neonates and in 35% to 40% of infants who develop urinary tract infections, 326-331 As with PUJO, detection of prenatal hydronephrosis is often the clue to early diagnosis of VUR in asymptomatic newborns.<sup>332</sup> Confirmation of the diagnosis requires a voiding cystourethrogram to demonstrate reflux of urine from the bladder up into the urinary collecting system (see Fig. 5-2). Postnatal diagnosis is usually made when an infant or child is evaluated for urinary tract infection or as part of a screen of family members of an index case. The severity of reflux is graded I to V on the basis of findings from the voiding cystourethrogram,<sup>333</sup> and the likelihood of spontaneous resolution correlates principally with the severity, especially for mild disease (grades I-II). In more severe reflux (grades III-IV), increased patient age and bilateral reflux significantly reduce the chance of spontaneous resolution.<sup>334</sup> Most cases of bilateral reflux are associated with a more severe grade (III-V). Overall spontaneous resolution occurs in as many as 60% of patients with grade III-V reflux, although grade V reflux rarely resolves without medical intervention. Nevertheless, many patients show some evidence of renal scarring<sup>335</sup> at the time of diagnosis, and these children may be at risk for later development of hypertension and renal failure. The major clinical importance of VUR relates to its association with renal injury culminating in secondary focal glomerulosclerosis, so-called reflux nephropathy, leading to end-stage renal failure. Although the mechanism of renal damage in VUR is controversial, reflux nephropathy remains a major cause of hypertension and renal failure in children. Early detection of VUR followed by antibiotic and even surgical treatment may not prevent progressive renal scarring and dysfunction. Moreover, in some studies reflux grade does not correlate with the degree of renal scarring found on DMSA radionuclide scan.<sup>336</sup> This has led to the plausible hypothesis that patients with VUR who develop kidney failure have associated developmental defects in the kidney.<sup>337-340</sup> In support of this idea is the finding of small kidneys in boys without a history of urinary tract infection who develop reflux nephropathy.341,342 This view is also consistent with analysis of mouse models of monogenic syndromic VUR, indicating that individual genes regulate multiple independent steps in kidney development leading to small dysplastic kidneys together with lower urinary tract anomalies. Thus, in many cases, reflux of infected urine and damage to the kidney from back pressure may not be the principal cause of progressive renal failure.

Numerous studies support the conclusion that there is a strong genetic predisposition to primary VUR. These include demonstration of a substantially higher risk of VUR in first-degree relatives of an index case and the higher concordance of VUR in monozygotic (~80%) compared with dizygotic (~40%) twins.<sup>343–347</sup> Because invasive screening of asymptomatic family members is impractical and spontaneous resolution occurs at high frequency, accurate case ascertainment limits genetic studies. Nonetheless, it has been possible to analyze kindreds and draw several conclusions. Overall, the data support the conclusion that there is considerable genetic heterogeneity in VUR. Most pedigrees suggest autosomal

dominant inheritance with incomplete penetrance and variable expressivity of the phenotype.<sup>348–350</sup> However, isolated families with recessive and X-linked transmission have also been suggested.<sup>351,352</sup> For some or perhaps many sporadic cases, it also possible that VUR is an oligogenetic or typical polygenic trait, like essential hypertension. Linkage analysis in some human pedigrees mapped VUR loci to chromosomal regions 6p21, 1p13, 10q26, and 19q13.<sup>349,353-356</sup> Lu et al have reported that Robo2, a gene that causes ectopic budding and duplication of the ureter in the mouse, is disrupted in primary VUR.<sup>18,357</sup> However, a recent study examined these candidate loci in seven new multigenerational families with multiple affected members and found no evidence of linkage to loci associated with VUR in other studies, including ROBO2 and genes that cause syndromic VUR.350 This supports the conclusion that primary VUR exhibits a high degree of genetic heterogeneity, and thus thorough phenotypic evaluation of many pedigrees or single large pedigrees that exhibit high penetrance will be needed to identify responsible genes.

One approach has been to test candidate genes based on the finding of VUR in multiorgan congenital anomaly syndromes due to a single-gene defect. For example, RCS due to PAX2 mutations causes VUR in humans.<sup>39</sup> However, analysis of kindreds with primary isolated VUR did not identify PAX2 mutations.<sup>348,358</sup> Similarly, other genetically defined syndromes that result in VUR have not been linked to the more common, sporadic isolated disorder (Table 5-8). Another approach has been to examine human orthologous genes that result in VUR or other defects in formation of the ureter when mutated in the mouse. Although this also represents a reasonable strategy, it too has not resulted in definitive results, with the possible exception of ROBO2. For example, Agtr2 results in CAKUT including VUR in mice, and the human homologue causes increased susceptibility to PUJO in some human cohorts. However, in a study of 88 families with two or more

 Table 5-8
 Congenital Syndromes Associated with

 Vesicoureteral Reflux
 Vesicoureteral Reflux

Syndrome	Gene	OMIM
Branchio-oto-renal	EYA 1 SIX 1	113650
Cornelia de Lange	NIPBL	122470
Hand-foot-genital	HOXD13	142989
HDR	GATA3	146255
Kallmann	KAL1	308700
Renal coloboma	PAX2	120330
Townes Brocks	SALL1	107480
VACTER, VACTERL	mtDNA, <i>PTEN</i> , Fanconi anemia genes	192350
Williams	ELN*	194050

\*Continuous deletion syndrome. It is not clear which gene deletion causes VUR.

mtDNA, mitochondrial DNA genes.

HDR, hypoparathyroidism, deafness, and renal anomaly syndrome.

affected members, AGTR2 could not be implicated in the pathogenesis of VUR.359 Some studies have suggested an association between primary VUR and SNPs in the ACE and AGTR2 genes.<sup>360–363</sup> However, in the absence of additional evidence demonstrating plausible biologic effects of these SNPs, these association studies do not establish any causative link between the RAS and VUR. Similarly, although mouse mutagenesis has established a critical role for GDNF-Ret signaling in kidney and lower urinary tract development, no human mutations were found in the single pedigree of primary VUR that has been reported.<sup>364</sup> Targeted inactivation of both uroplakin II and IIIa (UPIIIa) in mice results in VUR and one study has reported renal dysplasia in heterozygous UPIIIa individuals,<sup>365–367</sup> but analysis of 126 sib pairs with VUR did not find linkage to this locus.<sup>368</sup> Some of the UPIIIa affected patients exhibited VUR, but it is not clear if kidnev failure in these patients was related to VUR or other associated urinary tract defects.366

As with PUJO, studies in engineered mouse mutants have extended important observations from studies of human pathologic specimens and provide novel insights into the pathogenesis of VUR. In general, the data support the longheld view that VUR represents a congenital defect of the UVJ. Normally, a flaplike mechanism compresses the intravesical ureter during bladder contraction, thereby acting as a valve to prevent reflux of urine.<sup>369</sup> Failure of the anti-reflux mechanism in primary VUR is thought to result when the intravesical portion of the ureter is shortened or the ureteral orifices are ectopically placed within the bladder (see Fig. 5-2).370,371 As already noted, one developmental mechanism proposed to account for abnormalities of the UVJ and associated kidney anomalies is budding of the ureter from the mesonephric duct at a location that is either rostral or caudal to its normal position.<sup>372</sup> This view is supported by some mouse models with VUR, but is not likely to explain most cases of primary VUR. In many of these models, a failure to properly localize GDNF-Ret signaling in the nephric duct leads to ectopic budding of the ureter. However, the GDNF-Ret signaling pathway is also active in the urogenital sinus and regulates maturation of the distal ureter, a developmental process that seems to be temporally and spatially distinct from that controlling the initial site at which the ureter sprouts. Studies of mouse mutants in which retinoic acid signaling is disrupted have provided novel insights into this process. Proper insertion of the distal ureter into the bladder requires a complex series of morphogenetic movements that depend on formation of an epithelial outgrowth at the base of the mesonephric (wolffian) duct termed the trigonal wedge.<sup>32</sup> Failure of this process in retinoic acid receptor Rara-/- Rarb2-/- double-mutant mice results in hydronephrosis because the ureter does not join the bladder. A similar phenotype is seen in Ret-/- mice. Ret mRNA is expressed at the base of the mesonephric duct, the site where the epithelial wedge forms, and is dependent on vitamin A, suggesting that these genes function in a common pathway to control maturation of the distal ureter. Moreover, more recent studies indicate that contrary to previous views, the trigone of the bladder does not form from the common nephric duct, the posterior part of the mesonephric duct that connects the ureter to the urogenital sinus.<sup>33</sup> Rather apoptosis of the common nephric duct must occur for proper insertion of the ureter into the developing bladder to take place. This process is dependent on retinoic acid signaling from the urogenital

sinus. Although human cases of primary VUR or hydronephrosis due to obstruction have not been linked directly to retinoic acid or GDNF-Ret signaling, these studies provide a molecular and developmental framework for understanding these common birth defects. Integration of these studies with data from mouse models that display defects in differentiation of the urogenital sinus, such as Eph/ephrin signaling,<sup>373</sup> suggest other candidate pathways regulate proper insertion of the ureter into the bladder via signaling from the urogenital sinus to the common nephric duct. Future studies are also likely to elucidate the cellular and molecular basis for formation of the trigone, an important structural component of the anti-reflux mechanism.

### CONCLUSIONS

In this chapter we have attempted to highlight new insights into our understanding of the molecular pathogenesis of congenital birth defects affecting the kidney and urinary tract. In large part this has been sparked by advances in human and mouse genetics, coupled with classical embryologic approaches and careful phenotypic analysis of patients. The genes responsible for several monogenic syndromes that affect the kidney and urinary tract have now been determined, and progress has been made in dissecting their epistatic relationships. In many cases, this has led to delineation of specific roles of transcription factors and chromatin remodeling complexes in kidney development that were not previously appreciated. Because growth factors can be more readily studied in metanephric organ culture, their role in regulating nephrogenesis has been relatively well studied. However, the recent advances presented in this chapter have identified novel pathways that regulate kidney formation. Identification of genetic defects in these syndromes has also focused attention on the role of low-affinity co-receptors (SGBS), post-translational modifications (SLOS), and ECM components (KS) as key modulators of canonical ligand-receptor signaling pathways (for all three examples, see "Growth Factors and Receptors That Alter Kidney Morphogenesis" above). Where corresponding mouse mutants have been generated, scientists have been able to analyze developmental mechanisms at the molecular level. In some instances, this has even led to important new insights into areas of normal embryology that were previously less amenable to analysis and hence not well understood (see sections on PUJO and VUR in "Obstruction, Hydronephrosis, Hydroureter, and Vesicoureteral Reflux").

The decade ahead also promises to be productive for this line of investigation. With the sequencing of the mouse genome, mutagenesis screens in mouse for kidney defects will become more common and should uncover novel pathways regulating nephrogenesis. Targeted gene knockin in mice is being used to address specific biochemical and cellular functions in vivo.<sup>374,375</sup> Moreover, other model organisms will probably contribute increasingly to our understanding of mammalian organogenesis.<sup>376</sup> However, if these advances are to be translated into preventative and therapeutic interventions, then it will be necessary to make use of emerging cell biologic and proteomics analyses together with traditional biochemical approaches. Importantly, the role of clinicians will also require expansion. More sophisticated phenotypic analyses, including identification of disease biomarkers and noninvasive methods to screen large numbers of patients for kidney and urinary tract defects will help to elucidate such common defects such as VUR, PUJO, and the potential role of reduced nephron number (subclinical renal hypoplasia) in kidney failure.

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## Chapter 6

## Autosomal Dominant Polycystic Kidney Disease

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## INTRODUCTION

Autosomal dominant polycystic kidney disease (ADPKD) [MIM 173900] is the most common monogenic disorder of the kidney,<sup>1,2</sup> affecting all ethnic groups worldwide with a frequency of 1:400 to 1:1,000.<sup>3-5</sup> In the United States alone, approximately 600,000 people are affected with ADPKD, and each year more than 2,100 of them require renal replacement treatment. These latter patients account for approximately 5% of the burden of end-stage renal disease (ESRD) and incur an annual cost for renal replacement therapy of \$1 billion.<sup>6</sup> ADPKD is characterized by progressive formation and enlargement of renal cysts, typically resulting in chronic renal failure by late middle life. It is a multisystemic disorder with potentially serious extrarenal complications such as cardiac valve defects, colonic diverticulosis, abdominal wall hernias, and intracranial arterial aneurysms.<sup>1,2</sup> ADPKD is caused by a mutation in either of two genes, PKD1 and PKD2, which encode polycystins-1 and -2 (PC1 and PC2), respectively. The cystogenic process is highly complex, accompanied by increased proliferation and apoptosis, altered membrane protein sorting, altered secretory function, and disorganization of extracellular matrix in tubular epithelial cells.<sup>1,2</sup> Recent advances from studies of PKD genes and proteins have dramatically increased our understanding of the pathobiology and signaling pathways involved in this disease. In this chapter, we will provide a comprehensive review of the current knowledge of the clinical features, genetics, and molecular and cell biology of ADPKD. Additionally, we will highlight how some of these recent advances are being translated at the bedside toward the development of novel therapies.

## **GENETIC EPIDEMIOLOGY**

ADPKD is inherited as an autosomal dominant trait with complete penetrance, but variable expressivity.<sup>7–9</sup> Although all ethnic groups worldwide are affected, reliable risk estimates for this disease are presently available only in the white population. Two large population-based studies from Copenhagen, Denmark, and Olmsted County, Minnesota, United States, have estimated the prevalence of ADPKD to be 1:1,000 and 1:400, respectively.<sup>3–5,10</sup> The earlier Danish study published in 1957 was based on data derived from clinical cases, autopsy records, and death certificates, and was likely confounded by ascertainment bias for symptomatic cases. Thus, the prevalence of 1:1,000 in this study represents an estimate of the minimum risk.<sup>3</sup> By contrast, a more recent North American study was based on data derived from clinical cases, autopsy

records, and cases detected by family screening, and was modeled for potential cases that would have been missed if all the deaths from the region had been subjected to autopsy. It suggested that only half of the patients with ADPKD were clinically diagnosed during their lifetime and provided a prevalence estimate of 1:400.<sup>4</sup>

ADPKD is genetically heterogeneous. Since the localization of the first disease gene (*PKD1*) locus to the region of the  $\alpha$ globin gene on chromosome 16p13,<sup>7</sup> a second disease gene (PKD2) locus was mapped to chromosome 4q21-q23.8 In the white population, linkage studies suggest that the disease is due to mutations of PKD1 and PKD2 in 85% and 15% of ADPKD families, respectively.<sup>11,12</sup> However, there may be an ascertainment bias in favor of identifying families with PKD1 mutations since patients with PKD2 mutations have milder clinical disease and tend to be underdiagnosed.<sup>13</sup> Thus, the relative proportion of families with ADPKD due to PKD2 mutations may be higher than 15%. Virtually all the clinical cases of ADPKD are expected to be due to heterozygous mutations in one of these two known genes. Although subjects affected with homozygous PKD1 or PKD2 mutations are predicted to occur in approximately 1 in 250,000 and 1 in 1,000,000 marriages in the general population, respectively, there is no definitive documentation of such individuals.<sup>14,15</sup> Based on the results of Pkd1- and Pkd2-knockout mice (see later section on Animal Models), mutant homozygotes may be lethal in humans and therefore may not be clinically recognized.15

Both PKD1 and PKD2 have been identified and characterized. PKD1 is a large complex gene composed of 46 exons spanning over 52 kb of genomic DNA.16,17 It encodes an approximately 14-kb transcript that is predicted to translate into a protein of approximately 4,300 amino acids. Interestingly, the entire 5' region of PKD1 up to exon 33 has been duplicated at six other sites on chromosome 16p.16,17 The presence of these homologous pseudogenes, with many of them also encoding messenger RNA (mRNA) transcripts, provides a major challenge for genetic analysis of the duplicated region. However, recent development of locus-specific, long-range polymerase chain reaction (PCR) has allowed the complete screening of this gene for mutations.<sup>18</sup> Unlike PKD1, PKD2 is a single-copy gene encoding a 5.3-kb mRNA transcript with 15 exons and is predicted to translate into a protein of 968 amino acids.<sup>19</sup>

In addition to the two known genes, the existence of one or more rare disease genes for ADPKD has been suggested by the observation of several families unlinked to either the *PKD1* or *PKD2* loci.<sup>20–23</sup> However, the absence of linkage in these families does not necessarily imply the presence of another disease gene since a number of potential confounders (genotyping errors, nonpaternity, misdiagnosis, and bilineal disease) could lead to false exclusion of linkage.<sup>24,25</sup> Indeed, recent confirmation of these findings has been lacking, and one such unlinked family was actually found to have bilineal ADPKD from heterozygous *PKD1* and *PKD2* mutations.<sup>26</sup>

## **CLINICAL FEATURES**

## **Renal Manifestations**

Formation of renal cysts is an age-dependent, fully penetrant trait in ADPKD.<sup>27</sup> Typically, a few focal renal cysts are detected in most affected subjects before 30 years of age. However, by the fifth decade of life, hundreds to thousands of cysts will be present in the kidneys of most patients (Fig. 6-1). In patients with established disease, their enlarged kidneys can each measure up to 40 cm in length (compared with 10–12 cm in normal individuals) and weigh up to 8 kg (compared with 400–500 g in normal individuals).<sup>28</sup> The severity of the renal structural abnormality, in turn, correlates with complications such as pain, hematuria, hypertension, and renal insufficiency (Table 6-1).<sup>10</sup>

## **Renal Pain**

Back or flank pain is a common symptom that can affect up to 60% of patients with ADPKD.<sup>27,28</sup> Acute back or flank pain in ADPKD can be due to renal cyst infection, hemorrhage, or nephrolithiasis, and should be differentiated from nonrenal

Table 6-1 Renal Manifestations of ADPKD

#### **Renal functional abnormalities**

Urine concentrating defect Reduced urine ammonium relative to pH Reduced renal blood flow

### Renal pain, caused by

Cyst hemorrhage Renal calculi Renal infection

#### Hematuria, due to

Cyst hemorrhage Renal calculi Renal cell carcinoma

#### Proteinuria

Low-grade (less than 1 g/day)

#### Hypertension, associated with

Activation of renin-angiotensin system Impaired endothelial-dependent vascular relaxation Increased sympathetic nerve activity

#### Renal disease progression, possibly due to

Compression atrophy Tubular obstruction Renal ischemia Interstitial inflammation Apoptosis of tubular epithelial cells



Figure 6-1 Cyst formation in autosomal dominant polycystic kidney disease is a sporadic and age-dependent process. Serial computed tomography scans from a *PKD1* patient showing several renal cysts at age 28 years (A) and numerous renal cysts of different sizes and one large liver cyst at age 42 years (B). Gross appearance of a severely enlarged polycystic kidney (C).

sources such as pain originating from musculoskeletal structures.<sup>29</sup> A small subset of patients with renal enlargement and structural distortion will develop chronic flank, back, or abdominal pain without a specifically identifiable etiology and they are at risk for analgesic dependency. Avoidance of aggravating activities complemented by a supportive attitude on the part of the physician and a multidisciplinary team approach for pain management that utilizes both pharmacologic (nonnarcotic and narcotic analgesics) and nonpharmacological (transcutaneous electrical nerve stimulation, acupuncture, and biofeedback) measures may be helpful.<sup>29</sup> However, surgical decompression may be appropriate in selected patients whose kidneys are grossly distorted by large cysts. This can be done by percutaneous puncture and drainage with alcohol sclerosis. However, the limitation of this approach is that only a few cysts can be drained, and pain recurrence is common. Alternatively, laparoscopic surgical deroofing can be associated with significant pain relief in up to 80% of patients.<sup>30–32</sup> In general, cyst decompression in these patients with moderate renal insufficiency (glomerular filtration rate [GFR] of ~50% or less) does not seem either to improve or impair renal function, but its long-term effects are not known.<sup>30</sup> Nephrectomy is sometimes indicated for patients with advanced renal insufficiency and intractable pain that is unresponsive to the above measures. In this setting, laparoscopic nephrectomy performed by a surgeon who is experienced in this technique may reduce the risk for operative complications.<sup>32–34</sup>

### Hematuria

Gross hematuria in ADPKD is usually due to rupture of a cyst into the renal pelvis. It occurs in up to 40% of patients sometime during the course of their disease, and many of them will have recurrent episodes.<sup>10</sup> The differential diagnosis in this setting will include stone, infection, and tumor. If the cyst does not communicate with the collecting system, the patient may present with flank pain without hematuria. Additionally, fever can also occur with cyst hemorrhage, but cyst infection needs to be seriously considered. Occasionally, a ruptured hemorrhagic cyst can result in a severe retroperitoneal bleed, requiring blood transfusion. In this setting, treatment with desmopressin acetate (DDAVP) and aprotinin may be useful,<sup>35</sup> and transcatheter arterial embolization has been used in patients with recurrent and severe hemorrhage.<sup>36</sup> In most patients, however, cyst hemorrhage is self-limiting and generally resolves with supportive measures within 2 to 7 days. When gross hematuria is persistent for longer than 1 week, detailed radiologic investigations should be undertaken to exclude a renal tumor.

### Proteinuria

Proteinuria is usually a minor feature of ADPKD since it is a tubular disorder. In one study of 270 patients with ADPKD, for example, only 18% of them excreted more than 300 mg of urinary protein per day. Even in patients with more advanced disease, the mean urinary protein excretion rate was only about 900 mg/day.<sup>37</sup> This observation suggests that secondary focal segmental glomerulosclerosis plays a relatively minor role in the progression of this disease to renal failure.<sup>38</sup> Nephrotic range proteinuria has been rarely reported with ADPKD and usually indicates a superimposed glomerular disease.<sup>39</sup>

### **Renal Infection**

Infection is the second most common cause of death for patients with ADPKD.<sup>28,40</sup> Up to 50% of patients with ADPKD will have one or more episodes of renal infection during their lifetime.<sup>41,42</sup> As in the general population, renal infection will be more likely to occur in women than in men with ADPKD and is caused by gram-negative enteric organisms that ascend from the lower urinary tract. An infected cyst and acute pyelonephritis are the most common renal infections, which are associated with fever and flank pain, with or without bacteremia.41 The differentiation between these two diagnoses is often difficult. The presence of white cell casts is suggestive of acute pyelonephritis, while identification of a new area of discrete flank tenderness supports the diagnosis of an infected cyst or cyst hemorrhage. Pyuria alone is not a useful laboratory finding since it can be seen in up to 45% of uninfected patients with ADPKD.<sup>41</sup> Radiologic investigations are generally not very helpful in this setting.

Treatment of an infected cyst is complicated by the fact that most renal cysts are not connected to a filtering glomerulus.43 Thus, antimicrobials must enter the cyst via a mechanism other than glomerular filtration, and lipid-soluble antibiotics are preferable in achieving reliably high therapeutic concentrations within the cyst than water-soluble antibiotics. The major drugs that achieve therapeutic concentrations within the cysts and are active against common gram-negative enteric organisms include trimethoprim-sulfamethoxazole, quinolones, and chloramphenicol.<sup>42</sup> Both trimethoprim-sulfamethoxazole and quinolones are particularly useful since they can achieve high therapeutic concentrations with oral dosing. The optimal duration of treatment for an infected cyst is unclear, but 4 to 6 weeks of antimicrobial treatment may be reasonable. In a patient with recurrent renal infections who is being considered for a kidney transplant, surgical nephrectomy should be considered to minimize the risk of posttransplantation infection under immunosuppressive treatment.

### **Renal Calculi**

Kidney stones occur in approximately 20% of patients with ADPKD.<sup>44</sup> In contrast to the predominance of calcium oxalate stones in the general population, more than 50% of the stones in patients with ADPKD are composed of uric acid, with the remainder due to calcium oxalate.<sup>45</sup> Distal acidification defects, abnormal ammonium transport, low urine pH, and hypocitraturia may be important in the pathogenesis of renal stones in ADPKD.<sup>44,45</sup> The possible presence of a kidney stone should be suspected in any ADPKD patient with acute flank pain. However, establishing the diagnosis by ultrasonography is more difficult because of the presence of large cysts and calcifications in the cyst walls. Most stones can be detected by intravenous pyelography, but CT scan is more sensitive in detecting small or radiolucent stones.<sup>44</sup>

The treatment of obstructing stones in ADPKD includes standard measures such as analgesics for pain relief and hydration to ensure adequate urine flow. However, it may be complicated by the presence of large renal cysts, which make percutaneous nephrostomy or extracorporeal shock-wave lithotripsy (ESWL) harder to perform. Despite this concern, ESWL has been used successfully in patients with small stones (<2 cm in diameter) in the renal pelvis or calyces. For longterm prevention of recurrent kidney stones, thiazide diuretics may be used in the patients with hypercalciuria, and potassium citrate for patients with uric acid stones and stones associated with hypocitraturia or a distal acidification defect.<sup>44,45</sup>

### **Renal Cancer**

Renal cell carcinoma is an infrequent complication of ADPKD and does not appear to occur with increased frequency compared with the general population.<sup>28,46</sup> However, in ADPKD these tumors are more often bilateral at presentation, multicentric, and sarcomatoid in type. The diagnosis of renal cell carcinoma is often difficult to establish in ADPKD.<sup>46</sup> Findings such as hematuria and complex renal cysts from hemorrhage are common findings on radiologic imaging in the absence of malignancy. Systemic symptoms (fever, anorexia, fatigue, and weight loss) that are out of proportion with the severity of renal disease, in the absence of sepsis, or with rapid growth of a complex cyst should raise the suspicion for an underlying malignancy. Computed tomography (CT) scan and magnetic resonance imaging (MRI), with and without contrast enhancement, are often useful in distinguishing a malignancy from a complex cyst.<sup>46</sup> Percutaneous aspiration and cytologic examination can be performed on suspicious cysts. Nephrectomy of the involved kidney is indicated when the diagnosis of a nonmetastatic renal cell carcinoma is confirmed.

#### **Hypertension**

Cardiovascular disease is the most common cause of death in patients with ADPKD.<sup>40</sup> Hypertension is a major modifiable risk factor for both cardiovascular and renal disease progression in ADPKD.47 It affects 50% to 70% of patients with ADPKD and typically occurs before any significant reduction in GFR.<sup>48-53</sup> In a prospective study of 164 nonazotemic patients with ADPKD and 250 unaffected family members, 62% of patients were found to be hypertensive compared with 22% of their unaffected family members.<sup>50</sup> Similarly, in a longitudinal study of 154 children born with 50% risk for ADPKD, 22% of the clinically affected children were found to be hypertensive at the time of their diagnosis compared with 5% of their clinically unaffected siblings.<sup>51</sup> Additionally, an increased incidence of left ventricular mass was found in children and young adults with ADPKD and normal renal function, but not in their unaffected siblings.<sup>52,53</sup> This surrogate cardiovascular end point, however, could not be reliably predicted by blood pressure readings in the clinic.<sup>52–55</sup> Notably, approximately 20% of the normotensive (as defined by clinic blood pressure readings) patients with ADPKD were found to have left ventricular hypertrophy by echocardiography.<sup>54</sup> In a recent study of 26 young normotensive patients with ADPKD and 26 age- and gender-matched control subjects, 24-hour systolic blood pressure was found to be the only predictor of increased left ventricular mass by multivariate analysis. By contrast, although the absence of normal nocturnal fall of blood pressure was noted in many of the patients ("nondippers"), it was not predictive of increased left ventricular mass.<sup>55</sup> Although the findings of this study are interesting, the clinical utility of ambulatory blood pressure monitoring in ADPKD remains uncertain at the present time. Further studies with larger patient sample size are required to evaluate the role of this novel technology in identifying high-risk patients for aggressive blood pressure control.

The pathogenesis of hypertension in ADPKD is not well defined. However, activation of the renin-angiotensinaldosterone system resulting from cyst compression of blood vessels leading to renal ischemia has been proposed as a pathogenic mechanism for this complication.<sup>49</sup> This hypothesis is supported by the documentation of cyst compression of the renal blood vessels from angiographic studies<sup>56</sup> and activation of the renin-angiotensin system in volumeexpanded patients at an early stage of ADPKD.57-60 Additionally, advanced sclerosis of preglomerular arterioles, tubular atrophy, and interstitial fibrosis were pathologic features of ADPKD kidneys from patients with normal renal function or mild renal insufficiency. In these kidneys, global glomerulosclerosis, which is a pathologic feature of renal ischemia, was commonly observed, whereas focal segmental glomerulosclerosis, which is the typical lesion associated with hyperfiltration injury, was absent.<sup>38</sup> Moreover, the presence of renin-containing cells in the attenuated arteries of the cyst walls and surrounding stromal tissue of ADPKD kidneys had been documented by immunohistochemical studies, and active renin was present within the cyst fluid as well.<sup>61</sup> More recently, activation of an intrarenal renin-angiotensin system within the cysts and dilated tubules was documented by a comprehensive study of kidneys from patients with ADPKD.<sup>62</sup> Other mechanisms may contribute to the genesis of hypertension in ADPKD, and they include an increased sympathetic nerve activity and impaired endothelial-dependent relaxation of small resistant blood vessels.63,64

Although blood pressure control is of critical importance in reducing renal disease progression and cardiovascular complications in ADPKD, only 30% of patients with well-preserved renal function were found to achieve a target blood pressure below 150/90 mmHg.65 This degree of blood pressure control is similar to that found in patients with essential hypertension in the National Health and Nutrition Examination Surveys (NHANES) III in the United States.<sup>66</sup> However, with an extensive education program targeting both patients with ADPKD and their primary care physicians, it is possible to improve the rate of blood pressure control to approximately 60%.65 Nonetheless, evidence-based recommendations for blood pressure treatment in ADPKD are lacking.49 In particular, it is currently unclear what target range of blood pressure control is most optimal in reducing end-organ damage for this disease. In the Modification of Diet in Renal Disease (MDRD) study, which included 200 patients with ADPKD, aggressive blood pressure control did not affect the rate of loss of GFR compared with standard blood pressure control. However, the average difference of mean arterial blood pressure between aggressive and standard treatment groups was only 4.7 mmHg, instead of the planned target difference of 15 mmHg. Additionally, the study was performed in ADPKD patients with moderately advanced renal disease (GFR <55 mL/min) and with a short duration of follow-up of 2.2 years.<sup>67</sup> In a recent study, 72 ADPKD patients with preserved renal function (creatinine clearance >80 mL/min) were randomized to receive rigorous (<120/80 mmHg) or standard (135-140/85-90 mmHg) blood pressure control, and a mean arterial blood pressure difference of 10 mmHg was achieved between the two study groups over a 7-year period. In spite of this difference, rigorous blood pressure control was

associated with a reduction of left ventricular mass (P < 0.01), but not with a slower rate of loss of renal function.<sup>68</sup> None of the few available randomized controlled trials conducted in ADPKD to date, however, were designed with adequate power to test the effects of blood pressure control on renal disease progression. It is important to note that more vigorous blood pressure control may not necessarily mean greater clinical benefits. Indeed, a recent meta-analysis of 11 randomized controlled blood pressure trials in nonproteinuric renal diseases suggests that while a systolic blood pressure goal of 110 to 129 mmHg may be beneficial for patients with proteinuria of greater than 1 g/day, lowering of systolic blood pressure to less than 110 mmHg may increase the risk for kidney disease progression.<sup>69</sup> This is particularly relevant for patients with moderate to advanced ADPKD, who are treated with an angiotensin-converting enzyme (ACE) inhibitor or angiotensin receptor blocker (ARB). Acute reversible renal failure has been reported in this setting and may result from the disruption of renal autoregulation via a mechanism similar to that seen in bilateral renal artery stenosis.<sup>70</sup> Recent randomized controlled trials have also shown that pharmacologic interruption of the renin-angiotensin axis confers a class-specific advantage in retarding the progression of both diabetic and nondiabetic proteinuric kidney diseases, and this benefit is most evident in patients with significant proteinuria (2 or more g/day).<sup>69,71,72</sup> However, whether the renoprotective effects of ACE inhibitors or ARBs can be extended to patients with nondiabetic kidney disease with low-grade proteinuria (<1 g/day) is currently unclear.<sup>69</sup> This is relevant for patients with ADPKD, since most of them will not have proteinuria of greater than 0.5 g/day. In a recent meta-analysis of 142 ADPKD patients from randomized controlled trials of nondiabetic kidney disease, treatment with an ACE inhibitor was associated with a small but significant reduction of proteinuria. However, the effect of this therapy on renal disease progression in ADPKD was inconclusive.73 Until more definitive data are available, it is reasonable to use the guidelines from the seventh report of the Joint National Committee on Prevention, Detection, Evaluation, and Treatment of High Blood Pressure (JNC VII report) for blood pressure management of patients with ADPKD.74

## **Renal Disease Progression**

Although ADPKD can present as early as in utero, its progression to ESRD typically occurs in late middle age. In general, considerable inter- and intrafamilial renal disease variability has been well documented.<sup>13,75–80</sup> The probability of ESRD in patients with ADPKD has been estimated to be less than 5% in those younger than 40 years, 25% to 40% by 50 years, 50% to 65% by 60 years, and 60% to 80% by 70 years.<sup>13,47,75,76</sup> Clinically, the most important risk factors for renal disease progression in ADPKD are the PKD1 genotype (see later section on Gene Locus Effect) and age.13,75,76 Additional risk factors include early diagnosis of ADPKD, hypertension, gross hematuria, multiple pregnancies, and large kidney size.47,81-86 A gender effect with milder renal disease favoring the female patients is also evident for type 2, but not type 1, ADPKD.<sup>13,75,79,80</sup> By contrast, uninephrectomy in patients with moderately advanced disease (GFR ~50% of normal) did not appear to accelerate the progression of ADPKD.87

## **Extrarenal Manifestations**

## Polycystic Liver Disease

Polycystic liver disease is the most common extrarenal complication of ADPKD and is associated with both *PKD1* and *PKD2* genotypes. Hepatic cysts are derived from the biliary epithelia and are commonly found in patients with advanced renal disease. The incidence of hepatic cysts in ADPKD increases from approximately 10% in patients younger than 30 years to greater than 40% in patients older than 60 years.<sup>88,89</sup> Polycystic liver disease associated with ADPKD should be differentiated from autosomal dominant polycystic liver disease (ADPLD), another monogenic disorder due to mutations of at least three distinct genes<sup>90,91</sup> (see Chapter 7). In contrast to ADPKD, patients with ADPLD have few or no renal cysts and are not at risk for progressive renal failure.<sup>90</sup>

Although the incidence of polycystic liver disease in patients with ADPKD is similar between men and women, massive polycystic liver disease occurs almost exclusively in women, particularly those with multiple pregnancies.<sup>88</sup> An increased sensitivity to the growth effects of estrogen has been proposed as a mechanism for massive polycystic liver disease, although other unidentified factors are likely involved in patients with this extreme phenotype.<sup>92,93</sup> Most ADPKD patients with polycystic liver disease will remain asymptomatic with preserved hepatic function. Occasionally, they may experience focal complications such as cyst infection and hemorrhage. Rarely, some patients with severe polycystic liver disease will experience symptoms of a "mass effect" (such as abdominal pain, dyspnea, orthopnea, early satiety, and gastroesophageal reflux), hepatic venous outflow obstruction, and inferior vena caval compression. The treatment of these symptomatic patients includes cyst aspiration with alcohol sclerosis, cyst fenestration, partial liver resection, and liver transplantation.<sup>89,93</sup>

### Intracranial Arterial Aneurysms

Ruptured intracranial aneurysm is a rare but devastating complication of ADPKD.<sup>28</sup> Based on three prospective studies, the prevalence of asymptomatic intracranial aneurysm (ICA) in ADPKD has been estimated to be 8%, which is four to five times higher than that seen in the general population.<sup>94-97</sup> Familial clustering of ICA is documented in ADPKD, and the risk for asymptomatic ICA is approximately 20% in patients with a positive family history, compared with approximately 5% in those with a negative family history.98,99 This complication has been noted in both PKD1 and PKD2.100,101 Although the cause of ICA in ADPKD is unknown, both PC1 and PC2 (gene products of PKD1 and PKD2, respectively) are highly expressed within arterial smooth muscle cells and myofibroblasts.<sup>102,103</sup> Thus, reduced expression of normal PC in these cells in ADPKD may play a pathogenic role. Indeed, reduced PC2 expression to about half of its normal level in the vascular smooth muscle cells of heterozygous Pkd2 knockout mice (compared with their wild-type littermates) was associated with altered intracellular calcium signaling in vitro.<sup>104</sup> Nonetheless, the relevance of this observation to the pathogenesis of ICA is unclear, and additional factors may be required to explain the focal nature of this complication.

The natural history of ICA in ADPKD remains unclear. The incidence of ruptured ICA in patients with ADPKD is estimated to be approximately 1 in 2,000 person-years, a rate

five times higher than that in the general population.<sup>105,106</sup> Given that the prevalence of asymptomatic ICA in ADPKD is also about five times higher than that in the general population,<sup>94–97</sup> the risk for ruptured ICA in ADPKD is comparable with that in the general population. The mean age of rupture of ICA in ADPKD patients is approximately 40 years, which is similar to that observed in other familial forms of ICA, but occurs approximately 10 years earlier than in the general population.<sup>98,105–107</sup> At the time of rupture, half of patients with ICA have normal renal function and 25% of them have normal blood pressure. The middle cerebral artery is usually involved, and one in three patients will have multiple aneurysms. Although it is unclear if hypertension and cigarette smoking are independent risk factors, a family history of ICA is a risk factor of aneurysm rupture in ADPKD.<sup>108</sup>

Clinically, ruptured ICA results in subarachnoid hemorrhage (SAH) and is associated with a sudden and severe headache, often described as "a blow or explosion inside the head" or the "worst headache ever." The headache is often diffuse and may radiate to the occipital or cervical region. However, minor SAH can cause less severe and diffuse headache. In 20% to 50% of patients, a series of "warning headaches" may precede the index episode of bleeding.<sup>109,110</sup> Other symptoms or signs of SAH include nausea and vomiting, photophobia, neck stiffness, lethargy, seizures, and focal neurologic deficits. Noncontrast CT scan is generally used as the first diagnostic test. If SAH is strongly suspected but not confirmed by CT scan, a lumbar puncture should also be performed. The presence of bloody cerebrospinal fluid unrelated to a traumatic tap is indicative of SAH. In such case, emergency neurosurgical consultation is indicated, and four-vessel angiography or CT angiography is indicated to localize the site of rupture.<sup>108</sup> The classical intervention is surgical clipping of the aneurysm, although endovascular embolization has emerged as a promising alternative, especially for older patients.<sup>111,112</sup> Despite these interventions, the morbidity and mortality rates are 35% and 55%, respectively, once an ICA is ruptured.98,107 Additionally, survivors of a ruptured ICA are at risk for recurrent ICA at the original site or a new site. In a small prospective study of 11 ADPKD patients with ruptured ICA, repeat screening showed that 36% of them either had an increased size of the original ICA or developed a new ICA after a mean follow-up of 15 years. However, all the recurrent ICAs were small (<6 mm in diameter) and none ruptured.<sup>113</sup> In general, any additional intact ICA detected at the time of rupture should be treated whenever feasible, and serial screening of ICA in these patients with MRI or spiral CT scan at intervals of 2 to 3 years is recommended.<sup>108</sup>

The role of radiologic screening for ICA in asymptomatic patients with ADPKD remains unclear and depends on a comparison between the risk (morbidity and mortality) for untreated ICAs and that associated with the screening procedures and subsequent therapeutic interventions, if indicated. Currently, MR angiography (MRA) is the screening test of choice since it is safe and highly sensitive in detecting ICAs greater than 3 mm in diameter. By comparison, conventional intra-arterial angiography, which is still necessary before a therapeutic intervention, carries a 5% risk for complications (including a 0.5% risk for permanent neurologic deficit).<sup>108</sup> The difficulty in recommending screening is that most ICAs detected in this setting are small (<6 mm in diameter) and are presumed to be at low risk for rupture.<sup>114</sup> Moreover, there is an

appreciable risk for severe neurologic complications following elective aneurysm surgery.<sup>108</sup> In the International Study of Unruptured Intracranial Aneurysms (ISUIA), a large cohort of patients with at least one unruptured ICA were prospectively followed, with 1,692 patients treated conservatively, 1,917 patients treated with surgical clipping, and 451 patients treated with endovascular embolization.<sup>115</sup> The 5-year cumulative rupture rates depend on the size and location of the ICA (Table 6-2). In patients with asymptomatic ICAs of less than 7 mm and no previous history of SAH, the rupture rate is very low (~0.1% per year), and accordingly, it would be difficult to improve the natural history of these lesions. Importantly, a family history of rupture did not increase the risk in this group. By contrast, in patients with ICAs of the anterior circulation greater than 7 mm and in patients with ICAs of the posterior circulation, the 5-year cumulative rupture rates were higher, but were often equaled or exceeded by the risks associated with surgical or endovascular repair. In patients without previous SAH, the total morbidity and mortality rates at 1 year were approximately 10% with surgical clipping and approximately 9% with endovascular repair. Age was an important predictor of adverse surgical outcome, with a substantial increase in risk for those older than 50 years. Whether the very low risk for rupture in small ICAs found in this study can be extrapolated to patients with ADPKD is unclear. In a recent prospective study of ADPKD patients with an initial negative screen for ICAs, only approximately 3% (2/76) of them developed an ICA on repeat screening after a mean follow-up of 9.8 years, and none of these patients had a rupture. One of these patients without a family history of ICA developed a 2-mm ICA 11 years after his initial screen. A second patient with a positive family history of ICA developed a 10-mm vertebral artery aneurysm, a 10-mm internal carotid artery aneurysm, and a 4-mm middle cerebral artery aneurysm 9.6 years after her initial screen.<sup>115</sup> Notably, a family history of ruptured ICA in two or more affected family members was present in 20% (15/72) of these patients. Similarly, in another prospective study, 21 ADPKD patients with a previously documented asymptomatic ICA underwent repeat MRA screening over a mean follow-up period of approximately 7 years. Only approximately 10% of them developed an increased size of the original ICA or a new ICA, and none of these small ICAs detected on repeat screening (<6 mm in diameter) ruptured.<sup>114</sup> Based on these data, it appears that most ICAs detected by presymptomatic screening in ADPKD patients are small and their risk for rupture is similar to those ICAs seen in the general population. Currently, several experts have recommended that presymptomatic screening of ICAs should be restricted to ADPKD patients with a positive family history of ICAs. In these individuals, serial screening with MRA at 5-year intervals may be adequate.<sup>108,114,115</sup>

### **Other Vascular Abnormalities**

In addition to ICAs, patients with ADPKD may have diffuse arterial dolichoectasias of the anterior and posterior cerebral circulation, which can predispose to arterial dissection and stroke. Aggressive management of hypertension in these patients should be instituted to reduce the risk for dissection.<sup>116,117</sup> There are rare reports of *PKD1*-linked families in which ADPKD co-segregated with a Marfan-like syndrome and some of the affected members suffered dissection of the aorta

Size of Aneurysm	Site of Aneurysm*	
	Anterior Circulation	Posterior Circulation
< 7 mm	0%	2.5%
7–12 mm	2.6%	14.5%
13–24 mm	14.5%	18.4%
> 25 mm	40%	50%

Table 6-2 Five-Year Cumulative Rupture Rates for Asymptomatic Patients with Intracranial Aneurysms

\*The sites of ICAs in the anterior circulation include those in the internal carotid artery, anterior communicating artery, anterior cerebral artery, and middle cerebral artery. The sites of intracranial aneurysms in the posterior circulation include the posterior communicating artery and the arteries in the posterior circulation.

Data derived from the International Study of Unruptured Intracranial Aneurysms (Lancet 362:103-110, 2003).

and other large vessels.<sup>118,119</sup> A recent prospective study, however, found that there was no increase in frequency of abdominal aortic aneurysms in ADPKD patients compared with their unaffected relatives.<sup>120</sup>

## Valvular Heart Disease

Mitral valve prolapse is the most common abnormality detected by cardiac echocardiography in up to 30% of patients with ADPKD. Other valvular abnormalities, including mitral sufficiency, aortic insufficiency, tricuspid insufficiency, and tricuspid valve prolapse, also occurred more frequently in patients with ADPKD than their unaffected relatives. Pathologically, valvular tissue in these patients is affected by myxoid degeneration. These findings are consistent with the notion that ADPKD is a systemic connective tissue disorder.<sup>121,122</sup> Most patients are asymptomatic, but an occasional patient may have severe disease requiring valve replacement. Antibiotic prophylaxis is recommended for patients with audible murmurs.

### **Other Connective Tissue Abnormalities**

Colonic diverticulae were found in approximately 80% (10/12) of patients with ADPKD on renal replacement therapy in a retrospective study.<sup>123</sup> By contrast, a more recent study of 55 non-ESRD patients did not show an increased prevalence of diverticular disease in these patients compared to a control group of 71 unaffected family members and randomly selected patients undergoing barium enemas.<sup>124</sup> Based on a recent retrospective review, the prevalence of abdominal wall herniae was increased in 45% (38/85) of patients with ADPKD compared with 8% (7/85) of age- and gender-matched patients with other renal disease.<sup>125</sup> Patients with ADPKD treated with continuous ambulatory peritoneal dialysis are at increased risk for developing an indirect inguinal hernia.<sup>126</sup>

### DIAGNOSIS AND MONITORING OF RENAL DISEASE

The diagnosis of overt ADPKD is generally straightforward. Affected patients typically present with enlarged kidneys with multiple cysts bilaterally and a positive family history consistent with autosomal dominant inheritance. Additional

clinical features include the absence of symptoms and signs suggestive of other syndromic forms of renal cystic disease (see Table 6-2), the presence of liver and other extrarenal cysts, and cardiac valve abnormality such as mitral valve prolapse. However, ADPKD will occasionally present very early within the first years of life and may be confused with autosomal recessive polycystic kidney disease (ARPKD) and renal cystic dysplasia. In this setting, the presence of a positive family history and larger renal cyst size will help to differentiate ADPKD from ARPKD, and the presence of malformations and ureteral obstruction will help to differentiate renal cystic dysplasia from ADPKD.<sup>127,128</sup> Additionally, a family history may be absent in 10% to 30% of new patients in whom the diagnosis of ADPKD is first suspected from imaging studies performed to evaluate otherwise unexplained hematuria, abdominal mass, flank pain, or renal insufficiency.<sup>28</sup> In these cases, the finding of ADPKD can be due to a de novo mutation<sup>129</sup> or, more likely, ascertainment of a small PKD2 family with mild renal disease, which is often underdiagnosed.<sup>13</sup> In the latter case, ultrasound examination of both parents may demonstrate multiple renal cysts in one parent. In the case when one or both parents are deceased, review of autopsy reports (if available) may be helpful.

# Differential Diagnosis of Renal Cystic Disorders

Renal cysts can be a manifestation of both syndromic and nonsyndromic renal cystic disorders other than ADPKD (Table 6-3).<sup>130</sup> A careful review of the history, clinical examination, and radiologic examination may reveal clinical features of these disorders that are atypical of ADPKD. For example, tuberous sclerosis (TSC) is an autosomal dominant disorder that is frequently associated with seizures, mental retardation, and several characteristic skin lesions (facial angiofibromas, periungual fibroma, hypomelanotic macules, and Shagreen patch). Additionally, the co-existence of renal cysts with angiomyolipomas is pathognomonic of this disorder.131 However, these clinical features may not be present at the time of diagnosis of the renal cystic disease. Moreover, a rare contiguous gene syndrome has been reported due to gross deletion of both PKD1 and TSC2, which lie in close proximity on chromosome 16p13.3.132,133 Patients with this syndrome typically present with bilaterally enlarged polycystic kidneys during infancy and progress to ESRD within the first

Renal Cystic Disorder	Prevalence	Clinical Findings Not Found in ADPKD
Syndromic		
Tuberous sclerosis	~1:10,000	Skin lesions (facial angiofibromas, periungual fibroma, hypomelanotic macules, Shagreen patch); retinal hamartomas; seizures; mental retardation; cortical tuber; subependymal giant cell astrocytoma; cardiac rhabdomyoma; lymphangioleiomyomatosis; renal angiomyolipoma. Contiguous deletion of <i>PKD1</i> and <i>TSC2</i> results in severe polycystic kidney disease in infancy or early childhood with ESRD typically occurring in the first two decades of life.
Von Hippel-Lindau syndrome	~1:50,000	Central nervous system and retinal hemangioblastoma; pancreatic cysts; pheochromocytoma; renal cell carcinoma occurs in 25%–45% of patients; papillary cystadenoma of epididymis.
Medullary sponge kidney	~1:5,000	Medullary nephrocalcinosis; "paintbrush" appearance of renal papillae on intravenous pyelogram.
Oro-facio-digital syndrome	Very rare	Lethal in affected males; oral anomalies (hyperplastic frenula, cleft tongue, cleft palate or lip, and malposed teeth); facial anomalies (broad nasal root with hypoplasia of nasal alae and malar bones), and digital anomalies.
Nonsyndromic		
Simple renal cysts	Common	Rare under 30 years, but increase in number with age.
Acquired renal cystic disease	Common	Chronic renal insufficiency or ESRD with multiple renal cysts associated with normal-sized or small kidneys.

Table 6-3	Differentia	l Diagnosis of	<sup>F</sup> Autosomal	Dominant Po	ycystic Kid	ney Disease	ADPKD

ESRD, end-stage renal disease.

two decades of life. Clinical features suggestive of tuberous sclerosis were absent in 30% (5/17) of patients, some of whom were mistakenly diagnosed to have ADPKD.<sup>133</sup>

Von Hippel-Lindau syndrome is an autosomal dominant disorder that is associated with retinal and central nervous system hemangioblastomas, renal cell carcinoma, pheochromocytoma, and papillary cystadenomas of the epididymis. The presence of any of these tumors with renal cysts should therefore raise the suspicion of this disorder. Additionally, the presence of multiple pancreatic cysts is rarely found in ADPKD, but is highly characteristic of this disorder. In the absence of solid tumors and pancreatic cysts, however, the renal findings in Von Hippel-Lindau syndrome may mimic that of ADPKD.<sup>134</sup> Medullary sponge kidney is a common developmental disorder characterized by dilated medullary and papillary collecting ducts that give the renal medulla a "spongy" appearance. It is also frequently associated with other congenital defects (e.g., congenital hemi-hypertrophy, Beckwith-Wiedemann syndrome, Ehler-Danlos syndrome, and Marfan syndrome). The main clinical manifestations of this disorder include multiple renal cysts, hematuria, and calcium oxalate renal stones. Medullary nephrocalcinosis is a frequent radiologic feature of this disorder, and on intravenous pyelography, a characteristic "paintbrush" appearance of the renal papillae is seen. Another syndromic renal cystic disorder that can be confused with ADPKD is oro-facio-digital syndrome type 1, a rare X-linked dominant disorder, which is lethal in males. Affected females may have polycystic kidneys that are indistinguishable from ADPKD, as well as liver cysts. However, the presence of oral, facial, and digital anomalies will help to pinpoint the correct diagnosis of this disorder.<sup>128</sup>

Simple renal cysts and acquired renal cystic disease are two common nonsyndromic cystic disorders that may be confused with ADPKD.<sup>135–137</sup> Simple renal cysts are the most common cystic disorder and increase with age in the general population. The prevalence of individuals with one or more simple renal cysts has been estimated to be 0 to 0.22% in those younger than 30 years, approximately 2% in those 30 to 49 years, 11.5% in those 50 to 70 years, and 22% in those older than 70 years.<sup>135,136</sup> Although the presence of simple renal cysts in a subject at 50% risk for ADPKD can lead to a falsepositive diagnosis, ultrasonographic diagnostic criteria with increasing stringency in the older subjects have been developed, which performed well in predicting type 1 ADPKD (see below).<sup>138</sup> Acquired renal cystic disease is a common disorder observed in 7% of patients with chronic kidney disease and approximately 20% of patients with ESRD. Despite the presence of multiple renal cysts bilaterally with varying degree of renal insufficiency, the size of the kidneys in this disorder is either normal or small.<sup>137</sup> By contrast, the presence of chronic kidney insufficiency in ADPKD generally denotes advanced disease and is typically associated with large polycystic kidneys several times the normal size. An algorithm for the diagnostic evaluation of ADPKD is shown in Figure 6-2.

## **Diagnosis of ADPKD by Renal Imaging**

Renal ultrasonography is currently widely used for presymptomatic screening of at-risk subjects and for evaluation of potential living-related kidney donors from ADPKD families. Using DNA linkage results as the "gold standard" for disease assignment, age-dependent ultrasonographic diagnostic criteria with high sensitivity and specificity have been derived for individuals born with 50% risk from PKD1 families (Table 6-4).<sup>138</sup> Among at-risk subjects 15 to 29 years of age, the presence of *at least two renal cysts (unilateral or bilateral)* is



Figure 6-2 Diagnostic algorithm for autosomal dominant polycystic kidney disease. (Adapted from Pei Y: Diagnostic approach in autosomal dominant polycystic kidney disease. Clin J Am Soc Nephrol 1:1108–1114, 2006.)

 Table 6-4
 Performance Characteristics of Ultrasound Diagnostic Criteria for PKD1 Subjects Born with 50% Risk for Autosomal Dominant Polycystic Kidney Disease

Age Group (years)	Diagnostic Criterion	SEN (%)	SPEC (%)	NPV (%)	<b>PPV</b> (%)
15–29	At least 2 renal cysts (unilateral or bilateral)	~96	100	~97	100
30–59	At least 2 cysts in each kidney	100	100	100	100
60 or older	Four or more cysts in each kidney	100	100	100	100

Data derived from Ravine D, et al: Evaluation of ultrasonographic diagnostic criteria for autosomal dominant polycystic kidney disease 1. Lancet 343:824–827, 1994.

SEN, sensitivity; SPEC, specificity; NPV and PPV, negative and positive predictive value, respectively.

sufficient for diagnosis. This is because simple renal cysts are rare in this age group, making the finding of any renal cyst highly specific for ADPKD. By contrast, more stringent criteria are required for the older age groups because of increasing prevalence of simple renal cysts. Thus, among at-risk subjects 30 to 59 years of age and 60 years or older, the presence of *at least two cysts in each kidney* and *at least four cysts in each kidney*, respectively, are required for the diagnosis. Conversely, in subjects 30 to 59 years of age, the absence of *at least two cysts in each kidney*, which is associated with a false-negative rate of 0%, can be used for disease exclusion.

While the above PKD1 diagnostic criteria are widely used for genetic counseling and for the evaluation of at-risk subjects as living-related kidney donors to their affected relatives, the underlying genotype of most test subjects is seldom known in the clinic setting. Given that PKD2 is a much milder disease,<sup>13,75</sup> the validity of these diagnostic criteria for at-risk subjects of unknown genotype has not been well defined. To address this issue, a multicenter study has recently compared the performance of various ultrasonographic diagnostic criteria in a large cohort of at-risk subjects with PKD1 and PKD2 using their genotype as the "gold-standard" for disease assignment.<sup>139</sup> This study showed that the current diagnostic criteria used for PKD1 maintained high specificity, but suffered from reduced sensitivity (increased false-negative rate) in PKD2. Thus, the commonly used PKD1 criteria are expected to perform well for diagnosing PKD2. However, further refinement of the current criterion is required for exclusion of PKD2. By simulations, this study also evaluated a number of diagnostic criteria using replicates of PKD1 and PKD2 patients to mimic the case mix seen in clinical practice. From this study, it is expected that a set of refined diagnostic criteria with high sensitivity and specificity will be available in the near future for the evaluation of at-risk subjects from families of undefined ADPKD gene type.

Both CT scan and MRI, with and without contrast enhancement, have been used for diagnosis of ADPKD, generally in the setting when ultrasonography is equivocal.<sup>10,140</sup> These imaging modalities, with improved resolution, can detect cysts of smaller size than ultrasonography. The major disadvantages of CT scan include the risk for exposure to radiation and radiocontrast, which can be associated with a small chance of serious allergic reactions and nephrotoxicity in patients with renal insufficiency. By comparison, MRI, with gadolinium as a contrast agent, has minimal renal toxicity. This agent provides the same information as iodinated compounds do with respect to tubular function and parenchymal volume. Heavy-weighted T2 images also permit the detection of cysts only 2 to 3 mm in diameter with great certainty.<sup>10</sup> Its safety and enhanced sensitivity thus make it a promising imaging modality for ADPKD.<sup>140</sup> However, MRI will likely detect both small simple cysts as well as small cysts arising

from ADPKD. Thus, until its diagnostic utility has been formally evaluated as in ultrasonography, it should not be used as the initial imaging modality for diagnosis of ADPKD.

### **Diagnosis of ADPKD by Genetic Testing**

The localization of their gene loci followed by detailed characterization of the genomic structures of *PKD1* and *PKD2* has provided all the essential reagents required for molecular diagnosis of this disease.<sup>7,8,16–19,141,142</sup> Both DNA linkage analysis and gene-based mutation screening can be used for this purpose. As discussed earlier, presymptomatic diagnosis of ADPKD is typically performed by renal ultrasonography. However, there is a role for molecular diagnosis, especially in patients with equivocal imaging results, in those with a negative family history, and in cases when younger at-risk subjects with a negative ultrasound study are being evaluated as potential living-related kidney donors.

### **DNA Linkage Analysis**

This family-based analysis requires both genotype and phenotype data from multiple affected and unaffected members, and the at-risk subject being tested.<sup>138</sup> Typically, polymorphic simple-sequence repeat markers flanking both the PKD1 and *PKD2* loci are genotyped in the study subjects, and previously affected individuals are used to establish linkage phase in the at-risk subject. Linkage analysis then provides a statistical inference on the likelihood that a specific marker allele cosegregates with the disease. For clinical testing, Bayesian statistical algorithms are used to provide a probability estimate of linkage of the family to a disease locus. When the flanking polymorphic markers are informative, the inheritance of a disease allele can be inferred in an at-risk subject with an error of less than 1%. The major advantage of this method is that when a large family with multiple affected members is available, it is almost always possible to determine whether the test subject is an obligate disease carrier. On the other hand, gene linkage testing requires blood sampling and renal imaging from multiple individuals. It is not expected to be informative in small families or in de novo ADPKD.

### **Gene-Based Mutation Screening**

Despite the challenges imposed by the complexity and size of *PKD1*, mutation screening of the entire coding sequence and splice junctions of both *PKD1* and *PKD2* from DNA templates have now been developed, allowing for comprehensive screening of these genes.<sup>18</sup> To date, approximately 200 different *PKD1* and over 50 *PKD2* mutations have been reported, with most of them predicted to truncate the mutant protein (due to frameshift deletions and insertions, nonsense mutations, or splice defects).<sup>18,80,141–143</sup> Most of these mutations are unique to a single family and scattered throughout these genes with no clear "hot-spots." Thus, exon-by-exon screening of these genes is required to ensure a high sensitivity in detecting disease-causing mutations.

To define the sensitivity of the gene-based diagnosis of ADPKD, mutation screening of both *PKD1* and *PKD2* by denaturing high-performance liquid chromatography (DHPLC) followed by sequencing of PCR fragments with altered DHPLC profiles was performed in a recent study of

45 genetically uncharacterized ADPKD patients.<sup>18</sup> In general, a high rate of missense mutations was detected in PKD1, with an average of five per patient. By contrast, only two polymorphisms were detected in the entire patient cohort. Despite a comprehensive screening of the coding sequence and splice junctions of both genes, protein-truncating mutations in PKD1 and PKD2 were found in 26 patients and 3 patients, respectively. Thus, the overall sensitivity for detecting a definitive mutation was 64% (29/45). The reasons for the relatively low sensitivity in detecting definitive mutations in this patient cohort are unclear. It is possible that some of the missense mutations identified may in fact be pathogenic. However, in the absence of a valid functional assay, it is difficult to differentiate the disease-causing missense mutations from benign polymorphisms. Additionally, mutations in the gene promoter region, which may affect gene expression, are not screened by the above assays. Currently, gene-based diagnosis of ADPKD is available commercially (Athena Diagnostics, Worcester, MA; http://www.athenadiagnostics.com). The main advantage of this test is that only a blood sample from the test subject is required. On the other hand, it is expensive, and although the sensitivity data for detecting definitive mutations have not been published by the company, a definitive mutation is likely found in no more than approximately twothirds of the test subjects. Thus, this screen is only useful when the result is positive.

### **Monitoring Renal Disease Progression**

Recent advances in radiologic imaging have made it feasible to examine the renal structural-functional relationship in ADPKD. Using serial ultrasonography, CT scan, or MRI with three-dimensional image reconstruction, these studies provided measures of total kidney size, cystic and noncystic kidney volumes, and noncystic renal parenchymal volume in patients with ADPKD.<sup>83-86</sup> In patients with early or established ADPKD, MRI with three-dimensional image reconstruction appears to provide both a sensitive and accurate measure of renal disease progression. This technique was recently evaluated by the Consortium for Radiological Imaging Studies of Polycystic Kidney Disease (CRISP) in a large multicenter study in which 241 patients 15 to 45 years of age with creatinine clearances greater than 70 mL/min underwent standardized renal MRI, renal iothalamate clearance, and comprehensive clinical evaluation annually for 3 years.<sup>85</sup> In this study, MRI provided reliable and reproducible measurements of total kidney volume, renal cyst and parenchymal volumes, and renal blood flow.<sup>85,86</sup> Inverse correlations were noted in the study cohort between renal structural parameters (total kidney volume and total cyst volume) and function (GFR), although the multivariate coefficient of determination  $(R^2)$  of these correlations was only approximately 10%. Older age, hypertension, and higher albumin excretion rate were associated with greater total kidney and renal cyst volumes.85 Renal blood flow was found to be a significant predictor of GFR, with an  $R^2$  of approximately 25%.<sup>86</sup>

The CRISP study has also documented that changes in total cyst volume and total kidney volume in the study patients were highly correlated ( $R^2 = 0.9$ ) and that both of these measures increased exponentially with time, consistent with an expansion process dependent on growth.<sup>144</sup> Importantly, while the baseline total kidney volume predicted the subsequent rate of

increase in kidney volume, decline in GFR was only observed in patients with larger kidneys. Specifically, patients with baseline total kidney volumes of less than 750 mL (or approximately three times the normal size) had a stable GFR over the 3 years of observation. By contrast, patients with baseline total kidney volumes of 750 to 1,500 mL and greater than 1,500 mL had a mean rate of GFR decline of 0.7 and 4.3 mL/min/year, respectively. These findings are consistent with the clinical observation that ADPKD patients with profound distortion of renal structures and significant enlargement of the polycystic kidneys can still have relatively normal GFR. Presumably, renal compensatory mechanisms (resulting in increased singlenephron GFR) exist in these individuals that mitigate the loss of total GFR and mask the progressive destruction of renal structures by the cystic disease in early stages of ADPKD. Reduction in GFR, therefore, is not a sensitive measure of renal disease progression in this disease and reflects relative late events when the renal compensatory mechanisms have been exhausted.<sup>10</sup> Based on these findings, kidney volume progression has now been adapted as a primary end point for three recently launched clinical trials in ADPKD (see discussions below of the HALT, Tolvaptan, and Rapamycin trials).

## MOLECULAR AND CELL BIOLOGY OF ADPKD

PC1 and PC2 belong to the polycystin family of integral membrane proteins, which has nine known members and can be divided into two subfamilies based on protein structure and putative function: PC1-like receptor-like molecules that includes PC1, polycystin REJ, polycystin-1L1, polycystin-1L2 and polycystin-1L3; and PC2-like ion channels that includes PC2, polycystin-L, and polycystin-2L2 (Table 6-5).

PC1 is an approximately 4,300-residue receptor-like molecule (Fig. 6-3) with a large extracellular N-terminal domain that

Protein	Gene	Chromosome Locus	Expression	G-Protein Binding/ Activation	Pore-forming	Reference
PC1-like						
Polycystin-1	PKD1	16p13.3	Widespread	+	-	17,16,154,155
Polycystin-REJ	PKDREJ	22q13	Testis	n.d.	n.d.	309
Polycystin-1L1	PKD1L1	16p12-13	Relatively widespread with higher levels in heart, testis	+	n.d.	310
Polycystin-1L2	PKD1L2	16q23	Relatively widespread with higher levels in heart, testis	+	n.d.	311,312
Polycystin-1L3	PKD1L3	16q22	Relatively widespread, but not in skeletal muscle	n.d.	n.d.	312
PC2-like						
Polycystin-2	PKD2	4q21-23	Widespread	n.d.	+	19,162
Polycystin-L	PKDL	10q24-25	Relatively widespread	n.d.	+	164,231
Polycystin-2L2	PKD2L2	5q31	Heart, testis	n.d.	n.d.	313,314

 Table 6-5
 Polycystin Protein Family

contains a number of adhesive domains, 11 transmembrane domains,145 and a C-terminal cytoplasmic domain of approximately 200 amino acids.<sup>16,17</sup> The leucine-rich repeats and a C-type lectin domain within the N terminus can bind to extracellular components such as collagen I and laminin fragments in vitro.<sup>146,147</sup> There are 14 copies of a novel PKD domain that has homology to immunoglobin-like domains. These domains are likely involved in Ca<sup>2+</sup>-dependent homophilic interactions.<sup>148</sup> Following these PKD domains is a large approximately 600-amino acid domain, the so-called REJ domain, which shares homology with the receptor of egg jelly in sea urchins.<sup>149</sup> Some evidence suggests that PC1 is cleaved near the GPS domain,<sup>150</sup> a cleavage site found in G protein– coupled receptors (GPCRs). The C-terminal tail of PC1 contains a coiled coil domain that binds to PC2,<sup>151,152</sup> a G protein activation sequence that binds to G proteins.<sup>153-155</sup> It also associates with the regulators of G-protein signaling (RGS proteins).<sup>156</sup> PC1 has a number of phosphorylation sites.<sup>157,158</sup> It is phosphorylated by cyclic adenosine monophosphate (cAMP)-dependent protein kinase A, but not protein kinase C in vitro.<sup>159</sup> Phosphorylation of PC1 is increased in ADPKD cells with putative defective PC1 function.<sup>160</sup> In cultured cells, PC1 appears to associate with the focal adhesion proteins talin, vinculin, p130Cas, FAK, α-actinin, paxillin, and pp60csrc,<sup>158</sup> and with intermediate filaments.<sup>161</sup>

PC2 is a 968-residue protein with six transmembrane domains and a pore region<sup>19,162</sup> (see Fig. 6-3). It was initially identified by its homology with PC1.<sup>162</sup> It shares sequence homology with the transient receptor potential (TRP) family and with Na<sup>+</sup> and K<sup>+</sup> channels, and has been considered as a member of the TRP channel superfamily, named TRPP2.<sup>163</sup> It has a long extracellular loop between the first two transmembrane domains that contains a polycystin motif, conserved in all polycystins.<sup>164</sup> Both N- and C-terminal domains of PC2 are located intracellularly. The C-terminal domain of PC2 contains a Ca<sup>2+</sup>-binding EF-hand that is important in channel

n.d., not described.



**Figure 6-3** A schematic representation of the domain architecture of polycystin-1 (PC1) and -2 (PC2). Respective domains are indicated. Arrow indicates the pore region in PC2 through which the Ca<sup>2+</sup> ions enter the cell. (Adapted from Nauli SM, Zhou J: Polycystins and mechanosensation in renal and nodal cilia. Bioessays 26:844–856, 2004.)

activation in L-type Ca<sup>2+</sup> channels, although no evidence supports its importance in modulating channel activity in PC2. PC2 associates with PC1 with its C-terminal tail.<sup>151,152</sup> PC2 is linked to the cytoskeleton, probably through hax-1,<sup>165</sup> tropomyosin-1, and troponin-1.<sup>166,167</sup> There is evidence that PC2 associates with TRPC1, another TRP channel,<sup>168</sup> although the functional significance of these associations is unknown. Recently, PC2 has been found to interact with mDia during cell division<sup>169</sup> and with Id2 to control the cell cycle.<sup>170</sup> Additionally, PC2 interacts with fibrocystin, the protein encoded by PKHD1 that is mutated in the autosomal recessive form of PKD and kinesin-2,<sup>171,172</sup> suggesting that ADPKD and ARPKD share a common signaling pathway.

## **Molecular Functions of Polycystins**

### Polycystin-1 as a G Protein-Coupled Receptor

PC1 shares sequence and domain topology with PC2, but it has a large N-terminal domain predicted to be extracellular and a total of 11 transmembrane domains (five more than PC2) (see Fig. 6-3). PC1 homomers do not form ion channels.<sup>154,173</sup> The complex domain structure of PC1 suggests a diverse role as a cell surface receptor involved in cell-cell and cell-matrix interactions. Indeed, PC1 acts as a typical GPCR using Ca<sup>2+</sup> channels and GIRK K<sup>+</sup> channels as a readout in sympathetic neurons.154 When expressed alone, PC1 can activate a Gprotein signaling pathway by direct binding and activation of heterotrimeric Gai/o proteins<sup>153,154</sup> and consequently modulates voltage-gated Ca2+ channels and GIRK K+ channels via the release of Gβγ subunits.<sup>154</sup> Since PC1, unlike the typical GPCRs that have seven transmembrane domains, has 11 transmembrane domains, it is considered as an untraditional or atypical GPCR. PC2 is known to bind to PC1 in vitro via its C-terminal cytoplasmic tail.<sup>151,152</sup> Co-expression of PC2 with PC1 strongly represses G protein activation by PC1.<sup>154</sup> This finding has the following implications: (1) since the PC1-PC2 protein complex is normally silent, mutations leading to the distortion of its stoichiometry (e.g., resulting in relative overexpression of PC1 or PC2) may trigger abnormal Gprotein signaling and lead to cyst formation in  $ADPKD^{154}$ ; (2) the polycystin protein complex may be activated upon specific physiologic stimulations, which may result in a conformational change of the protein complex, releasing the inhibition of PC2 on PC1, and thus initiating G protein signaling. One way to test this hypothesis is to use an antibody raised to the extracellular domain of PC1 as an artificial stimulation and measure the G protein activation function of PC1 when PC1 and PC2 are co-expressed. This approach has led to the finding that PC1 stimulation simultaneously activates G proteins and the PC2 channel in rat sympathetic neurons, a model cell line that allows robust expression of PC1.174 Abnormal G proteinmediated signaling may contribute to cyst formation by modulating cellular proliferation, transepithelial fluid secretion, and differentiation mediated by adenylate cyclase and mitogen-activated protein kinase pathways. However, whether PC1 can activate G proteins in a physiologically relevant cell type is currently unknown. Additionally, the physiologic activator of PC1-mediated G-protein signaling is also unknown.

RGS proteins are negative regulators of G-protein signaling by accelerating the intrinsic guanosine triphosphatase (GTPase) activity of specific Ga subunits. Although RGS7, a member of the regulators of G-protein signaling (RGS) family, has recently been reported to bind to the C terminus of PC1,<sup>156</sup> the functional activation of Gi/o proteins by full-length PC1 is independent of the RGS protein.<sup>154</sup>

## Polycystin-2 Functions as a Ca<sup>2+</sup>-Permeable Nonselective Cation Channel

The elucidation of the Ca<sup>2+</sup>-modulated, Ca<sup>2+</sup>-permeable, cation-selective channel property of polycystin-L (PCL)<sup>175</sup>

facilitated the discovery of the function of PC2.176-178 Like PCL, PC2 is permeable to Ca<sup>2+</sup>, Na<sup>+</sup>, and K<sup>+</sup>, and its channel activity is modulated by intracellular Ca<sup>2+</sup> concentration. The PC2 channel is found within the cell and intracellular membranes. It is insensitive to ryanodine and inositoltriphosphate (IP<sub>3</sub>).<sup>176</sup> With its reported endoplasmic reticulum (ER) location, it has been proposed that PC2 is a member of a third class of Ca<sup>2+</sup> release channels in addition to ryanodine receptors and IP<sub>3</sub> receptors.<sup>176</sup> This hypothesis later gained support from another study using lipid bilayers.<sup>178</sup> PC2 may also contribute to the K<sup>+</sup> influx pathways in the ER that are coupled to Ca<sup>2+</sup> release as part of a highly cooperative ion-exchange mechanism due to its large K<sup>+</sup> conductance.<sup>176</sup> Mutant PC2 channels appear to have partial function.<sup>179</sup> The Ca<sup>2+</sup> dependence of the PC2 channel appears to be mediated by serine phosphorylation at position 812<sup>180</sup> (see reviews of polycystin channels<sup>181,182</sup>).

# Cellular and Subcellular Localization of Polycystins

## Polycystin-1 Expression is Developmentally Regulated

PC1 and PC2 are widely distributed. The subcellular localization of PC1 and PC2 has been controversial. Based on immunolocalization studies in tissues and in cultured cells, PC1 is now generally accepted as a plasma membrane protein. PC1 is found at apical membranes and the adherent and desmosomal junctions.<sup>183–186</sup> More recently it has been found in cilia of inner medullary collecting ducts (IMCD)<sup>187,188</sup> and epithelial cells derived from mouse embryonic kidney (MEK).<sup>189</sup> PC1 is also detected in the cilia of renal tubules in vivo.<sup>189</sup> PC1 is highly expressed in developing tissues and maintained in low-expression levels in adult tissues.<sup>183</sup> In the mouse kidney, PC1 expression is found in nearly all the tubular segments of the nephron. Its expression peaks at embryonic day 15, and 2 weeks after birth it falls to a low level that is maintained in adult life.<sup>184</sup> PC1 is expressed in a number of other tissues, including kidney, liver, pancreatic ducts, and vasculature.<sup>102,183,184,190</sup>

#### Polycystin-2 Channel Functions at Multiple Subcellular Locations

Unlike PC1, PC2 expression levels do not change strikingly during development. Data on in vivo subcellular location have been controversial since cytoplasmic,<sup>191</sup> apical, and basolateral membrane localization for PC2 have all been reported.<sup>192–194</sup> PC2 was found in the cilia<sup>195</sup> of kidney tubules. In cultured cells, it has been found in the ER, cilia, and plasma membrane.<sup>188,192</sup> A major obstacle in demonstrating PC2 channel function was the absence or the low levels of cell surface expression in common expression systems (e.g., *Xenopus* oocytes), but Gonzalez-Perrett and colleagues found moderate levels of PC2 channel activity in reconstituted apical membrane vesicles of human placenta syncytiotrophoblasts (hST) and membranes of PC2-expressing, baculovirus-infected Sf9 insect cells.<sup>177</sup>

Recent studies have supported the hypothesis<sup>181</sup> that the subcellular localization of PC2 is cell type specific and

dynamic. The PC2 protein and channel properties can be detected on the cell plasma membrane <sup>188</sup> and in the ER<sup>178</sup> of renal tubular epithelia. A number of factors can modulate the PC2 localization. The PC2 channel can be translocated to the cell membrane in the presence of chemical chaperones and proteasome inhibitors and in the presence of PC1 in cultured cells.<sup>176</sup> Its localization to the plasma membrane is in part modulated by phosphorylation at Ser76, a site that is likely phosphorylated by glycogen synthase kinase 3.<sup>196</sup> An acidic cluster in the C terminus of PC2 was recently found to be responsible for its ER, Golgi, and plasma membrane trafficking. Two adaptor proteins called PACS-1 and PACS-2 bind to the acidic cluster in PC2 in a phosphorylationdependent manner.<sup>197</sup> Another coiled coil domain containing protein, called PIGEA, regulates the ER-Golgi trafficking of PC2 by linking PC2 to GM130, a Golgi matrix protein.<sup>198</sup> More recently, the short sequence RVxP in the N-terminal domain of PC2 has been shown to be required for ciliary targeting of PC2.199

### Localization of Polycystin-1 and -2— Interdependent or Independent?

PC1 and PC2 physically interact with each other through their C-terminal cytoplasmic domains and regulate each other's subcellular localization. The first evidence came from a coexpression study of PC1 and PC2 in Chinese hamster ovary cells. The researchers suggested that PC1 assists targeting of PC2 to cell plasma membranes.<sup>173</sup> This conclusion was drawn from the observation that single transfection of PC2 results in its accumulation in the ER, whereas co-transfection of PC2 with PC1 results in cell surface expression of both proteins. This result was supported by another study using sympathetic neurons as an expression system.<sup>154</sup> PC2 localization on the plasma membrane and cilia was also abnormal in kidney epithelial cells derived from embryonic day 15.5 homozygous Pkd1 targeted animals.<sup>189</sup> In cyst-lining epithelial cells from human ADPKD, PC2 was absent from cilia in most cells, but remained in some cells.<sup>200</sup> A recent study by Grimm and colleagues proposes that PC2 regulates the subcellular distribution of PC1. In the absence of PC2, PC1 is located on plasma membrane, whereas co-transfection of both results in ER localization of PC1.<sup>201</sup> Geng and colleagues, however, have shown that PC2 remains in the nodal cilia of PC1 knockout mice, suggesting that PC2 targeting is independent of PC1.<sup>199</sup> These researchers also found PC2 in cilia of renal tubular epithelial cells lacking PC1 and proposed that selective PC2 agonists may be effective therapeutic agents for treatment of ADPKD. The localization and functional relationships between PC1 and PC2 deserve further study.

## **Primary Cilium in ADPKD**

The latest research focus of polycystic kidney disease has been on the primary cilium, a thin hairlike structure that typically appears as a single projection from the apical surface of a cell. Primary cilia have a "9 + 0" microtubule arrangement, lack the central pair of microtubules, and thus structurally differentiate themselves from motile cilia. The existence of primary cilia has been documented for decades, yet their functions in the kidney were not known until recently.

### **Clues for a Role of Primary Cilia in PKD**

The assembly and maintenance of eukaryotic flagella or cilia require the movement of proteins along flagellar microtubules, a process named interflagellar transport (IFT). A role for the primary cilia in PKD was initially suggested by observations made during the studies on polycystins and IFT in model organisms: (1) a PC1 homolog, *lov-1*, is localized to cilia of the only ciliated cell type (sensory neurons) in *Caenorhabditis elegans*,<sup>202</sup> and (2) *IFT88*, a gene responsible for the aflagellar phenotype in a *Chlamedomona* mutant, is homologous to *Tg737* (encoding polaris), whose hypomorphic mutation causes shortened cilia and PKD in mice.<sup>203</sup> Although genetic rescue experiments in the *Tg737* mutant mice restored the length of the primary cilia, they failed to prevent the renal cystic phenotype, thus arguing against defective ciliogenesis as a cause of PKD.<sup>204</sup>

# Ciliary Polycystin-1 as a Mechanoreceptor of Urine Flow

What is the function of the primary cilium? Is ciliary dysfunction a general feature of polycystic kidney disease? Do mutations in PC1 and PC2 disrupt this function? Praetorius and Spring suggested that the primary cilium of renal epithelium is mechanically sensitive and serves as a flow sensor. Bending of cilia either by suction with a micropipette or increasing the flow rate of perfusate causes a substantial increase in intracellular calcium.<sup>205</sup> PC1 and PC2 expression in cilia has lended further circumstantial evidence for the role of renal epithelial cilia in human cystic kidney disease.<sup>187,189,195</sup>

To test whether polycystins are involved in the sensing of flow by renal cilia, Nauli and colleagues tested whether cells from PKD kidneys are able to respond to fluid flow. Mouse embryonic kidney (MEK) cells were isolated from mice with targeted Pkd1 mutations and their normal littermates.<sup>189</sup> Since a defect must be present at or prior to the earliest stage of cyst formation if it is causative for cyst formation, cells from embryonic day 15.5 (E15.5) in Pkd1 targeted mice, just as cysts begin to appear, were isolated and examined. It was found that while the wild-type MEK cells respond to fluid flow at a rate that is similar to the physiologic urine flow rate of 0.75 dyne/cm<sup>2</sup>, Pkd1 knockout epithelial cells failed to respond to a wide range of fluid flow rates tested. Furthermore, the removal of extracellular Ca2+ abolished flowinduced cytosolic Ca<sup>2+</sup> increase, suggesting that Ca<sup>2+</sup> influx is required to transduce the mechanical signal into the cell.<sup>189</sup> These data suggest that PC1 mediates a flow-induced Ca<sup>2+</sup> response.

### A Model of Shear Stress Activation of Polycystin-1 and -2 Complex

PC1 is known to interact with PC2, but the impact of this interaction on PC2 channel activity was unknown at the time. The possibility that PC2 functions as a channel within the PC1-PC2 complex through which the  $Ca^{2+}$  enters the cell during flow stimulation was tested by the use of blocking antibodies. Wild-type cells pre-incubated with an antibody raised against an extracellular loop of PC2 lost their  $Ca^{2+}$  response to flow. By contrast, application of antibodies directed at the intracellular domain of PC2 had no effect on

flow-stimulated response. Based on these data, the authors proposed that PC1 and PC2 form a complex in the primary cilia of kidney epithelia where they sense fluid flow and transduce this mechanical signal into a Ca<sup>2+</sup> signal. In this model, the large extracellular domain of PC1 serves as an extracellular "antenna" for mechanical stress such as urine flow, and subsequent conformational changes of PC1 activate the PC2 channel, resulting in the initial Ca<sup>2+</sup> entry. Through the use of various inhibitors, it was found that the initial Ca<sup>2+</sup> entry signals are further amplified through ryanodine receptor–mediated, Ca<sup>2+</sup>-induced Ca<sup>2+</sup> release mechanisms<sup>189</sup> (Fig. 6-4). Both the local Ca<sup>2+</sup> entry and global Ca<sup>2+</sup> release signals may modulate cellular activities.

A role of polycystins in primary cilia and in mechanosensation is now generally accepted. A number of studies have also shown that both structural and functional abnormalities in primary cilia lead to polycystic kidney disease. For example, conditional inactivation of KIF3A, which encodes a subunit of the kinesin II motor protein that mediates antegrade ciliary IFT, resulted in absence of cilium formation and PKD.<sup>206</sup> Together with the observation that multiple cystogenic proteins are localized to the primary cilia or basal body,<sup>207</sup> the above studies raise the intriguing possibility that ciliary dysfunction may serve as a convergent mechanism for multiple forms of PKD.<sup>208</sup>

### Flow-Independent Activation of the Polycystin-2 Channel

A common theme that links the TRP channels is their activation or modulation by phosphatidylinositol signal transduction pathways.<sup>163</sup> Phosphatidylinositol is an important lipid, both as a key membrane constituent and as a participant in essential metabolic processes in all plants and animals. Phosphatidylinositol is generated by the activation of phospholipase C (PLC). Since PC2 is a member of the TRP channel superfamily, Ma and colleagues recently tested the activation of PC2 by PLC and phosphatidylinositol.<sup>209</sup> They searched for components of the PLC activation pathway that have been reported to cause renal cystic disease. Because epidermal growth factor receptor (EGFR)-mutant mice develop dilated collecting tubules,<sup>210</sup> they tested a functional interaction between the EGFR and PC2. Activated EGFR binds PLC and generates IP<sub>3</sub> and diacylglycerol (DAG) from phosphatidylinositol-4,5-bisphosphate (PIP<sub>2</sub>). Activated EGFR also activates phosphoinositide 3-kinase (PI3K) to phosphorylate PIP<sub>2</sub> to phosphatidylinositol-3,4,5triphosphate (PIP<sub>3</sub>). Using PC2 antibodies as a blocking agent, they found that PC2 mediated EGF-induced currents in LLC-PK<sub>1</sub> cells. Moreover, recombinant PC2 co-immunoprecipitated PLC-y2 and EGFR.<sup>209</sup> It is not known whether endogenous PC2 also co-immunoprecipitates PLC-γ2. Interestingly, PIP<sub>2</sub> colocalizes with PC2 in primary cilia of LLC-PK<sub>1</sub> cells, suggesting that the EGF-PC2 channel activation pathway is active on primary cilium.

## **Polycystin Signaling Pathways** Does Fluid Flow Inhibit the Cleavage of the PC1 C-Terminal Cytoplasmic Tail?

An interesting question arising from the ciliary polycystin mechanosensation hypothesis is how the initial calcium signal



Figure 6-4 Schematic diagram of mechanisms of fluid shear stress-induced calcium signaling in kidney epithelial cells. Cilia act as antennae to sense fluid movement. Polycystin-1 (PC1), with its large extracellular domains, acts as a sensory molecule to fluid shear stress and transduces the signal from the extracellular fluid environment to polycystin-2 (PC2), which allows sufficient calcium influx, in turn, to activate ryanodine receptors through calcium-induced calcium release. The resulting local increase in the cytosolic calcium concentration then regulates numerous cellular/subcellular activities. (Adapted from Nauli SM, et al: Polycystins 1 and 2 mediate mechanosensation in the primary cilium of kidney cells. Nat Genet 33:129–137, 2003.)

triggered by fluid flow shear stress is inferred within a cell. Two recent studies have reported that the C-terminal cytoplasmic tail of PC1 is cleaved under static conditions and proposed that fluid flow inhibits the cleavage of the PC1 C-tail.<sup>211,212</sup> Both groups showed that the cleaved C-tail is translocated to the nucleus, where it initiates nuclear signaling. Chauvet and colleagues observed the cleaved C-tail in kidneys of wild-type animals after unilateral ureteral ligation, and in mice manifesting a conditional inactivation of the KIF3A subunit, which is essential for cilia formation, suggesting that fluid flow normally inhibits the cleavage and that the cleavage occurs under pathologic conditions.<sup>211</sup> Low and colleagues showed that the cleavage of the PC1 tail occurs under static cell culture conditions.<sup>212</sup> It is noteworthy that the cleavage fragments identified by these two groups were of different sizes (34 and 14 kD, respectively), suggesting the presence of two distinct cleavage sites in the C-tail. It appears that the 34-kD nuclear PC1 C-tail can activate the AP1 pathway,<sup>211</sup> while the 14-kD fragment binds to STAT6 (signal transducer amd activator of transcription protein 6) and its co-activator P100 and activates STAT6dependent gene transcription.<sup>212</sup> It is important to note that ureteral ligation, the method used to study fluid flow by Chauvet and colleagues, may affect a number of factors other than flow and pressure and may initiate nonspecific injuryrelated events. On the other hand, Low and colleagues induced flow through orbital shaking, a condition with unknown activation status of Ca<sup>2+</sup> signaling. One of the major current efforts in the field is to identify the downstream signaling cascades that eventually lead to the abnormal cellular behaviors seen in PKD.

## PC1 and Wnt Signaling

The Wnt signaling pathway describes a complex network of proteins that can regulate the production of Wnt signaling molecules, their interactions with receptors on target cells, and the physiologic responses of target cells that result from the exposure of cells to the extracellular Wnt ligands. Wnt signaling is best known for its role during development, but may also play a role in normal physiologic processes in adult life. In canonical Wnt signaling, Wnt proteins bind to cell surface receptors of the Frizzled family, causing the receptors to activate dishevelled family of proteins. This activation inhibits the degradation of  $\beta$ -catenin by the GSK3-axin-APC complex and increases the amount of  $\beta$ -catenin that reaches the nucleus where  $\beta$ -catenin interacts with T-cell factor (TCF) family members and activates Wnt target genes.

Early in 1999, Kim and colleagues<sup>213</sup> reported that the membrane-anchored C-tail of PC1 activates Wnt signaling. Their conclusion was based on three major observations: first, the membrane-anchored PC1 C-tail stabilizes  $\beta$ -catenin, an indicator of Wnt signaling; second, the C-tail activates the Siamois promoter reporter construct that contains a  $\beta$ -catenin TCF binding motif, another readout of Wnt signaling, in HEK293 cells; and third, microinjection of the polycystin C-terminal cytoplasmic domain induces dorsalization in

zebrafish. In 2004, however, Le and colleagues<sup>214</sup> reported that the PC1 C-tail does not modulate Wnt signaling using a TOP/FOP assay in HEK293 cells and human kidney epithelial cells. These data were supported by another study showing that neither the membrane-anchored nor the cleaved soluble PC1 tail was able to activate Wnt pathway using TOP-, TOP-FLASH as well as Siamois promoter reporter constructs.<sup>212</sup> Therefore, whether PC1 modulates Wnt signaling remains an open question.

Noncanonical Wnt signaling does not involve  $\beta$ -catenin/ TCF-mediated transcription. The best characterized noncanonical pathway is involved in a cellular process called planar cell polarity (PCP).

### Planar Cell Polarity and PKD

Polarization along the apical/basal axis is a universal feature of epithelial cells and is important for specialized epithelial functions. In addition to apical-basal polarity, the epithelial cells of many tissues are also polarized along an axis that is orthogonal to the apical/basal axis. This form of epithelial polarity is known as PCP or tissue polarity. PCP signaling controls cell division orientation and is involved in a variety of developmental processes that determine cell differentiation fate and contribute to tissue and organ morphogenesis.

Several signaling pathways have been implicated in planar cell polarity. Disruption of noncanonical Wnt signaling results in abnormalities in oriented cell division during zebrafish gastrulation, and indicate that oriented cell division is a driving force for axis elongation.<sup>215</sup> Recent work by Fischer and colleagues shows that lengthening of renal tubules is associated with mitotic orientation of cells along the tubule axis, an intrinsic planar cell polarization process. They described a defect in the mitotic orientation in PCK rats and HNF1βknockout mice (models of autosomal recessive polycystic kidney disease) before overt tubule dilation and cyst formation,<sup>216</sup> suggesting that defects in PCP are responsible for cyst development. The researchers proposed that orientated cell division dictates the control of tubular lumen size during tubule elongation. The molecular events underlying orientated cell division and tubular lumen size are currently unknown, and no other PCP defects are known to be present in ADPKD.

A study on inversin, a protein involved in left-right asymmetry and kidney cyst formation, has provided some clues about how fluid-flow shear stress may regulate the Wnt signaling pathway.<sup>217</sup> Fluid flow over a kidney cell line increases inversin levels and slightly reduces  $\beta$ -catenin levels (~19%). Inversin facilitates the degradation of cytosolic dishevelled 1 that is involved in canonical Wnt signaling, but not plasma membrane-bound dishevelled that mediates noncanonical Wnt signaling. Furthermore, they showed that inversin is required for convergent extension movements in gastrulating Xenopus laevis embryos, a process mediated by the β-catenin-independent, noncanonical Wnt pathway. Based on these findings, the researchers proposed that inversin serves as a molecular switch between Wnt signaling pathways and that fluid flow terminates canonical Wnt signaling. It remains to be determined whether this cell culture model system adequately reflects the in vivo situation. The BATgal mouse, which allows visualization of  $\beta$ -catenin-dependent Wnt signaling, may provide more insight into the spatial and

temporal activation of canonical Wnt signaling during renal development.

An attractive hypothesis is that cilia of renal tubular epithelial cells may sense the direction of primary urine flow and provide a cue for PCP and oriented cell division during tubule enlongation through the function of polycystins. Although the molecular events that mediate polycystins with PCP are currently unknown, interaction of the PC2 Cterminal cytoplasmic tail with the N terminal of mDia1/Drf1 (mammalian Diaphanous or Diaphanous-related formin 1 protein)<sup>169</sup> may serve as one of the links. mDia1 is a member of the RhoA GTPase-binding formin homology protein family that participates in cytoskeletal organization, cytokinesis, and signal transduction. The mDia-PC2 interaction is more prevalent in dividing cells in which endogenous PC2 and mDia1 colocalize to the mitotic spindles. This finding is particularly interesting given the recent finding that PCP and oriented cell division are abnormal in animal models of recessive PKD.<sup>216</sup> However, the role of PC2 in cell division orientation and spindle organization is unknown.

### The Inhibitor of DNA Binding Pathway

The Inhibitor of DNA Binding (Id) protein family comprises relatively new transcriptional regulators that belong to the superfamily of helix-loop-helix proteins. There are four members in this family that inhibit differentiation of certain lineages and have the potential to stimulate proliferation. A recent study has shown that PC2 directly associates with Id2 and controls cell cycle progression.<sup>170</sup> Membrane-anchored PC2 sequesters Id2 in the cytosol through direct binding and prevents Id2 nuclear translocation. It is important to note that Id2 binds to phosphorylated PC2 and that the phosphorylation of PC2 is modulated by PC1. Whereas overexpression of PC1 causes an increase in PC2 phosphorylation and PC2-Id2 interaction, mutations in PC1 disrupt this interaction and lead to increased Id2 nuclear accumulation in Pkd1-targeted mice and in human ADPKD patients with either PKD1 or PKD2 mutations.<sup>170</sup> Nuclear Id2 is known to antagonize the function of E2A/E47-dependent growth suppressive gene transcription and induces cell proliferation. Interestingly, inhibition of Id2 mRNA by RNA interference reversed the proliferative cell cycle profile of Pkd1 knockout cells to normal,<sup>170</sup> suggesting that methods for modulating Id2 expression levels or subcellular localization may provide a new direction for treatment of ADPKD.

The PC2-Id2 pathway is linked to several other important signaling proteins, some of which have been suspected to play a role in the pathogenesis of PKD. These proteins, which include  $\beta$ -catenin,<sup>218</sup> E-cadherin,<sup>219</sup> c-myc, and Rb,<sup>220</sup> may also contribute to the hyperproliferative phenotype in cyst lining epithelia.  $\beta$ -catenin, which has been found to associate with PC1, can activate transcription of Id2, probably through C-myc, which is overexpressed in cystic kidneys. In addition, a role of Id2 in cell proliferation and differentiation has recently been linked to Smad4-dependent transforming growth factor- $\beta$  (TGF- $\beta$ )<sup>219</sup> and bone morphogenesis protein (BMP)–mediated pathways.<sup>221</sup> The effective arrest of cystic disease by a cyclin-dependent kinase inhibitor (roscovitine) in two animal models of PKD (*cpk* and *jck*)<sup>222</sup> supports the notion that dysregulated cell cycle is a proximal cause of cystogenesis.

## The JAK-STAT Pathway

STAT proteins are tyrosine phosphorylated by members of the Janus kinase (JAK) family, tyrosine kinase growth factor receptors, nonreceptor tyrosine kinases, and seven transmembrane pass receptors.<sup>223</sup> The JAK-STAT signaling pathway has been described to mediate polycystin signaling.<sup>212,224</sup> At least two STATs are involved, STAT1 and STAT6. A study using fulllength PC1 stable cell lines shows that PC1 induces STAT1 activation by direct association and activation of JAK2, and in turn results in an induction of p21 and modulation of cell cycle. The notion that activation of JAK2 by PC1 requires PC2 was based on the observation that the R4227X truncation mutant of PC1 was able to bind but not to activate IAK2 and that full-length PC1 was unable to activate JAK2 in cells lacking PC2.<sup>224</sup> A more recent study shows that the expression of the cleaved C-terminal cytoplasmic tail of PC1 activates STAT6 by directly binding to P100.<sup>208</sup> Because PC1 binds to JAK2 but not JAK1 and its interaction with JAK3 was not tested, Low and colleagues propose that JAK3 may be involved in PC1-dependent activation of STAT6, although phosphorylation of STAT6 by JAK2 when PC1 is activated is not excluded. In this study, a pathologic role of STAT6 was suggested. The researchers examined the localization of STAT6 in human ADPKD kidneys and found an increased expression of STAT6 in the nucleus of cyst lining epithelial cells in ADPKD kidneys. It was proposed that in normal renal tubular lumens with fluid flow and normal PC1, STAT6 is sequestered in the cilia by PC1. Under the absence of urine flow or PC1, or overexpression of mutant PC1, STAT6 translocates from the cilia to the nucleus to initiate STAT6-dependent transcription. Although several factors such as fluid flow, calcium influx, and cytokine stimulation have been speculated to facilitate the activation of the JAK-STAT pathway, the mechanism of STAT6 upregulation and activation in ADPKD remains unclear and requires further study.

## The mTOR Pathway

Another recently identified pathway that deserves attention is the mammalian target of rapamycin (mTOR) pathway. Following the effective treatment of the Han:SPRD rat model of PKD with rapamycin, an antiproliferative drug that blocks the mTOR pathway,<sup>225</sup> Shillingford and colleagues<sup>226</sup> explored the possible mechanism underlying this observation. mTOR has essential roles in protein translation, cell growth, and proliferation and is upregulated in a number of tumors. mTOR is regulated by the TSC2 gene product, tuberin, through the small GTPase Rheb. TSC2 mutation causes tuberous sclerosis, a genetic disease with renal cysts and tumors in a number of tissues. TSC2 is localized tail to tail with PKD1 on chromosome 16p13.3 with about 60 bp apart.<sup>227</sup> Using a tuberindeficient renal cell line, Kleymenova and colleagues<sup>228</sup> has shown that tuberin expression is required for the plasma membrane targeting of PC1. Shillingford and colleagues recently provided biochemical evidence that the transfected N- but not the C-terminal segment of the PC1 cytoplasmic tail co-immunoprecipitated with endogenous tuberin in MDCK cells. Co-transfection of tuberin with the N-terminal fragment of PC1 shifted tuberin from its punctate cytoplasmic pattern to the Golgi apparatus, where the PC1 fragment is localized. Given the lack of co-immunoprecipitation

data on endogenous proteins, these data suggest that PC1 and tuberin transiently interact and modulate each other's localization. Another interesting finding of this study is the upregulation of the phosphorylated, active form of mTOR and its downstream effector S6K kinase in cyst lining epithelial cells from human ADPKD kidneys. This upregulation was also seen in cysts but not normal tubules in *Pkd1* mutant mice and two other mouse PKD models (*MAL* and *orpk* due to myelin and lymphocyte protein overexpression and mutation in a ciliary protein, Tg737, respectively). The inhibition of mTOR by rapamycin significantly alleviated the cystic phenotype in these animal models. Additionally, in a small retrospective study, reduction of renal cyst volume was noted in renal transplant patients with ADPKD who were treated with rapamycin compared to those without.

## Animal Models of ADPKD

## Pkd1 and Pkd2 Targeted Mutants

Although there are a number of "spontaneous" animal models of PKD, none are due to mutations in the mouse orthologs of *PKD1* or *PKD2*. Therefore, a number of targeted mutants were made. The first *Pkd1*-targeted mouse mutant, *Pkd1*<sup>del34</sup>, was created in 1997.<sup>229</sup> Homozygous *Pkd1*<sup>del34</sup> and *Pkd1*<sup>null</sup> mice develop polyhydramnios and hydrops fetalis and die perinatally.<sup>229</sup> Kidney development of all homozygotes proceeds normally until embryonic day 15.5, when dilatation of Bowman's space and the proximal tubule is evident. Cyst development soon progresses to the collecting system, and by birth, the entire kidney is cystic (Fig. 6-5). A survivor of *Pkd1*<sup>del34/del34</sup> lived to 8 days after birth, but developed massive cystic kidneys.<sup>229</sup>

The del34 mutant was created by a deletion of exon 34 in mouse Pkd1. This mutation mimics many mutations found in human patients and is predicted to produce a PC1 protein lacking the C-terminal half of its transmembrane domains and the complete C-terminal cytoplasmic domain.<sup>229</sup> A null mutation was later generated by the insertion of a neomycin cassette into exon 4 of the mouse Pkd1 gene, which results in an immediate frame shift and truncates approximately 98% of the PC1 protein. This mutation was generated as a "loss-offunction" animal model of PC1.230 In homozygosity, both del34 and null germline mutations resulted in severe polycystic kidney and pancreatic disease in utero, providing unequivocal evidence that PC1 plays a key role in kidney and pancreatic development. Unfortunately, these mice, as well as all other Pkd1 mutants generated later, die between the 13th day of embryonic life and shortly after birth, which greatly limits the use of these animal models for studies of the molecular mechanisms of cystogenesis that occurs mostly in postnatal life in ADPKD. Heterozygotes for either of these mutations gradually develop scattered cysts in the kidney and liver. The kidney cysts range from 2 to greater than 50 per animal in 14to 20-month-old del34 mutants. Mice with Pkd2 mutations develop polycystic kidneys like the Pkd1 mutants.<sup>231</sup>

In an attempt to develop a better model for ADPKD, the *Cre*-loxP site-specific recombination system has been used to produce mice with tissue- and time-specific deletions. By crossing the "floxed" mice with transgenic mice expressing the *Cre* recombinase in the desired time-specific and/or tissue-specific manner, one can achieve conditional gene



**Figure 6-5** Polycystic kidney (PKD) in a *Pkd1*<sup>-/-</sup>-targeted mouse. Hematoxylin and eosin staining of a kidney section from a wild-type mouse at newborn (*left*) and a cystic kidney from a *Pkd1*<sup>-/-</sup>-targeted mutant of the same stage (*right*). Insets show that the polycystic kidney is significantly larger than the age-matched wild-type kidney. Cy, cyst. Bar, 5 mm.

inactivation. This approach was recently used to develop a conditional model in which somatic *Pkd1* inactivation was under control of the  $\gamma GT:Cre^{232}$  and MMTV-*Cre*.<sup>233</sup> Although the MMTV-*Cre* mice were created successfully, only scattered cysts were observed in mice at 20 weeks of age. The lack of a consistent cystic kidney phenotype and the long time needed to develop the phenotype limits the usefulness of this model for phenotypic and experimental studies of ADPKD.  $\gamma GT:Cre$  gives a more striking cystic phenotype and appears to be a better model for ADPKD.<sup>232</sup> Animal models with various *Pkd1* or *Pkd2* mutations are summarized in Table 6-6.

The extrarenal phenotypes are also seen in animal models of ADPKD. Liver cyst is the most common extrarenal phenotype in heterozygotes: all  $Pkd1^{del34}$  heterozygotes eventually develop macroscopic liver cysts.<sup>234</sup> Pkd2-mutant mice also develop polycystic liver disease like the Pkd1 mutants.<sup>231</sup> A fraction of Pkd1 and Pkd2 mutants also develop pancreatic cystic disease. In fact, cystic degeneration in homozygous Pkd1 and Pkd2 mutants were first seen in the pancreas at E13.5, two stages earlier than in the kidney, consistent with a role of polycystins in mechanosensation in tubular and ductal epithelial cells.

In addition to the cystic phenotype, a small portion of the *Pkd1* and *Pkd2* knockout mice also develop hemorrhage, suggesting a role for polycystins in the maintenance of blood vessel integrity.<sup>104,235</sup> Some studies have also shown cardiac defects in *Pkd1* and *Pkd2* mutants.<sup>236,237</sup> Characterization of *Pkd1* and *Pkd2* knockout mice has also uncovered roles of PCs in skeletal development (personal communication, P. Pennekamp).<sup>230,236</sup>

The vertebrate body plan has conserved handed left-right asymmetry that is apparent in the heart, lungs, and gut. Situs inversus is a condition in which the organs of the chest and abdomen are arranged in a perfect mirror image reversal of the normal positioning. Although there is no report of its association with PKD, recent studies in animal models have suggested a role of PC2 but not PC1 in the determination of left-right body axis.<sup>238</sup> *Pkd2* mutant mice exhibit randomized embryonic turning.<sup>238</sup> This phenotype is not seen in any of the *Pkd1* mutants, providing an example of tissue-specific uncoupling of PC1-PC2 function. The ciliary connection of polycystins has provided some insights into this phenotype. It is believed that ciliary PC2 serves as a mechanosensor sensing nodal flow in the embryonic node.<sup>239,240</sup>

Animal models with various *Pkd1* or *Pkd2* mutations are summarized in Table 6-2.

## MECHANISMS OF RENAL DISEASE PROGRESSION

### Pathogenic Mechanisms of Renal Disease Progression

The pathophysiology of renal disease progression in ADPKD is not well understood. However, it is ultimately related to the total renal cystic burden, which is determined by both the number and size of individual cysts.<sup>83,84,144</sup> Detailed reconstruction and dissection studies have shown that renal cysts developed initially as saccular outpouchings arising from any nephron segment. Most of these dilated tubules eventually become cysts as a result of cellular proliferation and fluid secretion, and detached from their tubule of origin when enlarged beyond a few millimeters in diameter.<sup>10,43</sup> Cyst formation, however, may only involve 1% to 2% of the nephrons, even with advanced disease.<sup>43,241</sup> Thus, progressive renal failure in ADPKD is not simply due to loss of function of the cystic nephrons.

Histologic studies have shown that tubulo-interstitial inflammation and fibrosis are the best predictors for renal disease progression in ADPKD.<sup>38,242</sup> Additionally, widespread apoptosis involving both cystic and noncystic tubular epithelial cells was commonly observed and may mediate the loss of renal tissue.<sup>243</sup> In the early stages of Han:SPRD rat polycystic kidney disease, detailed micropuncture and microdissection studies have revealed that approximately 40% of renal tubules were obstructed by intraluminal adenomatous polyps or by extrinsic compression from adjacent cysts.<sup>244</sup> Since mechanical stretching of epithelial cells from tubular obstruction and compression is known to activate signaling cascades for inflammation and apoptosis,<sup>245-248</sup> cyst expansion and encroachment upon adjacent parenchyma within the confined renal capsule may cause indirect tissue damage. In this way, individual cyst expansion may amplify their effect to compromise the function of adjacent noncystic renal tubules and glomeruli by distorting the delicate tubulo-interstitial network of capillaries, arterioles, and venules, leading to functional disturbances of the surrounding parenchyma.<sup>10</sup> Consistent with this concept, "atubular glomeruli" (in which the connections between the renal corpuscles and proximal tubules are severed) have been commonly observed in the Han:SPRD rat model.<sup>249</sup> Hypertrophy of nephrons and glomeruli may compensate for the loss of parenchymal mass

Strain (reference	) Mutation	Allele	EL	K&P Cysts	Edema	Cardiovascular Defects	Skeletal Defects	L-R Defects	Het
Pkd1 <sup>del34</sup> (229,234	<sup>I)</sup> Exon 34 deletion	Pkd1 <sup>tm1Jzh</sup>	+	+	+	-	+	-	K.L.P. cysts
<b>Pkd1</b> <sup>null</sup> (230)	Exon 4 insertion	Pkd1 <sup>tm1Jzh</sup>	+	+	+	Subcutaneous bleeding seen in <1% of animals	+	-	K.L.P. cysts
Pkd1 <sup>del43 (235)</sup>	Exon 43–45 deletion	Pkd1 <sup>tm1Maa</sup>	+	+	+	Vascular leek	n.d.	n.d.	n.d.
<i>Pkd1</i> <sup>del17</sup> (236)	Exon 17–21 deletion	Pkd1 <sup>tm1Rsa</sup>	+	+	+	+	+	-	K.L. cysts
Pkd1 <sup>- (315)</sup>	Exon 2–6 deletion	Pkd1 <sup>tm1Shh</sup>	+	+	+	Double outlet right ventricle	n.d.	n.d.	n.d.
Pkd1 <sup>-(316)</sup>	Single amino acid substitution	Pkd1 <sup>m1Bei</sup>	+	+	+	n.d.	n.d.	n.d.	K.L.P. cysts
Pkd1 <sup>nl (273)</sup>	Insertion of neo cassette in intron 1, cause 80% splicing defects	Pkd1 <sup>nl/nl</sup>	-	+	-	Aorta aneurysms	n.d.	n.d.	No cysts
Pkd1 <sup>flox</sup>	Exon 2–6 deletion, γGT.Cre		-	+	-	-	-	-	n.d.
Pkd1 <sup>cond (233)</sup>	Exon 2–4, MMTV.Cre		-	± in K	-	-	_	_	n.d.
Pkd2 <sup>null (237,267)</sup>	Exon 1 disruption	Pkd2 <sup>tm1Som</sup>	+	+	+	+	n.d.	+	n.d.
Pkd2 <sup>ws25 (237)</sup>	Exon 1 duplication causing unstable allel	Pkd2 <sup>tm2Som</sup> e	+	+	n.d.	n.d.	n.d.	+	K. cysts
Pkd2 <sup>LacZ (238)</sup>	Exon 1 deletion with LacZ promoter trap	Pkd2 <sup>tm1Blum</sup>	+	+	n.d.	+	+	Randomization, right pulmonary isomerism	n.d.

## Table 6-6 Phenotypes of Pkd1 and Pkd2 Knockout Mice

EL, embryonic lethality with death occurring between embryonic day 13.5 and birth. All mutations are targeted mutations with the exception of one, N-ethyl-nitrosourea induced point mutation (*Pkdl*<sup>Bei</sup>). K, kidney; L, liver; P, pancreas; L-R defects, left-right asymmetry defects; n.d., not described.

for many years, but ultimately the amount of noncystic parenchyma decreases so that, at the end stage, only the survivor cysts remain. If tubular obstruction and compression are major mechanisms of tissue injury effecting the loss of renal structure and function, therapeutic interventions targeting cyst expansion are expected to delay renal disease progression in ADPKD. Indeed, the recent findings of vasopressin V2 receptor antagonism in a Pkd2 mouse model are consistent with such an effect (see later section on Promising Disease-Modifying Therapies).

### Molecular Genetic Basis of Cyst Formation

Focal and sporadic cyst formation is an intriguing feature of ADPKD that occurs in an age-dependent manner.<sup>250</sup> Typically, only a few renal cysts are detected in affected individuals during the first three decades of life. By the fifth decade, however, hundreds to thousands of renal cysts of different sizes can be easily found in most patients (see Fig. 6-1). Additionally, significant within-family renal disease variability is well documented.<sup>78,80</sup> For example, as an extreme example, one dizygotic twin was reported to have bilaterally enlarged polycystic kidneys in utero while the kidneys of his co-twin remained normal up to 5 years of age, yet both twins were affected with the same germline *PKD1* mutation.<sup>251</sup> These findings suggest that additional factor(s) other than the germline mutation of a polycystic kidney disease gene might be required for cystogenesis.

## A "Two-Hit" Model for Cystogenesis

To explain the above observations, a "two-hit" model of cystogenesis analogous to Knudson's classic model for carcinogenesis was proposed for ADPKD.<sup>252</sup> According to this model, individual cyst formation is triggered by bi-allelic inactivation of a polycystic kidney disease gene through germline and somatic mutations within an epithelial cell. While the germline PKD mutation is necessary, somatic mutation of a previously normal PKD gene within a single epithelial cell constitutes the second and rate-limiting step in cystogenesis, similar to that seen with numerous tumor suppressor genes in cancer<sup>253,254</sup> (Fig. 6-6). Indeed, recent studies provide strong support that this likely is a major mechanism of cystogenesis in human ADPKD.

Using novel techniques that purified cyst-lining epithelial cells from stromal cells (such as fibroblasts, endothelial cells, and blood leukocytes, which could mask the detection of genetic events in the former cells), two laboratories independently reported that individual PKD1 cystic epithelia derived from a monoclonal origin.<sup>255,256</sup> These studies also showed that gross somatic PKD1 deletions (i.e., loss of heterozygosity) occurred in approximately 20% of the cysts examined. Additionally, small intragenic somatic PKD1 mutations were detected in up to 30% of PKD1 liver cysts.<sup>257</sup> Similarly, loss of heterozygosity and small intragenic somatic mutations of PKD2 were reported in up to 10% and 40% of human PKD2 renal and liver cysts, respectively.<sup>258-260</sup> In general, most somatic mutations detected from different cysts were unique within the same patient. Of interest, almost all germline and somatic mutations reported in the human cyst studies were predicted to truncate the C-terminal portion of the mutant polycystins. In some cysts with loss of heterozygosity at either



**Figure 6-6** A "two-hit" mechanism of cyst formation in autosomal dominant polycystic kidney disease. (1) All epithelial cells in a renal tubule contain an identical germline polycystic kidney disease gene mutation (denoted by the black dot); (2) somatic mutation of the wild-type polycystic kidney disease allele occurs in a single epithelial cell; (3 and 4) monoclonal proliferation of this epithelial cell results in tubular dilatation and cyst formation.

PKD1 or PKD2 locus, the availability of informative polymorphic markers made it possible to determine the parental origin of these somatic deletions. In other cysts with intragenic mutations, close proximity between the sites of germline and somatic mutations also allowed the same analysis to be performed. In 35 of 35 instances when the parental origin of the somatic polycystin mutations could be determined in individual PKD1 and PKD2 cysts, they were always found to affect the wild-type allele. These data indicate that these somatic "second-hit" events were not random<sup>255-260</sup> (see example in Fig. 6-7). Taken together, these genetic data showed that cyst formation occurs by a molecular recessive mechanism. Moreover, inactivating somatic PKD1 mutations have been reported in approximately 8% of PKD2 cysts, and conversely, inactivating somatic PKD2 mutations in approximately 13% of PKD1 cysts.<sup>261,262</sup> Given that PC1 and PC2 have been shown to interact in vitro, a trans-heterozygous two-hit model may provide an additional, albeit minor, mechanism for cystogenesis.

Two concerns have been voiced against the two-hit model.<sup>263</sup> First, it was argued that if the somatic PKD mutation constitutes the rate-limiting step for cystogenesis, then a higher rate of somatic PKD mutations should be reported. However, none of the earlier human cyst studies screened the entire PKD1 or PKD2 for somatic mutations. Additionally, PKD1 mutation screening is technically challenging because of its large size (an open reading frame of ~13 kb) and complexity (with ~75% of the gene duplicated in at least three homologs). To address this issue, a more recent study screened the entire PKD2 coding sequence and splice junctions in 28 PKD2 renal cysts for somatic mutations. Using single-stranded conformational analysis with a known sensitivity for mutation detection of approximately 75%, inactivating somatic PKD2 mutations were found in 71% of cysts screened.<sup>261</sup> Thus, when sensitive and comprehensive mutation screening is employed, somatic PKD2 mutations can be detected in PKD2 cysts at a very high rate. The second concern relates to the detection of PC1 or PC2 immunoreactivity by C-terminal antibodies in a significant number of cystic epithelia, which is unexpected in light of the two-hit model.<sup>191,264</sup>

Although this issue remains unresolved at present, there are several potential explanations. First, in the cases of in-frame


**Figure 6-7** Bi-allelic *PKD2* inactivation within a monoclonal population of epithelial cells lining a single renal cyst. Both germline and somatic *PKD2* mutations were identified from the genomic DNA of these purified epithelial cells. Sequence tracings of two genomic clones amplified by long-range polymerase chain reaction contain the sites of germline and somatic *PKD2* mutations. Clone #1 shows the presence of the splice site germline mutation in exon 5 (IVS+1G→A) and normal sequence in exon 6. Clone #2 shows a normal sequence in exon 5 and a somatic mutation in exon 6 (1365del13).

deletions or missense mutations that maintain the mRNA open reading frame of the mutant transcript, the presence of immunoreactivity in a cyst might merely reflect the detection of a nonfunctional protein. Second, in the cases of truncating mutations, alternative readings of the mutant *Pkd1* transcript may allow the near full-length translation of a nonfunctional mutant protein, as shown in the del34 *Pkd1*-knockout mouse model (see Animal models of ADPKD, previous).<sup>230</sup> Third, detection of polycystin immunoreactivity is expected in transheterozygous inactivation in approximately 10% of ADPKD cysts.

In light of the above concerns, two recent studies have provided further support of the two-hit model for ADPKD. The first study indicates that the two-hit model is mechanistically linked to primary ciliary dysfunction, which has been recently shown to provide a convergent pathway for multiple renal cystic disorders (see earlier section on Primary Cilium in ADPKD).<sup>200</sup> It showed that both immortalized and primary cultured renal epithelial cells originated from normal or nondilated PKD1 kidney tubules displayed normal ciliary expression of polycystins and response to fluid-flow shear stress. By contrast, cyst-derived immortalized and primary cultured renal epithelial cells, which had undergone bi-allelic *PKD1* inactivation, did not respond to fluid-flow shear stress. The second study examined the gene locus effect on kidney size in the CRISP cohort using MRI for the volumetric measurements and cyst counts.<sup>265</sup> It showed that cyst formation increases with age in both gene types. However, PKD1 kidneys were significantly larger than PKD2 kidneys in agematched patients, but the rate of cyst growth did not differ between the two gene types. Thus, PKD1 is associated with more severe renal disease because more cysts develop earlier, not because they grow faster (see later section on Gene Locus Effect). In turn, these data fit well with the two-hit model

since *PKD1* is approximately four times larger and is intrinsically more prone to mutation than *PKD2*.<sup>141</sup>

Knockout mouse studies also support the classical two-hit model in ADPKD (see earlier section on Animal Models of ADPKD).<sup>266</sup> Pkd1 or Pkd2 heterozygous inactivation in these models leads to focal renal and extrarenal cyst formation during adult life, similar to patients. By contrast, homozygous Pkd1 or Pkd2 inactivation is associated with embryonic/ perinatal lethality and massive polycystic kidney disease. Of interest is the del34 Pkd1 model, which was created by deletion of exon 34 and predicted to generate a truncated mutant protein lacking the C-terminal tail.<sup>230</sup> Using an N-terminal antibody, a truncated protein of the predicted size and, unexpectedly, a nearly full-length protein were detected in the mutant homozygotes. These data suggest that alternative readings of the mutant transcript may allow the translation of a nearly full-length mutant protein, and provide a possible explanation for increased PC1 immunoreactivity in the cystic epithelia. Further support of the two-hit hypothesis is provided by *Pkd2* mouse models that carry an unstable allele, ws25, which can undergo somatic rearrangement to generate a normal or null allele.<sup>267</sup> The frequency of renal cysts in these models increases according to the allelic series, with the most severe disease occurring in Pkd2<sup>ws25/-</sup>, followed by Pkd2<sup>ws25/-</sup>, Pkd2+/-, and Pkd2+/ws25 mice. Moreover, PC2 immunoreactivity was present in normal tubules, but uniformly negative in cystic epithelia, suggesting that complete loss of this protein was required for cyst development.

Since thousands of cysts can be found in a polycystic kidney with advanced disease, the two-hit model implies a very high rate of somatic mutations in renal epithelial tissue. Indeed, using a functional assay for inactivating mutations in the Xlinked hypoxanthine phosphoribosyl transferase (*HPRT*) gene, a high somatic mutation frequency (i.e., ~5–25 mutations/ locus/10<sup>5</sup> cells) has been reported in normal human renal epithelial tissue in vivo.<sup>268</sup> This mutation rate is approximately 20 times higher than in human T lymphocytes and is unexpected, given that renal epithelial cells have a slow proliferation rate. These data therefore suggest an intrinsically higher somatic mutation frequency in the renal epithelia. Because of the high metabolism associated with secretory and resorptive functions, these cells may be exposed to a higher burden of oxidative stress and DNA damage. Alternatively, the efficiency of DNA repair may differ between tissues.

The two-hit model predicts that the somatic mutation of a PKD gene is the rate-limiting step for individual cyst formation. Thus, the cumulative frequency of such events within the kidney is expected to determine the total cyst number over the lifetime of a patient. Accordingly, common environmental factors such as cigarette smoking, food-borne mutagens (heterocyclic amines and polycyclic aromatic hydrocarbons), and folic acid deficiency may modify renal disease severity by modulating the renal somatic burden.<sup>269</sup> Additionally, functional gene variants encoding enzymes involved in detoxifying exogenous and endogenous mutagens (e.g., cytochrome P450 enzyme CYP2D6, and *N*-acetyltransferase 1 and 2) and in DNA repair may act as genetic modifiers of renal disease in ADPKD<sup>270</sup> (see later section on Modifier Genes).

#### **Other Potential Mechanisms of Cystogenesis**

While the classical two-hit model predicts that a complete loss of polycystin function is required for cytogenesis, alterations of polycystin gene dosage have been shown to cause renal cysts in experimental models. For example, transgenic mice overexpressing Pkd1 caused renal cystic disease, suggesting that a normal level of polycystin is critical to maintain a differentiated tubular epithelial phenotype.<sup>271</sup> However, the relevance of this model to ADPKD is unclear since most cysts developed in these transgenic mice were derived from glomerular origin, and Tsc2, another cystogene associated with tuberous sclerosis, was concurrently overexpressed. Moreover, polycystin gene amplification has not been documented in human ADPKD. Two other studies, however, suggest that loss of function beyond a critical threshold, but not complete absence of polycystin, may initiate cystogenesis. The first study compared the renal phenotype between the Pkd1+/-, Pkd2+/-, and Pkd1+/-:Pkd2+/- knockout mice.272 In keeping with the two-hit mechanism of cystogenesis, approximately 70% of kidney cysts in the Pkd2+/- mice exhibited uniform loss of PC2. However, the severity of cystic disease in the Pkd1+/-: Pkd2+/- mice was increased in excess of that predicted by a simple additive effect based on cyst formation in the singly heterozygous mutants. Coupled with the observation that only approximately 5% of the Pkd1+/-:Pkd2+/cysts exhibited a complete loss of PC2 expression, this study proposes that the extra-additive effect observed in the transheterozygous mutants might be due to a two-hit mechanism involving a null and a hypomorphic Pkd allele. The second study examined the effects of a novel knockout mouse model with a hypomorphic allele, *Pkd1<sup>nl</sup>*, that is prone to aberrant splicing.<sup>273</sup> Interestingly, most homozygous Pkd1<sup>nl</sup>/Pkd1<sup>nl</sup> mutants retained 10% to 20% of the normally spliced Pkd1 transcript and were viable despite developing massive polycystic kidneys at birth. Of note, variable PC1 immunostaining was seen in only a small number of cysts in Pkd1<sup>nl</sup>/Pkd1<sup>nl</sup>

mice. These data suggest that severe reduction, but not complete absence of PC1, coupled with possibly other genetic, environmental, or stochastic factors may also induce cystogenesis. A recent study has documented that somatic cytogenetic alterations occurred relatively frequently in the cystic epithelia of patients with ADPKD.<sup>274</sup> Using comparative genomic hybridization (CGH), both genomic deletions (on chromosomes 1p, 9q, 16p, 19, and 22q) and amplifications (on chromosomes 3q and 4q) were detected in multiple cyst samples. While their clinical significance remains uncertain at the present time, these data raise the possibility that additional somatic genetic alterations beyond the second PKD hits may be involved in the initiation or growth of individual cysts.

#### **Molecular Basis of Cyst Growth** A Balancing Act of Proliferation and Apoptosis

A number of cellular defects, including increased proliferation and apoptosis, fluid secretion, extracellular matrix alterations, dedifferentiation, and abnormal polarity, have been observed in the human polycystic kidney.<sup>10</sup> In the context of renal cyst growth, cellular proliferation and apoptosis are clearly of premier importance. These two tightly regulated processes are required for normal development and tissue morphogenesis, and both are perturbed in ADPKD. In the normal adult kidney, tubular epithelial cells are well differentiated and quiescent. By contrast, cyst-lining epithelia in ADPKD are positive for the proliferating cell nuclear antigen (PCNA), indicating that they are actively proliferating. Additionally, increased apoptosis also occurs in both cystic epithelia and noncystic renal tubules.<sup>243</sup> While the molecular mechanisms of renal cyst growth in ADPKD remain incompletely understood, experimental studies have provided some important insights. Specifically, the notion that increased cellular proliferation is a critical first step for cyst growth is exemplified by the development of polycystic kidney disease in transgenic mice overexpressing proto-oncogenes such as c-myc or Hras.<sup>275,276</sup> The importance of apoptosis is also highlighted by targeted inactivation of inhibitors of apoptosis (bcl2 or activating protein  $2\beta$ ) in knockout mice that develop polycystic kidney disease.<sup>277,278</sup> Interestingly, increased cellular proliferation and apoptosis appear coupled in all these models and multiple human renal cystic diseases, suggesting that they may be general mechanisms for cytogenesis. Thus, increased apoptosis may provide a mechanism for the removal of normal parenchymal tissue, thereby allowing the cystic epithelia to proliferate. On the other hand, increased apoptosis in the polycystic kidneys may exert a counterproliferative effect. This is exemplified by reduction of Pax2 gene dosage in the cpk mouse model, which results in increased apoptosis and amelioration of the renal cystic disease.<sup>279</sup> Although therapeutic strategies are now available to modulate the rate of apoptosis in tubular epithelial cells, further understanding of the complex interaction between proliferation and apoptosis is necessary before these interventions can be exploited for their clinical benefits.<sup>280</sup>

#### Dysregulation of $[Ca^{2+}]_i$ and $[cAMP]_i$

In vitro studies of cyst-lining epithelial cells from patients with ADPKD have provided strong evidence that dysregulation of  $[Ca^{2+}]_i$  and  $[cAMP]_i$  modulates cyst growth and

transepithelial fluid secretion.<sup>281-283</sup> In these studies, cAMP increased cystic epithelial cell proliferation through activation of the B-Raf/MEK/extracellular signal-regulated kinase (B-Raf/MEK/ ERK) pathway and a number of adenylyl cyclase agonists, including arginine vasopressin (AVP), desmopressin (dDAVP), and a cyst-derived neutral lipid factor, can potentiate this response. By contrast, cAMP had an inhibitory effect on the proliferation of normal kidney tubular epithelial cells. This aberrant mitogenic response to cAMP appears to be related to reduced basal [Ca<sup>2+</sup>]<sub>i</sub>, which was approximately 20 nM lower in cystic epithelia than in epithelial cells derived from normal human kidneys or noncystic tubules of ADPKD kidneys. This proliferative phenotype can be induced in normal tubular epithelia by  $[Ca^{2+}]_i$  restriction and reversed in cystic epithelia by [Ca<sup>2+</sup>], normalization through pharmacologic manipulations. Thus, the proliferative phenotype of cystic epithelia appears to be triggered by a calcium switch, which may be potentially linked to the loss of polycystin channel function.

Cyst expansion requires cellular proliferation, as well as fluid secretion to fill the enlarging cyst cavity. In vitro studies have shown that cAMP also stimulates apical transepithelial chloride transport through the cystic fibrosis transmembrane conductance regulator (CFTR), and pharmacologic blockade of CFTR appears to inhibit cyst growth in ADPKD.<sup>284</sup> Whether cystic fibrosis confers a renal protective effect in ADPKD is presently unclear, limited by conflicting results from two clinical studies with small sample sizes.<sup>285</sup> A more recent study of metanephric organ culture showed that the cAMPmediated cystogenic effect can be reduced by pharmacologic CFTR inhibition and abolished in Cftr<sup>-/-</sup> mice. Interestingly, the Cftr<sup>-/-</sup> genotype completely suppressed cyst formation in *Pkd1<sup>-/-</sup>* embryonic kidneys in response to cAMP, but did not rescue the *Pkd1<sup>-/-</sup>* embryos from lethality.<sup>286</sup> Further studies are needed to evaluate the role of CFTR inhibitors as potential therapeutic agents for retarding cyst growth in ADPKD.

More recently, the effect of cAMP on cyst proliferation was confirmed in vivo by three different models of murine polycystic kidney disease. Treatment with a vasopressin V2 receptor (VPV2R) antagonist, OPC31260, was shown to significantly reduce renal cAMP and dramatically inhibit the cystic disease in the *PCK* rats (model of ARPKD) and *pcy* mice (model of nephronophthisis).<sup>287</sup> Similarly, this treatment also markedly inhibited the enlargement of renal cysts in *Pkd2*<sup>ws25/-</sup> mice, an orthologous model of human ADPKD.<sup>288</sup> Of note, the effects of VPV2R antagonism are restricted to the principal cells of the renal collecting duct, and most of the renal cysts in *Pkd2*<sup>ws25/-</sup> mice are derived from the distal nephron. These exciting data provide the basis for a major upcoming randomized clinical trial in ADPKD.

#### Activation of mTOR Signaling Pathway

The evidence for a novel proliferative pathway involving mTOR activation in ADPKD has been reviewed elsewhere (see earlier section on Polycystin Signaling Pathways).<sup>226</sup> While mTOR inhibition with rapamycin significantly ameliorated the renal cystic disease in both orpk and MAL mice, this antiproliferative effect needs to be confirmed in an orthologous model of ADPKD. Additionally, in a small retrospective study, reduction of total cystic kidney volume was noted in renal transplant patients with ADPKD who were treated with

rapamycin compared to those without. Collectively, these promising data provide a strong rationale for two upcoming clinical trials of mTOR inhibitors in ADPKD.

#### Activation of Epidermal Growth Factor Signaling Pathway

EGF and TGF- $\alpha$  are secreted growth factors that signal through EGFR and the EGFR-related tyrosine kinase receptor. Erb-B2. Both EGF and TGF- $\alpha$  have been shown to modulate cyst growth in vitro through a cAMP-independent mechanism.<sup>282,289</sup> Renal cyst fluid from ADPKD, ARPKD, and multiple PKD animal models contains EGF and EGF-like activities, and EGFR is overexpressed and mislocalized to the apical surface of cystic epithelial cells in both ADPKD and ARPKD. Experimental manipulations of the EGF signaling cascade in three different recessive PKD models suggest that EGF and TGF-α modulate renal cyst growth in vivo.<sup>280,290</sup> Taken together, these data suggest that pharmacologic blockade of EGF signaling may be beneficial in modulating renal cyst growth. However, a recent study shows EGFR tyrosine kinase inhibition was deleterious in PCK rats (orthologous model of ARPKD).291

#### Angiogenesis

Renal cyst growth requires not only increased cellular proliferation, but also an adequate blood supply to provide oxygenation and nutrients to the expanding cell mass. Not surprisingly, recent studies have documented a rich network of blood vessels in the renal cyst walls of ADPKD kidneys.<sup>292,293</sup> Furthermore, a local autocrine/paracrine vascular endothelial growth factor (VEGF) signaling pathway appears to be in place between the cyst-lining epithelial cells and endothelial cells from blood vessels of the supporting stroma. While the primary stimuli for neovascularization have not been defined, local hypoxia and mTOR activation may potentially result in VEGF activation. Further studies are needed to define the role of angiogenesis in cyst expansion and anti-angiogenic treatments as potential therapy for ADPKD.

#### GENETIC BASIS OF PHENOTYPIC VARIABILITY IN ADPKD

#### Renal Disease Progression as a Complex Genetic Trait

Renal disease progression in ADPKD is highly variable, with the age of onset of ESRD ranging from childhood to old age.<sup>294</sup> Recent studies have provided important insights into the basis of this phenotypic variability and support the notion that renal disease progression in ADPKD is a complex trait influenced by genetic, environmental, and possibly stochastic factors. Additionally, a poorly understood gender effect favoring female patients is evident for PKD2, but not PKD1.

#### **Gene Locus Effect**

The gene locus confers a major effect on interfamilial renal disease variability in ADPKD.<sup>13,75</sup> Specifically, PKD1 patients present with ESRD (median age 53 [95% confidence interval

(CI) 51.2-54.8] vs. 69 [95% CI 66.9-71.3] years) approximately 15 years earlier than PKD2 patients. Based on the disease prevalence of these two gene types, it has been suggested that *PKD1* is inherently more mutagenic with a de novo germline mutation rate four to five times higher than PKD2.<sup>141</sup> Several unique features of the PKD1 locus have been proposed to explain its increased mutagenicity: First, with an open reading frame four times larger than that of PKD2 (~12 vs. ~3 kb, respectively), it is a larger target for mutations. Second, a long poly-pyrimidine tract on intron 21 may predispose to triplex DNA formation and recombination. Third, the presence of several homologous genes with high sequence similarity may predispose it to mutations from gene conversion. Thus, the locus effect coupled with the two-hit mechanism of cystogenesis would predict a higher cyst number in PKD1 than PKD2. Indeed, this notion is supported by recent data on kidney size variability by disease gene type in the CRISP cohort (see Monitoring Renal Disease Progression, previous).265

#### **Allelic Effect**

Most PKD1 and PKD2 mutations reported to date are protein truncating and predicted to result in a "loss-of-function" effect.<sup>18,80,141-143</sup> Two recent studies have examined whether there is an allelic effect in ADPKD that might influence renal disease severity. The first study examined the genotype-renal functional correlation in approximately 320 patients from 80 families with known PKD1 mutations.<sup>79</sup> In this study, a positional effect was detected in that patients with germline mutations in the 3' half of PKD1 were found to have milder renal disease than patients with mutations in the 5' half of the gene. However, this effect is rather modest and is translated into an average delay for initiation of ESRD therapy of 3 years. The types (nonsense, frameshift, and missense) of PKD1 mutations in this study did not influence renal disease severity. By contrast, a second recent study that examined the genotyperenal functional correlation in approximately 410 patients from 71 families with known PKD2 mutations did not detect a positional effect.<sup>80</sup> Instead, this latter study suggested that most PKD2 germline mutations were associated with a complete loss-of-function effect. Of note, significant intrafamilial renal disease variability was present in both studies and could confound the assessment of allelic effects. Thus, an allelic effect on renal disease variability in ADPKD, if present, is weak.

#### **Rare Mendelian Syndromes**

Two rare mendelian syndromes have been shown to confer large effects on renal disease severity in ADPKD. The first syndrome involved patients with large genomic deletions of both *PKD1* and *TSC2*.<sup>133</sup> *PKD1* and *TSC2* are located in close proximity to each other on chromosome 16p13.3 in a tail-totail orientation, and germline mutations of either gene can give rise to a dominantly inherited renal cystic phenotype in ADPKD and tuberous sclerosis, respectively. Patients with contiguous deletions of both genes have a severe renal cystic phenotype: they developed infantile polycystic kidney disease and usually reached ESRD before the second decade of life. This finding suggests an interaction of these two cystogenes and convergence of signaling pathways downstream from their gene products, polycystin and tuberin. Of note, the extrarenal manifestations of tuberous sclerosis in these patients may not be clinically evident and can be easily missed. Additionally, a family history was not apparent in a high percentage of probands since their affected parents were somatic mosaics with subtle disease. The second syndrome of interest involved bilineal inheritance of two independently segregating PKD1 and PKD2 germline mutations in a large family with ADPKD.<sup>26</sup> In this unusual family with at least 28 affected members, two affected individuals were shown to have trans-heterozygous germline PKD1 and PKD2 mutations and they both developed ESRD approximately 20 years earlier than other affected family members with either PKD1 or *PKD2* mutation alone. These rare syndromes provide unique evidence for the role of interaction between cystogenes (PKD1 with TSC2, and PKD1 with PKD2) in modifying renal cystic disease severity.

#### **Modifier Genes**

Phenotypic variability of mendelian disorders such as cystic fibrosis and Hirschsprung's disease are in fact complex because of the existence and interaction of genetic and environmental modifiers.<sup>295</sup> Similarly, renal disease progression in ADPKD can be regarded as a complex trait influenced by both environmental and genetic factors. Recent studies have documented significant intrafamilial renal disease variability in ADPKD.<sup>78,80</sup> By controlling for the locus and allelic effects, these studies suggest that renal disease progression in ADPKD may be modified by genetic, environmental, and stochastic factors. The existence of a modifier gene effect on renal disease severity is further supported by increased intraclass correlation in affected twin pairs compared with affected sibling pairs.<sup>296</sup> Additionally, quantitative genetic analysis in two large family-based studies of PKD1 patients has estimated that the modifier gene effect may account for 30% to 40% and 50% to 80% of the variance for creatinine clearance and age at ESRD, respectively.<sup>297,298</sup> Furthermore, genetic background modulates the severity of experimental murine polycystic kidney disease, and a number of modifier gene loci have been mapped in multiple models.<sup>266</sup>

Taken together, the above data strongly implicate the existence of genetic and environmental modifiers of renal disease severity in human ADPKD.<sup>294</sup> Data from the CRISP study further suggests that polycystic kidney expansion is a twophase process involving cyst initiation and growth.<sup>265</sup> Thus, different modifiers may be involved in each phase of cystogenesis. For example, functional gene variants encoding enzymes involved in detoxifying exogenous and endogenous mutagens, DNA repair, and oxidative metabolism, and environmental procarcinogens (e.g., cigarette smoking, foodborne mutagens, and folic acid deficiency) are strong biologic candidate modifiers for renal cyst number. By contrast, functional gene variants and environmental factors that regulate epithelial cell proliferation and apoptosis may modulate cyst expansion. However, because of the existence of multiple modifiers, each with modest effect, their identification will be challenging. Indeed, several population association studies have recently implicated specific variants of the angiotensinconverting enzyme and endothelial nitric oxide synthase genes as modifiers of renal disease progression in ADPKD. However, these studies are limited by their research design and small patient sample size and have produced conflicting

results.<sup>79,299–302</sup> International collaborative research efforts are currently in progress to assemble a large cohort of genetically well-characterized patients to identify major genetic and environmental modifiers of renal disease variability in PKD1. A thorough knowledge of these determinants will allow better patient risk assessment and development of mechanismbased therapeutics for this important disease.

#### **Extrarenal Variability**

The molecular basis for extrarenal phenotypic variability in ADPKD is poorly understood. However, a recent retrospective study suggests that patients with mutations located on the 5' half of *PKD1* may have an increased risk for intracranial arterial aneurysms.<sup>101</sup> By contrast, the type of mutations did not seem to influence the risk for this vascular phenotype. However, the patient sample size is relatively small in this study, and the observed effect is modest so that these findings should be regarded as preliminary.

#### **CLINICAL MANAGEMENT OF ADPKD**

#### Nonspecific Management

A number of nonspecific measures have been recommended for ADPKD.<sup>10,28,280</sup> In general, patients are encouraged to pursue an active lifestyle and participate in regular exercise to maintain optimal general health. However, those with significant renal disease should avoid contact sports to minimize the risk for traumatic cyst rupture. Based on the negative results of the MDRD study, dietary protein restriction as a therapeutic intervention to delay renal disease progression is not recommended.<sup>66</sup> On the other hand, excess protein intake should also be avoided. Although several metabolic and dietary interventions, such as sodium bicarbonate, potassium citrate flax oil, and soy protein, have been shown to be beneficial in experimental PKD, their role for the human disease is less clear since these studies were performed in nonorthologous models of ADPKD.<sup>280</sup> Given the potential role of somatic mutations in cystogenesis, it is prudent for patients with ADPKD to avoid exposure to cigarette smoking, and daily intake of antioxidants such as vitamin C and folic acid may also be considered. Excessive use of caffeine should be avoided since it increases [cAMP], by inhibiting phosphodiesterase in vitro.<sup>303</sup> The management of specific complications in ADPKD has been reviewed under their subheadings (see earlier sections on Renal and Extrarenal Manifestations).

As the renal disease progresses, more than half of the patients with ADPKD will eventually require ESRD therapy.<sup>10,28</sup> In general, the treatment of these patients will be the same as those with other kidney diseases. However, peritoneal dialysis may not be ideal for some patients with severely enlarged polycystic kidneys because of incompatibility between the intra-abdominal space requirement and effective peritoneal exchange of fluid and solutes, increased risk for abdominal hernia, and back pain. For patients being considered for renal transplantation, a pretransplantation nephrectomy may be indicated for those with massive polycystic kidneys to better accommodate the allograft, as well as for those with a history of recurrent renal cyst infection. Renal transplant recipients with ADPKD have a twofold increased risk for colonic per-

foration compared to those without, reflecting an increased prevalence of diverticulosis.<sup>304</sup> Overall, patients with ADPKD have an excellent survival rate after ESRD and reduced risk for death compared with nondiabetic dialysis patients.<sup>305</sup>

#### **Promising Disease-Modifying Therapies**

Advances in our understanding of the molecular pathobiology of ADPKD, coupled by the availability of orthologous animal models for the human disease, have facilitated the testing and identification of several classes of promising candidate drugs in preclinical studies. In turn, we are now witnessing a period of intense translational research when a number of highly promising disease-modifying drugs will be tested in major clinical trials. Based on their results from preclinical studies, most of these candidate drugs are expected to retard renal disease progression by targeting renal cyst growth. Moreover, the fact that several of them (e.g., tolvaptan, octreotide, and rapamycin) have already been approved for other clinical indications would likely facilitate their fast-track approval for clinical trials in ADPKD.<sup>226,306,307</sup>

In the planning of clinical trials for ADPKD, the use of renal function as a primary outcome measure poses a serious methodologic challenge, since decades of normal or nearnormal renal function, despite progressive enlargement and distortion of the cystic kidneys, characterize the natural history of this disease.<sup>10</sup> Thus, early intervention trials would require an unrealistic duration of follow-up in order to detect changes in renal function. By contrast, late interventional trials targeting patients with impaired renal function risk passing the "point of no return" for potential therapeutic benefits because of advanced structural disease. Fortunately, MRI-based kidney volume progression, as validated by recent results from CRISP, provides both a sensitive and accurate measure of renal disease progression in ADPKD.<sup>144</sup> Thus, the availability of this surrogate marker of renal disease progression makes it possible to evaluate the efficacy of a promising treatment within a realistic time frame and has now replaced renal function as the gold standard for clinical trials in ADPKD. Ultimately, the clinical efficacy and side effect profile of these novel drugs will determine whether they will be used in the clinical setting. Effective drugs that are safe can be used for long-term and even early "preventive" treatment. By contrast, effective drugs with potentially serious side effects will likely be used as "rescue therapy" for a defined duration in patients with moderate to severe disease.

#### Clinical Trial of Vasopressin V2 Receptor Antagonism

Based on the promising results of OPC-31260 in  $Pkd2^{ws25/-}$  mice, a phase 3, multicenter, double-blinded, placebocontrolled, parallel-arm trial will begin in 2007 to determine the long-term safety and efficacy of tolvaptan on renal disease progression in ADPKD. In this study, plans are to recruit and randomize at least 1,200 patients to receive either tolvaptan or placebo for three years. The primary clinical outcome of this trial will be the rate of change in kidney volume between tolvaptan and placebo-treated patients over the treatment period. Adult patients with an estimated GFR of 60 mL/min and total kidney volume of over 750 mL will be eligible, and a dose escalation schedule will be used to maximize the pharmacologic effects. Because of the selective expression of vasopressin V2 receptor, tolvaptan is not be expected to have any therapeutic effects in noncollecting duct cysts and extrarenal cysts. The major side effects of this drug include polyuria and dry mouth, but it is otherwise safe and well tolerated in short-term clinical studies.<sup>306</sup>

#### Clinical Trials of mTOR Inhibition

The discovery that PC1 normally interacts with tuberin, that the disruption of this interaction is associated with mTOR activation in ADPKD, and that mTOR inhibition significantly ameliorates the cystic phenotype in two recessive PKD models has provided a novel therapeutic paradigm for the use of mTOR inhibitors. Interestingly, preliminary data also showed a reduction of cystic kidney volume in renal transplant patients with ADPKD who were treated with rapamycin compared to those without.<sup>226</sup> While these promising findings need to be confirmed in orthologous models of ADPKD, at least three clinical trials of mTOR inhibitors (rapamycin or everolimus) are being organized in Germany, Switzerland, and the United States using similar eligibility criteria as in the tolvaptan study. Compared to vasopressin V2 receptor antagonism, mTOR inhibition is expected to have a more generalized antiproliferative effect targeting renal cysts from all segments of the nephron, as well as extrarenal cysts.<sup>308</sup> On the other hand, long-term treatment with rapamycin may be associated with an increased risk for side effects such as dyslipidemia, thrombocytopenia, impaired wound healing, and immunosuppression, as well as poorly understood idiosyncratic reactions such as proteinuria and interstitial pulmonary fibrosis (Product Monograph, Wyeth Canada).

#### Clinical Trial of Antagonism of Renin-Angiotensin Axis

The HALT PKD Study is a multicenter, randomized, controlled trial designed to evaluate the efficacy of pharmacologic blockade of the renin-angiotensin system on the progression of cystic disease and the decline in renal function in ADPKD. Study A will test the efficacy of combination therapy with an angiotensin-converting enzyme inhibitor (ACEI) and an angiotensin receptor blocker (ARB) versus monotherapy with an ACEI in approximately 550 patients over 4 years. Patients will also be randomized to two levels of blood pressure control: low blood pressure control defined as 95 to 110/60 to 75 mmHg and standard blood pressure control defined as 120 to 130/70 to 80 mmHg. Additional blood pressure medications will be added per protocol as needed. Patients with early kidney disease (GFR >60 mL/min) will be eligible for this study, and MRI-based kidney volume progression will be used to evaluate the treatment effect. Study B will test the efficacy of combination therapy with an ACEI and an ARB versus monotherapy with an ACEI in approximately 700 patients with more advanced kidney disease as defined by a GFR of 30 to 60 mL/min. All patients will be treated to a standard level of blood pressure control (120-130/70-80 mmHg). Additional blood pressure medications will be added per protocol as needed. The primary end point of this study is the time to doubling of serum creatinine, ESRD, or death. The novel aspect of this trial is that study A will test whether the combination of an ACEI and an ARB has an additive effect

compared with ACEI alone in inhibiting renal cyst growth. However, the lack of a control group of patients not treated with ACEI and ARB will make it difficult to conclude any class-specific effect.

#### Other Promising Candidate Drugs

Several other promising drugs are currently being evaluated in preclinical and phase I/II clinical studies. Somatostatin is a promising candidate with a more generalized inhibitory effect on [cAMP]<sub>i</sub> accumulation in both the kidneys and liver. Octreotide, a stable synthetic analog of somatostatin, has been shown to reduce renal and hepatic cyst expansion in PCK rats (personal communication, V. Torres, 2007). These observations are consistent with the inhibition of renal growth in a pilot study of long-acting octreotide (Sandostatin LAR) in ADPKD.<sup>307</sup> Additionally, the potential role of Erb-B1 (EGFR) tyrosine kinase inhibitors and cyclin-dependent kinase inhibitors as novel treatment of ADPKD needs to be further evaluated.

#### **Acknowledgments**

This work is supported by grants from the Kidney Foundation of Canada and Canadian Institutes of Health Research (MOP 53324) to Y. Pei, and grants from the National Institutes of Health (NIDDK) 53357, 51050, 074030, and 40703 to J. Zhou.

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## Chapter 7

## Autosomal Recessive Polycystic Kidney Disease

Peter C. Harris and Vicente E. Torres

Autosomal recessive polycystic kidney disease (ARPKD) is predominantly an infantile disorder that results in significant neonatal mortality and childhood renal impairment.<sup>1–4</sup> However, the recent literature indicates that many patients now survive into adulthood, and a proportion are diagnosed only later in life.<sup>5,6</sup> The kidney disease is classically characterized by neonatal bilateral renal enlargement due to fusiform dilatation of the collecting ducts. The other pathognomonic feature of the disease is biliary dysgenesis, resulting in congenital hepatic fibrosis.

Previous names for this disorder, such as infantile polycystic kidney disease or infantile polycystic disease of the kidney and liver, reflected the characteristic neonatal disease, but because there are other causes of early-onset PKD and because this disease can present later in life, ARPKD is now the favored designation. The other commonly used term for this disorder in the older literature is Potter syndrome type 1, reflecting the classification by Osathanondh and Potter<sup>7</sup> of cystic kidneys into four distinct pathoanatomic categories.<sup>8</sup> The disease in type 1 kidneys was described as hyperplasia of the interstitial portion of the collecting ducts and distinct from the focal cyst development found in the more common, predominantly adult disease, that is now termed autosomal dominant polycystic kidneys.

ARPKD was probably first recognized as a distinct disease entity at the end of the 19th century<sup>9-11</sup> but the familial nature of the disease, suggesting autosomal recessive inheritance, was not documented until the 1960s.7,12-15 In 1971 Blyth and Ockenden<sup>16</sup> suggested classification of the disease into four separate clinicopathologic entities, dependent on the age at presentation and severity of renal and liver disease: perinatal, neonatal, infantile, and juvenile. The renal disease was most prominent in the younger age groups with limited liver disease, whereas older patients presented typically with complications of the liver disease and had less marked kidney involvement.<sup>17</sup> Subsequently, it became clear from examples of intrafamilial phenotypic variability that such a strict subdivision was not wholly consistent with the data.<sup>18–21</sup> In recent years, initially from linkage studies and since the identification of the gene (PKHD1) in 2002 by direct mutation analysis, the molecular basis of the disease has now been defined.<sup>22-25</sup> These studies indicate genetic homogeneity, at least for the vast majority of typical ARPKD patients, but marked allelic heterogeneity.<sup>2,4,26</sup> They also confirm that congenital hepatic fibrosis with minimal renal disease can be part of the ARPKD phenotype.<sup>6,23</sup>

#### PREVALENCE OF ARPKD

In common with many rarer recessive disorders, the incidence of ARPKD has been difficult to estimate, and figures have varied widely from study to study. At the lower end of the scale, extrapolated from European autopsy studies, is a figure of  $1/40,000,^8$  while at the other extreme, numbers of approximately 1/10,000 have been indicated in more isolated populations where consanguinity may be a factor.<sup>27,28</sup> Recently, considering all the available data, an incidence of 1:20,000 was estimated and a carrier frequency of 1:70 suggested to be considered for genetic counseling purposes.<sup>29</sup> There are little data about the prevalence in non-white populations, but recent molecular data showing marked allelic heterogeneity at *PKHD1* and no very common mutation (suggesting a significant level of new mutation) indicate that ARPKD is likely to be found in all racial groups.<sup>2,4</sup>

#### **CLINICAL DESCRIPTION**

In the older literature, ARPKD was considered a disease that invariably resulted in neonatal death.<sup>7,30</sup> With an increased appreciation of the phenotypic variability associated with ARPKD and improved clinical practice, the prognosis for this disorder is now not universally so poor.<sup>19,31,32</sup> Nevertheless, the typical disease presentation is of enlarged and cystic kidneys detected in utero or in the perinatal-neonatal period. The most extreme cases have the "Potter's phenotype," consisting of pulmonary hypoplasia (secondary to oligohydramnios), characteristic facies, and spine and limb abnormalities.<sup>33</sup> Present estimates are that approximately 30% of patients die by the neonatal period (although no exact figures are available), primarily of respiratory insufficiency; these figures may be decreasing with improved respiratory care.

Recent comprehensive studies of ARPKD in two North American populations have more clearly defined the childhood disease of perinatal survivors,<sup>31,32</sup> whereas a number of longer term follow-up studies have characterized the disease in adolescents and adults.<sup>5,19,34,35</sup> Overall, these studies indicate that hypertension, renal insufficiency, and portal hypertension are the major disease complications in surviving infants, with liver disease becoming more important in older patients. Between 55% and 86% of patients developed hypertension with elevated levels often seen at birth or at diagnosis. In one study, the average age at onset of hypertension was 16 days in patients diagnosed by the neonatal period,<sup>31</sup> whereas Fonck et al<sup>5</sup> found that no patients developed hypertension after 18 years of age. End-stage renal disease (ESRD) is rare in the neonate and, indeed, during the first year or two of life renal function can improve and renal size relative to body mass often decreases.<sup>36–38</sup> Nevertheless, between 40% and 87% of patients developed chronic renal insufficiency with 15% to 33% progressing to ESRD during follow-up.<sup>5,19,31,32,35</sup> Other renal complications include hyponatremia due to a concentrating defect, as seen in a minority of younger patients, and urinary tract infections, which are common.<sup>19</sup>

As patients age the hepatic disease becomes more evident, with complications of portal hypertension the major complaint in older children and adults; this is seen in 20% to 47% of patients.<sup>5,19,31,32,34,39</sup> The presenting features of liver disease include splenomegaly and/or hepatomegaly, along with complications of bleeding esophageal or gastric varices. Hypersplenism can also develop, resulting in thrombocytopenia, anemia, and leukopenia.34,40 Recurrent ascending cholangitis is a common and serious complication, especially in patients with dilatation of intrahepatic bile ducts, Caroli disease, that can lead to liver failure.<sup>40-42</sup> A proportion of patients present in later childhood, adolescence, or even adulthood with the complication of liver disease but only minimal renal involvement.<sup>6,23,43</sup> Discussions over many years have questioned whether this isolated congenital hepatic fibrosis and/or Caroli disease is a separate disease entity or part of the spectrum of ARPKD.<sup>44-46</sup> Now, identification of PKHD1 mutations in a

proportion of these patients indicates that this can be part of the ARPKD disease spectrum.<sup>6,23</sup>

Two reports have described ARPKD cases with multiple intracranial aneurysms (ICAs).<sup>47,48</sup> ICAs are known to be associated with ADPKD at a level about 8 times higher than the normal population.<sup>49</sup> However, from the available data, it is not clear if the frequency of ICAs is also elevated in ARPKD, or if these cases are coincidental findings.

#### **DISEASE PATHOLOGY**

The disease phenotype is highly variable in ARPKD, with the pathogenic features of the kidney and biliary disease related to the age when the disease manifests.<sup>16</sup>

#### **Kidney**

In the most severely affected cases, gross bilateral enlargement of the kidneys, as much as 10 times the normal size, is found (Fig. 7-1A).<sup>7,37,50</sup> The kidneys maintain their reniform shape and are smooth in gross appearance, although a dimpled surface reflecting underlying microcysts may cover the kidney.<sup>7,12</sup> The number and branching of the collecting tubules appear unaltered, suggesting normal early renal development.<sup>7</sup> The renal enlargement is due to fusiform dilatation of collecting ducts to 1 to 2 mm in the cortex and medulla, giving the appearance of a sponge (hence the sometimes used name,



Figure 7-1 Anatomic and histologic images of ARPKD. **A**, Gross image of the perinatal form of ARPKD showing the greatly enlarged kidneys. **B**, Cross-section of neonatal ARPKD kidney showing the spongelike appearance due to dilation of multiple collecting ducts. **C**, Microscopic examination of a longitudinal section of neonatal kidney showing dilated radially distributed cortical collecting ducts and dilated medullary collecting ducts. **D**, Ductal plate malformation in the liver showing proliferation and enlargement of bile ducts and congenital hepatic fibrosis. (Courtesy of Dr. Donna Lager, Department of Laboratory Medicine and Pathology, Mayo Clinic, Rochester, Minnesota.)

sponge kidney<sup>51</sup>) in cross-section (Fig. 7-1B and C). Almost 100% of collecting ducts are affected in the most severely affected cases. Dilatation of the collecting ducts occurs in the fetal period, and the glomeruli and more proximal tubular elements of the nephron appear normal, although they may become crowded. However, there is evidence in early human fetuses (14-24 weeks) that proximal tubule cysts occur (as in some rodent models of recessive PKD<sup>52-54</sup>), but these are no longer evident after 34 weeks' gestation.55 The dilated collecting ducts are lined by typical cuboidal cells.<sup>12,37</sup> The relative reduction in kidney size seen in many neonate cases as they age occurs as the cystic dilatation becomes limited to medullary ectasia.<sup>37</sup> As a result of these changes the cyst size becomes more variable, with focal cysts as large as 5 cm becoming evident.<sup>56</sup> These changes give the kidneys an appearance similar to that seen in ADPKD.<sup>20,38</sup> In older presenting cases the proportion of dilated collecting ducts may be less than 10%, with renal enlargement less marked or even completely absent. The renal disease may be limited to one or a small number of focal cysts or to renal medullary tubular ectasia that appear as medullary sponge kidney on imaging analysis.57,58

#### Liver

In the liver, the abnormality is of ductal plate malformation and seems to result from an early defect in bile duct development, ductal plate malformation.<sup>46,59,60</sup> The defect is characterized by excessive ductual proliferation, resulting in the production of multiple dilated periportal biliary ductuals (Fig. 7-1D). The abnormalities of ductual proliferation are accompanied by anomalous branching of the portal vein and result in congenital hepatic fibrosis.<sup>15,46,61</sup> The portal tracts are often linked by fibrous septa, and the liver takes on a gritty texture. In some cases, Caroli's disease occurs.<sup>58,62</sup> The increasing portal fibrosis with hypoplasia of the small portal vein branches often leads to portal hypertension as the disease develops.

#### **Pancreas**

In rare cases, periductal and lobular fibrosis of the pancreas has been associated with ARPKD.<sup>12,63</sup>

#### **Cellular Changes in ARPKD**

The liver disease seems to result from an arrest of normal developmental epithelial-mesenchymal interactions that give rise to aberrant bile duct development: the ductal plate malformation.<sup>59</sup> In the kidney the defect seems to occur after the formation of tubules and results in a failure in the terminal differentiation of collecting ducts.<sup>50</sup> Several cellular processes seem to be abnormal in ARPKD, including altered proliferative properties, differentiation arrest, changed polarity and secretory characteristics of the epithelia, and abnormal interactions between the cellular and basement membranes.<sup>64,65</sup>

#### **Animal Models of Recessive PKD**

A large number of mouse models of recessively inherited PKD with kidney and liver phenotypes similar to human ARPKD have been described.<sup>66</sup> These models have helped characterize the cellular and biochemical changes associated with PKD and been used to map genetic modifying loci that may have sig-

nificance to human ARPKD.<sup>66–69</sup> However, the mapping and identification of the primary genetic defects in these models showed that none was orthologous to ARPKD, although two have recently been shown to be models of other childhood cystic diseases: *pcy* mouse, adolescent nephronophthisis (type 3) (NPHP3)<sup>70</sup>, and the *inv* mouse, infantile nephronophthisis (type 2) (NPHP2).<sup>71</sup>

In 2000, a new model of recessive PKD was identified, the polycystic kidney (PCK) rat.72-74 Mapping the disease gene showed that it was an orthologous model of ARPKD and led to the identification of human PKHD1 (see later discussion).<sup>23</sup> This model has progressive renal and biliary cystic disease. Focal renal cysts become evident in collecting ducts, loops of Henle, and distal tubules from 1 week and grow to form large and cystic kidneys within 6 months.73 Diluted bile ducts are evident in newborn animals and progressive cyst development and expansion occurs in the liver.<sup>73</sup> During this process the biliary tree undergoes extensive remodeling with dilatation, similar to Caroli disease, and later focal budding of cysts from the biliary tree.<sup>75</sup> The biliary disease development is associated with portal fibrosis, but the animals do not develop the fibrous septa and portal hypertension typical of the human disease.<sup>73,74</sup> Overall, the animal model resembles milder cases of ARPKD and is proving to be a very useful model for testing potential therapeutic agent for the disease (see later discussion).

#### **GENETICS**

#### Inheritance

ARPKD is a recessively inherited disorder. Parents of an affected case will usually be unaffected but are obligate carriers of one mutant allele. Siblings of ARPKD patients are at 25% risk of having the disease, with affected individuals usually limited to a single generation. Although there are many examples of families with two or more affected siblings that revealed the genetic nature of the disease,<sup>22</sup> the majority of cases present as isolated individuals with no family history of kidney disease.

#### **Gene Localization**

The disease gene, polycystic kidney and hepatic disease 1 (*PKHD1*), was localized to chromosome region 6p21-cen in 1994 using a gene linkage approach.<sup>22</sup> A lod score of 7.42 was obtained by analysis of 12 multiplex and 4 simplex ARPKD families, mainly with milder ARPKD. This location was refined to an interval of 3.8 cM by analysis of additional families, including those with the most severe perinatal form of the disease.<sup>25</sup> These and subsequent linkage studies<sup>26</sup> suggested genetic homogeneity for ARPKD. Additional linkage studies refined the position of the disease gene to within approximately 1 cM, and the physical interval of approximately 1 Mb between the flanking markers, D6S1714 and D6S1024, was cloned and mapped.<sup>76–78</sup> A number of candidate genes within the interval were identified but excluded as *PKHD1*, either by linkage analysis or mutation studies in ARPKD patients.<sup>77,79–81</sup>

#### **Gene Identification**

Two different groups, both using positional cloning approaches, identified the gene in 2002.<sup>23,24</sup> One studied the PCK rat.<sup>73,74</sup>

Using a whole-genome mapping approach, the *Pck* gene was localized to a 2.5-cM region of rat chromosome 9, an area syntenic to the ARPKD candidate region on human chromosome 6, indicating that *Pck* and *PKHD1* were probably orthologs.<sup>23</sup> The rat gene was precisely localized (0.35 cM) using markers syntenic to those in the ARPKD candidate region, and a single gene, encoding an approximately 13-kb kidney-expressed transcript, was found to span the candidate region. The human transcript was cloned by a reverse transcription–polymerase chain reaction (RT-PCR) strategy linking predicted exons, and screening for mutations in a group of ARPKD patients revealed a number of pathogenic changes. The mutation in the rat *Pkhd1* ortholog (a splicing change; IVS35-2A $\rightarrow$ T) was also identified, confirming that the disease gene had been found.<sup>23</sup>

The second group independently identified the gene using a gene linkage and mutation screening approach in humans.<sup>24</sup> A transcriptional map was generated for the candidate region using bioinformatics and RT-PCR. Mutation screening of two separate clones identified ARPKD-associated mutations, and ultimately those clones were found to be part of the same large transcript of *PKHD1*.

#### Structure of PKHD1

*PKHD1* is a very large gene with a genomic area of approximately 472 kb and 67 exons.<sup>23,24</sup> The largest open reading frame (ORF) is 12,222 bp, extending from exon 2 to exon 67. The mouse gene has a similar structure, with the longest ORF of 12,177, and covering a genomic region of approximately 500 kb.<sup>82</sup> A common feature of both the human and murine genes is the possibility of extensive alternative splicing.<sup>23,24,82,83</sup> A variety of alternative products have been identified by RT-PCR, and Onuchic et al<sup>24</sup> estimated that a minimum of 86 exons may be involved in various splice forms. However, the physiologic importance of any of these splice forms has yet to be demonstrated, and most studies of the gene and protein have so far concentrated on the sequence associated with the identified ORF.

#### **Mutation Analysis in ARPKD**

Several comprehensive mutation screens of the 66 coding exons of *PKHD1* have now been described<sup>2,4,23,24,84,85</sup> (see also references 2 and 4). These have applied strategies for rapid searching all exons for base-pair mismatches by denaturing high-performance liquid chromatography6,84,85 or SSCP86 and characterized exons with possible mutations. Mutation detection rates per allele have ranged from 47% to 85%, with two mutations detected in 44.7% of cases, one in 26.5%, and no mutations in 28.8%. The highest detection levels were found in patients with the most severe disease (resulting in perinatal death),<sup>6,86</sup> indicating that some cases with milder ARPKD may not be due to PKHD1 mutation. It is also likely that mutations were missed in this large and complex gene (possibly in unscreened, alternatively spliced exons). Nevertheless, the present data raise the possibility of genetic heterogeneity in ARPKD, especially in less severely affected cases.<sup>2,87</sup>

One clear message from the mutation screening is that many different mutations cause ARPKD (marked allelic heterogeneity). A total of approximately 300 different mutations have been identified on more than 600 nonconsanguineous alleles, complicating molecular diagnostics for this disorder.<sup>6,84–86</sup> (Also ARPKD Mutation Database [http: //www.humgen.rwth-aachen.de].) The mutations are spread widely throughout the coding region of PKHD1 and are of all possible types (Fig. 7-2). Mutations predicted to truncate the protein by deletion or insertion, nonsense mutation, or splicing changes represent approximately 40% of the different mutations, but the majority are missense changes. A significant number of reported neutral polymorphisms has also complicated the certainty by which a pathogenic missense change can be defined.<sup>2,4</sup> Most mutations have been described in just a single family, but there are several mutations that are more frequent in particular populations (e.g., R496X in Finland and 9689delA in Spain).<sup>6,86</sup> One missense substitution, T36M, has been found on approximately 16% of mutant alleles and seems to be an ancestral mutation that arose in Europe more than 1,000 years ago.<sup>87</sup>

A few, mainly consanguineous, patients are homozygotes, but the vast majority of cases are compound heterozygotes reflecting the wide range of different mutations that cause this disorder.<sup>2,4</sup> Genotype-phenotype studies are complicated by the large number of compound heterozygotes, but it has become clear that patients with two truncating mutations have the most severe form of the disease, resulting in perinatal death.<sup>6,86</sup> Patients with at least one missense change are, on average, less severely affected,<sup>84</sup> indicating that some missense mutations may be hypomorphic alleles that generate some functional protein or some functional protein isoforms.<sup>2,4</sup> Disease variability seen between siblings indicates that genetic modifying and environmental influences also affect the disease phenotype.

# THE ARPKD PROTEIN FIBROCYSTIN AND EXPRESSION STUDIES

#### **Fibrocystin Structure**

The *PKHD1* gene product fibrocystin (also called polyductin) is predicted to be a large protein (4,074 amino acids [aa]; 447 kDa) with a single transmembrane domain, large extracellular region, and short cytoplasmic tail (Fig. 7-3).<sup>23,24</sup> A large section of the extracellular region consists of multiple copies of a TIG/IPT (immunoglobulin-like fold shared by plexins and transcription factors) domain. This domain consists of 80 to 100 aa, has an immunoglobulin-like fold, and is found in receptor proteins such as Met, various plexins, and Ron, although the precise role of the motif is unknown.<sup>88</sup> There is another significant region of homology, found twice in fibrocystin, termed the TMEM regions A and B, with a protein of unknown function TMEM2 and a protein from the filamentous bacteria Chloroflexus aurantiacus (see Fig. 7-3).<sup>23,89</sup> Several parallel β-helix repeats have also been identified in fibrocystin, many overlapping with the TMEM regions.<sup>24,82</sup> Recently, a newly defined domain, PA14, with a  $\beta$ -barrel architecture that may be involved in carbohydrate binding has been identified in fibrocystin (see Fig. 7-3).<sup>90</sup> The overall structure of fibrocystin suggests that it may be a receptor protein taking cues from the extracellular environment and signaling via possible phosphorylation sites in the short cytoplasmic tail.23







Fibrocystin was the founding member of a new protein family when first identified because of its unique overall structure. However, a second member of this family, fibrocystin-L, has now been identified.<sup>89</sup> This protein, encoded by *PKHDL1* from chromosome region 8q23, is predicted to be a large protein (4,243 aa; 466 kDa) with 25% identity and 41.5% similarity to the extracellular region of fibrocystin. The function of this protein is unknown, but mutation analysis indicates that it is not associated with ARPKD. Expression studies suggest a role in cellular immunity.<sup>89</sup>

#### **PKHD1** Expression

Expression analysis of *PKHD1* has been complicated by the apparent instability of the messenger RNA, resulting in a smear of signal rather than a single product resolved on human northern blots; a problem less evident in the mouse.<sup>23,24,83</sup> Nevertheless, RT-PCR and northern blot studies have shown that *PKHD1*, and the mouse ortholog, *Pkhd1*, are moderately expressed in adult and fetal kidney with lower levels in liver,

pancreas, and probably lung and testis.<sup>23,24</sup> Other sites of expression have been suggested by in situ hybridization in the mouse, including vascular smooth muscle, sympathetic ganglia and trachea,<sup>82</sup> and embryonic neural tube, bronchial buds, midgut, and adrenal cortex.<sup>91</sup> There is some evidence of expression of specific splice forms at particular locations.<sup>82</sup>

Analysis of the *PKHD1* promoter has revealed a binding site for the transcription factor, hepatocyte nuclear factor-1 $\beta$ (HNF-1 $\beta$ ).<sup>92,93</sup> Mutation to *HNF-1\beta* can cause the dominant disease, maturity-onset diabetes of the young type 5 (MODY5), which involves congenital cystic kidney disease.<sup>94</sup> This suggests a link between ARPKD and MODY5, and that the cystic disease in MODY5 may be due to downregulation of *PKHD1*.

#### Fibrocystin Expression

Several antibodies have been generated to fibrocystin, and these detect one or more large protein products (>400 kDa) by western blot analysis.<sup>91,95–98</sup> Immunohistochemical analysis of the kidney localized fibrocystin to the branching ureteric bud, collecting ducts, and loops of Henle in the developing kidney and to collecting ducts in the adult.<sup>95</sup> The biliary tree was found to be positive in the liver along with pancreatic ducts and islets. Other staining was detected in epithelial structures in the semi-niferous tubules and epididymal duct.<sup>95</sup> Fetal expression in murine embryonic neural tube, bronchial buds, and midgut epithelia has been described.<sup>91</sup> Many of the epithelial structures seemed to show apical staining of the protein.<sup>91,95</sup> Analysis of ARPKD tissue suggested a loss or reduction in the level of fibrocystin in the disease tissue.<sup>91,95</sup> In the PCK rat, fibrocystin still seems to be present (or at least some splice forms were detected) but may be expressed at a lower level.<sup>91,95,96</sup>

In cultured renal cells, fibrocystin has been localized to the primary cilium and basal body.<sup>91,95–98</sup> A wealth of evidence now links defects in known ciliary proteins to the development of PKD, and many PKD-associated proteins have been localized to primary cilia or the basal body.<sup>99</sup> Studies with the ADPKD protein, polycystin-1, indicate that this protein may act as a flow sensor on the primary cilia that results in increased intracellular Ca<sup>2+</sup> levels in response to flow.<sup>100,101</sup> In the PCK rat, the biliary cilia appear shorter than normal and possibly abnormal in structure, with no ciliary localization of fibrocystin.<sup>96</sup> However, the role of fibrocystin in the ciliary-basal axis has yet to be determined.

#### DIAGNOSIS

The diagnosis of ARPKD is dependent on a combination of clinical and imaging data and may be assisted by histopathologic and molecular studies.<sup>3</sup> The presentation of the disease is highly variable and related to age.

In the infant, bilaterally enlarged kidneys (that are echogenic with a poor corticomedullary differentiation on ultrasonography), pulmonary hypoplasia, oligohydramios, and hypertension, as well as a negative family history of PKD (on ultrasonographic examination of the parents) are highly suggestive of ARPKD.<sup>3</sup> Further imaging evidence suggesting congenital hepatic fibrosis or Caroli disease, and/or especially histologic data of ductal plate abnormality in the patient or affected sibling, strongly support the diagnosis. Parental consanguinity also supports autosomal recessive inheritance, although consanguineous families only account for rare ARPKD cases in western populations.<sup>2,4</sup> Molecular evidence of two pathogenic *PKHD1* mutations is proof of ARPKD.

In utero, an ARPKD diagnosis is suggested by ultrasonographic data indicating enlarged, echogenic kidneys, oligohydramnios, and absence of urine in the bladder in conjunction with a proven negative family history of PKD. However, renal enlargement and increased echogenicity plus the oligohydramnios may not become evident until later in the pregnancy,<sup>102</sup> so molecular genetic testing may be appropriate in a family known to be at risk for ARPKD.

The patient presenting later in childhood or as an adult is more likely to have complications of liver disease than clinical kidney disease. The kidneys may not be so greatly enlarged with evidence of focal macrocysts ( $\leq 5$  cm). The number of cysts may be small, or even completely absent, and the appearance may be of medullary sponge kidney. The presenting features are often those associated with portal hypertension, including hepatosplenomegaly, hypersplenism, variceal bleeding, and/or cholangitis. Mutation screening may be helpful to obtain a definite diagnosis in these patients.

#### Imaging

Ultrasonograms of ARPKD infant kidneys show large, echogenic, bilateral organs with poor corticomedullary differentiation (Fig. 7-4A).<sup>103</sup> High-resolution analysis can reveal the characteristic radial array of dilated collecting ducts.<sup>104</sup> In the older patient the kidney is usually less extremely enlarged, and the appearance of focal cysts may more closely resemble those seen in ADPKD.<sup>38</sup> Magnetic resonance (MR) can reveal a hyperintense T2-weighted signal and a radial pattern in the cortex and medulla on rapid aquisition with relaxation enhancement (RARE-MR; Fig. 7-4B and C).<sup>105</sup>

Hepatic disease may be evident on ultrasonography as hepatomegaly, dilated intrahepatic bile ducts (Caroli disease), and increased echogenicity. Caroli disease may be more readily detected by computed tomography (CT) or MR analysis<sup>106</sup> (Fig. 7-4D).

#### Histology

Histopathologic analysis of liver from biopsy or at autopsy shows a characteristic ductal plate abnormality with bile duct proliferation and ectasia with evidence of hepatic fibrosis.<sup>37</sup> Histologic evidence of congenital hepatic fibrosis is strong evidence of ARPKD, although it is not clear that all isolated congenital hepatic fibrosis without evidence of renal disease is due to *PKHD1* mutation.

#### Molecular Genetic Testing

Because of the severity of disease in ARPKD, there is significant demand for antenatal diagnostics. This interest is largely among couples with a previously affected pregnancy, either detected in utero (possibly having resulted in termination) or the birth of an affected child (who may have died in the neonatal period).<sup>26</sup> However, there is also demand for molecular diagnostics in older patients with less severe disease to differentiate ARPKD from other causes of childhood PKD. Molecular diagnostics have been offered since *PKHD1* was localized using a linkage-based approach with flanking markers.<sup>26</sup> This method has



**Figure 7-4 A**, Longitudinal sonographic image of the right kidney illustrating marked enhancement, increased echogenicity, and loss of corticomedullary differentiation in a 40-day-old infant with ARPKD. **B** and **C**, Axial T2- and coronal T1-weighted MR images demonstrating marked bilateral renal enlargement in the same patient. **D**, Axial CT image illustrating intrahepatic dilatation of bile ducts in a 53-year-old woman with Caroli disease.

been highly successful, but material from an affected individual is required and the diagnosis can be complicated by crossovers between the flanking markers. This methodology is not appropriate if there is any doubt about the diagnosis of ARPKD. With the gene discovery, mutation-based diagnostics is now possible, and recently prenatal diagnosis by this approach has been described.<sup>107</sup> This method has the advantage that DNA from a previously affected family member is not required and that patients with an uncertain diagnosis can be tested. However, gene-based diagnostics is complicated by the marked allelic heterogeneity and prevalence of novel missense mutations of uncertain pathogenicity.<sup>2,4</sup> If two clearly pathogenic mutations are identified, the molecular diagnostics is highly reliable, and even if one known mutation is identified, diagnostics will often be possible in combination with a focused linkage approach.<sup>87</sup> The usefulness of this testing may be more limited in cases where one (or even two) novel missense changes of uncertain pathogenicity are detected. Accumulating data on ARPKD-associated missense mutations and neutral polymorphisms should help to differentiate pathogenic changes and aid gene-based diagnostics in the future.<sup>2,4</sup> Because not all PKHD1 mutations are detected by the existing methodologies, a negative result does not exclude ARPKD.

#### **DIFFERENTIAL DIAGNOSIS**

The phenotype of greatly enlarged and echogenic kidneys in the neonate should not be considered pathognomonic for ARPKD, and it is important to consider other possible cystic disorders when making a diagnosis. Furthermore, the phenotype in the ARPKD patient is highly variable, with other possible diagnoses to consider in more mildly affected patients.

#### **ADPKD**

ADPKD is a relatively common genetic disorder (incidence 1:500–1:1,000), and rarely (<1%) cases present in utero or in the neonatal period with clinical symptoms very similar to ARPKD.<sup>108,109</sup> Although ADPKD patients will normally have a family history of PKD, an affected parent is only recognized after the diagnosis of a severely affected child in approximately 50% of cases.<sup>110</sup> A false negative renal ultrasonogram result may also be obtained in ADPKD patients younger than 30 years of age<sup>111</sup>; MR may be a more sensitive screening method. In addition, approximately 10% of ADPKD families can be traced to a new mutation, so rare de novo, early-onset ADPKD cases may occur.<sup>112</sup> Rare families with mutation or linkage suggested ARPKD, but renal and/or hepatic cystic disease in a parent, possibly due to co-inheritance with ADPKD,<sup>86,87</sup> shows the potential complications of diagnostics in this disorder and the usefulness of molecular testing. Congenital hepatic fibrosis is not normally part of the ADPKD phenotype, but rare reports of an association have been described.<sup>113,114</sup> Patients with contiguous deletions of the ADPKD gene, PKD1, and the adjacent tuberous sclerosis gene, TSC2, can present with an ARPKD-like renal phenotype, and the majority are due to de novo mutation and so have a negative family history.<sup>115,116</sup> However, these patients usually have additional lesions typically of TSC.

#### **Other Cystic Diseases**

Glomerulocystic kidney disease (GCKD) is a rare disorder that can present in the neonatal period with an ARPKD-like phenotype.<sup>117–119</sup> Microscopically, the disease involves dilatation of the Bowman's capsule but on ultrasonography can resemble the large echogenic kidneys characteristic of ARPKD. About 10% of GCKD patients have evidence of biliary dysgenesis.<sup>120</sup> Nephronophthisis may also be confused with ARPKD.<sup>121</sup> Although in nephronophthisis the kidneys are not usually enlarged, the cystic pattern can be similar to milder ARPKD, and in a minority of cases the disease is associated with congenital hepatic fibrosis.<sup>122</sup>

#### Syndromic Cystic Dysplasias

Several syndromic congenital hepatorenal disorders can be associated with renal abnormalities and congenital hepatic fibrosis.<sup>123–127</sup> These include Meckel-Gruber syndrome, Bardet-Biedl syndrome, and asphyxiating thoracic dystrophy.<sup>124–127</sup> In most cases these diseases are also associated with many other congenital abnormalities that are not found in ARPKD. Rare families of with ARPKD-like disease and skeletal and facial anomalies<sup>128,129</sup> or recessively inherited renal and hepatic cystic disease with hypoglycemia<sup>87,130</sup> that are unlinked to *PKHD1*, have been described.

In later childhood or adulthood, ARPKD should be considered in patients with medullary sponge kidney, rare renal cysts, or even in the absence of renal disease found in combination with congenital hepatic fibrosis and/or Caroli disease. It is clear from molecular studies that isolated congenital hepatic fibrosis or Caroli disease can be due to *PKHD1* mutation, but failure to find mutations in some cases suggests that it may also have other etiologies.<sup>6,23</sup>

#### **CLINICAL MANAGEMENT**

In the severely affected neonate, the primary concern is to stabilize respiratory function by mechanical ventilation. Significant advances in respiratory care in the previous decade have improved survival for these patients. Unilateral or bilateral nephrectomy in cases with greatly enlarged kidneys may be beneficial.<sup>131–133</sup> However, it is likely that there remains a group in which lung development is so compromised as to be incompatible with survival. Mutation studies indicate that patients with two truncating mutations do not survive the neonatal period.<sup>86</sup>

Hypertension is the other disease complication that may require attention early in life. Several different agents, including angiotensin-converting enzyme inhibitors, calcium channel blockers, and  $\beta$  blockers, have been used. Often a combination of drugs is required for effective therapy.<sup>36</sup> As a result of the urine concentrating defect in ARPKD, patients may be prone to dehydration during illnesses associated with fever, nausea, or diarrhea. Transplant is the renal replacement therapy of choice for patients who develop ESRD. Because ARPKD is a recessive disorder, the parents may be suitable living donors. Growth retardation may be alleviated by growth hormone therapy.<sup>134</sup>

#### **EXPERIMENTAL THERAPIES FOR ARPKD**

Because correction of the primary defect in ARPKD, loss of fibrocystin through PHKD1 mutation, will be difficult to accomplish in the foreseeable future, therapeutic options are focusing on correcting the downstream effects of the disease mutation.<sup>135</sup> In the last few years an increased understanding of the biochemical changes associated with cystogenesis, and the likelihood of a common ciliary-basal body defect in these disorders, has raised the prospects of common treatments for human cystic diseases. Previously, many possible treatments have looked promising in certain animal models, but then proved ineffective in orthologous models to human disease, such as the PCK rat for ARPKD. The epidermal growth factor receptor has been targeted for inhibition because it is mislocalized and upregulated in ARPKD, and positive results were found in the bpk and Han:SPRD models of PKD.<sup>136-138</sup> However, this treatment was found to have a deleterious effect in the PCK rat.139

Studies of several PKD systems, including ARPKD cells, have suggested that changes in the epithelial cell phenotype, resulting in proliferation and altered fluid secretion, may be associated with reduced intracellular Ca2+.135,140 This in turn may impede normal regulation of cyclic adenosine monophosphate (cAMP), leading to an increased level and activation of Ras/Raf/MEK/ERK signaling. Strategies have therefore been designed to attempt to correct these defects. The major cAMP agonist in the collecting duct (ARPKD is mainly a disease of the collecting duct in the kidney) is vasopressin, working via the vasopressin V2 receptor. Recent data has shown that a VPV2 antagonist, OPC31260, can lower the concentration of cAMP and markedly inhibit cystogenesis in the PCK rat and other models of PKD.<sup>141,142</sup> A related drug is already in clinical trials for water retention disorders and has shown few side effects. Trials are already underway to determine the effectiveness of this therapy in ADPKD, and this reagent may prove helpful in ARPKD. However, this treatment is only effective in the kidney (where the VPV2R is expressed) and further agents will be needed to target the biliary disease. Furthermore, it will be necessary to develop surrogate markers to monitor disease progression in ARPKD.

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## **Chapter 8**

# Pathogenesis of Nephronophthisis and Medullary Cystic Kidney Disease

Thomas Benzing and Gerd Walz

Nephronophthisis (NPH) and medullary cystic kidney disease (MCKD) constitute a group of renal cystic diseases that share the macroscopic feature of cyst development at the corticomedullary border and in the outer medulla of the kidneys and a common renal histology: tubular basement membrane disintegration, tubular atrophy with cyst formation, interstitial cell infiltration, and fibrosis.<sup>1,2</sup> The initial clinical description -as a renal disease characterized by its occurrence in siblings and its progression to renal failure, with prominent polyuria in the absence of hematuria, heavy proteinuria, and hypertension and small kidneys at autopsy with mainly tubulointerstitial lesions-nicely illustrates the common characteristics of this group of diseases.<sup>3–6</sup> Despite the similarities that led to the description as "NPH/MCKD complex,"2,7 it is now apparent, that the NPH/MCKD complex is characterized by both clinical as well as genetic heterogeneity.<sup>8,9</sup> Initially much confusion has been caused by the use of two different terms for these diseases, MCKD and NPH.10 It is now accepted to summarize the autosomal recessive forms as NPH and the less common dominant forms as MCKD (Table 8-1).<sup>8,11</sup>

NPH is the most common genetic cause of end-stage renal disease (ESRD) in children and adolescents, and it was estimated that NPH accounts for about 10% of cases of ESRD in children.<sup>12</sup> According to their clinical presentation (mode of inheritance and age of onset of ESRD), patients have been grouped into three different clinical forms of the NPH disease (infantile, juvenile, and adolescent NPH) and two different forms of MCKD (early adult form and late adult form).<sup>8,13</sup> Because of the extensive genetic heterogeneity, with certainly more than five different loci for NPH (NPHP1 to NPHP5) and at least two different loci for MCKD (MCKD1 and MCKD2) and a large spectrum of phenotypes associated with these loci, the diseases should now be categorized according to the affected gene (see Table 8-1). This is even more important given that patients with other diseases such as Bardet-Biedl syndrome (BBS) may present with an NPH-like disorder.<sup>14,15</sup> BBS combines blindness, obesity, polydactyly, hypogenitalism, and cognitive impairment with NPH/MCKD, and at least eight different BBS genes have recently been described.<sup>14</sup> Several additional ones are waiting to be discovered.

There has been tremendous progress in the past few years in the understanding of the molecular pathogenesis of NPH. Several of the NPH genes have been identified using positional cloning strategies in affected families.<sup>16–22</sup> Many of the proteins that are encoded by these genes are evolutionary conserved, and functional studies in cells as well as model organisms have been of considerable help in deciphering critical steps in disease pathogenesis.<sup>23–26</sup>

In contrast to NPH, which typically presents as a tubulointerstitial nephropathy leading to ESRD in childhood and adolescence, MCKD leads to kidney failure later in life. Such cases are rare, with about 30 to 40 families reported. Two disease genes have been mapped on chromosome 1q21 (MCKD1)<sup>27</sup> and on chromosome 16p12 (MCKD2; see Table 8-1).28 MCKD2 displays features similar to the ones described in familial juvenile hyperuricemic nephropathy (FJHN), namely autosomal dominant inheritance of hyperuricemia and gout, and progressive renal failure. Common critical regions<sup>29</sup> raised the possibility that MCKD and FJHN could arise from mutations of the same gene. In fact, both diseases were found to be associated with uromodulin mutations.<sup>30,31</sup> Uromodulin, also referred to as Tamm-Horsfall protein, is exclusively expressed by epithelial cells of the thick ascending limb of the loop of Henle and by distal convoluted tubules and is the most abundant protein in urine. Uromodulin was initially characterized by Tamm and Horsfall in 1950,32 but its function is still unclear.33

The understanding of the pathogenesis of cystic kidney disease has been transformed by the discovery that the products of autosomal dominant polycystic kidney disease (*ADPKD*), autosomal recessive polycystic kidney disease (*ARPKD*), and *NPH* genes localize to the primary cilium of tubular epithelial cells.

#### THE CILIARY HYPOTHESIS OF CYSTIC KIDNEY DISEASES

During renal development, more than a million nephrons have to assume a genetically predetermined three-dimensional structure that is maintained throughout the lifetime of the organism. Outgrowth of the ureteric bud, condensation of the metanephric mesenchyme, and its subsequent mesenchymalto-epithelial conversion are developmental steps that are governed by the reciprocal interaction between the mesenchymal and epithelial tissues. Branching of the ureteric bud is an important step and controls the ultimate number of nephrons, but the principal programs that control and maintain the morphology of a mature nephron are poorly understood. Cystogenesis uniformly starts in late embryogenesis. For example, in mice deficient in PKD1, the initial cysts become detectable after embryonic day 15.34 At this time, mature glomeruli are present at the cortical-medullary transition zone, while branching morphogenesis and formation of new glomeruli proceeds within the outer segments of the kidney. Thus, cyst formation seems to result from defective nephron

Disease	Gene	Locus	Mode of Inheritance	Renal Presentation	Extrarenal Manifestations	References
NPH1	NPHP1	2q13	AR	Juvenile NPH	Retinitis pigmentosa, Cogan syndrome, liver fibrosis, cone-shaped epiphysis, mental retardation	16, 19
NPH2	INVS (NPHP2)	9q31	AR	Infantile NPH	Situs inversus, cardiac ventricular septal defect	17
NPH3	NPHP3	3q22	AR	Juvenile NPH (may be adolescent)	Retinitis pigmentosa, liver fibrosis	18
NPH4	NPHP4	1p36	AR	Juvenile NPH	Retinitis pigmentosa, Cogan syndrome, liver fibrosis, cone-shaped epiphysis, mental retardation	21, 22
NPH5	IQCB1 (NPHP5)	3q13	AR	Juvenile NPH	Retinitis pigmentosa (=SLSN, Leber congenital amaurosis)	20
MCKD1	MCKD1	1q21	AD	Late adult	Hyperuricemia, gout	30, 31
MCKD2	MCKD2	16p12	AD	Early adult	Hyperuricemia, gout	28

Tab	le 8-1	Genetic Loci	for Nep	hronopthisis and	l Medu	llary C	ystic Kic	lney Disease
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AD, autosomal dominant; AR, autosomal recessive; MCKD, medullary cystic kidney disease; NPH, nephronophthisis.

maturation rather than defective nephrogenesis. Proliferation and migration of tubular epithelial cells are essential components of tubular elongation and maturation, but the signaling cascades defining the geometry of different nephron segments remain elusive. If cystic kidney disease is a disease of tubular maturation and/or maintenance, genes mutated in cystic kidney disease should represent excellent candidates of such cellular programs.

A novel concept of cystogenesis was pioneered by the identification of the PKD1 and PKD2 homologs lov-1 and pkd-2 in Caenorhabditis elegans (see also Chapter 6).<sup>35</sup> Both gene products are present in the cilia of male-specific mechanosensory neurons of male animals, and play an essential role in the male mating behavior.<sup>36,37</sup> Subsequently, several cystogenic proteins either involved in human cystic disease or mutated in animal models of cystic kidneys were localized in the monocilia of tubular epithelial cells.<sup>38</sup> These proteins participate in all aspects of ciliary homeostasis, including ciliogenesis, maintenance, and function. Mechan-ical bending of the cilium of Madin-Darby canine kidney (MDCK) cells triggers an increase in intracellular calcium<sup>39</sup> that requires an intact polycystin complex (see also Chapter 6).40 These observations suggest that cilia act as mechanosensors to endow tubular epithelial cells with spatial information (that is, in addition to the typical apico-basolateral polarity of epithelial cells, every ciliated cell of a nephron should acquire a second, anterior-posterior polarity). Conceptually, cysts would arise from tubular epithelial cells that lost their orientation relative to the urine flow.

#### NPHP1 ENCODES THE MULTIFUNCTIONAL ADAPTOR PROTEIN NEPHROCYSTIN

The *NPHP1* gene on 2q13 was the first NPH gene to be identified.<sup>16,19</sup> NPH1 is by far the most common form of NPH/MCKD and typically presents as juvenile NPH. In a study of 515 unrelated individuals with NPH, about 27% had NPH type I.<sup>41</sup> Juvenile NPH is a systemic disorder, and about 10% to 15% of individuals with NPH1 present with extrarenal findings. These include retinal dystrophy, which can be either severe (Leber amaurosis) with early blindness and a flat electroretinogram, or moderate with mild visual impairment and retinitis pigmentosa. The association of NPH and retinal involvement is referred to as Senior-Løken syndrome.<sup>42</sup> Other extrarenal anomalies have been described, in particular oculomotor apraxia (Cogan syndrome), hepatic fibrosis,43 mental retardation,44 and cone-shaped epiphysis.45 In addition, brain stem and vermis hypoplasia were described in an individual with NPH type I,<sup>46</sup> revealing nephrocystin's role in normal cerebellar development. The initial symptoms of NPH type I occur before age 6 but are usually mild, consisting of polyuria and polydipsia.8 Later, severe anemia, growth retardation, and development of end-stage renal failure at a mean age of 13 years are characteristic for NPHP1 mutations. The histologic changes entail tubular basement membrane disruption, interstitial cell infiltration, tubular atrophy, and cyst formation at the corticomedullary junction. Although the glomeruli are not directly affected, a periglomerular fibrosis is evident at early stages of the disease. NPHP1 encodes nephrocystin, a protein with 732 amino acids and a predicted molecular mass of 83 kDa. Most patients harbor a single homozygous deletion of about 290 kilobases (kb) on 2q13 resulting from an unequal recombination event between two 45-kb direct repeats flanking the NPHP1 gene.9,47 The C-terminal nephrocystin homology domain is highly conserved but does not reveal significant homology to other known proteins. In contrast, the N-terminus is modular, and contains a coiled-coil structure and an Src homology 3 (SH3) domain that is flanked by two highly charged acidic clusters. The domain architecture suggests that nephrocystin functions as a scaffold and/or adaptor protein. The typical thickening and disruption of the tubular basement membrane in NPH implicate nephrocystin

in basement membrane homeostasis. Several nephrocystininteracting proteins have been identified that support this hypothesis. Yeast two-hybrid screens with the SH3 domain of nephrocystin identified p130(Cas) as nephrocystin-interacting protein.<sup>8,48</sup> p130(Cas) is an essential component of focal adhesion complexes, and partially co-localizes with nephrocystin at the plasma membrane,48 suggesting that nephrocystin is involved in the formation of cell-matrix contacts. Focal adhesions are formed through clustering of integrins, and assembly of large intracellular protein complexes that include tensin, talin, vinculin, α-actinin, paxillin, zyxin, vinexin, FA52, nexilin, and focal adhesion kinase (FAK).49 Interestingly, nephrocystin interacts with Pvk2,<sup>23</sup> a nonreceptor tyrosine kinase that shares with FAK the dependence of actin filament integrity for its function as well as the ability to interact with p130(Cas). Forming heteromeric complexes, nephrocystin may recruit Pyk2 to specialized cell matrix adhesions. Nephrocystin also interacts with tensin.<sup>23</sup> Because tensin-deficient mice develop cystic renal disease that closely resembles human NPH,<sup>50</sup> this animal model provides further evidence that nephrocystin is required for specific types of cell-matrix contacts.

Nephrocystin also localizes to the primary cilium of tubular epithelial cells.<sup>17</sup> Because nephrocystin interacts with the gene products of the NPHP2 (inversin) cells,<sup>17</sup> NPHP3 (nephrocystin-3)<sup>18</sup> and NPHP4 (nephrocystin-4),<sup>22</sup> it is conceivable that nephrocystin targets these proteins to distinct subcellular localizations, including the primary cilium. Interestingly, Pyk2 also localizes to the primary cilium of tubular epithelial cells. Thus, cystogenesis in NPH type I could result from either failure to recruit focal adhesion proteins to the sites of extracellular contacts, and/or failure to target other NPH proteins to the primary cilium. The C. elegans homologs of NPHP1 and NPHP4, nph-1 and nph-4, are both 23% identical to their human counterparts; there are no clear C. elegans orthologs of NPHP2 and NPHP3.24 Although nph-1 and nph-4 are expressed more broadly during embryonic development, their expression pattern overlaps with PKD1 and PKD2 (lov-1, pkd-2) in the male-specific sensory cilia in adult animals.<sup>24,51</sup> Interestingly, *nph-1* and *nph-4* single and double mutants show normal embryonic development and sensory behaviors, including osmotic avoidance and nociception.<sup>24</sup> However, the double (but not the single) nph-1/nph-4 mutants demonstrate a decreased response after the initial contact with a potential mate; a similar defect has been observed in lov-1 or pkd-2 mutants. In addition, double nph-1/nph-4 mutants display turning defects during the mating process that have not been observed in lov-1 or pkd-2 mutants.<sup>24</sup> Taken together, these results indicate that in C. elegans the functions of nph-1 and nph-4 are redundant. Both proteins participate in the lov-1/pkd-2-dependent response pathway, but assume additional functions during the mating process not shared with lov-1/pkd-2.<sup>24</sup> In C. elegans, the function of nephrocystins seems to be confined to the cilium of mechanosensory neurons. NPH gene products are detectable in the connecting cilium of the photoreceptor (see Cloning of NPHP5 Suggests a Common Pathway for the Development of NPH and Retinitis Pigmentosa), an organelle necessary for transport processes between the inner and outer segment of the photoreceptor. Thus, the Senior-Løken syndrome in NPH can be viewed as a ciliary disease of the photoreceptor, intimately linking NPH gene products to the structural and functional integrity of cilia. So far, no correlation has been established between the presence and absence of extrarenal manifestations and mutations of certain NPH genes.

#### INVERSIN (*NPHP2*) IS A MOLECULAR SWITCH INVOLVED IN WNT SIGNALING

In contrast to the juvenile NPH type I, the infantile form (NPH type II) of the disease typically combines features of NPH with characteristics of autosomal dominant polycystic kidney disease (enlarged kidneys, cysts not limited to corticomedullary junction). Recently, INVS/NPHP2 was identified as the gene responsible for NPH type II.<sup>17</sup> INVS was originally identified in the OVE210 transgenic mouse with situs inversus, cystic kidney disease, liver abnormalities, and death due to renal failure shortly after birth.<sup>52,53</sup> Similarly to these mice, humans with this disorder can also present with hepatobiliary duct malformations and altered left-right asymmetry in addition to renal cystic disease.<sup>17,54</sup> INVS, the gene encoding inversin, consists of 17 exons located on chromosome 9q31 in humans. Full-length inversin contains 1,065 amino acids with 16 tandem ankyrin repeats, two nuclear localization signals, and a conserved lysine-rich central domain.<sup>55</sup> In MDCK cells, inversin has been localized to several cellular compartments, including the primary cilia, the plasma membrane, and the centrosome.<sup>56,57</sup> Although the full-length protein is predicted to encode a protein of 117 kDa, mass spectrometry and immunoblotting have detected five different species (90, 116, 125, 140, and 165 kDa) that seem to represent different inversin isoforms. In normal mouse kidney, four different transcripts can be detected by northern blot analysis, and reverse transcription-polymerase chain reaction reveals skipping of exon 5, 11, or 13, whereas exon 12 is deleted in MDCK. Inversin also contains two IQ domains that interact with calmodulin.58,59 IQ2, encoded by exons 14 and 15, binds calmodulin in the absence of calcium and is required for inversin-mediated reversal of the left-right asymmetry in Xenopus embryos.<sup>58</sup> Skipping of exon 13 results in frameshift and loss of exons 14 and 15, suggesting that not every inversin isoform contains IQ2. The left-right axis in inv/inv mice is consistently reversed. In mouse embryos, the leftward flow of extraembryonic fluid at the ventral node is critical for the determination of the left-right axis of mouse embryos. This flow is generated by posteriorly tilted cilia. In inv/inv mice, cilia at the ventral node appear structurally normal, but nearly 20% of cilia are anteriorly tilted, suggesting that the inv mutation affects the alignment of modal pit cells along the anterior-posterior axis.<sup>60</sup> Inversin expression is detectable as early as the two-cell stage, and present in the 9+0 cilia of the ventral node, renal tubules, pituitary gland, and fibroblasts,<sup>61</sup> but can also be found in motile 9+2 cilia of tracheal epithelial cells (unpublished data). In immortalized renal epithelial cells (MDCK, IMCD), inversin can be detected in the nucleus and the plasma membrane, where it co-localizes with  $\beta$ -catenin and N-cadherin.<sup>56,59</sup> Inversin associates with the centrosome during early prophase and localizes to the spindle poles during mitosis,<sup>59</sup> a subcellular localization that inversin shares with polycystin-2.<sup>62</sup> The association of polycystin-2 with the spindle poles requires the mDia1, a member of the RhoA guanosine triphosphatase-binding formin homology protein family that participates in cytoskeletal organization, cytokinesis, and

signal transduction. It will be interesting to test whether mDial recruits other cystoproteins to the spindle apparatus.

Inversin contains two destruction box (D-box) motifs that mediate ubiquitin-dependent degradation of many shortlived regulatory proteins. APC2, a subunit of the multimeric anaphase promoting complex/cyclosome (APC/C), binds to the first highly conserved D-box.<sup>59</sup> APC/C is an E3 ligase that mediates cell cycle–dependent degradation of cyclins; the interaction with APC2 provides further evidence that inversin is involved in cell cycle control.

Inversin interacts with disheveled, and co-localizes with this protein at the centrosome and at the plasma membrane of polarized epithelial cells.<sup>26</sup> Disheveled is a central component of the Wnt signaling cascades, located at the branch point between the canonical Wnt signaling pathway, and the noncanonical Wnt signaling pathway involved in cytoskeleton reorganization and planar cell polarity. Inversin targets disheveled for ubiquitin-dependent degradation, thereby inhibiting the canonical Wnt signaling pathway. However, inversin does not affect disheveled at the plasma membrane and is required for convergent-extension movements in Xenopus laevis. Deletion of inversin in zebrafish by antisense morpholinooligonucleotides causes cystic kidney disease.<sup>17</sup> Interestingly, cystogenesis is almost completely reversed by diversin, an ankyrin-repeat protein with distant sequence similarities to inversin. The Drosophila homolog of diversin, Diego, belongs to a group of proteins that regulate planar polarity signaling pathways in the fly wing and eye.<sup>63,64</sup> Both proteins, inversin and diversin, share the capacity to inhibit canonical Wnt signaling and to promote noncanonical Wnt signaling. The overlapping functions of inversin and diversin in zebrafish<sup>26</sup> suggest that both inversin and diversin endow tubular epithelial cells with a second type of polarity that is oriented in the plane of the epithelial sheet (planar cell polarity). This type of polarity would orient each cell relative to the anteriorposterior axis (versus the typical apico-basolateral polarity). Because inversin is present at the cilium and releases calmodulin after an increase in intracellular calcium, it is tempting to postulate that cilial bending activates an inversin-dependent signaling cascade that ultimately helps to specify a spatial orientation in relationship to the urine flow. For tubular epithelial cells to form a tube of a genetically predetermined geometry, an orientation in relationship to neighboring cells seems mandatory, and inversin is the first member of a pathway that maintains these "neighborly relationships." A disruption of planar cell polarity signaling would prompt cells to redefine their position in relationship to neighboring cells, most likely through a process involving proliferation and migration. Both processes require dedifferentiation (e.g., epithelialto-mesenchymal conversion), and this would explain why these phenomena are commonly seen in cystic kidney disease of different etiologies.

#### DOMAIN STRUCTURE OF THE NPHP3 GENE PRODUCT SUGGESTS A ROLE IN REGULATING MICROTUBULE ORGANIZATION

Recently, the *NPHP3* gene was localized to chromosome  $3q22^{65}$  and subsequently identified by positional cloning.<sup>18</sup>

Patients carrying NPHP3 mutations present with juvenile or adolescent NPH without or with extrarenal symptoms such as retinitis pigmentosa and liver fibrosis.9,18 NPHP3 encodes nephrocystin-3, a novel 1330-amino acid protein that interacts with nephrocystin-1.<sup>18</sup> The pcy mouse, a spontaneous mouse mutant with renal cystic disease similar to human NPH, was shown to harbor a homozygous missense mutation in the orthologous Nphp3 gene. That this mouse model can be treated successfully with the vasopressin-2 receptor antagonist OPC31260 opens completely new prospects for potential therapeutic strategies in NPH in humans.<sup>66</sup> Nephrocystin-3 contains several putative protein interaction domains. A Nterminal coiled-coil domain is reminiscent of a similar region in nephrocystin-1.<sup>23</sup> This type of protein interaction module usually interacts with other coiled-coil domains, most frequently to allow homodimerization of proteins.  $\alpha$ -helices wrap around each other to form a particularly stable structure. Each coiled-coil domain is composed of two or more  $\alpha$ -helices that entwine to form a cable-like assembly.<sup>67</sup> In many proteins, these helical cables serve a mechanical role in forming stiff bundles of fibers. This structure can form when the two (or in some cases three)  $\alpha$ -helices have most of their nonpolar (hydrophobic) side chains on one side, so that they can twist around each other with these side chains facing inward. Although it is conceivable that nephrocystin-3 dimerizes through coiled-coil domain interactions, thus far it is not clear if this is really the case and which additional protein may bind to this domain. In addition to the N-terminal coiled-coil domain, nephrocystin-3 contains eight C-terminal tetratrico peptide repeats (TPRs). TPR motifs have been identified in various organisms, ranging from bacteria to humans, and proteins containing TPRs are involved in a variety of biologic processes, such as cell cycle regulation, transcriptional control, mitochondrial and peroxisomal protein transport, neurogenesis, and protein folding.68 These domains mediate protein-protein interactions and the assembly of multiprotein complexes. Classical examples are protein interactions that allow the assembly of the anaphase-promoting complex APC/C, a ubiquitin ligase complex that consists of at least 11 subunits and is required for cell cycle progression.<sup>69</sup> It is interesting to note that inversin, the product of the NPHP2 gene, has been shown to associate with APC/C.<sup>59</sup> Though not tested experimentally, it may be conceivable that nephrocystin-3 also displays interactions with the APC/C. The typical TPR motif consists of tandem repeats of 34 amino acid residues.68 Sequence alignment of the TPR domains reveals a consensus core sequence defined by a pattern of small and large amino acids.<sup>70</sup> Although binding partners of the nephrocystin-3 TPRs are still waiting to be discovered, it is interesting to note that several cilia-associated proteins such as BBS4 or the TG737-encoded protein Polaris contain these domains.71,72 Polaris is the mammalian homolog of the intraflagellar transport protein IFT88 and required for ciliogenesis and sensory signaling from cilia.<sup>73,74</sup> Interestingly, deletion of the *Tg737* gene in mice results in cystic kidneys, situs inversus, and retinitis pigmentosa resembling features of human NPH.72,74-76 However, whether nephrocystin-3 may be part of IFT complexes is not clear so far.<sup>38</sup>

In addition to these protein interaction modules, another domain in nephrocystin-3 suggests a function for the protein in regulating microtubule stability and dynamics. A putative tubulin tyrosine ligase (TTL) domain localizes to the center of the protein. The conserved amino acid exchange that probably causes cyst formation in the pcy mouse (I614S) lies in this domain,<sup>18</sup> supporting the critical role of the TTL domain for nephrocystin-3 function. TTLs are tubulin-modifying enzymes that catalyze the addition of tyrosine residues to the C terminus of tubulin.<sup>77</sup> The  $\alpha/\beta$  tubulin dimer, the microtubule building block, is subject to a variety of specific posttranslational modifications that principally affect the C termini of both subunits.<sup>78</sup> These C-terminal tails of  $\alpha$ - and β-tubulin are essential for microtubule function. They lie on the outer surface of the microtubule, where they can influence the binding of associated proteins. The tyrosination cycle involves the enzymatic cyclic removal of the C-terminal tyrosine of tubulin by a thus-far-uncharacterized carboxypeptidase and the readdition of a tyrosine residue by the TTL. This tyrosination cycle is conserved among eukaryotes and generates two tubulin pools: intact tyrosinated tubulin and detyrosinated tubulin (glutamylated tubulin).<sup>79</sup> Although the biologic role of these different pools of tubulin is not clear, it has been demonstrated that TTL activity is essential for embryonic development. Gene disruption of a neuronal TTL in mice results in embryonic lethality due to the disorganization of neuronal networks, uncontrolled neurite outgrowth, and misguided axonal differentiation.<sup>79</sup> This is interesting in light of recent evidence that points to a role for nephrocystins in cerebellar and brain stem development.9 NPH can be associated with oculomotor apraxia and cerebellar abnormalities, and recently, NPHP1-homozygous deletions-identical to the deletions observed in patients with isolated NPH-have been identified in a small percentage of patients with a mild neurologic form of Joubert syndrome that occurs in combination with NPH.46,80,81 Joubert syndrome is characterized by a complex cerebellar and brain stem malformation, visible as the so-called "molar tooth sign" observed by magnetic resonance imaging.<sup>82</sup> This syndrome results in developmental delay, congenital hypotonia, ataxia, oculomotor apraxia, and abnormal breathing pattern. One could therefore speculate that nephrocystins have a role in modulating neurite outgrowth and neuron differentiation similarly to known TTLs.

In addition to their central role in serving as the skeleton for neurite outgrowth and building the mitotic spindle apparatus for cell division, microtubules form the axonemes of cilia. Thus, it is tempting to speculate that a tubulin-modifying function of nephrocystin-3 provides a common explanation for the renal cystic phenotype, the retinal abnormalities as well as the cerebellar defects that can be seen in NPH patients.

#### THE NPHP4 GENE PRODUCT IS AN EVOLUTIONARILY CONSERVED COMPONENT OF CILIA AND CENTROSOMES

The *NPHP4* gene has also been recently identified by a positional cloning approach.<sup>21,22</sup> *NPHP4* mutations have been detected mainly in individuals with isolated NPH, but they also occur in individuals with NPH associated with Cogan syndrome or in Senior-Locken syndrome (SLNS), usually as NPH and late-onset retinitis pigmentosa.<sup>9,41,83</sup> Nephrocystin-4 (or nephroretinin),<sup>21</sup> the protein encoded by *NPHP4*,

interacts with nephrocystin-1.22 It is a 1250-amino acid protein that does not contain predicted protein interaction modules or known functional domains. However, some light has been shed on a putative function of nephrocystin-4 by the recent demonstration that the protein is highly conserved from algae to humans and localized to basal bodies and centrioles.<sup>84</sup> Li et al took advantage of the idea that the ancestral eukaryote was ciliated and that organisms that have lost cilia and flagella (along with basal bodies) through evolution also lost most of the more than 400 to 500 genes that are predicted to be needed for forming and regulating the ciliary apparatus.<sup>85</sup> In a remarkable study, the authors subtracted the genome of Arabidopsis from the shared genome of the ciliated/flagellated organisms Chlamydomonas and human. They identified a flagellar and basal body (FABB) transcriptome that contains 688 genes. Incorporating into their analysis the genome of the nematode worm C. elegans, which has only nonmotile cilia, vielded a subset of the FABB transcriptome of 362 candidate proteins that would be sufficient to assemble a nonmotile cilium.<sup>84</sup> As measures of the success of their approach, 4 of 6 known Chlamydomonas basal body genes examined and 52 of 58 known Chlamydomonas flagellar proteins were present in the transcriptome.<sup>84</sup> In addition to established Chlamydomonas basal body and flagellar genes, the FABB proteome contained several known mammalian disease genes, including many of the BBS proteins as well as nephrocystin-4.85 Proteomic analysis of isolated centrioles confirmed that the Chlamvdomonas ortholog of nephrocystin-4 is a component of centrioles.<sup>86</sup> Subsequent studies in mammalian kidney epithelial cells also showed localization in basal bodies/centrioles and the ciliary axoneme of primary cilia.<sup>25</sup> Further insight into a potential function of nephrocystin-4 at cilia and basal bodies came from a recent study in the nematode C. elegans.<sup>24</sup> This worm has no kidney per se yet has proved to be an excellent model to study renalrelated issues, including tubulogenesis of the excretory canal, membrane transport, and ion channel function, as well as human genetic diseases including ADPKD.35 In the worm, ciliated sensory neurons located in the head and tail sense an extensive variety of environmental signals and mediate a wide spectrum of behaviors. For example, animals must locate food and males must find hermaphrodite mates. Of the 302 neurons in the hermaphrodite, 60 have dendritic endings that terminate in cilia.<sup>35</sup> The male possesses an additional 52 ciliated neurons primarily involved in male mating behavior. Interestingly, many of the genes required for the formation, maintenance, and function of C. elegans cilia have human counterparts that, when mutated, cause diseases with renal pathologies including ADPKD and BBS.<sup>36,87-90</sup> The C. elegans polycystins LOV-1 and PKD-2 localize to male-specific sensory cilia and are required specifically for male mating behaviors.<sup>24</sup> Thus, male mating behavior is a wonderful readout for sensory cilia function in the worm. Very recently, Jauregui and Barr demonstrated that the C. elegans homologs of NPHP1 and NPHP4 are expressed in these sensory cilia. nphp-1;nphp-4 double, but not single, mutant males are response defective.<sup>24,51</sup> These data clearly indicate that nephrocystin and nephrocystin-4 play important and redundant roles in facilitating ciliary sensory signal transduction and suggest that one major function of nephrocystin-4 is in participating in sensory signaling in cilia.

#### CLONING OF NPHP5 SUGGESTS A COMMON PATHWAY FOR THE DEVELOPMENT OF NPH AND RETINITIS PIGMENTOSA

Mutations in IQCB1/NPHP5, located on chromosome 3q13.33-q21.1, have been identified very recently in families with SLNS.<sup>20</sup> In contrast to all other known NPH genes, mutations in IQCB1/NPHP5 seem to be always associated with retinal degenerative disease. In fact, this gene seems to be a major gene associated with SLNS, and a majority of SLNS patients harbor IQCB1/NPHP5 mutations.<sup>20</sup> Nephrocystin-5, the encoded protein, shares IO domains with the NPHP2 gene product inversin and has been shown to interact with the retinitis pigmentosa guanosine phosphatase regulator (RPGR). RPGR is encoded by the X-linked RP3 locus and has an essential role in maintaining photoreceptor viability.<sup>91</sup> Mutations in the human RPGR gene cause retinitis pigmentosa.92,93 Targeted disruption of the mouse Rpgr gene and naturally occurring mutations in dogs also lead to photoreceptor degeneration.<sup>94</sup> However, the function of RPGR is elusive. The N-terminal sequence of RPGR is similar to that of RCC1, a nuclear protein that functions as a guanine nucleotide exchange factor for the small guanosine triphosphatase Ran. A guanine nucleotide exchange factor activity of RPGR toward any small GTPases has not been demonstrated directly.91 However, protein mislocalization in photoreceptors in Rpgrmutant mice suggests a role for RPGR in regulating protein trafficking.<sup>95,96</sup> Interestingly, RPGR has been shown to localize to the transition zone of cilia.<sup>91</sup> Although cilia and flagella are ostensibly open to the cytoplasm, it seems that only a subset of cytoplasmic proteins is admitted to the ciliary/flagellar compartment. In Chlamydomonas reinhardtii, the boundary between the cytoplasmic and flagellar compartments is demarcated by transition fibers, which extend from the distal end of the basal body and connect each of the nine basal-body triplet microtubules to the flagellar membrane.97 Rosenbaum and Witman proposed that these transition fibers might be structural components of a flagellar pore complex that controls the movement of molecules and particles between the cytoplasmic and flagellar compartments, much as the nuclear pore controls movement between the cytoplasmic and nuclear compartments. Immunoelectron microscopy has shown that the transition fibers are docking sites for the intraflagellar transport particle proteins at the base of the flagellum.<sup>97</sup> Interestingly, in photoreceptors the transition zone is formed by the connecting cilium of rods and cones.<sup>84,91</sup> The outer segments of photoreceptors are built from modified cilia. During development of the outer segment, large amounts of lipid and protein are transported into the distal segment and assembled into the membranous disks.<sup>98</sup> In the mature photoreceptor, the distal portion of the outer segment is so highly modified that it no longer resembles a cilium. However, a short segment of the cilium, called the connecting cilium, remains to connect the outer segment to the inner segment. This connecting cilium is required for transport of proteins and lipids to reach their functionally active sites in the outer segments of the photoreceptor. Diseased protein transport in the connecting cilium of photoreceptors results in retinitis pigmentosa.<sup>74,99</sup> Thus, interaction of RPGR with nephrocystin-5, together with localization of RPGR to the transition zone suggests that nephrocystin-5 (or probably other nephrocystin proteins)

may serve a critical role at the transition zone of cilia. In fact, it has been recently shown that nephrocystin-1, in addition to its punctate pattern of staining in the ciliary axoneme, concentrates mainly at the transition zone (unpublished data). Thus, localization of nephrocystin at the transition zone may provide a common explanation for cystic kidney disease and retinitis pigmentosa in SLNS patients. What is the function of nephrocystin-5 at the transition zone? It is conceivable that nephrocystin-5 is involved in regulating access of proteins to intraflagellar transport (IFT). IFT has first been described by Rosenbaum and colleagues in the biflagellate alga Chlamydomonas. During IFT, non-membrane-bound particles are moved continuously along the axonemal doublet microtubules, just beneath the cilia membrane. IFT particles moving to the top of this organelle are driven by a kinesin II motor, whereas movement of IFT particles returning from the tip depends on dynein motors.<sup>97</sup> Disruption of IFT by CreloxP mutagenesis to remove the kinesin II subunit, KIF3A, specifically from photoreceptors resulted in retinitis pigmentosa.<sup>100</sup> The same approach was used to delete the Kif3a gene in kidney tubular cells which produced a renal cystic phenotype.101

In addition to a potential trafficking function, nephrocystin-5 may also be involved in transmitting signals from the ciliary axoneme to the cell body and vice versa. It has been shown that nephrocystin-1 is a signaling protein and mediates activation of tyrosine kinase signal transduction.<sup>23</sup> Because the transition zone is located precisely at the border between cilia and the cell body, it is conceivable that nephrocystins participate in signal transduction at the transition zone to transmit chemoor mechanosensory signaling to the interior of the cell.

#### NPH-A CILIARY DISORDER?

#### The Ciliary Hypothesis of Cystic Kidney Disease

Nephrocystin and inversin are detectable in the ciliary axoneme and the transition zone adjacent to the basal body of the primary cilium projecting from tubular epithelial cells. During early prophase inversin associates with the centrosome; it localizes to the spindle poles at later phases of mitosis. Nephrocystin-3 shares structural features with Tg737, interacts with nephrocystin, and is highly expressed in the ventral node during mouse embryonic development. Nephrocystin-4 interacts with nephrocystin, and both proteins are found in the cilium of mechanosensory neurons of C. elegans. Nephrocystin-1, -2, -3, and -4 are present in the cilia of respiratory epithelial cells (unpublished data). With nephrocystin-5 present in the connecting cilium of the photoreceptor, all products of NPH genes have either been localized or predicted to localize to the primary cilium of tubular epithelial cells. These findings imply that perturbations of cilial integrity and/or functions lead to cyst formation and retinal degeneration in NPH. For many years, PKD researchers have tried to find such a unifying pathogenesis of renal cystic disease. Mutations of proteins that disrupt intraflagellary transport or function of cilia in both mouse models and human disease cause cyst formation.<sup>38</sup> These observations suggest that ciliary proteins are essential components of a cellular program that prevents cyst formation.

Mutations of PKD genes either disrupt the structural integrity of the primary cilium (e.g., Tg737),<sup>102</sup> or cause functional perturbations (e.g., polycystin-2).<sup>40,103</sup> Ciliogenesis is intimately linked to the IFT, a microtubular transport process that requires the presence of the motor proteins kinesin II and dynein for antegrade and retrograde transport, respectively.<sup>74,97</sup> These motor proteins propel cargo particles, termed IFT rafts, along the axonemal microtubes of the cilium. Both defective motor proteins (e.g., caused by mutation of the kinesin II subunit Kif3a<sup>101</sup>) and defective IFT particles compromise cilial homeostasis, resulting in structurally abnormal cilia and cystic kidney disease, often associated with disturbances of the left-to-right asymmetry.<sup>104</sup> Although the morphology of cilia has not been studied in detail in all types of NPH, mutations of nph-1 and nph-4 in C. elegans are apparently not associated with structural abnormalities. A careful analysis of the ventral node in inv/inv mice also revealed morphologically normal cilia,<sup>60</sup> although the ciliary dynamics was altered, causing a slowed, meandering flow at the ventral node. Taken together, these observations suggest that nephrocystins compromise the function rather than the structure of the cilium of tubular epithelial cells. Yet, it remains unclear what ciliary functions are altered, and whether ciliary dysfunction is directly responsible for cyst formation of tubular epithelial cells in NPH.

#### The Centrosomal Hypothesis

In nondividing cells the centrosome consists of two centrioles, the mother and daughter centriole. In polarized tubular epithelial cells, the two centrioles are separated and positioned just underneath the apical membrane.<sup>105</sup> The larger (mother) centriole gives rise to the basal body, which nucleates and anchors the microtubules of the ciliary axoneme. The microtubular network in polarized epithelial cells consists of an apical and basal web as well as longitudinal bundles along the apical-basal axis<sup>106,107</sup>; however, only a small subset of microtubules nucleates from the basal body/centriole during interphase  $(G_0/G_1)$ .<sup>108</sup> In prophase the primary cilium is retracted, the basal body reverts into a centriole, and both centrioles move from the apical position to the nucleus, where they duplicate to organize the two spindle poles parallel to the plane of the epithelial cell layer. In other cells, the centrioles remain in close proximity to each other and form the microtubuleorganizing center. The centrosome is positioned adjacent to the trans-Golgi network, and the basal body of some ciliated cells have been shown to form a physical link with parts of the Golgi apparatus.<sup>109,110</sup> Thus, the basal body together with the daughter centriole could not only serve as a docking site for vesicles destined for IFT but might also function as a more general sorting site in the secretory pathway. Many gene products involved in human hereditary disease have now been localized to the centrosome, thereby revealing the multifunctional properties of the centrosome. Although the importance of centrosomes in mitotic cell division has been appreciated for many years, their functions in proteolysis, cell migration, and vesicular transport in postmitotic cells have been uncovered by hereditary diseases such as autosomal recessive juvenile parkinsonism, lissencephaly, and Huntington disease.<sup>111</sup> The centrosome contains high concentrations of proteasomes as well as subunits of the APC/C.112-114 Inversin interacts with APC2, a subunit of APC/C.59 This interaction

appears to target disheveled for ubiquitin-dependent degradation. It is interesting to note that inversin is associated with the centrosomes and the mitotic spindle throughout the cell cycle, whereas disheveled disappears from the centrosome during mitosis (unpublished data), suggesting that inversin mediates the cell cycle–dependent degradation of disheveled at least in the proximity of the centrosome. Because the APC/C regulates axonal growth in the developing brain,<sup>115</sup> it is conceivable that the interaction of inversin with APC2 is required for normal cerebellar development. Several nephrocystins contain D-/KEN box motifs, and it will be interesting to test whether the centrosomal localization of nephrocystins is cell cycle dependent or whether they target other interacting proteins for degradation.

#### The Transport Hypothesis

The microtubular network guides the motor protein-powered transport of vesicles over long distances, for example in neuronal axons. Perturbation of microtubule-based transport has been implicated in diverse human disorders, including neurodegenerative disorders such as Alzheimer or Huntington disease.<sup>116</sup> Although it is unclear whether microtubule-based cargo transport plays a significant role in bulk protein trafficking of polarized epithelial cells, it is tempting to speculate that it assumes very specialized functions in epithelial cells and that the cerebral manifestations of NPH represent a disturbance of microtubule-based long-range protein transport. The domain architecture of both nephrocystin and nephrocystin-3 indicate that these two proteins may play a role in microtubule organization and/or microtubule-dependent transport processes. Although the importance of microtubuledependent transport of IFT particles in ciliogenesis and ciliary function is clearly established, recent studies suggest that IFTdependent transport processes play a role in cellular signaling events that are probably unrelated to the cilium. Tg737, the gene mutated in the oprk mouse model of cystic kidney disease, encodes Polaris, a mammalian homolog of the Chlamydomonas IFT88. Recently, IFT88 was found to play an important role in Hedgehog signaling.73,117 IFT88 mediates the proteolytic cleavage of the transcriptional activator Gli3-190 to form the transcriptional repressor Gli3-83. Hypomorphic mutations of the gene encoding IFT88 fail to generate the Gli3 repressor, resulting in ventralization of the spinal cord and polydactyly similar to the phenotypic changes reported for mice deficient in Sonic Hedgehog. Although the precise molecular mechanism remains unknown, it seems plausible that IFT88 (and other IFTs) are required for the microtubuledependent transport of Gli3 to a subcellular localization, responsible for the proteolytic cleavage of Gli3. Mutations of Kif3a, a kinesin II subunit responsible for microtubuledependent transport, can cause similar phenotypic changes, providing further evidence for this hypothesis. Thus, cystogenic proteins, including the nephrocystins, could be involved in specialized cellular transport processes requiring IFTs and motor proteins. Mutations of these proteins can compromise ciliogenesis or ciliary function, but in this case the structurally abnormal cilium is solely an indicator of abnormal intracellular transport processes rather than the molecular basis for the disease-specific phenotypes.

IFT-dependent transport processes may not only be required to bridge long distances (e.g., the transport of cargo vesicles in neuronal axons or the connecting cilium of photoreceptors) but could also serve to establish cellular polarity and asymmetry.<sup>118</sup> Cellular asymmetry powered by IFT-dependent transport may be one of the fundamental programs involved in establishing pH or voltage gradients that determine body axis as well as tubular geometry. PKD researchers may ultimately get their unifying concept: the loss of asymmetry that forces tubular epithelial cells to assume their default value of symmetric, cystic structures. It is possible that the primary cilium of tubular epithelial cells will have to assume once again the role of a supporting actor, only to enter center stage during specialized cellular programs, such as reorientation of tubular epithelial cells after regeneration.

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## **SECTION 3**

# **Genetic Disorders of Renal Function**

### Chapter 9

# **Inherited Nephroses**

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Idiopathic nephrotic syndrome (NS) and focal segmental glomerulosclerosis (FSGS) represent a disparate but related group of clinical entities that account for as much as 5% of end-stage renal disease (ESRD) in adults and 15% to 20% in children. Despite substantial efforts of researchers, relatively little is known about the etiologic factors involved in the pathogenesis of the majority of these disorders. A significant percentage of idiopathic NS reflects an underlying genetic predisposition toward this condition. Through studies of mendelian forms of disease, it has become clear in recent years that a large percentage of cases are in fact caused by inherited genetic changes.

In the past decade, investigators have made substantial progress in further defining the genetic basis of familial forms of NS/FSGS. A growing number of genes have been identified that, when defective, lead to inherited forms of disease; many of their protein products play nonredundant roles in the podocyte, suggesting a central role of this cell type in the pathogenesis of NS/FSGS. This chapter will focus largely on the forms of NS/FSGS that belong to the subset of patients in whom the lesion is a downstream response to direct podocyte or slit-diaphragm injury. In addition, we will discuss other inherited forms of proteinuric disease that cannot be directly attributed to podocyte dysfunction (Table 9-1).

The podocyte, a unique, highly specialized, and terminally differentiated glomerular epithelial cell, exerts a wide range of functions in the glomerulus. It anchors the slit diaphragm and produces extracellular matrix proteins necessary for the maintenance of the glomerular basement membrane (GBM), both constituting essential parts of the filtration barrier. It also serves as a mechanical support system for the glomerular capillary wall, and provides numerous signaling platforms important for the dynamic adaptation to changes in its direct environment.

Mutations in different podocyte proteins can target the function of the podocyte through distinct pathologic mechanisms: by affecting the structure of the slit diaphragm, by directly or indirectly perturbing the intricate podocyte cytoskeleton, by breaking cell-matrix interactions, or by blocking important signaling pathways. All of these mechanisms result in a common final disease pathway characterized by podocyte foot process effacement, proteinuria, and ultimately disruption of the glomerular filter (Fig. 9-1).

Although mutations in many podocyte proteins have already been linked to a human disease phenotype, our knowledge is often based to a great extent on experimental animal models of disease. In the following, a subset of these proteins involved in the pathogenesis of podocyte injury will be discussed in more detail, including clinical course, genetic testing, and population genetics to the extent that data are available.

#### SLIT-DIAPHRAGM COMPLEX

#### NPHS1

Congenital nephrotic syndrome (CNS), also known to as CNS of the Finnish type, is characterized by autosomal recessive inheritance and onset at birth. The first CNS gene to be identified was NPHS1, encoding the nephrin protein. Mutations in NPHS1 lead to the most clinically severe of the inherited podocytopathies.1 Disease begins in utero: abnormal glomerular filtration can be detected prenatally as an increase in maternal  $\alpha$ -fetoprotein. The clinical and pathologic features of CNS were recognized several decades ago.<sup>2</sup> Proteinuria on the order of 20 g/day is not uncommon in these neonates with defects in both the maternally and paternally inherited nephrin alleles. Affected infants require intensive support to live. The clinical severity in the neonatal period is a result of the effects of nephrosis and hypoalbuminemia, rather than kidney failure. Treatment consists of aggressive supportive care until the child reaches a developmental stage at which nephrectomy and renal transplantation can be performed. In the absence of treatment, death typically occurs from the complications of severe nephrosis (infection, thrombosis, intravascular volume depletion). Bilateral nephrectomy is considered standard care, although clinicians are now increasingly performing unilateral nephrectomy before transplantation,
Table 9-1 Inherited Disorders of the Podocyte						
Clinical Disorder	Gene	Locus	Inheritance	Gene Product	Age of Onset	OMIM No.*
Slit-diaphragm and podocyte-associated disease						
Congenital NS of the Finnish type	NPHS1	19q13.1	AR	Nephrin	Infancy	256300
Steroid-resistant NS/FSGS	NPHS2	1q25-31	AR	Podocin	3 months to adulthood	600995
FSGS	CD2AP	6p12	AD	CD2AP	Adult	604241
FSGS	TRPC6	11q21-22	AD	TRPC6	Adult	603965
FSGS	ACTN4	19q13	AD	α-actinin-4	Late	603278
DMS/FSGS	PLCE 1	10Q23	AR	Phospholipase C epsilon	Childhood	608414
Syndromic podocyte disorders						
Denys-Drash syndrome	WT1	11p13	AD	WT1	Infancy	194080
Frasier syndrome						136680
Nail-patella syndrome	LMX1B	9q34	AD	LMX1B	Late	161200
Pierson syndrome	LAMB2	3p21	AR	Laminin-β2 chain	Infancy	609049
Fabry disease	GLA	Xq22	X-linked	α-galactosidase A	Adulthood	301500
Immuno-osseous dvsplasia	SMARCAL1	2q34-36	AR	SMARCA-like protein 1	Childhood	242900

AR

CD151

AD, autosomal dominant; AR, autosomal recessive; DMS, diffuse mesangial sclerosis.

11p15

\*OMIM: Online Mendelian Inheritance in Man<sup>153</sup>

CD151 deficiency

reducing the degree of proteinuria while preserving some kidney function.<sup>3</sup>

CD151

The NPHS1 gene was identified by positional cloning. The NPHS1 product nephrin is a transmembrane protein with a large extracellular domain, a single membrane-spanning segment, and a short intracellular tail that has roles in modulating a number of signaling pathways. It functions critically in maintaining the structure of the slit diaphragm.<sup>8</sup> Although low levels of nephrin have been reported outside of the kidney, expression is greatest in the podocyte.9 Nephrin's function outside of the kidney is unclear.

CNS is significantly more common in Finland than most other locales, as are several other recessive diseases. Two different point mutations account for the majority of CNS, particularly in Finland. These mutations, named Fin-major and Fin-minor,<sup>1</sup> both cause premature termination of the translated nephrin protein. Fin-major is a deletion of nucleotides 121 and 122, leading to a frameshift. Fin-minor, the less common of these two Finnish alleles, is a premature stop codon at residue 1109. Though considered to be largely a Finnish disease, CNS is in fact seen worldwide. More than 70 mutations in NPHS1 have been reported, including missense mutations, premature truncation mutations, and frameshift mutations.10-15 In Old Order Mennonites in Pennsylvania there is also a high incidence of CNS (1 in 500 live births). Two distinct disease-associated NPHS1 alleles have been observed in this population.<sup>16</sup>

In addition to truncation mutations, a large number of missense mutations in NPHS1 have been described, several of which have been studied biochemically. Most of the mutations studied thus far alter proper targeting of nephrin to the slit diaphragm and lipid rafts.<sup>17</sup> In cell culture systems, chemical chaperone therapy restores proper targeting of several mutant forms of nephrin.18,19

Adolescence

609057

Nephrin associates with other proteins with known roles in maintaining or modulating glomerular function. Nephrininteracting proteins include CD2-associated protein (CD2AP) and podocin,<sup>20</sup> both of which are also encoded by diseaseassociated genes (see below). Several close nephrin homologs have been identified, named NEPH-1, NEPH-2, and NEPH-3.<sup>21</sup> Nephrin and NEPH-1 have been shown to interact directly.<sup>22</sup> Mice lacking the Neph1 gene develop a CNS-like phenotype, as do nephrin "knockout" mice.<sup>23-26</sup> However, despite the existence of these close homologs, nephrin has a nonredundant function, as shown by the severe human and mouse "knockout" phenotypes. Nephrin-deficient mice, like humans with CNS, have severe nephrosis at birth.<sup>24-26</sup> In addition to confirming the essential and nonredundant role of nephrin in podocyte function, analysis of these several mouse models suggest that nephrin is not critical to glomerular development.

Proteinuria is observed in a significant percentage of children with CNS after renal transplantation. In general, this seems to be the result of the development of antibodies to nephrin in the recipient.<sup>27,28</sup> This may not always be the cause,



**Figure 9-1** Schematic representation of podocyte foot processes (FP) and the interposed slit diaphragm (SD) covering the outer aspect of the glomerular basement membrane (GBM). Many of the indicated proteins have been shown to be mutated in human or murine forms of nephrotic syndrome and/or focal segmental glomerulosclerosis. (Modified from Moller CC, Pollak MR, Reiser J: The genetic basis of human glomerular disease. Adv Chronic Kidney Dis 13:166–173, 2006.)

as one recent report described a patient with recurrent proteinuria, absence of antibodies to nephrin, and a positive in vitro assay for serum glomerular permeability factor.<sup>29</sup> Studies on the nature and role of serum glomerular permeability factor in the development of proteinuria are currently continuing.

High concentrations of  $\alpha$ -fetoprotein were often used for the prenatal diagnosis of CNS in Finland before the identification of *NPHS1*. Currently in Finland, where two mutations account for 95% of disease, antenatal genetic testing is readily available. This improves the specificity of such testing considerably, because heterozygosity for nephrin mutations in the fetus can also lead to in utero proteinuria and elevated  $\alpha$ -fetoprotein, leading to potential false positives when this is used as a prenatal test.<sup>30–33</sup>

One recent report described muscular dystonia and athetosis in infants with NPHS1-mediated CNS. The neurologic disease was severe and persisted even among those patients receiving dialysis or kidney transplantation, indicating that these features may be a part of the spectrum of NPHS1-associated disease.<sup>34</sup> Additionally, a recent study examined the possible involvement of NPHS1 variation in minimal-change disease.35 Resequencing of NPHS1 in subjects with a documented history of childhood minimal-change disease showed a high frequency (about 20%) of heterozygous nonconservative amino acid changing variants, as compared with a control group. This small study suggests that nephrin mutations may also contribute to increased susceptibility to the development of minimal-change disease. Another recent study investigated the possible role of three common coding sequence missense variants in NPHS1 on the degree of proteinuria and renal function decline in IgA nephropathy. An association with one *NPHS1* variant (G349A) and heavier proteinuria and faster renal function decline was reported.<sup>36</sup> However, the nephrin locus on chromosome 19q does not seem to have a major role in the conferring susceptibility to diabetic nephropathy.<sup>37</sup>

#### Podocin

The podocin gene *NPHS2* was cloned by positional methods.<sup>38</sup> Through analysis of multiple families in which steroid-resistant nephrotic syndrome segregated as a recessive trait, a novel gene located at human chromosome 1p25-31, *NPHS2*, was identified. Prior to these studies, childhood steroid-resistant nephrotic syndrome was not generally recognized to be an inherited disease. Podocin, the gene product, is an integral membrane protein with a single hairpin-like transmembrane domain and intracellular C- and N-terminal tails. Podocin, a 42-kDa, 383–amino acid protein, localizes to the slit diaphragm and interacts directly with nephrin and CD2AP.<sup>17</sup>

Podocin-mediated disease is transmitted as an autosomal recessive trait. Affected individuals inherit one mutant *NPHS2* gene from each parent. More than 50 putative disease-causing mutations have been identified, including missense mutations as well as premature truncation mutants.<sup>39–43</sup> Some substitutions (e.g., R138Q) have been observed in several families without known common ancestors. However, unlike the case for *NPHS1*, there are no major *NPHS2* mutations accounting for the majority of disease cases. Rather, most mutations seem to be recent and independent. Most of the published studies of *NPHS2* genetics have focused on pediatric disease. However, podocin-mediated disease can also present in adolescence or

adulthood, and may lead to a slowly progressive, subnephrotic phenotype.  $^{44}$ 

Podocin-mediated FSGS seems to be the most common inherited form of this set of diseases. Disease is linked genetically to the *NPHS2* locus in 50% of families with steroid-resistant nephrotic syndrome and autosomal recessive transmission.<sup>45</sup> In sporadic steroid-resistant disease (biopsyproven FSGS or disease not proven by biopsy), *NPHS2* mutations are responsible for from 10% to 30% of disease in several large studies.<sup>45,46</sup> The differences in frequency probably reflect both differences in geography as well as different diagnostic criteria for inclusion in these various studies.

Patients with NPHS2-mediated disease typically progress to ESRD. These patients have a much lower rate of recurrent disease in renal allografts than do patients with "idiopathic FSGS".<sup>45,47–49</sup> One study, in contrast to most others, found that the rate of recurrent disease in NPHS2-associated disease was similar to those with idiopathic FSGS.<sup>50</sup> The mechanism for disease recurrence in this setting is not clear.<sup>46</sup>

Patients with frameshift or nonsense mutations in both alleles seem to have the most aggressive disease course. Patients with other variant alleles, particularly that causing an R229Q substitution, tend to have later onset disease.<sup>44</sup> This R229Q podocin variant is present in 7% of most populations (allele frequency 3.5%) and causes steroid-resistant nephrotic syndrome when inherited together with another, more deleterious allele. The R229Q change leads to a partial loss of function and altered association with nephrin.<sup>44</sup> In the heterozygous state, the presence of a R229Q variant increases the risk of microalbuminuria.<sup>51</sup>

The podocin and nephrin genes interact at a genetic level. In children, the presence of a single *NPHS2* mutation may increase the severity of NPHS1-associated disease.<sup>12</sup> Similarly, mouse genetic data suggest that heterozygous defects in multiple podocyte genes can act together to produce a disease phenotype.<sup>52</sup>

Patients with two mutant *NPHS2* alleles do not respond to steroid therapy.<sup>47</sup> There is no clear difference in clinical or pathologic phenotype that could help clinicians distinguish between podocin-mediated disease and "idiopathic" FSGS. Renal biopsy specimens in these patients show minimal-change disease or FSGS that is typical in its histologic appearance.<sup>53</sup> Given the effects of prolonged steroid treatment in children, a strong argument can be made for performing genetic testing for *NPHS2* mutations to help assess the risk that steroid therapy will fail.

There is large variability in the severity of disease from person to person with *NPHS2* mutations, suggesting that other factors, both genetic and nongenetic, are also important in modulating this disease. It is not clear how much of this variability derives from differences in the specific NPHS2 defects. Studies in a podocin-deficient mice have shown that strain-specific differences have a significant effect on the phenotype.<sup>54</sup> It seems probable that in humans, other genes also modify podocin-mediated disease.

Many of the identified podocin mutations lead to defective protein processing, folding, and/or localization, rather than an intrinsic defect in protein function.<sup>55,56</sup> Podocin defects can also alter the processing and localization of nephrin.<sup>57</sup> As with other membrane proteins, this has sparked interest in the possibility of using chaperone therapies to help correct the cellular defect.

# CD2AP

Although CD2AP was initially identified as a T-cell adaptor protein, subsequent work showed that CD2AP also localizes to the slit diaphragm.<sup>58–60</sup> CD2AP interacts directly with nephrin. CD2AP "knockout" mice develop nephrosis, similar to that seen in NPHS1-deficient mice. Further study has shown that mice with one defective Cd2ap allele have increased susceptibility to glomerular damage.<sup>61</sup> Rare *CD2AP* splice mutations have been identified in FSGS patients but not control individuals.<sup>61</sup> Experiments in animal models and cell culture have identified roles for CD2AP in modulating slit-diaphragm signaling and possible involvement in podocyte endocytosis.<sup>62,63</sup> Mice heterozygous for a targeted deletion in Cd2ap show increased accumulation of immunoglobulins in the GBM, suggesting a role for Cd2ap in targeting proteins for degradation.<sup>61</sup> CD2AP associates with podocyte actin, particularly the dynamic actin in endosomes.<sup>64–66</sup> Mice heterozygous for Cd2ap deficiency show increased susceptibility to FSGS when bred to mice with other podocyte gene defects.<sup>52</sup> The role of CD2AP variants in human sporadic and familial disease has not yet been extensively evaluated.

# **TRPC6**

TRPC6 is a member of a large family of ion channels, the transient receptor potential family. TRPC6 (for transient receptor potential canonical 6) is a nonselective cation channel. TRPC6 is activated by diacylglycerol in a protein kinase C-independent manner.<sup>67</sup> A TRPC6 mutation was found to be responsible for FSGS in a large New Zealand kindred.<sup>68</sup> This P112O substitution was found to increase channel activity. Several additional mutations have now been reported.<sup>69</sup> In all reported families, disease follows autosomal dominant inheritance. Affected individuals typically present with adult-onset proteinuria in their third or fourth decade of life. Approximately 60% of patients with FSGS-associated TRPC6 mutations develop ESRD. Some, but not all, of the identified mutations increase TRPC6 activity in heterologous expression assays. TRPC6 localizes to the slit diaphragm and interacts directly with podocin and nephrin.<sup>69</sup> Although TRPC6 is a widely expressed protein, this form of disease seems to be limited to the kidney. Disease associated with TRPC6 mutations is clinically similar to ACTN4-associated disease (see below), with kidney disease presenting in adolescence or adulthood. The variable expressivity of TRPC6 mutations suggests that other factors, genetic or environmental, are required for full expression of the phenotype. Mice with Trpc6 deleted show increased vascular smooth muscle contractility but no overt renal phenotype, consistent with the notion that human disease-associated mutations cause kidney disease via a gain-of-function mechanism.<sup>70</sup>

# **CYTOSKELETON**

# ACTN4

Mutations in the  $\alpha$ -actinin-4 gene *ACTN4* cause a form of kidney disease characterized by subnephrotic proteinuria, the development of podocyte degeneration, and FSGS.<sup>71,72</sup> Thus far, five disease-causing mutations have been identified, all

point mutations within the actin-binding domain of this protein.  $\alpha$ -actinin-4 is a widely expressed homodimeric protein that bundles and cross-links filamentous actin. Its primary intrarenal localization is within the podocyte processes. ACTN4 also interacts with a large number of other proteins, including  $\beta$ -integrins, cell adhesion molecules, and signaling proteins.<sup>73</sup> ACTN4-mediated disease is transmitted in an autosomal dominant manner. In addition to increased affinity to F-actin, mutant  $\alpha$ -actinin-4 is more rapidly degraded than the wild-type protein. Thus, the mechanism of disease may be a combination of loss-of-function and gain-of-function effects.<sup>74</sup>

Mice with a targeted deletion of the *Actn4* gene develop glomerular disease, confirming an essential and nonredundant role for  $\alpha$ -actinin-4 in the glomerulus.<sup>75</sup> Transgenic mice expressing mutant-actinin in podocytes support a biologically dominant effect of human mutations.<sup>76,77</sup> Because disease-associated ACTN4 mutants increase the affinity of the encoded protein to actin, a biologically dominant perturbation in podocyte mechanics may be an important part of the disease mechanism.<sup>71,72</sup> The phenotype of this form of disease is late onset. Affected individuals do not develop nephrotic-range proteinuria or NS. Although data are limited, it seems that FSGS does not recur in kidneys transplanted into these patients.

# **STEROID-SENSITIVE DISEASE**

Although most inherited forms of proteinuria and nephrosis are resistant to immunosuppressive therapy, this is not always the case. Ruf et al recently identified a locus on chromosome 2 that contains a gene responsible for steroid-sensitive nephrotic syndrome in a consanguineous family.<sup>78</sup> They also show that this locus is not responsible for disease in all steroid-sensitive nephrotic syndrome families, demonstrating that, like steroid-resistant disease, this phenotype is also genetically heterogeneous.

# PLCE 1

Hinkes et al used a positional approach to identify PLCE1, the phospholipase C epsilon 1 gene, as an NS-associated gene.<sup>79</sup> Mutations in PLCE1 cause an onset, recessive form of NS with diffuse mesangial sclerosis and/or FSGS. The mechanism of disease is unclear; in addition to its phospholipase C function, PLCE1 also functions as an effector protein in small G-protein signaling.<sup>80</sup> Some affected individuals responded to a course of immunosuppressive therapy, suggesting that PLCE1 plays a critical role in glomerular development, rather than glomerular physiology.

# SYNDROMIC DISEASE

Podocyte dysfunction is also seen as a component of several inherited multi-organ syndromes. These include disorders in which the podocytopathy has been well studied as well as other disorders in which the nature and mechanism of the kidney lesion is obscure.

#### LMX1B

Individuals with nail-patella syndrome display dysplastic nails, hypoplastic patellae, and glomerular disease characterized by hematuria and proteinuria.<sup>81</sup> This disorder follows autosomal dominant inheritance. Affected patients show a highly variable renal phenotype. An altered GBM is a characteristic pathologic finding and may be associated with frank nephrosis. Nail-patella syndrome is caused by mutations in the *LMX1B* transcription factor.<sup>82–84</sup> LMX1B binds to the podocin promoter and seems to be critical to the transcription of several important podocyte-associated genes as well as genes encoding matrix proteins.<sup>85–87</sup> Mice with targeted deletion of the *Lmx1b* gene display a phenotype similar to the human disease.<sup>84</sup> Expression studies suggest that the disease is caused by Lmx1b haploinsufficiency, rather than a biologically dominant effect of mutant Lmx1b.<sup>88</sup>

#### WT1

The *WT1* transcription factor was cloned using positional methods on the basis of its role in the inheritance of Wilms' tumor.<sup>89,90</sup> WT1 has been extensively studied. Precise regulation of WT1 expression seems critical for kidney development as well as regulation of podocyte gene expression.<sup>91–93</sup>

Frasier syndrome and Denys-Drash syndrome are related disorders both caused by WT1 mutations.94-97 These related syndromes are characterized by glomerular disease as well as urogenital anomalies. Frasier syndrome is defined clinically by the presence of FSGS together with male pseudohermaphroditism and a high risk of gonadoblastoma. Control of alternative splicing of WT1, specifically the inclusion or omission of a three-amino acid (KTS) region, seems critical for normal glomerulogenesis and sex determination. Mice lacking the KTS-containing isoform show complete XY sex reversal.98,99 WT1 splice mutations that alter the regulation of the splicing of this KTS region cause Frasier syndrome.97 XY individuals with such mutations may appear as phenotypic females, whereas XX individuals with the same mutations can rarely present with isolated glomerular disease.<sup>100,101</sup> Denys-Drash syndrome is defined by diffuse mesangial sclerosis, genitourinary tumors, and pseudohermaphroditism, and is most commonly caused by mutations in exon 9 of WT1. It is probably best to regard Frasier and Denys-Drash syndromes as parts of a spectrum of disorders resulting from WT1 mutations. Although most reported mutations associated with Denys-Drash syndrome are missense point mutations, there is overlap in the spectrum of mutations associated with these disorders.

Recently, several non-coding variants (single-nucleotide polymorphisms) in the closely linked *WT1* and *WIT1* (Wilms' tumor upstream neighbor 1) genes were genotyped in African Americans with and without FSGS.<sup>102</sup> This study found a significant association between specific haplotypes in the *WT1/WIT1* gene locus and the risk of FSGS in this population.

#### **Pierson Syndrome**

Defects in the laminin- $\beta$ 2 gene *LAMB2* cause Pierson syndrome.<sup>103</sup> In addition to congenital nephrosis, affected

neonates display a number of ocular abnormalities that typically include microcoria, extreme nonreactive narrowing of the pupils. Pierson syndrome is recessive. Affected neonates are homozygous or compound heterozygous for defects in LAMB2. Defects in LAMB2 can also cause congenital nephrosis with minimal eye abnormalities.<sup>104</sup> In addition to podocyte abnormalities, mesangial sclerosis is a frequent histologic feature. Mice deficient in Lamb2 show marked proteinuria before the onset of overt podocyte abnormalities, demonstrating the importance of GBM components as a barrier to protein filtration.<sup>105</sup> As with NPHS1-associated CNS, features of antenatal nephrosis can be detected antenatally by ultrasonography.<sup>106</sup>

#### Immuno-osseous Dysplasia

Immuno-osseous dysplasia is caused by mutations in the *SMARCAL1* gene.<sup>107,108</sup> This rare autosomal recessive disorder presents with spondyloepiphyseal dysplasia, renal dysfunction, and T-cell immunodeficiency. The renal lesion has not been extensively characterized, but progressive renal insufficiency, FSGS, and proteinuria seem to be common features. *SMARCAL1* encodes a widely expressed protein involved in chromatin remodeling, as indicated by the full name of the encoded protein, SWI/SNF related, matrix associated, actindependent regulator of chromatin, subfamily a–like 1.

### **CD151 Deficiency**

A recent report described a pair of siblings with end-stage kidney disease, epidermolysis bullosa, and deafness in association with homozygosity for frameshift mutations in the *CD151* gene.<sup>109</sup> CD151 is a member of the tetraspanin family of cell surface proteins. CD151 interacts with  $\alpha\beta$ -integrins to facilitate laminin binding.<sup>110</sup> Mice deficient in Cd151 develop proteinuria, FSGS, and kidney failure.<sup>111</sup>

# **Mitochondrial Disease**

Mitochondrial disease can present with podocyte abnormalities.<sup>112</sup> The mitochondrial genome is a small circular extrachromosomal genome that is inherited maternally. Several reports have documented mutations in the tRNA(Leu(URR)) gene associated with podocyte abnormalities as well as various nonglomerular phenotypes.<sup>113–116</sup> A recent case report described a girl with FSGS in association with a mutation in the mitochondrial tRNA(Tyr) gene.<sup>117</sup> Even more recently, three infants were described who displayed nephrosis in association with a mitochondrial RC complex II+V deficiency.<sup>118</sup>

# **Animal Models**

Several animal models that show podocyte abnormalities and significant proteinuria have been described. Although several genetically engineered mice have been generated with defects in human disease genes to help understand the biology of disease, defects in several genes without known involvement in human disease have been shown to cause podocyte disease in animal models. Fat1-deficient mice show a congenital nephrotic phenotype similar to nephrin deficiency.<sup>119</sup> Mice lacking the nephrin-interacting protein show a similar pheno-

type.<sup>23</sup> Several other spontaneous or genetically engineered mice show evidence of podocyte foot process effacement, proteinuria, and/or FSGS. Mice with a disrupted Mpv17 gene, which encodes a peroxisomal protein that regulates matrix metalloproteinase production, develop an FSGS-like lesion.<sup>120</sup> Mice deficient in RhoGDI $\alpha$ , a regulator of Rho activity, display massive proteinuria.<sup>121</sup> Mice homozygous for an *N*-ethyl-*N*-nitrosourea–induced mutation in the Kreisler gene, encoding a leucine zipper transcription factor, demonstrate proteinuria and podocyte foot process effacement.<sup>122</sup> This growing list of rodent models will inform studies of human disease. However, most of these genes have not been directly implicated in human podocytopathies.

The Buffalo/Mna rat strain is a particularly interesting model of FSGS.<sup>123</sup> "Normal" kidneys transplanted into these rats develop proteinuria, and lesions in Buffalo/Mna kidneys regress when transplanted into control rats.<sup>124</sup> In contrast to the FSGS/NS genes identified in humans, the gene or genes underlying this rat phenotype may encode a circulating molecule, rather than an intrinsic renal protein.

### **Genetic Heterogeneity/Other Loci**

The known NS/FSGS-associated genes do not account for all inherited forms of these diseases. Mutations in the genes encoding NPHS2, NPHS1, ACTN4, CD2AP, and TRPC6 account for only a fraction of familial disease presenting after infancy. It is not clear what percentages of "sporadic" or "idiopathic" NS or FSGS reflect the effects of underlying gene defects. It seems likely that in addition to other mendelian forms of disease (in which very rare mutations cause a hugely increased disease risk), several genetic variants (in these and other genes) will be identified that increase the risk of disease but are not sufficient to cause disease. The approximately fourfold increased risk of FSGS in individuals of African descent is probably the result of such variants.

# Other Forms of Inherited Proteinuric Disease

Several reports have described apparent familial aggregation of membranous nephropathy either alone or as part of inherited syndromes.<sup>125-129</sup> Responsible genes and loci have not been identified, and the genetic contribution to the development of membranous nephropathy is not well understood. Families with apparent inherited forms of membranoproliferative glomerulonephritis have also been described.<sup>130</sup> A locus responsible for membranoproliferative glomerulonephritis type III in a large Irish pedigree has been mapped to the long arm of chromosome 1.<sup>131</sup> Glomerulopathy with fibronectin deposits, an autosomal dominant disorder characterized by proteinuria, renal failure, and glomerular deposits of fibronectin, has been recently described.<sup>132,133</sup> One report described the recurrence of disease in a renal transplant recipient, suggesting the possibility that responsible gene defect encodes a protein expressed outside the kidney.<sup>134</sup> A locus for glomerulopathy with fibronectin deposits has been mapped to chromosome 1q32.<sup>135,136</sup> This locus may reflect a shared genetic basis among the membranoproliferative glomerulonephritis type III family studied by Neary and colleagues<sup>131</sup> and glomerulopathy with fibronectin deposits.

# Podocyte Protein Expression in Secondary Disease

Decreased nephrin protein expression has been seen in several rodent models of glomerular injury as well as in human primary and secondary glomerular disease, including diabetic nephropathy.<sup>137,138</sup> Loss of nephrin occurs at the protein rather than the transcriptional level, in that nephrin messenger RNA levels have been reported to be increased or unchanged in many of these disease states.<sup>139–143</sup> In some rodent models, angiotensin-converting enzyme inhibitor treatment has been reported to restore this decrease in nephrin expression.<sup>144,145</sup>

An increasing number of studies have examined the expression of disease-causing genes and the encoded proteins in sporadic and secondary forms of disease.<sup>139,142,146–150</sup> Increased transcript levels of several different podocyte markers together with a decrease in protein expression have been observed.<sup>140</sup> Podocin concentrations have been reported to decrease in childhood NS, as does nephrin expression.<sup>146</sup> Glomerular ACTN4 expression is increased in membranous glomerulopathy but decreased in minimal-change disease.<sup>151</sup> In all of these studies, it is difficult to determine which changes in gene and protein expression are proximal components of disease pathogenesis and which changes reflect a downstream response to the disease process.

# **GENETIC TESTING**

Genetic testing is not yet a routine part of clinical care in the evaluation and management of patients with FSGS and/or NS. A reasonable case can be made for testing children with NS for NPHS2 mutations, at least if there is no significant response to initial steroid therapy. It appears clear that NPHS2-mediated disease does not respond to immunosuppression. Early diagnosis could therefore spare children the adverse effects of prolonged immunosuppressive therapy. Mutations in ACTN4, TRPC6, and CD2AP are rare causes of disease. It is difficult to argue that mutational analysis of these genes should be a routine part of the evaluation of patients. When evaluating a patient with ESRD secondary to FSGS or NS for kidney transplant, however, physicians should be aware of the frequently familial nature of these diseases. Care should be taken so as not to transplant a living kidney from a presymptomatic but genetically affected family member. At present, several research laboratories and one commercial company perform mutational analysis of NPHS2 and other NS/FSGSassociated genes. As noted earlier, prenatal genetic testing for NPHS1 mutations is now routine in pregnancies in at-risk couples. This is straightforward in Finland, where two mutations account for 95% of disease. In other locales, where other variants explain a much larger fraction of disease, analysis is more complex.

# TREATMENT

Immunosuppressive therapy is generally ineffective in individuals with FSGS or NS as a result of mutations in the known disease-associated podocyte genes. It has been clearly shown that children with podocin-mutant disease do not respond to glucocorticoids.<sup>47</sup> There have, however, been families reported in which disease does respond to steroids; the responsible genes have not been identified.<sup>78,152</sup> Thus, a family history of NS/FSGS does not by itself rule out the possibility that the disease in that family may be treatment responsive. As the recent report identifying PLCE1 as a gene underlying a treatment-responsive form of disease suggests, various genetic defects may lead to very different clinical presentations.<sup>79</sup> It is increasingly clear that the inherited podocytopathies form a genetically heterogeneous group of diseases, and it is likely that with a greater understanding of the underlying genetic alterations, we will be better able to predict response to therapy by genetic testing. At present, aggressive therapy with angiotensin-converting enzyme inhibitors and/or angiotensin receptor blockers seems to be the appropriate therapy for steroid-resistant inherited podocytopathies as with other nonfamilial proteinuric renal diseases, although the data in support of this are conjectural and anecdotal.

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# Chapter 10

# Molecular and Genetic Basis of Alport Syndrome

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Alport syndrome is an inhertied disease with diverse clinical presentations, arising from mutations in genes encoding polypeptide chains of type IV collagen.<sup>1,2</sup> Normal glomerular basement membrane (GBM) consists of assembled forms of  $\alpha$ 3- $\alpha$ 4- $\alpha$ 5 chains of type IV collagen. Studies in Alport mice ( $\alpha$ 3 chain of type IV collagen deficient mice) have unraveled the intricacies of this complex collagen network. Evidence suggests that Col4A3/A4/A5 gene mutations prevent not only expression of the single  $\alpha$ -chain that is mutated but also expression of all three chains in the GBM, namely, the  $\alpha$ 3,  $\alpha$ 4, and  $\alpha$ 5 chains of type IV collagen due to defective  $\alpha$ 3- $\alpha$ 4- $\alpha$ 5 assembly in the GBM. Renal involvement in Alport syndrome starts with alteration in thickness of GBM. Progressive chronic kidney disease then ensues, leading to end-stage renal disease (ESRD). It is often associated with neural hearing loss and ocular abnormalities.<sup>1-4</sup> The prevalence of the disease is estimated at approximately 1 in 50,000 live births.<sup>5</sup> In certain parts of the world, Alport syndrome accounts for nearly 2% of new cases of ESRD.<sup>6</sup> Although renal transplantation remains an important modality of renal replacement therapy once the ESRD develops, it is important to recognize that alloantibodies may develop against missing collagens (antigens) when a normal graft containing  $\alpha$ 3,  $\alpha$ 4, and  $\alpha$ 5 is implanted into an individual with Alport syndrome, conferring a risk for the development of post-transplant anti-GBM nephritis.7-10 The role of stem cells in preventing progression of chronic kidney disease due to Alport disease is being explored.

#### TYPE IV COLLAGEN AND BASEMENT MEMBRANES

# Biochemistry and Network of Type IV Collagen

Basement membranes are amorphous, dense, sheetlike structures that provide structural support, divide tissue into compartments, and regulate cell behavior.<sup>11,12</sup> Although by electron microscopy all basement membranes might appear similar, their molecular composition is unique in each tissue. Such molecular heterogeneity is obvious in renal basement membranes that contribute to functional specificity manifested in distinct nephron segments. Glomerular basement membrane structure reflects such a phenomenon in that it is important for anatomic integrity and filtration function. Most of our knowledge about basement membranes comes from studies using basement membrane–like material that is produced by the mouse Engelbrecht Holm-Swarm (EHS) sarcoma tumor.<sup>13–17</sup> accumulate within the tumor tissue and can be easily isolated. The major components of GBM are collagen IV, laminin, entactin/ nidogen, and sulfated proteoglycans similar to basement membranes in other parts of the body.<sup>18</sup> Collagen IV, which by weight forms the most abundant component of all basement membranes, in part determines GBM function.

Type IV collagen in mammals is derived from six genetically distinct chain polypeptides ( $\alpha 1-\alpha 6$ ). The type IV collagen  $\alpha$ -chains have similar domain structures and share between 50% and 70% homology at the amino acid level.<sup>7,19</sup> These chains have three major domains:

- 1. The N-terminal 7S domains play a key part in determining the specificity, affinity, and geometry of the tetramer formed through the connection of four protomers (7S box).<sup>20,21</sup> These interactions form the nucleus for a type IV collagen scaffold. The scaffold, which helps in deposition of matrix glycoproteins and attachment of cells, evolves into a type IV collagen suprastructure, with the help of end-to-end associations and also lateral associations between type IV collagen protomers.
- 2. The middle triple-helical domain contains the classical Gly-X-Y sequence motif, in which X and Y represent a variety of other amino acids, characteristic of collagens. This longest domain contains 1,400 amino acids (aa).
- **3.** The C-terminal globular noncollagenous (NC1) domain of each chain is about 230 aa in length. The molecular recognition sequences encoded within the NC1 domains govern the selection of partner chains for both protomer formation and network assembly.

The assembly of a particular trimer begins when the three NC1 domains initiate an as-yet-unknown molecular interaction among three chains. Monomer trimerization proceeds similarly to a zipper from the C-terminal end, resulting in a fully assembled protomer (a trimer of  $\alpha$ -chains). The assembled protomer is flexible and can bend at many triplehelical interruption points in the molecule. Two type IV collagen protomers associate via their C-terminal NC1 domains to form the type IV collagen dimer (an NC1 hexamer). The six type IV collagen chains self-assemble into three basic protomers (each a triple helix) with the composition  $\alpha 1 - \alpha 1 - \alpha 2$ ,  $\alpha 5 - \alpha 5 - \alpha 6$ , and  $\alpha 3 - \alpha 4 - \alpha 5$ . These protomers then are organized into three distinct networks,  $\alpha 1/\alpha 2$ ,  $\alpha 3/\alpha 4/\alpha 5$ , and  $\alpha 1/\alpha 2/\alpha 5/\alpha 6$ , through dimerization at the C terminal. The  $\alpha 3/\alpha 4/\alpha 5(IV) - \alpha 3/\alpha 4/\alpha 5(IV)$  network differs from the others in that it has a greater number of disulfide cross-links between triple-helical domains of 12 completely conserved cysteine residues of NC1 domains, which increase its resistance to proteolysis.

# Distribution of Type IV Collagen in Basement Membranes

Expression of the various type IV collagen chains in human and animal tissues has been studied using monoclonal and affinity-purified polyclonal antibodies.<sup>22–29</sup> The  $\alpha 1(IV)$  and  $\alpha 2(IV)$  chains are normally present in all basement membranes; the  $\alpha 3(IV) - \alpha 6(IV)$  chains exhibit a more restricted distribution. In the kidney the  $\alpha 1(IV)$  and  $\alpha 2(IV)$  chains are found in the glomerular mesangium, GBM, Bowman's capsule, all tubular basement membranes, and all vascular basement membranes. The  $\alpha 3(IV)$ ,  $\alpha 4(IV)$ , and  $\alpha 5(IV)$  chains are present in GBM, Bowman's capsule, and the basement membranes of distal and collecting tubules, but absent from mesangium and vascular basement membranes, whereas the  $\alpha 6(IV)$  chain is found only in Bowman's capsule and distal and collecting tubule basement membranes. Analogous situations exist in other organs, such as the eye and the inner ear, in which the  $\alpha 1(IV)$  and  $\alpha 2(IV)$  chains are found in all basement membranes, whereas only selected basement membranes contain the  $\alpha 3(IV) - \alpha 6(IV)$  chains. The distribution of type IV collagen is frequently altered in kidney disease, but the nature of the alteration varies according to the chain involved. For example, in diabetic nephropathy, the thickening GBM expresses increasing amounts of  $\alpha 3(IV)$ ,  $\alpha 4(IV)$ , and  $\alpha 5(IV)$ chains, whereas the  $\alpha 1(IV)$  and  $\alpha 2(IV)$  chains disappear from the GBM.<sup>30</sup> The new GBM laid down by podocytes in membranous nephropathy contains  $\alpha 3(IV)$ ,  $\alpha 4(IV)$ , and  $\alpha 5(IV)$ chains, but not  $\alpha 1(IV)$  and  $\alpha 2(IV)$  chains.<sup>31,32</sup> These findings, along with the changes observed in Alport syndrome (as discussed below), suggest that the  $\alpha 3(IV)$ ,  $\alpha 4(IV)$ , and  $\alpha 5(IV)$ chains compose a basement membrane collagen network that is distinct from the network formed by  $\alpha 1(IV)$  and  $\alpha 2(IV)$ chains.23,24,33

# Developmental Biology (Transitions) of GBM Complex

Basement membranes are dynamic because of developmentally regulated expression of isoforms of type IV collagen. This phenomenon of complex developmental transitions leading to varying expression of isoforms of type IV collagen with time course has been observed in glomerular and other basement membranes in humans as well other animals.<sup>23,27,34-37</sup> Basement membranes such as the seminiferous tubule basement membrane, epithelial basement membrane of the epididymis, and lens capsule of the eye contain all six isoforms of collagen and allow temporal study of basement membranes.<sup>38,39</sup> Studies on expression of collagen network in normal canine seminiferous tubular basement membrane at discrete time points suggested that the sequence of expression is the  $\alpha 1/\alpha 2$  network appearing first, followed by the  $\alpha 1/\alpha 2/\alpha 5/\alpha 6$  network, and finally the  $\alpha 3/\alpha 4/\alpha 5$  network. In this study  $\alpha 1$  and  $\alpha 2$  chains were strongly expressed by 11 days, the  $\alpha$ 5 and  $\alpha$ 6 chains by 1.5 months, and the  $\alpha$ 3 and  $\alpha$ 4 chains by 2 months of age. In the glomerulus the  $\alpha 1/\alpha 2$  network is expressed from the earliest stages.<sup>40</sup> The  $\alpha 1/\alpha 2/\alpha 5/\alpha 6$ network appears in developing glomeruli in the late S phase, shortly before there are defined capillary loops. The appearance of the  $\alpha 3/\alpha 4/\alpha 5$  network coincides with the time when

capillary loops become apparent and the  $\alpha 1/\alpha 2/\alpha 5/\alpha 6$  network comes to reside in Bowman's capsule.<sup>27,34,35</sup> In lens capsule the developmental shifts in networks are less well defined. Prenatal murine and human lens capsules contain the  $\alpha$ 1,  $\alpha$ 2,  $\alpha$ 5, and  $\alpha$ 6 chains, with the  $\alpha$ 3 and  $\alpha$ 4 chains appearing only postnatally.<sup>16,38</sup> Hence, expression of the  $\alpha 1/\alpha 2/\alpha 5/\alpha 6$  network precedes that of the  $\alpha 3/\alpha 4/\alpha 5$ network, but there is no stage identified with the  $\alpha 1/\alpha 2$ network only. Because the  $\alpha 1/\alpha 2$  network is believed to be ubiquitous, the developmental shift involving the  $\alpha$ 5 and  $\alpha$ 6 chains probably occurs before the earliest time point yet examined. In the murine and canine inner ear, only the  $\alpha 1/\alpha 2$ network is present at birth, with the  $\alpha 3/\alpha 4/\alpha 5$  network not detected until around 2 weeks in mice and 3 to 4 weeks in dogs.<sup>23,36</sup> The  $\alpha 1/\alpha 2/\alpha 5/\alpha 6$  network is not expressed in this site except in vascular smooth muscle. In X-linked Alport syndrome the GBM usually contains only the  $\alpha 1/\alpha 2$  network. In the canine model for Alport syndrome, the ultrastructure and function of the GBM are initially normal, although the  $\alpha 3/\alpha 4/\alpha 5$  network is absent. Deterioration of the GBM begins later in the course of the disease, leading to the conclusion that the  $\alpha 3/\alpha 4/\alpha 5$  network is necessary for longterm maintenance. The situation with the  $\alpha 1/\alpha 2/\alpha 5/\alpha 6$ chains is much less clear. In X-linked Alport syndrome, the  $\alpha 1/\alpha 2/\alpha 5/\alpha 6$  network is usually absent from the kidney and skin and smooth muscle of the bladder, arterioles, and the bronchial tree in canine X-linked Alport syndrome, without apparent clinical or pathologic consequences.<sup>23,28,29,33,36</sup> In normal mouse testis, the appearance of  $\alpha 3/\alpha 4/\alpha 5$  network coincides with the onset of spermatogenesis, prompting speculation that expression of this network might be required for normal function.

#### Interaction between GBM, Epithelial Cell, and Mesangial Matrix

Not only are basement membranes dynamic structures providing structural support, but they also contribute to the acquisition of cellular phenotype and behavior.<sup>19,41</sup> In fact, the  $\alpha$ 3 chain of type IV collagen interacts with  $\alpha_3\beta_1$  integrin and mediates the attachment of glomerular epithelial cells to GBM.42 It was believed that a symbiotic relationship exists between podocyte and GBM. However, recent in vitro studies have refuted such a hypothesis. The podocyte cell line expressed various chains of type IV collagen at the messenger RNA (mRNA) level. However, immunohistologic studies are not conclusive with respect to their ability to export specific type IV collagen trimers into an extracellular matrix. There was no appreciable difference in expression between podocyte cells grown on type IV collagen that were extracted from normal or from Alport syndrome GBM. These findings suggest that the podocyte growth and differentiation are not influenced specifically by the  $\alpha 3/\alpha 4/\alpha 5$  network and further that the chain composition does not seem to affect the viability of the podocytes. This has implications for Alport syndrome, in which the GBM persistently lacks the  $\alpha 3/\alpha 4/\alpha 5$  network. These results suggest that missing collagen network is not accountable for the podocyte loss and eventual glomerulosclerosis that occurs in this disease. The pathogenesis in detail will be discussed later in this chapter.

#### MOLECULAR GENETICS OF ALPORT SYNDROME

The triple-helical type IV collagen molecules are coded by six distinct genes, namely the  $\alpha 1(IV)$  to  $\alpha 6(IV)$  chains, and these can be classified into three pairs: COL4A1/COL4A2, COL4A3/ COL4A4, and COL4A5/COL4A6. The human  $\alpha$ 1 and  $\alpha$ 2(IV) chains are encoded by the genes COL4A1 and COL4A2, respectively, on chromosome 13.43 Similarly, COL4A3 and COL4A4 at the chromosome locus 2q36 encode the  $\alpha$ 3 and  $\alpha$ 4 chains, and the COL4A5 and COL4A6 genes on the long arm of the X chromosome of type IV collagen encode the  $\alpha$ 5 and  $\alpha 6(IV)$  chains, respectively.<sup>44–47</sup> The five exons at the 3' end of each gene encode the C-terminal NC1 domain of the chain, whereas most of the remaining exons encode the collagenous portion. Interestingly, the 5' ends of each gene pair are adjacent to each other oriented head to head, separated by sequences of varying length containing motifs involved in the regulation of transcriptional activity (bifunctional promoters), and the genes are transcribed in opposite directions. Bifunctional promoters have been identified for all the six type IV collagen chain genes. The regulatory machinery controlling COL4A1/COL4A2 transcription consists of two promoters that overlap and operate in opposite directions. This region contains three functional protein binding sites: Sp1 site, CCAAT, and CTC boxes.48,49 Activation regions in the area of the first exon-intron border of each gene are necessary for transcription of COL4A1 and COL4A2.<sup>50</sup> A sequence with inhibitory effects on COL4A1 and COL4A2 transcription, located within intron 3 of the COL4A2 gene, has been described.<sup>51</sup> The rate of COL4A1 and COL4A2 transcription in type IV collagen-producing cells may reflect the relative levels of promoter and silencer activity. Some information regarding the regulatory elements of the COL4A3/COL4A4 and COL4A5/COL4A6 gene pairs is available. The intergenic promoter region of the COL4A3 and COL4A4 genes also contains an Sp1 recognition site, a CCAAT box, as well as CTC boxes, and operates bidirectionally.<sup>52</sup> Both the COL4A3 and COL4A4 genes contain alternative transcription start sites. The intergenic region between COL4A5 and COL4A6 also contains a CTC box. The COL4A6 gene is transcribed from two alternative promoters, resulting in two distinct transcripts, in a tissue-specific manner.<sup>47</sup> It is also suggested that proximal promoter of this gene pair responds to growth factor in a cell type-specific manner. This unique plasticity explains the dichotomy in the localization of  $\alpha 5$  and  $\alpha 6$  chains in basement membranes.<sup>53</sup> Alternative splicing has been described for  $\alpha 3(IV)$  and  $\alpha 5(IV)$  chains.<sup>54–56</sup> Alternative splicing of  $\alpha$ 3(IV) pre-mRNA generates at least six transcripts predicting five distinct proteins, differing in the C-terminal domain. The functional significance of alternative splicing of  $\alpha 3(IV)$ mRNA is unclear. Sequences similar to the CTC box in the 5' flanking regions have not only been identified in genes coding for type IV collagen chains but also genes encoding other extracellular matrix proteins such as fibronectin and laminins, suggesting that regulation of extracellular matrix gene activity may be under the control of a common transcription factor or family of transcription factors.<sup>57</sup>

There are three genetic varieties of Alport syndrome: Xlinked (XLAS), which results from mutations in the *COL4A5* gene and accounts for about 80% of patients; autosomal recessive (ARAS), due to mutations in either *COL4A3* or *COL4A4* and responsible for about 15% of patients; and autosomal dominant, making up the remainder.

# X-linked Alport Syndrome

An important clinical characteristic of the X-linked inheritance is that father-to-son transmission does not occur because the father passes on only the unaffected Y chromosome. Women with XLAS are heterozygous carriers of the disease mutation, most have some degree of microscopic hematuria, and a significant minority develops renal failure. Such a variable course is explained by the so-called Lyon hypothesis describing the pattern of X chromosome inactivation. More than 300 *COL4A5* mutations have been identified thus far.

These mutations are distributed throughout the gene with no apparent mutational "hot spots." With few exceptions, each family carries a unique mutation. Approximately 10% to 15% of COL4A5 mutations are de novo, having occurred in the gamete of a parent. Although deletion of substantial portions of the gene contributes to 21% of the cases, major nondeletional rearrangement of the COL4A5 gene in XLAS families is seen in 5% to 15%. All families in which XLAS co-segregates with diffuse leiomyomatosis (see below) exhibit large deletions that span the adjacent 5' ends of the COL4A5 and COL4A6 genes.<sup>63,64</sup> These deletions involve varying lengths of COL4A5, but the COL4A6 breakpoint is always located in the second intron of the gene.<sup>65,66</sup> The X chromosome in general is rich in LINE-1 elements (mammal-specific retrotransposons). The disease-causing deletions seem to arise as a result of recombination events involving interspersed repetitive LINE-1 elements, at least in some cases.49,67 Leiomyomatosis does not occur in XLAS patients with deletions of COL4A5 and COL4A6 that extend beyond intron 2 of COL4A6. Mutations of COL4A6 alone do not seem to cause XLAS, consistent with the absence of the  $\alpha 6(IV)$  chain from normal GBM.64,68

Other reported types of COL4A5 mutation include missense mutations causing amino acid substitutions (35%), mutations resulting in premature stop codons (nonsense mutations), small deletions or insertions, splice site mutations (39%), and small, in-frame deletions. The great majority of amino acid substitutions occur in the collagenous domain of  $\alpha$ 5(IV) and involve replacement of glycine residues.<sup>59,60,69</sup> Such mutations are thought to interfere with the normal folding of the mutant  $\alpha 5(IV)$  chain into triple helices with other type IV collagen  $\alpha$ -chains. Glycine lacks a side chain, making it the least bulky of amino acids, and is small enough to allow three glycine residues to fit into the interior of a tightly wound triple helix.<sup>70</sup> The presence of a bulkier amino acid in a glycine position presumably creates a kink or an unfolding in the triple helix. Glycine substitutions in the  $\alpha 1$ chain of type I collagen account for the majority of mutations causing osteogenesis imperfecta. Abnormally folded collagen triple helices exhibit increased susceptibility to proteolytic degradation.<sup>71,72</sup> A minority of amino acid substitutions in the  $\alpha$ 5(IV) chain involve critical residues in the C-terminal NC1 domain, such as one of the 12 conserved cysteine moieties. The loss of these cysteines would eliminate a disulfide bond, which could interfere with the formation of triple helices, or with the construction of networks involving  $\alpha 5(IV)$  chains.

# **Autosomal Recessive Alport Syndrome**

Autosomal recessive inheritance accounts for about 15% of patients with hereditary nephritis.<sup>73</sup> Mutations have been identified in the COL4A3 gene in 6 patients and in the COL4A4 gene in 12 patients. In this form of disease, females are as severely affected as males. The clinical manifestations are identical to those of classic X-linked hereditary nephritis.<sup>43,62,74–78</sup> Some of these patients are homozygous for these mutations, some are compound heterozygotes, and some are heterozygotes in whom only one of the mutant alleles has been identified. The COL4A3 mutations include three amino acid substitutions that create premature stop codons (nonsense mutations) and three small frame-shifting deletions, as well as an intronic mutation that produces a frame shift. The COL4A4 alterations consist of two nonsense mutations, three frame-shift mutations, one in-frame deletion, two splicing mutations, and two missense mutations. As with COL4A5, there seem to be no mutation hot spots in COL4A3 or COL4A4.

### **Autosomal Dominant Alport Syndrome**

About 5% of families have autosomal dominant disease, genetically linked to the COL4A3 and COL4A4 locus on chromosome 2.79 A specific mutation of either gene is yet to be reported. The clinical and pathologic features of this form are similar to those of X-linked disease, although progression to renal failure is rare. It is unclear why some heterozygous mutations in the COL4A3 or COL4A4 gene region cause autosomal dominant Alport syndrome, a progressive renal disease, whereas others are associated with thin basement membrane nephropathy, which typically has a benign outcome with only microhematuria and absent proteinuria or renal function impairment.<sup>80-84</sup> Most evidence suggests that chain mutations produce a post-translational defect in protomer assembly. It has also been found that the COL4A3 and COL4A4 genes and genes that encode for nephrin, podocin, and CD2associated protein, forming the glomerular slit-diaphragm and allowing filtration, are regulated by a transcription factor called LMX1B, which is mutated in patients with the nailpatella syndrome. Carriers of a mutant LMX1B gene have a renal lesion of variable severity, which is consistent with a reduction in the  $\alpha 3, \alpha 4, \alpha 5$ (IV) network in GBM and loss of the glomerular filtration barrier.  $^{85-88}$  Levels of Col4 $\alpha3$  and Col4 $\alpha$ 4 RNA and protein are reduced in *LMX1B*<sup>-/-</sup> glomeruli, and LMX1B binds to a site in their common regulatory region. Thus, reduced expression of COL4A3 and COL4A4 in individuals with nail-patella syndrome is a probable consequence of LMX1B haploinsufficiency. This reduction would contribute to the nephropathy that, like Alport syndrome, is characterized by the presence of distinct GBM abnormalities.

# Benign Familial Hematuria or Thin GBM Disease

Benign familial hematuria or thin GBM disease are a set of genetically heterogeneous conditions. In some families, heterozygous missense mutations have been found in *COL4A4* in association with autosomal dominant transmission of hematuria in the absence of renal failure.<sup>89</sup> However, linkage to these genes has been excluded in other families with benign familial hematuria. The observation that carriers of a single mutant *COL4A4* allele may have hematuria provides further evidence that *COL4A4* defects are involved in at least some families with benign familial hematuria, although other alleles may be involved in other families.<sup>90</sup> Immunohistologic staining for type IV collagen chains reveals no abnormalities.

# Contiguous Gene Syndromes Involving Alport Syndrome

#### Alport Syndrome–Diffuse Leiomyomatosis Complex

The association of Alport syndrome with leiomyomatosis of the esophagus and tracheobronchial tree has been reported in approximately 20 families.<sup>91</sup> Affected females in these kindreds typically exhibit genital leiomyomas as well, causing clitoral hypertrophy with variable involvement of the labia majora and uterus. Bilateral posterior subcapsular cataracts also occur frequently in affected individuals in these kindreds. Symptoms usually appear in late childhood and include dysphagia, postprandial vomiting, retrosternal or epigastric pain, recurrent bronchitis, dyspnea, cough, and stridor. Leiomyomatosis may be suspected by chest roentgenogram or barium swallow, and confirmed by computed tomography or magnetic resonance imaging. As noted above, all patients with the Alport syndrome-diffuse leiomyomatosis complex have been found to have deletions that encompass the 5' ends of the COL4A5 and COL4A6 genes and commonly associated with the juvenile form of Alport syndrome. In heterozygous females the penetrance of leiomyomatosis appears to be more than the penetrance of the Alport nephropathy.<sup>92,93</sup>

#### AMME Complex

A syndrome complex consisting of Alport nephropathy, mental retardation, midface hypoplasia, and elliptocytosis (AMME) has been described in two brothers who were shown to have a microdeletion involving the entire COL4A5 gene and extending beyond its 3' end.94 Thus far, two new genes have been identified in the deleted region downstream of the COL4A5 gene: The gene responsible for the mental retardation, ACSL4 (formerly FACL4), encodes an acyl-CoA synthetase with substrate preference for arachidonic acid and is highly expressed in the developing and adult brain. A rapid and sensitive enzymatic assay for this disorder that takes advantage of the expression of ACSLR in leukocytes has been developed [and AMMECR1, a gene that is evolutionarily conserved and encodes a protein with as yet unknown functions.] Other reports of the association of hereditary nephritis and mental retardation are rare.95-97

# **GENOTYPE-PHENOTYPE CORRELATIONS**

The two major clinical features of the Alport phenotype are renal disease and deafness. Families with XLAS can be divided into two groups on the basis of the timing of ESRD in affected males. In families with the juvenile form of XLAS, the mean age of onset of ESRD in affected males is 30 years or younger, whereas in families with the adult form of the disease the mean age of onset of ESRD is older than 30 years. Mutations in *COL4A5* have been found in more than 300 families with XLAS throughout the world.<sup>60</sup> Major rearrangements, mainly

deletions, are detected in 5% to 16% of the kindreds. These deletions are located throughout the gene, with no hot spots. Most deletions but also frame-shift mutations and stop codons leading to synthesis of a truncated  $\alpha 5(IV)$  chain in males are associated with a greater than 90% probability of developing ESRD before the age of 30, (with a 50% renal survival rate of 20 years), hearing loss (in 80%), and ocular changes (in 40%).<sup>98</sup>

As discussed earlier, small mutations in COL4A5, such as missense, splice site, and small in-frame deletions of a few base pairs are the most common lesions, and substitutions for glycine residues in the collagenous domain are a common type of missense mutation (25% of all mutations) and interfere with the normal folding of the  $\alpha$ 5 chain into triple helices with other  $\alpha$ (IV) chains. The probability of developing ESRD before the age of 30 is 50% in patients with missense mutations; the median renal survival rate is 32 years.<sup>60,99</sup> However, the severity of the disease associated with such mutations is highly variable between families, with ESRD in some male individuals occurring as late as 70 years. The position of the substituted glycine, or the substituting amino acid itself, can influence the effect of the mutation on triple-helical folding, and ultimately the impact of the mutation on the severity of the clinical phenotype. Glycine-XY missense mutations of exons 21 to 47 (NC1 domain and collagenous domains proximal to the starting point of formation of the triple helix) are associated with the most severe form of the disease, unlike glycine-XY missense mutations of exons 1 to 20.80 In general, phenotype severities and secondary structures are unpredictable in general from the analysis of genomic DNA or mRNA of the COL4A5 gene, because they depend also on the type of glycine substitutions.<sup>100</sup> Polymerase chain reaction (PCR) single-strand and conformation polymorphism analysis has been used to detect mutations in the COL4A5 gene, but this analysis is very laborious and has a sensitivity of only 30% to 50%. New methods (multiplex genomic amplification using a single PCR condition and primer set; nested reversetranscription/PCR, denaturing high-performance liquid chromatography, or direct sequencing) have a higher sensitivitybetween 79% and 90%. The genotype-phenotype correlations are described elsewhere. A more C-terminal position of the substituted glycine in the collagenous domain has in some studies been associated with a more severe phenotype.<sup>61</sup> The probability of developing hearing loss before the age of 30 in male patients was about 60% in patients with a missense mutation, whereas it reached 90% for the other types of mutations together. All patients with nonsense mutations developed hearing loss before 20 years. Lenticonus was more frequent in patients with large COL4A5 mutations or small mutations leading to a premature stop codon than in patients with missense or splice site mutations. In families with large or truncating mutations, intrafamilial homogeneity is frequent; furthermore, large intrafamilial variability (>20 years difference in age at onset of ESRD) can be observed, mainly associated with missense mutations, often involving glycine residues. In such a family with a proven mutation in the COL4A5 gene, ESRD in affected males was reached between 30 and 70 years of age. A strong genotype-phenotype correlation is observed in families with diffuse esophageal leiomyomatosis. In all cases, a deletion removing the 5' end of COL4A5 and the first two exons of the adjacent COL4A6 has been identified. In affected males, the penetrance of nephritis and leiomyomatosis is 100%. However, in affected females the

penetrance of leiomyomas is greater than the penetrance of nephritis. The precise consequence on the  $\alpha 6(IV)$  chain expression and its role in leiomyomatosis development remains unclear.<sup>66</sup> Detection of mutations may therefore have some utility for predicting prognosis in affected males with XLAS, in association with other clinical and familial features.

Among female patients who are heterozygous for a *COL4A5* mutation, a small percentage develop renal insufficiency and deafness by middle age. The true incidence of renal failure in elderly heterozygotes is unknown. Fewer than 10% of heterozygotes are asymptomatic (i.e., cannot be shown to have hematuria or any hearing deficit). The majority of female carriers have asymptomatic microhematuria and normal hearing. In female carriers of XLAS, no significant correlation was found between the genotype and the severity of renal or hearing impairment.<sup>98</sup> Irrespective of the type of mutation, large intrafamilial variability of the phenotype is generally observed, due to the random inactivation of the X chromosome.

Linkage analysis using a polymorphism inside the *COL4A5* gene may be useful to exclude a mutation at the X-located Alport syndrome locus. More decisively, mutations have been identified in *COL4A3* or *COL4A4* genes in several families. However, these are two large genes (each >50 exons), making mutation detection tedious. They can be nonsense, frame-shift, splicing, or missense mutations, the latter frequently affecting glycine residues, as in the  $\alpha(IV)$  chain. Affected patients are true homozygotes or compound heterozygotes, having two different mutations on the two alleles of *COL4A3* or *COL4A4*. The disease is severe, with a 50% renal survival at 22 years, but ESRD can be reached as late as 44 years. Heterozygous carriers of a *COL4A3* or *COL4A4* mutation usually present with no urinary abnormalities or microscopic hematuria.<sup>81,101</sup>

Some clinical features are highly suggestive of autosomal recessive inheritance of Alport syndrome in 10% to 15% of the families:

- 1. Appearance of the disease in the family after a consanguineous marriage;
- 2. Equally severe disease in males and females, or severe renal disease in females leading to ESRD before 35 years of age. Autosomal dominant AS caused by heterozygote mutations affecting only one allele of *COL4A3* or *COL4A4*. It has been speculated that a dominant negative effect of the mutant is responsible for an intermediate phenotype. This form accounts for no more than 5% of Alport syndrome cases. On the basis of the few families described in the literature, it seems that the renal disease is less severe than in the autosomal recessive form.

It is perhaps not surprising that *COL4A5* mutations that are associated with preservation of GBM expression of  $\alpha 3(IV)$ ,  $\alpha 4(IV)$ , and  $\alpha 5(IV)$  chains often result in a less severe phenotype.<sup>102–104</sup> Information about the effects of individual *COL4A5* mutations on basement membrane expression of these chains, and the relationship of chain expression to phenotype, is still being accumulated.

# PATHOGENESIS

#### **Renal Manifestations**

An incidental observation helped elucidate the pathogenesis

of hereditary nephritis: the GBM of most affected patients did not bind antibodies from patients with anti-GBM antibody disease (including Goodpasture syndrome).<sup>1,105</sup> This finding suggested an abnormality in type IV collagen, against which the anti-GBM antibodies are directed. These studies helped to confirm the pathogenetic link between Alport syndrome and type IV collagen originally hypothesized.<sup>106</sup> The availability of monospecific antibodies against each of the six type IV collagen  $\alpha$ -chains has made it possible to characterize the changes in type IV collagen expression that occur in patients with Alport syndrome.<sup>4,29,33,47,107,108</sup>

It is now clear that the tissue pathology of Alport syndrome results from abnormalities of basement membrane expression of the  $\alpha$ 3,  $\alpha$ 4,  $\alpha$ 5, and possibly  $\alpha$ 6 chains of type IV collagen. These chains are usually absent from or underexpressed in the basement membranes of individuals with Alport syndrome, so that the networks they form are absent or, if present, defective in structure and function. As discussed earlier, in the normal developing kidney,  $\alpha 1(IV)$  and  $\alpha 2(IV)$  chains predominate in the primordial GBM of immature glomeruli.<sup>27,34,109</sup> The formation of capillary loops within the maturing glomeruli is associated with the appearance of  $\alpha 3$ ,  $\alpha 4$ ,  $\alpha 5$ (IV) chains in the GBM. As glomerular maturation progresses the  $\alpha$ 3,  $\alpha$ 4,  $\alpha$ 5(IV) chains become the predominant type IV collagen chains in GBM. This process has been referred to as "isotype switching." Although this "isotype switch" does not occur in Alport syndrome, glomerular development otherwise proceeds normally, and the GBM of young animals and children with Alport syndrome exhibits a normal trilaminar appearance by electron microscopy. These glomeruli exhibit normal capacities for filtration and for selective permeability, as demonstrated by the normal glomerular filtration rates and absence of overt proteinuria that are characteristic of early Alport syndrome in both humans and animals. Therefore, it would appear that proteinuria and renal insufficiency, as well as sensorineural deafness, come about as the result of processes that are initiated by the absence of the  $\alpha 3/\alpha 4/\alpha 5(IV)$ network, rather than arising directly from the absence of this network.

The  $\alpha 3(IV)$ ,  $\alpha 4(IV)$ , and  $\alpha 5(IV)$  chains are identically distributed within the glomerulus,<sup>31</sup> and there is evidence that they combine to form a type IV collagen network that is distinct from the network formed by the  $\alpha 1(IV)$  and  $\alpha 2(IV)$ chains.<sup>4</sup> An abnormality in the  $\alpha 5(IV)$  chain could limit formation of this network, thereby preventing incorporation of the  $\alpha 3(IV)$  and  $\alpha 4(IV)$  chains into GBM.<sup>58,68,110</sup> Similarly, formation of this network could be prevented by primary mutations in the  $\alpha 3(IV)$  or  $\alpha 4(IV)$  chain. Several observations tend to confirm this hypothesis. In most patients with  $\alpha$ 5(IV) mutations, the  $\alpha$ 3(IV) and  $\alpha$ 4(IV) chains, as well as  $\alpha$ 5(IV) chains, are absent from GBM.<sup>58</sup> Transcription of the  $\alpha$ 3(IV) and  $\alpha$ 4(IV) genes is not turned off in the renal cortex in patients with  $\alpha$ 5(IV) mutations, suggesting that failure of incorporation of these chains, rather than failure of synthesis, is responsible for the lack of glomerular expression.<sup>111</sup> In patients with ARAS, primary mutations in the  $\alpha 3(IV)$  chain prevent the expression of the  $\alpha 4(IV)$  and  $\alpha 5(IV)$  chains in GBM. Thus, an abnormality in any of these chains can impair the integrity of basement membranes in the glomerulus, eye, and cochlea, leading to the various clinical findings of Alport syndrome. Heterozygous mutations in the  $\alpha$ 3 and  $\alpha$ 4 chains are responsible for at least some cases of thin basement

membrane disease, an autosomal dominant disorder that does not usually progress to renal failure. In severe forms of human Alport syndrome, mutations in any one of the collagen  $\alpha$ 3 to  $\alpha$ 5(IV) genes not only interfere with the assembly of the  $\alpha$ 3/ $\alpha$ 4/ $\alpha$ 5 network in the GBM but also arrest the normal developmental switch from the  $\alpha$ 1/ $\alpha$ 1/ $\alpha$ 2 network to the  $\alpha$ 3/ $\alpha$ 4/ $\alpha$ 5 network, leading to complete absence of all these three chains from the mature GBM, and retention of the  $\alpha$ 1 and  $\alpha$ 2(IV) chains at maturity.<sup>29,63,107,111–114</sup>

In Alport syndrome the GBM is able to function normally early in life, because the collagen  $\alpha 1$  and  $\alpha 2(IV)$  chains substitute for the missing  $\alpha$ 3 to  $\alpha$ 5(IV) chains. However, there is a progressive thickening and splitting of Alport syndrome GBM that is associated with the onset of hematuria and eventual glomerular obsolescence. The reason for these typical abnormalities is not clear. Several hypotheses have been studied. First, intrinsic properties of the collagen  $\alpha 1/\alpha 2(IV)$ network in Alport syndrome GBM makes the GBM less structurally sound and more susceptible to mechanical strain caused by the pressure of ultrafiltration. Second, the collagen  $\alpha 1/\alpha 2(IV)$  network is more susceptible to proteolysis by endogenous proteases than is the normal  $\alpha 3/\alpha 4/\alpha 5(IV)$ network.34 Third, replacement of the normal collagen IV network with the  $\alpha 1/\alpha 2$  (IV) network results in aberrant accumulation of noncollagenous extracellular matrix molecules, leading eventually to a disrupted ultrastructure.

In support of the first theory, the collagen  $\alpha 3$  and  $\alpha 4(IV)$  chains contain significantly more cysteines than do the  $\alpha 1$  and  $\alpha 2(IV)$  chains, so more extensive disulfide cross-linking, both within and between trimers, should occur.<sup>115</sup> This would be expected to impart increased mechanical stability to the collagen IV network. The most straightforward demonstration of the mechanical instability arising directly from the absence of the  $\alpha 3/\alpha 4/\alpha 5(IV)$  network from basement membranes is anterior lenticonus, in which the anterior lens capsule lacks the strength to maintain the normal conformation of the lens. Similarly, microhematuria, the first invariable renal manifestation of Alport syndrome, reflects GBM thinning and a tendency to develop focal ruptures.

Episodic gross hematuria precipitated by infections, which is not uncommon during the first two decades of life, may reflect increased susceptibility of the Alport syndrome GBM to proteolysis. Persistence of the fetal distribution of  $\alpha 1$  and  $\alpha 2(IV)$  chains in Alport syndrome kidneys confers an increased susceptibility to proteolytic attack by collagenases and cathepsins in plasma traversing glomerular capillaries in vitro. Proteolytic injury over a prolonged period may be responsible for the progressive basement membrane splitting and eventual glomerulosclerosis that are characteristic of this disorder. It is possible that the cysteine-rich  $\alpha$ 3,  $\alpha$ 4, and  $\alpha$ 5(IV) chains confer a selective advantage by increasing the resistance of the GBM to proteolytic attack. Persistence of the fetal distribution of type IV collagen in glomeruli is also observed in canine models of hereditary nephritis.<sup>109,116</sup> Consistent with the hypothesis concerning an increased susceptibility to proteolytic injury, the persistent fetal GBM is associated with a decline in glomerular filtration rate only after several months of life. In a recent study  $\alpha 3(IV)\alpha/\alpha$  mice, the abnormal GBM composition was more susceptible to proteolytic degradation compared with wild-type mice. The initial disruption of GBM was associated with increased matrix metalloproteinase (MMP) levels from infiltrating monocytes augmenting GBM

degradation in these mice.<sup>117,118</sup> Inhibition of basement membrane-degrading MMPs significantly delayed the onset of proteinuria in these mice when they were treated before proteinuria was observed (Fig. 10-1). Similarly, renal basement membrane isolated from human kidney samples with XLAS displays an increased susceptibility to proteolytic degradation by MMP-2, MMP-3, and MMP-9. Progression of renal disease in patients with XLAS is associated with increased expression of MMP-2, MMP-3, and MMP-9 as in the  $\alpha 3(IV)\alpha/\alpha$  mice. These results suggest that MMP-mediated degradation of GBM is a key event in the progression of renal disease in  $\alpha 3(IV)\alpha/\alpha$  mice and in individuals with XLAS. Such MMP upregulation is not unique to Alport disease. However, in the context of Alport disease, such MMP upregulation degrades the GBM faster as a result of defective type IV collagen composition, whereas the degradation kinetics are unaltered in other kidney diseases.

In support of the third theory, ectopic accumulation of the laminin  $\alpha 2$  and  $\alpha 1$  chains in the GBM occurs both in human Alport syndrome and in animal models of Alport syndrome.<sup>119–121</sup> In addition, ectopic accumulation of laminin  $\alpha 1$ was found exclusively in mouse Alport syndrome GBM. Normally, laminin-11 ( $\alpha$ 5 $\beta$ 2 $\alpha$ 1) is the only known laminin trimer present in the mature GBM.<sup>122</sup> Accumulation of the laminin  $\alpha 2$ ,  $\beta 1$ , and  $\alpha 1$  chains could be pathogenic, perhaps leading to the typical lesions observed in Alport syndrome GBM and/or to aberrant behavior of the adjacent podocytes and subsequent foot process effacement and proteinuria. Expression of laminins  $\alpha 1$  and  $\alpha 1$  is particularly interesting, because these two chains are found in developing GBM vet are normally eliminated at maturity, when  $\alpha 1$  is replaced by  $\alpha 5$ and  $\beta$ 1 is replaced by  $\beta$ 2.<sup>123</sup> Studies have also shown that in still-developing kidneys, laminin  $\alpha$ 1 is eliminated on schedule at the capillary loop stage of glomerulogenesis, but it reappears



Figure 10-1 A, Podocyte foot process, glomerular basement membrane (GBM), slit-diaphragm, and endothelial cell constituting normal glomerular filtration apparatus. Inset shows collagen hexamer in normal mature GBM. B, Early manifestations of Alport syndrome are characterized by thinning of GBM, mild splitting, and podocyte effacement. Inset shows collagen hexamer in mature GBM of Alport syndrome. C, Delayed manifestations of Alport syndrome include effacement of foot process, irregular splitting and thinning of GBM; inflammatory cells release matrix metalloproteinases and other inflammatory mediators that further damage the GBM, and progressive glomerulosclerosis ensues.

in the mature GBM very soon thereafter. The sites of reappearance are those areas of GBM that exhibit the subepithelial thickening and delamination that is characteristic of Alport syndrome GBM. It has also been shown by immunoelectron microscopy that both podocytes and endothelial cells contribute laminin  $\alpha 1$  to the affected GBM. Interestingly, no  $\alpha 1$  or  $\beta 1$  was detected in ultrastructurally normal stretches of GBM, demonstrating a clear association between GBM lesions and deposition of ectopic laminins.<sup>124</sup>

Like all provocative findings, these lead to additional important questions that will hopefully be answered by future studies. First, do the GBM lesions elicit synthesis of the ectopic lamining by the adjacent glomerular cells, or does the aberrant type IV collagen complement present in Alport syndrome GBM elicit deposition of ectopic laminins, which then results in the observed lesions? If the latter is correct, then preventing ectopic expression of the laminin  $\alpha 1$ ,  $\beta 1$ , and  $\alpha 2$  chains will perhaps improve GBM ultrastructure and slow disease progression. In fact, mutation of integrin  $\alpha_1$  in the same mouse model of Alport syndrome inhibited both accumulation of ectopic laminins ( $\alpha 2$  and  $\beta 1$ ) and GBM delamination, and this was associated with slowed progression to renal failure.<sup>120</sup> (Whether  $\alpha$ 1 deposition was affected in this context was not studied.) Second, are the ectopic laminins themselves pathogenic, or are the GBM lesions alone sufficiently disruptive to either ultrafiltration or podocyte homeostasis so as to cause foot process effacement and disease? Whereas laminins a and  $\beta_1$  are widely expressed, laminin  $\alpha_1$  is a relatively rare chain in tissues other than kidney,<sup>125</sup> and in nephrons it is normally confined primarily to basement membranes of the proximal tubule and loop of Henle. The restricted expression pattern of laminin  $\alpha$ 1 may suggest that it confers unique properties that are not compatible with some specialized basement membrane functions, such as those associated with glomerular ultrafiltration. This would be consistent with the abrupt elimination of laminin  $\alpha$ 1 from the developing GBM before the onset of significant glomerular capillary blood flow and ultrafiltration. A better understanding of both the mechanism of the reexpression of laminin  $\alpha$ 1 associated with Alport syndrome and its biologic consequences is certain to provide important new insights into diverse glomerulopathies and GBM homeostasis.

Using gene knockout mouse models, two different pathways, one mediated by transforming growth factor (TGF)- $\beta$ 1 and the other by integrin  $\alpha 1\beta 1$ , have been demonstrated to affect Alport syndrome glomerular pathogenesis in distinct ways. In mice with Alport syndrome that are also null for integrin  $\alpha 1$  expression, expansion of the mesangial matrix and podocyte foot process effacement are attenuated. The novel observation of non-native laminin isoforms (laminin-2 and/or laminin-4) accumulating in the GBM of mice with Alport syndrome is markedly reduced in the double knockouts. The second pathway, mediated by TGF- $\beta$ 1, was blocked using a soluble fusion protein comprising the extracellular domain of the TGF- $\beta$ 1 type II receptor. This inhibitor prevents focal thickening of the GBM but does not prevent effacement of the podocyte foot processes (Fig. 10-2). If both integrin  $\alpha 1\beta 1$  and TGF- $\beta 1$  pathways are functionally inhibited, glomerular foot process and GBM morphology are primarily restored and renal function is markedly improved. These data suggest that integrin  $\alpha 1\beta 1$  and TGF- $\beta 1$  play a significant role in the pathogenesis of progression in the glomerulonephritis of Alport syndrome. Absence of  $\alpha 1\beta 1$ integrin results in an inhibition of laminin  $\alpha 2$  and  $\beta 1$  chain deposition in the GBM.<sup>120,126</sup> Before the onset of detectable renal dysfunction, multiple abnormalities in glomerular extracellular matrix production can be detected, including markedly elevated levels of fibronectin and perlecan (a heparan sulfate proteoglycan) in the basal laminae. Similar findings have been noted in X-linked hereditary nephritis in humans.<sup>34</sup>

The processes that bring about GBM thickening, proteinuria, and renal insufficiency in males with XLAS, and in both males and females with ARAS, remain undefined, although there are some clues to what may be occurring. Unlike other glomerulopathies, Alport syndrome is characterized by the accumulation of the  $\alpha 1(IV)$  and  $\alpha 2(IV)$  chains, along with types V and VI collagen, in the GBM. These proteins appear to spread from their normal subendothelial location, so that they come to occupy the full width of the GBM. As Alport syndrome glomeruli undergo sclerosis, the  $\alpha 1(IV)$  and  $\alpha 2(IV)$ chains disappear from the GBM, but type V and type VI collagen persist and, in fact, continue to accumulate. It is possible that the altered expression of the  $\alpha 1(IV)$  and  $\alpha 2(IV)$ chains, type V collagen, and type VI collagen represents a compensatory response to the loss of the  $\alpha 3(IV)$ ,  $\alpha 4(IV)$ , and  $\alpha$ 5(IV) chains from the GBM, or may reflect altered gene expression resulting from changes in signaling from the extracellular matrix to the nucleus. For example, it has been shown that the  $\alpha 3\beta 1$  integrin, the predominant integrin expressed on visceral epithelial cells, interacts preferentially with the  $\alpha 3(IV)$  chain.<sup>42</sup> The absence of the  $\alpha 3(IV)$  chain could lead to changes in the activity or expression of this integrin, producing changes in intracellular signaling. In transgenic mice with ARAS due to partial deletion of COL4A3, renal mRNA levels for the  $\alpha 1(IV)$  and  $\alpha 2(IV)$  chains progressively increase, suggesting activation of these genes.<sup>27</sup> Whatever the underlying mechanism, the unrestrained deposition of certain collagens in GBM may contribute to glomerulosclerosis in Alport syndrome. Alport syndrome resembles other chronic glomerulopathies in that deterioration of glomerular filtration rate is closely correlated with fibrosis of the renal interstitium.127 Measurable increases in cortical interstitial volume are unusual in males with XLAS under age 10 years, but progressive expansion of the interstitium frequently occurs during the second decade of life.94 The processes driving interstitial fibrosis in Alport syndrome have yet to be characterized.

#### **Extrarenal Manifestations**

It is likely that abnormal collagen function is also responsible for the extrarenal manifestations of hereditary nephritis. As discussed above, lens abnormalities in the form of anterior lenticonus, which occurs in 20% to 30% of males with XLAS and is pathognomonic of the disease, results from thinning of the lens capsule, the basement membrane that surrounds the lens. The lens capsule normally contains  $\alpha 3$ ,  $\alpha 4$ , and  $\alpha 5(IV)$ chains, but these chains are absent from the lens capsule in at least some individuals with lenticonus.<sup>128</sup>

The results indicate that isotype switching does not occur within the cochlea in Alport syndrome. The results are also consistent with the hypothesis that the sensorineural hearing loss in Alport syndrome may be due to alterations in cochlear micromechanics and/or dysfunction of the spiral ligament.<sup>129,130</sup> The pathogenetic relationship between partial



Figure 10-2 Ultrastructural analysis (transmission electron microscopy) of GBM from control mice and mice treated with MMP inhibitor. **A**, Control C57BL/6 mice at 14 weeks of age. **B**, Kidneys from 5-week-old  $\alpha 3(|V|)^{-/-}$  mice before the onset of proteinuria, displaying the beginning of splitting of the GBM and podocyte effacement (*arrow*). **C**, Kidneys from  $\alpha 3(|V|)^{-/-}$  mice at 14 weeks of age, which were treated from 5 weeks of age with MMP inhibitors for 9 weeks, displayed moderate GBM splitting and podocyte effacement (*arrows*). **D**, Kidneys from 12-week-old  $\alpha 3(|V|)^{-/-}$  mice without treatment showed severe lesions of the GBM associated with podocyte effacement (*arrows*). (From Zeisberg M, et al: Stage-specific action of matrix metalloproteinases influences progressive hereditary kidney disease. PLoS Med 3:e100, 2006.)

deletions of the 5' end of the *COL4A6* gene and the formation of leiomyomas is not understood. Defects in the  $\alpha$ 6 chain gene alone do not appear to cause hereditary nephritis.

# PATHOLOGY

There are no pathognomonic lesions in the kidney by light microscopy or direct immunofluorescence. Relatively more specific findings are seen in electron microscopy.

# **Light Microscopy**

The light microscopic appearance of the glomerulus varies with the stage of the disease. Early in the disease course the glomeruli appear normal; with time, mesangial proliferation becomes evident, and eventually the glomeruli exhibit focal and global sclerosis. Similarly, the renal tubules and interstitium appear normal during childhood, with tubular atrophy and interstitial fibrosis developing over time. Direct immunofluorescence of kidney biopsy specimens is typically unremarkable or reveals only nonspecific immunoprotein deposition. The changes on light microscopy are nonspecific and include focal increases in glomerular cellularity progressing to glomerulosclerosis, and an interstitial infiltrate containing lipid-laden foam cells of uncertain origin.

# Indirect Immunofluorescence

Indirect immunofluorescence of type IV collagen  $\alpha$ -chain expression in renal and/or skin basement membranes can be diagnostic. XLAS and ARAS cannot be differentiated by routine analysis of renal biopsy specimens, but in many cases the inheritance of Alport syndrome can be determined by analysis of type IV collagen  $\alpha$ -chain expression.

# **Electron Microscopy**

Electron microscopy of the kidney frequently reveals diagnostic abnormalities, which are seen regardless of the inheritance pattern or the presence or absence of sensorineural deafness or ocular abnormalities. The pathognomonic ultrastructural feature of the kidney in Alport syndrome is laminated appearance of basement membrane characterized by thickening of the GBM, with transformation of the lamina densa into a heterogeneous network of membranous strands, which enclose clear electron-lucent areas that may contain round granules of variable density measuring 20 to 90 nm in diameter. The origin of these granules is unknown, but they may represent degenerating islands of visceral epithelial cell cytoplasm. The altered capillary walls typically demonstrate epithelial foot process fusion, which may be extensive even in the absence of significant proteinuria. The epithelial aspect of the capillary wall is typically quite irregular. The pathognomonic GBM lesion occurs in most, but not all, individuals with Alport syndrome. Affected young males, heterozygous females at any age, and affected adult males on occasion, may have diffusely attenuated GBM measuring as little as 100 nm or less, rather than the pathognomonic lesion. Studies of males with human or canine Alport syndrome have shown that the earliest manifestation of the GBM lesion is attenuation, and that the extent and severity of thickening and multilamellation increase with age.<sup>131</sup> In males, the proportion of GBM showing splitting increases from about 30% by age 10 to over 90% by age 30. Heterozygous females may have normalappearing GBM or, on the other end of the spectrum, diffuse GBM thickening and multilamellation, but most will display mild to moderate abnormalities.<sup>132</sup> Not all Alport syndrome kindreds demonstrate these characteristic ultrastructural features. Thick, thin, normal, and nonspecifically altered GBM have all been described. Although diffuse attenuation of GBM has been considered the hallmark of benign familial hematuria, some individuals with GBM attenuation have progressive renal disease and a COL4A5 mutation.<sup>59</sup> Correlation between the percentage of GBM showing splitting of the lamina densa and the degree of proteinuria in individuals with Alport syndrome, suggesting that increased permeability of the GBM to protein may be a functional consequence of the GBM alteration, was shown in earlier studies.<sup>133</sup> Morphometric parameters such as mesangial volume fraction, cortical interstitial volume fraction, and percentage of global glomerular sclerosis are inversely correlated with creatinine clearance in Alport syndrome. Similar relationships have been observed in other diseases, such as diabetic nephropathy and membranoproliferative glomerulonephritis. However, individuals with Alport syndrome show significantly greater impairment of filtration for any degree of structural change, in comparison with patients with diabetic nephropathy or membranoproliferative glomerulonephritis, suggesting that these morphologic abnormalities only partially account for reductions in creatinine clearance in Alport syndrome. Decreased conductivity of water across the altered glomerular capillary wall could contribute to the decrement in filtration. Expansion of the cortical interstitium, a measure of interstitial fibrosis, is rarely observed before the age of 10 years in males with Alport syndrome.

# **CLINICAL FEATURES**

Several hundred unrelated kindreds with progressive hereditary nephritis, with or without deafness, representing all geographic and ethnic groups, have been reported in the medical literature. Alport syndrome accounts for about 3% of children with ESRD in the United States, and the incidence of Alport syndrome among adults in the United States with ESRD is 0.2%, according to the United States Renal Data System. Alport syndrome was diagnosed in 11% of children undergoing renal biopsy for isolated hematuria.<sup>134</sup> In certain parts of the world, Alport syndrome accounts for nearly 2% of new cases of ESRD.<sup>6</sup>

#### **Renal Involvement**

The initial renal manifestation of Alport syndrome is asymptomatic microhematuria. Persistent microhematuria is a constant feature and is present from early in life in boys, but may not be diagnosed until adulthood unless the patient is screened because of an affected family member. Many also have episodic gross hematuria, precipitated by upper respiratory infections, during the first 2 decades of life. Males without hematuria by the age of 10 years are unlikely to have hereditary nephritis.<sup>135</sup> Female patients who are heterozygous for XLAS may have intermittent hematuria, and as many as 10% of obligate female heterozygotes never manifest hematuria. Hematuria seems to be persistent in both male and female patients with ARAS.

Proteinuria is usually absent during the first few years of life but develops eventually in males with XLAS and in both males and females with recessive disease. Proteinuria increases progressively with age and may culminate in the nephrotic syndrome. Significant proteinuria is relatively infrequent in females who are heterozygous for XLAS, but it may occur.

Hypertension also increases in incidence and severity with age. Like proteinuria, hypertension is much more likely to occur in affected males than in affected females in the Xlinked form of the disease, but there do not seem to be gender differences in the autosomal recessive form.

The rate of progression to renal disease is fairly constant among affected males within a particular family, with ESRD developing in virtually all affected males with XLAS usually between the ages of 16 and 35, although significant intrakindred variability has occasionally been reported.<sup>136</sup> The prognosis in affected females with XLAS is generally benign, with most surviving into old age with clinically mild renal disease. Approximately 15% of female heterozygotes develop renal insufficiency during adolescence and young adulthood. Many women with progressive nephritis maintain adequate renal function until late in life; the true risk of renal failure in elderly heterozygotes remains to be determined. Gross hematuria in childhood, nephrotic syndrome, and diffuse GBM thickening by electron microscopy are features suggestive of progressive nephritis in affected females.<sup>137</sup> As in women with other chronic nephritides, pregnancy does not seem to affect renal function adversely in those with mild disease, but it may be associated with accelerated loss of function in those with more severe disease. Both males and females with ARAS appear likely to progress to ESRD during the second or third decade of life.

# **Extrarenal Manifestations**

A variety of extrarenal manifestations may be present in individuals with Alport syndrome. The most common are sensorineural hearing loss<sup>138</sup> (which begins in the high tones and progresses over time to frequencies in the range of conversational speech) and eye changes such as anterior lenticonus, white or yellow flecking of the perimacular region of the retina, and corneal lesions such as posterior polymorphous dystrophy and recurrent corneal erosions.<sup>3</sup> Anterior lenticonus, which occurs in 20% to 30% of males with XLAS, is pathognomonic of the disease. As previously mentioned, leiomyomas are seen in patients with deletions at the 5' ends of both the *COL4A5* and *COL4A6* genes.<sup>139</sup> Sensorineural deafness and anterior lenticonus are also indicative of an unfavorable outcome in affected women.

Megathrombocytopenia (thrombocytopenia with large or giant platelets) has been described in some families with autosomal dominant hereditary nephritis and sensorineural deafness. This complex has been referred to as Epstein syndrome or, when associated with leukocyte cytoplasmic inclusions, Fechtner syndrome. These disorders have been mapped to chromosome 22, and result from mutations in the gene encoding nonmuscle myosin heavy chain 9 (MYH9).<sup>140</sup> Mutations in this gene can also cause Sebastian syndrome, another giant platelet disorder, and nonsyndromic hereditary deafness. Thus, Epstein and Fechtner syndromes represent distinct disorders arising from mutations in noncollagen genes, not variants of autosomal dominant Alport syndrome.

#### Carriers

As described above, females are usually carriers of X-linked disease and are much less likely than males to develop progressive renal injury. This was shown in the first study of the natural history of female carriers with proven *COL4A5* mutations.<sup>141</sup> Among female carriers followed in 195 affected families, the incidence of ESRD before age 40 was 12% (as compared with 50% to 90% in males).<sup>98</sup> However, older females are at increased risk of progressive renal disease, with a 30% probability of developing ESRD by the age of 60. This may represent an overestimate, because approximately one-third of women, probably those less severely affected, were lost to follow-up.

Risk factors for chronic renal insufficiency in female carriers include episodic gross hematuria in childhood, sensorineural deafness, heavy proteinuria, and extensive basket-weave GBM changes visible on renal biopsy specimens. By comparison, women carriers with only asymptomatic hematuria by the age of 30 to 40 years have a very small risk of eventually developing renal failure.

An interesting feature noted in the previous study is the large clinical heterogeneity observed among female carriers. As an example, there was little correlation between rapidly progressive disease in a particular young woman, and the severity of disease among related female carriers. A possible explanation is that the variability results from the random inactivation of the X chromosome within affected organs, with a large percentage of the wild-type allele being inactivated in the kidneys of severely affected women.

There seem to be similar clinical phenotype features among carriers of X-linked and autosomal recessive disease. As an example, among 47 carriers of X-linked disease, all had dysmorphic hematuria, but very few developed renal failure, clinical hearing loss, retinopathy, or lenticonus.<sup>74</sup> By comparison, 11 of 14 carriers (79%) from two families with autosomal recessive disease had dysmorphic hematuria, but none had renal failure, clinical hearing loss, retinopathy, or lenticonus.

# DIAGNOSIS

Alport syndrome should be considered in the initial differential diagnosis of patients with persistent microscopic hematuria, once structural abnormalities of the kidneys or urinary tract have been excluded. It becomes a very important consideration in the presence of a significant family history and deafness. Persistent microscopic hematuria is also noted in other glomerular conditions such as IgA nephropathy, which can be ruled out with the absence of family history, and thin basement membrane disease with the absence of deafness and progressive renal failure. As many as 15% of the cases were diagnosed exclusively by biopsy, in the absence of family history. These cases usually represent autosomal recessive inheritance or are de novo in origin.<sup>62</sup>

Monoclonal antibody probes have helped to elucidate the tissue distribution of the  $\alpha$ 3,  $\alpha$ 4, and  $\alpha$ 5(IV) chains. These chains are normally located in the GBM, Bowman's capsule, the distal and collecting tubule basement membrane, and several basement membranes of the cochlea and eye.<sup>33</sup> The  $\alpha$ 5(IV) chain but not the  $\alpha$ 3 and  $\alpha$ 4(IV) chains is also present in the basement membrane underlying the epidermis.

#### IMMUNOHISTOCHEMISTRY

#### **Skin Biopsy**

Because the  $\alpha 5(IV)$  chain is expressed in the epidermal basement membrane, examination of skin biopsy samples by immunofluorescence for expression of  $\alpha 5(IV)$  is an important tool for making a diagnosis of Alport syndrome.<sup>142,143</sup> Incubation of skin biopsy specimens with a monoclonal antibody directed against the  $\alpha$ 5(IV) chain showed complete absence of staining of epidermal basement membranes in most males with XLAS, whereas female carriers had discontinuous (mosaic pattern) staining.33 The latter observation is compatible with the Lyon hypothesis, in which it would be expected that onehalf of cells would express a normal  $\alpha 5(IV)$  chain. Thus, in a male patient with clinical features and family history suggesting XLAS, examination of skin for  $\alpha 5(IV)$  expression may obviate the necessity for kidney biopsy. Although unambiguous mosaicism of  $\alpha 5(IV)$  expression is diagnostic of the carrier state, a normal result does not exclude heterozygosity. In other words, a female member of an Alport kindred who does not have hematuria may still be a carrier even in the absence of clearly defined mosaicism. About 20% of males with XLAS and an unknown percentage of heterozygous females exhibit normal staining of skin for the  $\alpha$ 5(IV) chain. In addition, all individuals with ARAS have normal skin reactivity for this chain. Thus, the presence of epidermal basement membrane staining for the  $\alpha 5(IV)$  chain does not exclude a diagnosis of Alport syndrome. Box 10-1 lists factors to take into account when considering a skin biopsy.

# **Renal Biopsy**

Renal biopsy usually clinches the diagnosis of Alport syndrome. The indications for renal biopsy are:

- 1. Inconclusive family history and clinical features;
- 2. Skin biopsy equivocal;

#### Box 10-1 Advantages and Disadvantages of Skin Biopsy

#### **Advantages**

Early diagnosis possible, even in the absence of severe renal disease;

Less traumatic and less invasive;

Obviates need for renal biopsy;

Heterozygous females can be diagnosed.

#### Disadvantages

Normal staining of skin is exhibited in 20% of males with XLAS and an unknown percentage of heterozygous females;

Autosomal RAS will be associated with normal skin reactivity;

Not a good test for detecting asymptomatic carriers of *COL4A5* mutations but only useful in the presence of other clinical findings;

A mutation in the COL4A5 gene that permits deposition of a functionally abnormal but antigenically normal  $\alpha$ 5(IV) chain gives misleading results.

**3.** Presence of features suggestive of progressive renal disease such as rise in creatinine, hypertension, and proteinuria.

Immunostaining of renal biopsy specimens for type IV collagen can also be useful in the evaluation of patients with suspected Alport syndrome.<sup>108</sup> Specimens from males with XLAS typically show complete absence of immunostaining for the  $\alpha$ 3,  $\alpha$ 4, and  $\alpha$ 5(IV) chains in their kidneys, whereas those from heterozygous females exhibit patchy loss of staining in GBM and tubular basement membranes. As with skin staining for the  $\alpha$ 5(IV) chain, approximately 20% of specimens from individuals with XLAS show normal staining of renal basement membranes for the  $\alpha$ 3,  $\alpha$ 4, and  $\alpha$ 5(IV) chains. At least some of these patients have missense mutations of *COL4A5*.<sup>34</sup>

Patients with ARAS show abnormalities of renal type IV collagen expression that differ from those of patients with Xlinked disease. These patients typically exhibit complete absence of staining for the  $\alpha$ 3 and  $\alpha$ 4(IV) chains. However, whereas their GBM specimens show no staining for the  $\alpha$ 5(IV) chain, there is staining of Bowman's capsules and tubular basement membranes for the  $\alpha 5(IV)$  chain.<sup>107</sup> This observation makes sense if it is assumed that the  $\alpha$ 5(IV) chain must associate with  $\alpha$ 3 and  $\alpha$ 4(IV) chains to be incorporated into GBM, but can associate with the  $\alpha 6(IV)$  chain in tubular basement membranes, Bowman's capsules, and epidermal basement membranes. Hence, analysis of renal expression of type IV collagen  $\alpha$ -chains can serve not only to confirm a diagnosis of Alport syndrome but also to distinguish between the X-linked and autosomal recessive forms of the disease. Biopsy specimens from patients with thin basement membrane nephropathy, who may present in a similar fashion to those with Alport syndrome, show normal renal basement membrane staining for type IV collagen chains. Thus, normal staining for these chains can be used to support a diagnosis of thin basement membrane disease, in the context of the appropriate clinical findings, family history, and histologic observations. However, normal staining for these chains does not exclude a diagnosis of Alport syndrome. Correlation of immunohistologic findings with pedigree information may help to clarify ambiguous results.<sup>103</sup>

#### **Electron Microscopy**

For many clinicians, electron microscopic examination of renal tissue remains the most readily available means for confirming a diagnosis of suspected Alport syndrome. The presence of diffuse thickening and multilamellation of the GBM predicts a progressive nephropathy, regardless of family history or the presence or absence of deafness.<sup>144</sup> This approach has some limitations, however. Ultrastructural information alone does not establish the mode of transmission in a particular family. Thus, in a patient with a negative family history, electron microscopy cannot distinguish de novo X-linked disease from autosomal recessive disease. In some individuals the biopsy findings may be ambiguous, particularly in females and young patients of either sex. Furthermore, families with progressive nephritis and COL4A5 mutations in association with thin GBMs have been described,<sup>59</sup> indicating that the classic GBM lesion is not present in all Alport kindreds. It is not unusual for the pediatric nephrologist to see a child with hematuria and discover that multiple relatives also have hematuria, none of whom has ever undergone kidney biopsy. Confirmation of Alport syndrome in one member of a kindred with familial hematuria virtually establishes the diagnosis for other affected members, so the person having the biopsy should ideally be the one person most likely to exhibit unambiguous renal lesions. The natural history of the renal lesion suggests that older male subjects are more likely to exhibit diagnostic ultrastructural abnormalities of GBM. In families in which a firm diagnosis of syndrome has been established, evaluation of individuals with newly recognized hematuria could be limited to ultrasonography of the kidneys and urinary tract in most instances. In the absence of tumor or structural anomalies of the urinary tract, a diagnosis other than Alport syndrome is unlikely.

#### **Molecular Genetic Analysis**

Molecular genetic testing may eventually become the diagnostic procedure of choice, because it is noninvasive and can be extremely accurate.<sup>145,146</sup> However, the use of single-strand conformational polymorphism analysis of PCR products may result in a relatively low mutation detection rate (37% to 50%), with some false negative results possibly arising from tandem duplications.<sup>147</sup> To improve accuracy, one future approach may be to detect mutations by sequencing of mRNA from cultured skin fibroblasts using reverse-transcriptase PCR.<sup>148</sup> Other approaches include multiplex genomic amplification and denaturing high-performance liquid chromatography. Molecular genetic testing, though laborious, has the potential to provide information essential for determining prognosis and guiding genetic counseling in the following settings<sup>142</sup>:

- 1. Prenatal diagnosis;
- Provides the only means for reliably diagnosing the carrier state in asymptomatic female members of kindreds with XLAS and for making a prenatal diagnosis of Alport syndrome<sup>73,148</sup>;
- **3.** Clinical situations in which a firm diagnosis of Alport syndrome cannot be established by renal or skin biopsy;

4. Clinical situations in which it is not possible to determine the mode of transmission, despite careful evaluation of the pedigree and application of the immunohistologic methods.

In these situations, because reproductive decisions may be influenced by genetic counseling information, erroneous determination of the mode of inheritance of Alport syndrome can have profoundly unfortunate consequences.<sup>149</sup> The inheritance of Alport syndrome in a family can be determined by linkage analysis, which does not require identification of a particular mutation. Ideally, every individual with Alport syndrome would have the diagnosis and inheritance confirmed by molecular genetic analysis. At present, however, the clinical utility of molecular genetic analysis for diagnosis of Alport syndrome is limited. The sheer size of the type IV collagen loci (>50 exons), combined with the great variety of mutations, most of which are missense alterations of a single base pair, presents a formidable obstacle, even when automated sequencing is available. Consequently, screening for COL4A3, COL4A4, and COL4A5 mutations is time-consuming, expensive, and confined to a handful of research laboratories. Mutation screening utilizing single-strand conformational polymorphisms currently identifies only about 50% of COL4A5 mutations.<sup>59</sup> Complete sequencing of COL4A5 is a more sensitive method that is capable of detecting over 80% of COL4A5 mutations,146 but this technique is expensive and not yet generally available to clinicians. As with other genetic disorders, presymptomatic identification of a mutation at one of the Alport-associated loci may have implications for health and life insurance.

### **INSIGHTS FROM ANIMAL MODELS**

The existence of spontaneous and genetically engineered animal models of Alport syndrome provides excellent opportunities for investigating the pathogenesis of renal failure and deafness in this disease, for evaluating the effectiveness of potential interventions, and for understanding the roles of type IV collagen in basement membranes.

### **Canine Models**

#### Samoyed Hereditary Glomerulopathy

Samoyed hereditary glomerulopathy (SHG), an X-linked dominant disease, was first described in 1977.150,151 Both females and males develop proteinuria by 2 months of age. However, males tend to develop progressive decline in renal functions, and death ensues by 15 months of age. At birth, the GBM of affected males appears identical to that of normal dogs, but by 1 month of age splitting of the lamina densa is evident. With progressive splitting and irregular thickening, the GBM changes become indiscernible from those of human XLAS. Affected females have focal splitting of GBM. Immunochemical studies of SHG basement membranes have revealed changes in type IV collagen expression that are similar to those found in XLAS.<sup>109,152,153</sup> The identification of a mutation in COL4A5 resulting in a premature stop codon as the cause of SHG confirmed that it is a canine form of Alport syndrome.154 When the seminiferous tubule basement membrane was examined in dogs with Alport syndrome, it was found to be thinner than in controls. However, spermatogenesis began at the same time as with normal dogs, although the number of mature sperm was significantly reduced in dogs with Alport syndrome. Thus, it would appear that  $\alpha 3/\alpha 4/\alpha 5$  and  $\alpha 1/\alpha 2/\alpha 5/\alpha 6$  networks are not essential for the onset of spermatogenesis, but long-term function may be compromised by the loss of one or both networks. Although this situation could be analogous to the GBM in Alport syndrome, with testis serving as a model system to study the sequence of type IV collagen network expression, it is too preliminary to conclude this with certainty.<sup>40</sup>

### Navasota Hereditary Nephritis

Lees et al<sup>155</sup> recently described an X-linked form of hereditary nephritis in a family of mixed-breed dogs centered in Navasota, Texas. The GBM of Navasota hereditary nephritis (NHN) males undergoes ultrastructural changes that are identical to those observed in Alport syndrome and in SHG, and NHN basement membranes exhibit alterations of  $\alpha 1(IV)$ through  $\alpha 6(IV)$  expression that mimic XLAS. Affected dogs do not carry the same *COL4A5* mutation as SHG dogs, but the specific mutation causing NHN has yet to be identified.

#### Hereditary Nephritis in English Cocker Spaniels

The occurrence of a familial nephropathy in English cocker spaniels has been recognized for years.<sup>156</sup> Recently Lees and colleagues<sup>118</sup> reported that glomerular ultrastructural findings in affected dogs are identical to those of human Alport syndrome. Pedigree analysis suggests autosomal recessive inheritance, and immunohistochemical studies of type IV collagen expression have shown changes similar to those observed in ARAS.<sup>157</sup> The causative mutation has not been determined as yet.

# **Bull Terrier Nephritis**

Hood et al described an autosomal dominant hereditary nephropathy in bull terriers that is associated with glomerular ultrastructural abnormalities characteristic of Alport syndrome. In these dogs, expression of the  $\alpha$ 3(IV) and  $\alpha$ 5(IV) chains in the GBM is preserved.<sup>158</sup>

#### **Murine Models**

ARAS models have been created in two transgenic mouse strains by introduction of Col4A3 mutations.<sup>159,160</sup> As with the spontaneous canine models, these mice develop glomerular ultrastructural changes that are characteristic of Alport syndrome, along with changes in the expression of type IV collagen chains that mimic those observed in the human ARAS. These mice lack the expression of  $\alpha$ 3 chain at both the mRNA and protein levels. This mouse provided the opportunity to test the hypothesis of whether assembly of  $\alpha$ 3 and  $\alpha$ 5 chains is always regulated tightly in basement membranes in which they are both normally present. In an analysis of various basement membranes in the  $\alpha 3^{-/-}$  mice, it was found that in the kidney, a lack of  $\alpha$ 3 was associated with the absence of  $\alpha 4$  and  $\alpha 5$ , whereas in the anterior lens capsule and the strial capillary basement membrane of the cochlea, the expression of  $\alpha$ 5 persisted despite the absence of  $\alpha$ 3 chain in these basement membranes. It was also shown that  $\alpha$ 5 NC1

co-localized with  $\alpha$ 1 chain in the same hexamer in the  $\alpha$ 3<sup>-/-</sup> and wild-type mice. These results suggest that  $\alpha 1$  and  $\alpha 5$  can be present in the same network in the absence of  $\alpha$ 3 chain. In the kidney, the expression of these two chains seems tightly coordinated and dependent on the expression of each other, but the study presents a possibility of tissue-specific type IV collagen assembly. Such tissue-specific  $\alpha$ -chain assembly can be achieved presumably via factors such as chaperones. In this regard a heat shock protein (47 kDa) chaperone has been identified for collagen assembly, including the type IV collagen. It has been speculated that assembly of type IV collagen  $\alpha$ -chains in the formation of organ basement membranes is potentially dependent on tissue-specific assembly factors that are yet to be discovered. Such structural differences among organ basement membranes potentially contribute to important functional diversity.<sup>161</sup>

Renal disease pathogenesis in the Alport mouse model seems to follow a two-step process that is divided by the onset of proteinuria. Before the onset of proteinuria the fundamental difference in the Alport syndrome glomerulus is the absence of the collagen  $\alpha 3(IV)$ ,  $\alpha 4(IV)$ , and  $\alpha 5(IV)$  chains in the GBM. By 4 weeks of age (nearly 2 weeks before the onset of proteinuria), there are significant basement membrane changes, with focal thinning, thickening, and splitting. Also evident is a marked accumulation of extracellular matrix components in the GBM of affected animals. Alport mice have been very helpful animal models for the study of chronic renal disease in view of consistency in progression that disease.<sup>162</sup>

# PREVENTION AND THERAPEUTIC MODALITIES

# Pharmacotherapy

# Angiotensin-Converting Enzyme Inhibitors

The availability of canine and murine models of Alport syndrome has allowed the testing of genetic or pharmacologic therapies, so as to select promising treatments for human trials. There is no specific treatment for Alport syndrome. If weakening of the GBM is important, then even normal intraglomerular pressures may be inappropriately high. As a result, it has been proposed (though not proven) that lowering the intraglomerular pressure with antihypertensive therapypreferably with an angiotensin-converting enzyme (ACE) inhibitor-may diminish the rate of disease progression. Results from two animal models are compatible with this hypothesis.<sup>163</sup> In COL4A3-knockout mice, for example, the use of ramipril early in life substantially delayed the onset of renal failure and reduced renal fibrosis. The beneficial effect of ACE inhibition in murine Alport syndrome could reflect antifibrogenic and/or anti-inflammatory actions.

Clinically, there is preliminary evidence that ACE inhibitors can substantially reduce protein excretion at least in some patients with Alport syndrome, a possible marker for protection against long-term progression.<sup>164–166</sup> In the absence of more data, it is reasonable to treat any patient with hypertension with an ACE inhibitor, as well as normotensive males with evidence of progressive disease. As in other proteinuric states, the combination of an ACE inhibitor and an angiotensin II receptor blocker may be more effective in reducing urine protein excretion than either agent alone.

# Cyclosporine

Preliminary evidence in affected patients suggests that cyclosporine may halt progressive disease in patients with poor prognostic signs (severe proteinuria).<sup>167</sup> After 8.4 years of therapy in one recent study, eight such patients had a stable creatinine clearance and a lower or equivalent degree of proteinuria as compared with baseline values. This result should be viewed with caution, given the small sample size and the lack of contemporaneous controls. These observations raise the possibility that proteinuria itself may be injurious to renal tubules and interstitium in Alport syndrome, and that effective suppression of proteinuria may retard progression to ESRD. The observation that mesangial expansion and interstitial fibrosis may correlate with progressive disease has led researchers to evaluate the effectiveness of cyclosporine. In a canine model of XLAS, cyclosporine treatment failed to diminish proteinuria but resulted in longer renal survival.<sup>168</sup> Knowledge of the proper role of this agent requires further study.

#### Matrix Metalloproteinase Inhibitor

Matrix metalloproteinases are upregulated in Alport syndrome.<sup>169</sup> Upregulation of MMPs is not unique to Alport disease. However, in the context of Alport disease such MMP upregulation degrades the GBM faster because of defective type IV collagen composition and consequent altered degradation kinetics. Considering that MMP-mediated degradation of GBM is the key event in the progression of renal disease in Alport syndrome, MMP inhibitors have been tried in mouse models of Alport syndrome. When used in the early phase, MMP inhibitors prevent progression of renal disease.<sup>118</sup>

#### **Protein Restriction**

Valli et al<sup>201</sup> found that feeding male dogs with SHG a diet restricted in protein, lipid, calcium, and phosphorus resulted in prolongation of survival and retardation of the progression of GBM splitting, although all eventually died.

### **Renal Transplantation**

At present, renal transplantation is the only viable alternative to dialysis in Alport syndrome with ESRD. The data of the North American Pediatric Renal Transplant Cooperative Study (NAPRTCS) document equivalent allograft survival rates in patients with familial nephritis, as compared with patients with other diagnoses.<sup>170</sup> Recurrent disease does not occur in the transplant (because the donor GBM is normal), but approximately 3% to 4% of patients develop de novo anti-GBM antibody disease.<sup>171,172</sup> In one case report, acute vascular rejection occurred secondary to anti-GBM antibodies.<sup>173</sup>

Risk factors for development of post-transplant anti-GBM disease are male gender, presence of deafness, and ESRD before the age of 30.<sup>174–176</sup> In patients with X-linked disease, these antibodies are usually directed primarily against the  $\alpha$ 5(IV) chain, but antibodies against the  $\alpha$ 3(IV) chain are found in some patients. Anti-GBM antibody disease usually occurs in the first year after transplantation, but an interval of several years between transplantation and presentation with anti-GBM disease can occur. Affected patients typically have circulating anti-GBM antibodies and are at high risk ( $\leq$ 75%)

for crescentic glomerulonephritis and loss of the graft.<sup>177,178</sup> Treatment with plasmapheresis and cyclophosphamide, as used in patients with primary anti-GBM antibody disease, seems to be of limited benefit in this setting. High incidence of graft loss and recalcitrance to treatment have been attributed to the high affinity and avidity with which anti-GBM antibodies bind to the GBM.<sup>179</sup> Repeat transplantation in these patients is associated with a high risk of recurrence (seven of eight in one report).

Occurrence of a great majority of cases of post-transplant anti-GBM nephritis in Alport syndrome in males is consistent with the hypothesis that even a female heterozygote that developed ESRD has some cells synthesizing and secreting a normal  $\alpha$ 5(IV) chain. Alport syndrome patients who develop anti-GBM disease in the allograft presumably have failed to develop immunologic tolerance for the  $\alpha 5(IV)$  chain.<sup>9</sup> In patients with ARAS who develop post-transplant anti-GBM nephritis, the predominant target of anti-GBM antibodies is the  $\alpha 3(IV)$  chain, the Goodpasture epitope.<sup>7,180</sup> It is unclear why only some patients develop anti-GBM antibody disease after transplantation. One possibility is that the type of genetic defect in the COL4A5 gene plays an important role. The risk seems to be greatest in patients with COL4A5 mutations that prevent synthesis of the  $\alpha$ 5(IV) chain. A review of Alport syndrome patients with post-transplant anti-GBM disease found that 54% (7 of 13) had large deletions in the COL4A5 gene as compared with a deletion frequency of 16% in all patients with this disorder.<sup>9</sup> In comparison, less severe defects could allow critical parts of the  $\alpha 5(IV)$  chain to be expressed and therefore recognized as a self-antigen. The observation that glomeruli in some families with classic X-linked disease are able to bind anti-GBM antibodies is compatible with this hypothesis.<sup>181</sup> However, a COL4A5 gene deletion alone is not sufficient to cause anti-GBM disease in the renal transplant. In one study, only one of seven patients with a COL4A5 deletion and complete absence of the  $\alpha 5(IV)$  chain developed anti-GBM disease in the renal transplant. This observation indicates that variables other than exposure to a previously unseen antigen must be involved. This includes the complex interplay between the host's genetic complement and the way in which epitopes are presented to the immune system.<sup>182</sup> Differences in the ability to activate the cellular arm of the immune system may be another reason why only some patients develop posttransplant anti-GBM disease.<sup>183,184</sup> One study evaluated the variation in anti-GBM antibody formation in patients with Alport syndrome in whom post-transplant nephritis had or had not developed (12 and 10 patients, respectively). All patients displayed some combination of antibodies directed against the  $\alpha$ 3,  $\alpha$ 4, or  $\alpha$ 5 chains of type IV collagen. The pattern of antibody expression did not differ between the two groups, suggesting that nonhumoral immune factors may contribute to the observed variation in incidence of nephritis. It has also been noted that some Alport syndrome patients develop linear IgG staining of the transplant GBM, without evidence of C3 deposition, glomerulonephritis, or allograft dysfunction.<sup>178</sup> It is clear that Alport syndrome patients with COL4A5 deletions can undergo renal transplantation without developing anti-GBM nephritis, indicating that other factors, presently unknown, must influence the initiation and elaboration of the immune response to the allograft. At this time, the only way to determine whether a previously untransplanted Alport syndrome patient will develop post-transplant anti-

GBM nephritis is to perform the transplant, although as noted above, certain patients are at very low risk. At the present time it is not possible to predict the outcome of a first renal transplant in any particular Alport syndrome patient, whether or not that patient's mutation has been characterized. Because individuals with Alport syndrome typically exhibit excellent graft survival rates, the use of the best functioning and matched kidney is recommended. The issue of whether a patient who has already lost an allograft to post-transplant anti-GBM nephritis should receive a second transplant is difficult, given the high likelihood of recurrent disease and subsequent graft failure. Should women who are heterozygous for COL4A5 mutations be allowed to serve as kidney donors? Clearly, those with proteinuria, hypertension, or renal insufficiency will not be allowed to donate, and the same should apply if any hearing loss is present. On the other hand, it may not be unreasonable to allow heterozygotes with hematuria but normal renal function and hearing to donate a kidney. There is no long-term follow-up information on the impact of uninephrectomy in such women, although there does not seem to be a drastic decline in renal function over the first several years after transplant.<sup>185</sup> The wishes of a heterozygous woman with asymptomatic microhematuria should be thoughtfully considered, but it must be assumed at this time that the risk to such an individual of ultimately developing significant renal insufficiency is substantially higher than it is for the usual kidney donor. The relatively low incidence of clinical anti-GBM antibody disease means that renal transplantation is not contraindicated in patients with Alport syndrome. However, the optimal management is uncertain in patients who lost their first graft to anti-GBM antibody disease. The recurrence rate is high in subsequent transplants, but isolated cases of successful repeat transplantation have been reported.

# **Gene Therapy**

Kidney-targeted gene therapy could be an ideal treatment for renal diseases, because the effect of the therapeutic molecule is limited to the kidney. The harmful effects to other tissues can presumably be limited. The kidney is, however, a welldifferentiated organ with specialized compartments, composed of glomerulus, tubule, vasculature, and interstitium. These anatomic compartments are separated by basement membranes and associated cells. The GBM separates the blood flow from the urine flow, and the tubular basement membrane separates urine flow from interstitial fluid. These membranes complicate gene transfection, because they act as anatomic barriers blocking passage of the vectors.<sup>186</sup>

Alport syndrome is not believed to be an attractive candidate for gene therapy, because it seems to be difficult to deliver the *COL4A5* gene selectively to podocytes by passing the GBM. Heikkila et al developed a new gene transfer technique, that is, a perfusion of adenoviral vector for 2 to 12 hours, that allows gene transfer exclusively to glomerular cells.<sup>187</sup> They succeeded in the delivery of the  $\alpha 5(IV)$  collagen gene to the glomerular cells by renal perfusion of adenovirus vector. They further applied this technique to gene therapy in canine model Alport syndrome. The introduced gene for  $\alpha 5(IV)$  collagen expressed in the glomeruli and assembled triple-helical type IV collagen fiber composed of  $\alpha 3(IV)$ ,  $\alpha 4(IV)$ , and  $\alpha 5(IV)$ . A major issue remaining is how the administration of the *COL4A5* gene can be repeated in the clinical situation. Generally, treatment of rare monogenic hereditary diseases is not so attractive from the viewpoint of industry and drug development. Gene therapy might be a less expensive alternative therapy for rare diseases.

# **Stem Cell Therapy**

Transplantation of Col4A3-/- mice with wild-type bone marrow ( $Col4A3^{+/+}$ ) cells was a revelation, in that not only did a successful engraftment of these cells into the damaged glomeruli as podocytes and mesangial cells occur, it also led to significant improvement in renal function recovery from glomerular architecture defects. The repair of GBM architecture and glomerular integrity is associated with expression of  $\alpha$ 3 chain of type IV collagen and restoration of  $\alpha$ 4 and  $\alpha$ 5 chain expression, with viable triple-helical molecules and network organization of type IV collagen in the renal basement membranes.<sup>188</sup> The use of stem cell therapy in human diseases remains a controversial issue because of ethical concerns of using human embryonic stem cells. Alternatively, in the last few years several reports have suggested the ability of bone marrow cells to implant and differentiate into different tissues, including the kidney.<sup>189-191</sup> Furthermore, bone marrow-derived cells localize to inflamed tissues of various organs. In this study, bone marrow transplantation was performed after kidney injury was established in the organ. This report showed how stem cells can be used to repair extracellular basement membrane defects. This study unequivocally demonstrated that soluble factors liberated by the putative stem cells cannot explain the therapeutic effect; instead, bone marrow-derived cells are required to become resident glomerular cells and provide the missing type IV collagen chain to enable repair and reversal of GBM defects and recovery of renal function. Alternatively, bone marrowderived cells could fuse with the existing glomerular cells and provide therapeutic benefit or transfer their nuclei to damaged podocytes and enable repair. Further experiments are required to understand the specific mechanisms. The issue of immune cells and other cells from the bone marrow becoming trapped in the glomeruli and providing nonspecific benefit by liberating collagens does not arise, because immune cells do not produce matrix proteins. Transplantation of mice with bone marrow cells did not result in any immunoglobulin deposition along the GBM, suggesting a lack of humoral response toward the newly synthesized GBM at the time points evaluated (data not shown). Interestingly, this study demonstrated that inflamed and/or injured glomeruli recruit cells that are derived from bone marrow stem cell, suggesting that inflamed glomeruli (with respect to growth factors and other attractants) provide a molecular recognition sink for bone marrow-derived cells that is not provided by normal glomeruli. It is believed that such recruitment is caused by the special environment of the damaged glomeruli. Moreover, podocytes are present along the outer aspect of the GBM and, hence, recruitment of transplanted podocytes in this model could be specific to the nature of this disease with respect to GBM defects and breaks, providing significant gaps that enable the migration of bone marrow-derived cells to the outer aspects of the GBM. It was demonstrated that about 10% of the cells in Col4A3<sup>-/-</sup> mice with bone marrow transplant are transplanted cells, suggesting that, for therapeutic benefit, a small but significant number of cells is required versus total replacement of all damaged cells and complete repair of GBM. Finally, these results offer an opportunity for patients with Alport syndrome: a treatment of their inherited renal failure that is an alternative to lifelong dependence on hemodialysis or the prospect of repeated kidney transplantation starting at young adolescence.

# **SUMMARY**

Understanding the complex structure of basement membranes has unveiled new paradigms in understanding of several genetic diseases including Alport syndrome. A genetically heterogeneous disease, Alport syndrome arises from mutations in genes coding for basement membrane type IV collagen. About 80% of Alport syndrome cases are X-linked, resulting from mutations in *COL4A5*, the gene encoding the  $\alpha$ 5 chain of type IV collagen. Most other patients have ARAS due to mutations in COL4A3 or COL4A4, which encode the  $\alpha$ 3(IV) and  $\alpha$ 4(IV) chains, respectively. Autosomal dominant Alport syndrome has been mapped to chromosome 2 in the region of COL4A3 and COL4A4. The primary pathologic event seems to be the loss from basement membranes of a type IV collagen network composed of  $\alpha$ 3,  $\alpha$ 4, and  $\alpha$ 5(IV) chains. Although this network is not critical for normal glomerulogenesis, its absence seems to trigger a complex interplay among several extracellular matrix proteins leading to destruction of basement membrane and additional glomerulosclerosis. A careful and diligent evaluation of family history and documentation of absence of epidermal basement membrane expression of  $\alpha$ 5(IV) through skin biopsy can obviate the need for kidney biopsy in the diagnosis of Alport syndrome. With the availability of molecular genetic tools, a simplified but mechanistic approach to the diagnosis of Alport syndrome is probable. There are no specific therapies for Alport syndrome. Renal transplantation for Alport syndrome with ESRD is usually very successful. Occasional patients develop anti-GBM nephritis of the allograft, almost always resulting in graft loss. Recent studies using stem cells to repair the collagen defects in Alport syndrome are promising. With advancements in basic science and new evidence from stem cell research, a pragmatic approach to management of Alport syndrome is evolving.

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# Chapter 11

# Nail-Patella Syndrome Ralph Witzgall

The development of the mammalian kidney from two interacting tissues, the metanephrogenic mesenchyme and the ureteric bud, is ultimately reflected by the presence of two distinct, yet connected systems, the nephrons and the collecting ducts, respectively. The nephrons consist of a filtration unit, the glomerulus, and a subsequent processing portion, the tubule, which empties into the collecting duct. Although it is clearly established that podocytes, like the rest of the nephron, originate from the metanephrogenic mesenchyme, it is far from understood what mechanisms underlie podocyte development, structure, and function. What signal tells parietal cells and podocytes to separate and form Bowman's space, how does the podocyte orchestrate the formation of primary and foot processes and the interdigitation of the latter, how is the formation of the slit diaphragm regulated, and what molecules mediate the communication between podocytes and glomerular endothelial cells? Fortunately, the identification of genes mutated in patients suffering from hereditary kidney diseases and the increasing data from genetically modified animals have begun to unravel parts of the puzzle.

### PATHOLOGIC FEATURES OF PATIENTS WITH NAIL-PATELLA SYNDROME

This chapter will deal with the nail-patella syndrome, a complex of symptoms also known as hereditary osteo-onychodysplasia (HOOD syndrome), Turner-Kieser syndrome, Fong disease, and Österreicher syndrome. Nail-patella syndrome occurs only rarely; its prevalence has been repeatedly quoted at 1 in 50,000, although this author is not aware of a published epidemiologic study from which this figure has been derived. As already indicated by its name, the syndrome has been christened after the malformed fingernails and toenails and the hypoplastic or even absent kneecaps; however, it is the renal disease that determines the prognosis of individuals with this disorder. Despite being one of the first hereditary diseases for which linkage to a polymorphic marker, in this case the ABO blood group, was established,<sup>1</sup> more than 40 years passed before mutations in the LMX1B gene were found to be responsible for nail-patella syndrome.<sup>2-4</sup>

The *LMX1B* gene encodes a transcription factor with two zinc-binding LIM domains at the NH<sub>2</sub>-terminus, a DNAbinding homeodomain in the middle, and a putative activation domain at the COOH-terminus. Orthologous genes were first cloned as *Lmx1* in the chick<sup>5,6</sup> and as *Lmx1.2* in the hamster<sup>7</sup>; only later were the human (then called *LMX1.2*),<sup>8</sup> the murine,<sup>9</sup> and the *Xenopus laevis*<sup>10</sup> genes identified. The protein is produced in a variety of tissues, and in actuality *Lmx1b* first gained prominence because it is necessary to specify the dorsoventral axis in the limb.<sup>5,6</sup> A lack of Lmx1b leads to dorsoventral axis defects, which explains the nail and patella symptoms in those suffering from the disorder. Nail changes of variable severity can be noted in 98% of patients, with the thumbnail usually being the most severely or only affected nail.<sup>11</sup> Patellar symptoms have been noticed in 74% of the patients, and again the severity was quite variable.<sup>11</sup> One other pathognomonic sign is the growth of bone spurs on the posterior face of the iliac bone, so-called iliac horns, which was detected in approximately two-thirds of the patients.<sup>11</sup> Nail-patella syndrome can be associated with several other symptoms, of which only one in addition to the renal involvement will be mentioned here.<sup>11</sup> A careful analysis of the expression pattern of the murine *Lmx1b* gene has demonstrated the existence of the Lmx1b messenger RNA in the anterior segment of the eye.<sup>12</sup> This correlates well with the observation that 10% of patients with nail-patella syndrome present with open-angle glaucoma.11

Renal involvement, the first evidence of which was published in 1950,<sup>13</sup> determines the prognosis of patients with nail-patella syndrome. Symptoms may range from light to severe proteinuria, through hematuria, and finally to chronic renal failure. Several studies have addressed this issue, so that the frequency of renal symptoms, which may start shortly after birth or only after several decades, can be estimated at close to 40%.<sup>11</sup> The morphologic changes are most telling on an ultrastructural level and affect the glomerular basement membrane (GBM) and podocytes (Fig. 11-1). In the original reports, a thickened GBM was described that contained both electron-lucent areas14,15 and fibrillar deposits resembling collagen.<sup>14</sup> Indeed their periodicity of 64 to 66 nm<sup>16</sup> is consistent with that of fibrillar collagen, although in one publication a periodicity of 40 to 60 nm was reported.<sup>17</sup> Furthermore, foot process effacement was observed for some but not all podocytes.<sup>14,15</sup> These first descriptions have been confirmed several times and are important diagnostic criteria.<sup>16-21</sup>

#### GENETIC FINDINGS AND BIOCHEMISTRY OF LMX1B

Back-to-back reports in 1998 established that nail-patella syndrome was caused by mutations in the *LMX1B* gene on human chromosome 9q34,<sup>2,9</sup> and additional reports in the same year confirmed this initial finding.<sup>3,4</sup> The human *LMX1B* gene consists of 8 exons and encodes a  $\approx$ 7-kb messenger RNA, which apparently can be alternatively spliced<sup>22</sup> and therefore gives rise to a 395–amino acid<sup>23a</sup> and a 402–amino acid



**Figure 11-1** Ultrastructure of the glomerular basement membrane (GBM) in patients with nail-patella syndrome. **A**, A section through two adjacent capillary loops demonstrates the thickened GBM (*lower right*) of an affected loop in comparison with that of a normal loop (*upper left*). **B**, The moth-eaten appearance of the GBM and the fibrillar inclusions, which are characteristic of nail-patella syndrome, become more easily visible at a higher magnification. (From Taguchi T, Takebayashi S, Nishimura M, Tsuru N: Nephropathy of nail-patella syndrome. Ultrastruct Pathol 12:175–183, 1988.)

protein<sup>4,23</sup> with signature motifs of a transcription factor. It contains a DNA-binding homeodomain extending from position 219 to 278 and two zinc-binding LIM domains at the NH<sub>2</sub>-terminus,<sup>2,4</sup> whereas the COOH-terminus is believed to serve as a transcriptional activator domain.<sup>7,24</sup> LIM domains (consensus motifCysX<sub>2</sub>CysX<sub>16-18</sub>HisX<sub>2</sub>CysX<sub>2</sub>CysX<sub>2</sub>CysX<sub>16-18</sub> CysX<sub>2</sub>His/Cys) were first described in 1990,  $^{25,26}$  in contrast to zinc-binding domains of the Cys<sub>2</sub>His<sub>2</sub> and the Cys<sub>4</sub> class they do not bind to DNA but rather mediate protein-protein interactions (see review<sup>27,28</sup>). Indeed, two groups have reported on the interaction between the LIM domains of LMX1B on the one side and the helix-loop-helix protein E477 and the transcriptional adapter protein LDB1 on the other side.<sup>29</sup> E47 is encoded by the E2A gene, which by alternative splicing also gives rise to the E12 protein, and it serves as a strong coactivator of LMX1B.<sup>7,24</sup> LDB1, however, decreases the transcriptional activation properties of LMX1B.24 The picture becomes somewhat more complicated when one compares the interaction between LDB1 and the closely related protein LMX1A. In the absence of E47, LDB1 did not influence the transcriptional activity of LMX1A, whereas in its presence it negatively affected the interaction between LMX1A and E47.<sup>31</sup>

It is very surprising that most mutations in the *LMX1B* gene have been detected in those regions of the gene encoding the LIM domains and the homeodomain, and only very few in the COOH-terminal activation domain.<sup>23</sup> In those cases in which the mutated proteins were subjected to a functional test, mutations in the homeodomain resulted in decreased or even absent binding to DNA,<sup>2,3,24</sup> and a mutation in the second LIM domain resulted in the decreased activation of a reporter gene.<sup>24</sup> The modularity of the protein precipitates the question whether a genotype-phenotype correlation can be established for the various mutations, all the more so because E47 preferentially interacts with the second LIM domain of LMX1B (and of LMX1A)<sup>7</sup>; moreover, a similar case can be argued for LDB1, which binds more strongly to the second LIM domain of the closely related LMX1A protein.<sup>31</sup> Thus far no such correlation could be demonstrated, but this situation could be due to the limited number of affected families.

One important issue still to be addressed concerns the means by which the mutated gene leads to the disease. Because nail-patella syndrome is inherited in an autosomal dominant fashion, haploinsufficiency, a gain of function, or a dominant-negative effect could represent the underlying pathologic mechanism. The term *haploinsufficiency* indicates that too little of a protein is present to fulfill its physiologic functions—for example, only 50% if the mutation results in an inactive or absent protein and the nonmutated allele is not upregulated. A gain-of-function mutation leads to the synthesis of a mutant protein with a novel function, for example, a constitutively

active protein due to the absence of an inhibitory domain. Finally, a dominant-negative effect is seen when the mutant protein interferes with the binding of the wild-type protein to a protein "X," which in turn is essential for the biologic effect of the wild-type protein. For the following reasons it is unlikely that either of the latter two explanations is correct. First, mutations have been described that are predicted to lead to the synthesis of a severely truncated protein without even the first LIM domain,<sup>32</sup> thus virtually ruling out a gain-of-function and a dominant-negative effect; second, the analysis of several mutant proteins has failed to demonstrate a dominantnegative effect on the wild-type LMX1B protein.<sup>24</sup> Therefore, the current hypothesis is that haploinsufficiency results in nail-patella syndrome, although this contrasts with the failure of mice with only one inactivated *Lmx1b* allele to show any symptoms.<sup>33</sup> One somewhat provocative explanation could be that in addition to the germline mutation, the patients suffer from somatic mutations in the second LMX1B allele: thus, on a cellular level nail-patella syndrome would have to be considered a recessive disease. Such an assumption is not totally speculative, because a similar scenario has already been described for autosomal dominant polycystic kidney disease.<sup>34</sup>

# THE LMX1B-KNOCKOUT MOUSE

The *Lmx1b*-knockout mouse represents a major tool toward a better understanding of the pathogenesis underlying nail-patella syndrome. It was created by replacing exons 3 to 7 with a selection cassette, thus deleting the region encoding the second LIM domain, the homeodomain, and the COOH-terminal *trans*-activation domain.<sup>9</sup> As already pointed out above, mice with only one inactivated *Lmx1b* allele show no symptoms even over an extended observation period.<sup>33</sup> Homozygous knockout mice die within 24 hours after birth; the cause of death has not yet been determined. In analogy to the human syndrome, the knockout mice lack patellas and present with footpads even on the dorsal side of their limbs because the dorsalization signal usually provided by Lmx1b is missing.<sup>9</sup>

Although thus far only a limited expression analysis of the *Lmx1b* gene has been published,<sup>9,35</sup> it is consistent with the kidney phenotype. Renal symptoms in the  $Lmx1b^{-/-}$  mice are already evident from their smaller kidney size; by light microscopy a decreased glomerular tuft area has been observed,<sup>33</sup> and proteinaceous material can be found in the tubules.<sup>9</sup> More marked changes are noticed on the ultrastructural level. Podocytes in homozygous knockout mice elaborate only rudimentary, if any, foot processes (Fig. 11-2A).<sup>33,36</sup> Moreover, the mutant podocytes are not connected by a slit diaphragm but instead by a structure resembling an adherens junction (Fig. 11-2B).<sup>33,36</sup> Structural alterations were not, however, restricted to the podocyte layer but also extended to the GBM and the glomerular endothelium. During regular kidney development, the GBM originates from both the podocytes and the endothelial cells and therefore consists of two layers early on, before subsequently the typical structure with a lamina rara externa, a lamina densa, and a lamina rara interna emerges. In the  $Lmx1b^{-/-}$  mice a two-layered GBM is still found in some regions<sup>33</sup>; it is not clear at this point whether the fusion of the two layers never occurred or whether both layers fused and split again. In contrast to the findings in kidneys from individuals with nail-patella syndrome, we could detect no fibrillar deposits in the GBM but rather found fibrils in Bowman's space.<sup>33</sup> However, because of their periodicity of  $\approx 17$  nm, these fibrils probably represent fibrin and not collagen. In wild-type kidneys, the glomerular tuft is lobulated and the endothelial cells form a fenestrated endothelium, whereas in homozygous *Lmx1b*-knockout mice the lobulation of the glomerular tuft is greatly reduced and the fenestrae were found at a much reduced frequency.<sup>33</sup>

How may these renal alterations be explained on a molecular level? After the positional cloning of the NPHS1 gene,<sup>37</sup> the gene mutated in patients with congenital nephrotic syndrome of the Finnish type, a steadily increasing wealth of information has accumulated on the biochemical nature of podocyte-specific structures.<sup>38</sup> The NPHS1 gene encodes nephrin, an integral membrane protein with a large extracellular domain, which was later recognized as an essential component of the slit diaphragm.<sup>39,40</sup> Soon afterwards two other genes were found to be mutated in individuals with hereditary kidney diseases. Mutations in the NPHS2 gene, which encodes the slit diaphragm-associated protein podocin, lead to steroid-resistant nephrotic syndrome,<sup>41</sup> and mutations in the ACTN4 gene, which encodes the actin-bundling protein  $\alpha$ -actinin-4, lead to focal segmental glomerulosclerosis.<sup>42</sup> Because podocytes in homozygous Lmx1b-knockout mice lack foot processes and a slit diaphragm,  $\alpha$ -actinin-4, podocin, and nephrin were obvious candidates for proteins whose downregulation leads to the observed morphologic changes. Surprisingly, however, nephrin is still present in podocytes of Lmx1b<sup>-/-</sup> mice,<sup>33,35,36</sup> which at the same time suggests that nephrin is not solely responsible for the formation of a slit diaphragm. Podocin, by contrast, is absent both on the messenger RNA and the protein levels<sup>33,36</sup> (Fig. 11-2C,D). A discrepancy exists with respect to the synthesis of CD2AP, another slit diaphragm-associated protein.44 Although our group has found only a slight reduction of CD2AP in podocytes of homozygous Lmx1b-knockout mice,<sup>33</sup> another group found a reduction of CD2AP protein levels similar to those of podocin.<sup>36</sup> Although the reason for these differing results is unclear, they may well be due to the use of different mouse strains.

There are also clues concerning the explanation of the defects in the GBM and in the glomerular endothelium. The main components of the GBM are the  $\alpha_3$ -,  $\alpha_4$ -, and  $\alpha_5$ -chains of collagen IV, which because of their involvement in Alport syndrome and the phenotype of the respective knockout mice<sup>45–47</sup> are prime candidates as targets of Lmx1b. Indeed the  $\alpha_3$ - and the  $\alpha_4$ -chains, but not the  $\alpha_5$ -chain, of collagen IV are absent in the GBM of  $Lmx1b^{-/-}$  mice.<sup>35</sup> We have observed this in our studies as well. The reduced lobulation of the glomerular tuft and the decreased number of fenestrae in the glomerular endothelium may be due to the reduced synthesis of vascular endothelial growth factor,<sup>33</sup> which has repeatedly been shown to act in a critical concentration range.<sup>48</sup>

Obviously the *Col4a3*, *Col4a4*, *Nphs2*, and possibly also the *Cd2ap* gene are good candidates to be directly regulated by LMX1B. The closely related LMX1A protein, whose DNAbinding homeodomain is 100% identical to that of LMX1B, binds to AT-rich stretches of DNA,<sup>30</sup> and it can therefore be assumed that LMX1B recognizes similar motifs. Indeed, it has been demonstrated that LMX1B binds to AT-rich sequences in the first intron of the *COL4A4* gene<sup>35</sup> and in the promoter region of the *NPHS2*<sup>33,36</sup> and *CD2AP*<sup>36</sup> genes. It is not clear,



Figure 11-2 Glomerular changes in the *Lmx1b*-knockout mouse. **A**, No foot processes can be detected in homozygous *Lmx1b*-knockout mice. **B**, Neighboring foot processes of homozygous *Lmx1b*-knockout mice are not connected by a slit diaphragm but by a structure resembling an adherens junction. **C** and **D**, By immunohistochemistry podocin can be detected in the podocytes of wild-type but not of mutant mice. (From Rohr C, Prestel J, Heidet L, et al: The LIM-homeodomain transcription factor Lmx1b plays a crucial role in podocytes. J Clin Invest 109:1073–1082, 2002.)

however, whether these sequences actually mediate transcriptional activation of the respective target genes by LMX1B. Whereas a reporter construct with six concatemerized LMX1B binding sites of the *COL4A4* intron led to a fivefold activation of the reporter gene<sup>35</sup> and a construct with four concatemerized LMX1B binding sites of the *NPHS2* promoter led to an approximately twofold activation of the reporter gene,<sup>36</sup> we were not able to demonstrate the activation of a reporter construct with 4.4 kb of the *NPHS2* promoter by LMX1B.<sup>33</sup> Furthermore, we could not show the activation of the endogenous *NPHS2* gene in HeLa cells by stably transfected LMX1B.<sup>33</sup>

# PERSPECTIVE

The analysis of the *Lmx1b*-knockout mouse has yielded remarkable new insights into podocyte differentiation in general and the regulation of podocyte differentiation by Lmx1b in particular. There are, however, several open questions, the most important of which is the identification of LMX1B target genes. Obviously *Nphs2*, the gene encoding podocin, is one prime candidate, because it is not expressed in *Lmx1b*-knockout mice. There are, however, several experimental findings that cast doubt onto this assumption. Very importantly, the Nphs2 as well as the Col4a3 and Col4a4 genes were still

active after the podocyte-specific inactivation of Lmx1b in mice.<sup>48a</sup> Second, podocin (as well as CD2AP and the  $\alpha$ 3- and  $\alpha$ 4-chains of collagen IV) was still detected in glomeruli from patients with nail-patella syndrome,<sup>49</sup> which of course could be due to a species difference; the transcriptional regulation of this gene may differ in mice and humans. Third, we were not able to find an activation of the endogenous NPHS2 gene by transfected LMX1B in HeLa cells, a human cervical cancer cell line,<sup>33</sup> which would be predicted if LMX1B is solely responsible for the activation of NPHS2. Such a scenario, however, is unlikely, because then NPHS2 should be expressed in those other tissues where Lmx1b also is produced (e.g., the developing limb,<sup>22</sup> eye,<sup>12</sup> skull,<sup>9</sup> and mesencephalon<sup>50</sup>), which has not been observed.<sup>41,43</sup> Thus, it is likely that additional co-factors are required that, for example, may make a target gene such as NPHS2 accessible to LMX1B only in podocytes. Alternatively, a variable assembly of co-factors may exist in those different tissues where LMX1B is produced such that the tissue-specific expression of LMX1B target genes is achieved. Could LDB1 and E47 be those co-factors? Although LDB1 and E47 are important transcriptional adaptor proteins for LMX1B at least in vitro, it is doubtful whether they can confer podocyte specificity to LMX1B because they are also found in a wide variety of tissues. Furthermore, the podocyte-specific inactivation of Ldb1, but not that of E2a, resulted in a podocyte defect.48a Another challenge therefore will be to determine whether LDB1 and E47 are necessary for the activity of LMX1B in the podocyte and what other transcriptional adaptor proteins and DNA-binding transcription factors are important for the podocyte-specific expression of genes. For our ultimate goal of deciphering the transcriptional network acting in podocytes, we also must look in the other direction and ask what transcription factors activate the LMX1B gene in podocytes. In Lmx1b-knockout mice, the zinc-finger transcription factor Wt1 is still present,<sup>33</sup> but regrettably the Wt1 knockout leads to renal agenesis, so that it remains unknown whether Lmx1b is expressed in the absence of Wt1. The absence of two other transcription factors, the basic helixloop-helix protein Pod1<sup>51</sup> and the leucine zipper protein MafB,<sup>52</sup> also results in podocyte defects, but again it is not known whether they are directly or indirectly linked to Lmx1b. Recent results obtained from the analysis of chimeric mice suggest that podocytes without a functional Pod1 protein develop normally, and that therefore the podocyte phenotype in Pod1-knockout mice is not cell autonomous in nature but instead is due to a defect in the surrounding stroma.53 Although it is tempting to speculate that in analogy to the interaction between LMX1B and the helix-loop-helix protein E47,<sup>7</sup> Lmx1b physically interacts with the helix-loop-helix protein Pod1 in podocytes, such an interaction would not have to be considered essential for podocyte function at present.

The study of Lmx1b has given us exciting new insight into the biology of the podocyte, an essential component of the renal filtration system. Thus far we have only begun to understand gene regulation in this fascinating cell type, and it is certain that many more important discoveries lie ahead.

# **Acknowledgments**

Thanks are extended to the current and former members of the author's laboratory for their effort toward a better understanding of LMX1B.

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### Chapter 12

# The Primary Hyperoxalurias: Molecular and Clinical Insights

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The biochemical hallmark of the primary hyperoxalurias is increased urinary oxalate excretion. Marked hyperoxaluria, typically greater than twice the normal range, and associated high urine oxalate concentrations give rise to calcium oxalate stones within the urinary tract or cause deposition of calcium oxalate in renal parenchyma, resulting in renal injury. Over time, there is loss of kidney function. As a result, affected individuals come to the attention of nephrologists or urologists and require long-term care.

There are two well-characterized forms of inherited hyperoxaluria: primary hyperoxaluria types 1 (PH1) and 2 (PH2), caused by deficiencies of liver enzymes that participate in the breakdown of glyoxylate, a two-carbon intermediary precursor of oxalate. Failure to efficiently metabolize glyoxylate in peroxisomes (PH1) or cytosol and mitochondria (PH2) in human liver cells results in accumulation of oxalate, a metabolic end product that cannot be further degraded and is excreted almost entirely by the kidney.

Although thus far PH1 and PH2 are the only two recognized mendelian forms of hyperoxaluria, patients with similar clinical features but without deficiencies in the causative enzymes (alanine–glyoxylate transaminase, EC 2.6.1.44 in PH1 and glyoxylate reductase, EC 1.1.1.79 in PH2) have been described.<sup>1,2</sup> The finding of affected siblings in some of these families is suggestive of a genetic basis, but a specific underlying defect of metabolism or renal tubular transport has not yet been identified.

#### **EPIDEMIOLOGY**

The true incidence and prevalence of the primary hyperoxalurias are not known because of their rarity and absence of comprehensive population-based studies. Estimates of prevalence and incidence have thus far been largely derived from collections of published case reports, small series of patients, and voluntary epidemiologic surveys from France, Switzerland, and The Netherlands.<sup>3–5</sup> The suggested prevalence and incidence rates range from 1.05 to 2.9 per million inhabitants and 0.10 to 0.15 per million inhabitants per year, respectively, in central Europe.<sup>3,5,6</sup> Less inclusive epidemiologic data, derived from national registries of end-stage renal disease (ESRD), have identified primary hyperoxaluria patients in renal failure. Reviews from the European Dialysis and Transplant Association (Europe) and North American Pediatric Renal Transplant Cooperative Study (USA) patient registries indicate that primary hyperoxaluria accounts for approximately 0.7% to 2.7% of children requiring renal replacement therapy per year.<sup>7,8</sup>

Based on a survey of 941 pediatric and adult nephrologists practicing in France, the first cross-sectional description of primary hyperoxaluria was published in 1995.<sup>6</sup> In this French cohort, 37 of 90 primary hyperoxaluria patients seen during a 4-year period (1988–1992) were newly diagnosed. In a separate report from Switzerland published by Kopp and Leumann in the same year, the estimated prevalence in that country was 2 per million population and estimated incidence was 1 per 100,000 live births.<sup>3</sup>

Although the majority of reports have come from Europe and North America, primary hyperoxaluria has been reported worldwide, with observed geographic variation. In Scandinavia, 17 patients with suspected primary hyperoxaluria were either diagnosed or treated during the decade of 1967 to 1976.<sup>9</sup> In Israel, there were 11 affected children, predominantly of Israeli-Arab descent, reported in 1999.<sup>10</sup> By 2003 there were at least 16 affected individuals living in the Canary Islands.<sup>11</sup> In a recent analysis of 57 individuals with PH1 from The Netherlands, ethnicity varied, with patients of Turkish, Surinam, Albanian, and mixed Dutch-Turkish descent reported, in addition to those of Dutch origin.<sup>12</sup>

Primary hyperoxaluria seems less common in peoples of the Orient and from southern Africa. In 2002, the first clinical report of a Chinese individual with PH1 was published,<sup>13</sup> later followed by corroborating mutation analysis and report of two additional affected Chinese individuals by the same group in 2004.<sup>14</sup> These are the only three patients from China, and there are two from southern Africa catalogued in the literature thus far.<sup>14,15</sup>

Because PH1 and PH2 cannot be reliably differentiated on clinical grounds alone,<sup>16</sup> distinguishing between the two subtypes is not possible in many of the early published reports. Since the 1980s, definitive diagnosis based on liver enzyme measurements and more recently DNA mutation analysis has been available. However, thus far, fewer than 50 individuals with PH2 have been reported in the literature worldwide, suggesting that PH2 is much rarer than PH1. This impression is supported by a recent series of 92 well-characterized patients with primary hyperoxaluria, the majority of whom (66) had PH1, whereas only 8 had PH2; hepatic enzyme analysis suggested that 7 patients had neither PH1 nor PH2, and the subtype of primary hyperoxaluria was not established in the remainder.<sup>17</sup>

#### PRIMARY HYPEROXALURIA TYPE 1 (PH1, OMIM 259900)

#### **The Causative Biochemical Defect**

Recognition of oxalate as a naturally occurring substance in certain plants and a byproduct of human metabolism dates to the 18th century.<sup>18</sup> Demonstration of calcium oxalate crystals in human urine followed a century later.<sup>19</sup> Then, in 1925, a  $4\frac{1}{2}$ -year-old boy was found to have extensive bilateral urolithiasis involving kidneys, ureters, and urethra, and calcium oxalate deposition in renal parenchyma.<sup>20</sup> Three decades passed before the term "primary" hyperoxaluria was first used to denote a presumed inborn error of metabolism.<sup>21</sup>

In the mid-1960s, from review of the literature and detailed biochemical observations in affected patients, Hockaday and colleagues postulated defective transamination of glyoxylate to glycine as the underlying cause of primary hyper-oxaluria.<sup>22,23</sup> Deficiency of cytosolic 2-oxoglutarate:glyoxylate carboligase was thought responsible for production of excess oxalate for several years.<sup>24</sup> Finally, following subsequent appreciation of the role of peroxisomes in glyoxylate metabolism, Danpure and Jennings in 1986 established deficiency of peroxisomal alanine–glyoxylate transaminase (AGT, EC 2.6.1.44) as the basic underlying biochemical defect in PH1.<sup>25</sup>

#### The Role of Alanine–Glyoxylate Transaminase in Humans

The consequences of AGT deficiency underscore its physiologic significance in human glyoxylate metabolism. Under normal conditions, AGT rapidly and irreversibly depletes the peroxisomal pool of glyoxylate by catalyzing its conversion to glycine, a step that requires pyridoxal phosphate (PLP) as an essential co-factor (Fig. 12-1). When AGT is absent or deficient (PH1), glyoxylate is metabolized to oxalate by lactate dehydrogenase (LDH) in the cytosol of human liver cells. Alternatively, glyoxylate can be reduced to glycolate by glyoxylate reductase (GR) activity, which may account for the hyperglycolic aciduria seen in some cases of PH1 (see below).

The relative contribution from each subcellular compartment to net oxalate production in normal individuals is not known. Unpublished observations from one of us (G. Rumsby)



and colleagues (FASEB meeting, 2005) recently substantiated the role of glycolate oxidase (GO) activity (EC 1.1.3.15) in conversion of glycolate to glyoxylate within peroxisomes but found no participatory role for this enzyme in production of oxalate in this compartment, in contrast to prior impressions.<sup>26</sup> In a HepG2 cell model of glyoxylate metabolism, Baker and colleagues demonstrated GR activity in mitochondria, with generation of glycolate from glyoxylate in this organelle.<sup>27</sup> In peroxisomes there appeared to be little glycolate metabolism. Surprisingly, activity of AGT2, a second isoform of AGT previously detected only in rodent liver, was also documented in mitochondria of HepG2 cells.<sup>27</sup> The physiologic significance of this finding in human metabolism is not yet known. Thus far, clinical disease has been attributed solely to the first isoform (AGT1).<sup>26,28</sup>

#### **GENETICS OF PH1**

Clinical diversity in PH1 (discussed below) is paralleled by heterogeneity at the molecular level. In a recent review, a total of 50 sequence changes with an established or suspected pathogenic basis were documented in the gene encoding AGT (AGXT)<sup>29</sup> (Fig. 12-2). An additional 7 changes are polymorphic variants, one of which, a C to T transition (c.32C>T) encoding Pro11Leu, has been shown to have great functional significance for the AGT protein<sup>30</sup> (see below). In a preliminary analysis, Coulter-Mackie found no obvious ethnic association with the two most common mutations in PH1 (c.508G>A, and 33\_34insC) and a probable founder effect with the third most frequent mutation (c.731T>C).<sup>31</sup>

Initially cloned and mapped to the long arm of chromosome 2 (2q36–q37) in 1991,<sup>32</sup> AGXT consists of 11 exons interspersed among 10 kb of genomic DNA. Two normal variant forms differing by at least three sequence changes in coding (c.32C>T, c.264C>T, c.1020A>G) and noncoding (IVS1+74 bp insert, IVS4 29/32 bp tandem repeating unit) regions were initially identified.<sup>33</sup> The frequencies of these two AGXT alleles, referred to as major and minor, are population specific. The minor allele, when defined solely by c.32C>T, accounts for 20% of AGXT alleles in normal persons of European and North American descent, and in Spanish and

> Figure 12-1 Glyoxylate metabolism in the human hepatocyte. Under normal conditions, AGT rapidly and irreversibly depletes the peroxisomal pool of glyoxylate by catalyzing its conversion to glycine, a step that requires pyridoxal phosphate (PLP) as an essential cofactor. When AGT is absent or deficient (PH1), glyoxylate is metabolized to oxalate by LDH in the cytosol of human liver cells. Alternatively, glyoxylate can be reduced to glycolate by glyoxylate reductase. Biochemical hallmarks are hyperoxaluria (PH1 and PH2) and hyperglycolic (PH1) or L-glyceric (PH2) acidurias. AGT, alanineglyoxylate transaminase; GRHPR, glyoxylatehydroxypyruvate reductase; GO, glycolate oxidase. (Adapted from Danpure CJ, Rumsby G: Molecular aetiology of primary hyperoxaluria and its clinical implications for clinical management. Exp Rev Mol Med 1-16, 2004.)



Figure 12-2 AGXT mutations in primary hyperoxaluria type 1. Pathogenic changes are shown above the solid line and polymorphic variants below. The solid blocks represent exons, and intervening sequences are introns. For mutations in coding regions of the gene, cDNA nucleotide numbering and corresponding amino acid changes are on the left and right columns, respectively. ORF, change in open reading frame. (Adapted from Danpure CJ, Rumsby G: Molecular aetiology of primary hyperoxaluria and its clinical implications for clinical management. Exp Rev Mol Med 1–16, 2004.)

Israeli populations,  $^{10,11}$  but for only 2% in Japanese individuals.  $^{34}$ 

By contrast, major and minor allele frequencies are found in nearly equal proportions in individuals with PH1. In a prior analysis by the largest diagnostic laboratory, Tarn and colleagues reported minor and major allele frequencies of 46% and 54% in 158 PH1 alleles tested.<sup>35</sup> A third normal haplotype of *AGXT*, detected recently in a population of southern Africa with a frequency of 19%, and referred to as the minor allele African variant, is characterized only by the IVS1+74 bp insert and IVS4 29/32 bp tandem repeating unit.<sup>15</sup>

The majority of the described pathogenic and polymorphic changes in *AGXT* are predictably missense.<sup>29</sup> The underlying pathogenic mechanisms, however, have been ascertained for only a handful, and include a unique subcellular AGT protein mistargeting mechanism (c.508G>A), misfolding (c.731T>C), accelerated proteolysis (c.613T>C), intraperoxisomal aggregation (c.121G>A) and co-factor inhibition (c.245G>A).<sup>31</sup>

# The Human AGT Protein and Its Subcellular Localization

In normal persons, AGT has been found almost exclusively within peroxisomes of human hepatocytes.<sup>36–38</sup> In unaffected persons homozygous for the major *AGXT* allele, AGT is localized solely within peroxisomes. In contrast, in normal

persons homozygous for the minor *AGXT* allele, a small proportion (approximately 5% to 10%) of AGT is mistargeted to mitochondria, as a result of the presence of the c.32C>T polymorphism. An  $\alpha$ -helical secondary structure on the AGT protein is imparted by this P11L variant, creating a weakly functional N-terminal mitochondrial targeting sequence.

The molecular structural requirements for subcellular protein targeting are organelle specific. In the case of mitochondria, the protein import machinery calls for protein entry in monomeric (unfolded) form whereas peroxisomes may import fully folded proteins. The topogenic sequences encoding for the respective protein import receptors also differ in their location. Mitochondrial targeting sequences, which are less rigorously conserved, are located at the N terminus, whereas peroxisomal motifs (KKL in AGT) are located at the C terminus.<sup>39,40</sup>

Recently, the structure of AGT was resolved, facilitating elucidation of the structural effects of many of its described pathogenic sequence changes<sup>41,42</sup> (Fig. 12-3). In its catalytically active form, normal AGT exists as a homodimer with each identical subunit ( $\alpha$  and  $\beta$ ) composed of 392 amino acids (43 kDa), and binding a single molecule of PLP.<sup>43</sup> Each monomer is further subdivided into large (N terminus) and small (C terminus) domains, the more important of which seems to be the larger N-terminal domain, because it contains the AGT catalytic site and forms a large interface with its opposing identical subunit.



Figure 12-3 Crystal structure of human AGT. The two identical subunits are shown arbitrarily designated  $\alpha$  and  $\beta$ . N  $\alpha$ , C  $\alpha$ , N  $\beta$  and C  $\beta$ , amino and carboxy-termini of the  $\alpha$ - and  $\beta$ -subunits, respectively; PLP, pyridoxal phosphate. The numbers correspond to amino acid residues. (From Danpure CJ, Rumsby G: Molecular aetiology of primary hyperoxaluria and its clinical implications for clinical management. Exp Rev Mol Med 6:1–16, 2004.)

In PH1, three enzyme phenotypes have been described, manifest by varying degrees of immunoreactive protein and catalytic activity.<sup>44-46</sup> Minimal (<10% of normal) residual AGT enzyme activity is typical, but AGT enzyme activity within a range observed for obligate heterozygous carriers<sup>45,47</sup> may be seen in patients harboring the AGT peroxisome-tomitochondria phenotype. In 1994, Danpure and colleagues detected normalized AGT enzyme activity ranging from 20% to as high as 100% in eight asymptomatic parents of PH1 patients. Moreover, for patients with detectable immunoreactive material, AGT activity varied from the lower limit of detection (2.5%) to 50% of the mean normal value.<sup>45</sup> Because AGT protein in this PH1 patient subset is mislocalized to mitochondria, separated from its substrate, glyoxylate, which is generated in peroxisomes, it is rendered metabolically inactive for glyoxylate disposition. Subcellular mistargeting of AGT with consequent ineffective metabolism of glyoxylate, rather than absence of protein or catalytic activity, causes disease in this subgroup of PH1 patients.

#### Co-inheritance of the Most Common Disease-Specific Mutation (c.508G>A) and a Common Genetic Polymorphism (c.32C>T) in PH1: The Basis for Yet Another Protein Trafficking Disease

By far the most common pathogenic change, accounting for approximately one-third of mutant alleles in PH1, is a c.508G>A transition encoding a glycine-to-arginine substitution at residue 170. So far, this change has been found only in *cis* with the c.32C>T polymorphism. Together, these two sequence changes cause synergistic mistargeting of the AGT protein from its metabolically active compartment, the per-oxisome, to mitochondria (Fig. 12-4).<sup>33</sup>

# CLINICAL MANIFESTATIONS OF AGT DEFICIENCY

Marked hyperoxaluria, characterized by daily urine oxalate excretion ranging from 1 to 4 mmol/1.73 m<sup>2</sup> in 24 hours (normal <0.45 mmol/1.73 m<sup>2</sup> in 24 hours),<sup>17</sup> is the biochemical hallmark of primary hyperoxaluria. Associated hyperglycolic aciduria is observed in approximately two-thirds of patients. In the absence of measures to ameliorate hepatic oxalate production or deter calcium oxalate crystal formation in the urine, repeated formation of stones within the kidneys or urinary tract is characteristic (Fig. 12-5). Over time, loss of renal function occurs, and if not restored through transplantation, is followed by significant morbidity and mortality due to systemic oxalate deposition.

Oxalate is excreted predominantly through glomerular filtration and renal tubular secretion.<sup>48</sup> Although gastrointestinal elimination of oxalate has been described, its contribution seems minor, at least until renal failure ensues.<sup>49,50</sup> Accordingly, declining renal function in primary hyperoxaluria patients causes oxalate retention,<sup>51</sup> with concentrations of oxalate in plasma favoring supersaturation of calcium oxalate in biologic fluids.<sup>52</sup> When oxalate and calcium ion activity products are sufficiently above a critical value, referred to as the formation product, calcium oxalate crystals form and are deposited in various organ tissues, a condition known as systemic oxalosis.

The media of arteries is commonly affected, causing livedo reticularis of the skin as well as painful ischemic skin ulcers; in eyes a crystalline retinopathy develops.<sup>53,54</sup> In bone, calcium oxalate deposits give rise to erythropoietin-refractory anemia and fractures due to oxalate osteodystrophy. In myocardium, calcium oxalate crystals cause conduction abnormalities and a cardiomyopathy.<sup>55</sup>

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**Figure 12-4** Molecular basis for AGT peroxisome-to-mitochondria mistargeting. When normal polymorphic AGT is encoded by the c.32C>T-containing minor allele, only a small proportion of AGT protein (5%) is targeted to mitochondria. But when the PH1-specific c.508G>A mutation is co-inherited with c.32C>T, AGT dimerization is sufficiently inhibited to allow a much greater proportion of AGT protein (90%) to be targeted to mitochondria. (From Danpure CJ. Molecular aetiology of primary hyperoxaluria type 1. Nephron Exp Nephrol 98:e39–e44, 2004.)

The risk of systemic calcium oxalate precipitation has been shown to increase proportionally when plasma oxalate concentrations exceed 40 to 50  $\mu$ mol/L.<sup>52</sup> As expected from the marked hepatic overproduction of oxalate, tissue oxalate accretion begins at higher levels of residual glomerular filtration rate (GFR) in primary hyperoxaluria patients as compared with patients with chronic renal failure from other causes.<sup>56,57</sup> Hence, to minimize the devastating effects of systemic oxalosis, earlier introduction of renal replacement is required, preferably when GFR is 20 to 25 mL/min/1.73 m<sup>2</sup>.<sup>56</sup>

As a result of inadequacy of the usually prescribed thriceweekly maintenance dialysis schedule to keep up with the marked endogenous overproduction and retention of oxalate once renal failure supervenes, artificial renal replacement (intermittent hemodialysis, peritoneal dialysis, or continuous venovenous hemodiafiltration) is generally considered a shortterm measure until transplantation, either kidney alone or combined liver-kidney, can be satisfactorily achieved (see below).



**Figure 12-5** Non-contrast enhanced computed tomography (CT) of kidneys and urinary tract in a 5-year-old boy with type 1 primary hyperoxaluria using a 64-channel CT scanner.

#### PHENOTYPIC SPECTRUM IN PH1

Although the metabolic defect and associated hyperoxaluria is present from birth,<sup>53</sup> the age at disease onset and signs and symptoms at presentation are variable, as is the response to treatment (especially pyridoxine), metabolite profile (hyperglycolic aciduria), and rate of progression to renal failure. Disease expression ranges from presentation during infancy with irreversible renal failure and nephrocalcinosis, a form referred to as "infantile oxalosis",<sup>53</sup> to first symptom recognition in middle to late adulthood related to calcium oxalate urolithiasis in patients with preserved renal function.

By far the most common age at symptom onset, however, is childhood or adolescence, and mode of presentation is symptomatic urolithiasis,<sup>4,6</sup> characterized by hematuria, renal colic, abdominal pain, voiding symptoms, or urinary tract infection. With increasing use of abdominal imaging studies, some patients are found to have urinary tract stones during evaluation of other symptoms. Yet others are found to have hyperoxaluria in the course of family screening after the diagnosis is established in a proband.

In the largest literature-based review of primary hyperoxaluria, inclusive of the 330 published cases available to that time, initial symptom onset occurred by age 5 years in 50% of patients.<sup>4</sup> Only a minority (~16%) presented during infancy. By age 25 years, 90% of the cohort was symptomatic. In the majority (64.2%), initial symptoms were related to urinary tract stones. With the exception of infants, in whom failure to thrive and nephrocalcinosis were more common and symptomatic urolithiasis unusual, the clinical course was most often punctuated by recurrent urolithiasis in the older children and adolescents. In this review of case reports that preceded 1990, one-half of the patients reached ESRD by age 15 years and 80% by the age of 30 years.

In the more recent questionnaire survey of French experience published in 1995, the median age at initial symptoms was 5 years, with 70% of the patients developing symptoms before the age of 10 years. Median age at initiation of hemodialysis or first transplantation was 25 and 29.5 years, respectively.<sup>6</sup> These outcomes are similar to life table analysis data in the Swiss report, showing that 20% of Swiss patients reached ESRD by age 15 years and 50% by the age of 25 years.<sup>3</sup>

In 2003, Hoppe and Langman published results of a survey of adult and pediatric nephrologists in the United States. They identified 79 Americans with primary hyperoxaluria by this method.<sup>58</sup> Consistent with the earlier studies, initial symptoms largely involved the urinary tract, with urolithiasis reported in 54.4% and nephrocalcinosis observed in 30% of patients.

Among 75 PH1 patients identified more recently by the International Registry of Primary Hyperoxaluria,<sup>17</sup> 15% were asymptomatic, diagnosed by family screening after diagnosis in a sibling, or found incidentally after radiographic studies performed for other indications. Delays of more than 5 years from onset of symptoms to diagnosis are frequent in all reported series,<sup>3,6,17</sup> with delays of up to 18 years in the Hoppe report.<sup>58</sup> A significant proportion of patients (~15% to 20%) have the diagnosis established only after ESRD has developed.<sup>4,6,49</sup> In some of these patients the first awareness of hyperoxaluria occurs at the time of biopsy of a transplanted kidney when calcium oxalate crystals are seen in the parenchyma.

Although delays in diagnosis continue to be prevalent, renal function seems better preserved than suggested by the older literature.<sup>17</sup> In the International Registry for Primary Hyper-oxaluria series of 95 patients reported in 2005 (75 patients with confirmed type 1 disease), GFR among the 80% with preserved renal function at presentation was 99 ± 29 (mean ± SD) mL/min/1.73 m<sup>2</sup> at the age of 11.2 ± 12 years (median 6 years).<sup>17</sup> Follow-up data were available for 12 years. Renal life table analysis in the 75 PH1 patients showed a median renal survival of 32.5 years. Actuarial renal survival was 64%, 47%, and 29% at 30, 40, and 50 years of age, respectively.<sup>17</sup>

# GENOTYPE-PHENOTYPE CORRELATIONS IN PH1

The molecular and clinical heterogeneity, small numbers of patients in many of the published series, and differences in diagnostic methods over time have complicated study of correlations between genotype and phenotype in PH1. With the advent of more advanced molecular techniques, greater disease awareness, and increasing understanding of the molecular pathophysiology, however, very useful inferences between genotype and phenotype have become apparent in more recent years.

Early efforts to correlate residual AGT catalytic activity with severity of disease expression, reflected by either age at onset of symptoms, degree of hyperoxaluria, or age at development of renal failure, yielded disappointing results.<sup>44</sup> From analysis of 59 AGT-deficient liver samples and available corresponding clinical data, Danpure found no readily apparent relationship between AGT enzyme phenotype and clinical heterogeneity.

To ascertain which factors and, more specifically, whether residual enzymatic AGT activity, might favor longer term kidney-alone transplant successes observed in certain pediatric patients with PH1, Katz and colleagues retrospectively analyzed the perioperative management in four such children and performed hepatic enzyme analysis 7 to 17 years after transplantation.<sup>59</sup> The four children developed ESRD at ages 7 months to 6.5 years. Notably, AGT catalytic activity ranged from 0% to 13.8% of normal, and detectable immunoreactive AGT by western blotting was present in three of the children.

In two of these three patients, gold immuno-electron microscopy showed localization of AGT to mitochondria. The lowest level of residual AGT activity (0%) was documented in the oldest child (6.5 years). From this very small study, the authors concluded that residual AGT catalytic activity was predictive of neither kidney-alone transplant outcomes nor severity of clinical disease.

In a few well-studied families, considerable variability in disease expression has been observed among members with the same genotype. In 1997, Hoppe and colleagues reported the rather unusual segregation of three mutant *AGXT* alleles (two copies of c.508G>A and a single copy of c.560C>T) within a family, accounting for what phenotypically seemed to be a vertical (mother to son) mode of inheritance.<sup>60</sup> Careful analysis of available biochemical data, AGT enzyme phenotype, and genotyping of the proband and first-degree relatives yielded several noteworthy observations.

Mother and son, who were compound heterozygous (c.508G>A and c.560C>T) and c.508G>A homozygous, respectively, had similar levels of residual AGT catalytic activity and showed similar clinical response to vitamin B<sub>6</sub> (VB6; pyridoxine) treatment despite lesser amounts of immuno-reactive material documented in the mother. Moreover, the boy's older sister, also shown to be affected with urine oxalate excretion of 86 mg/1.73 m<sup>2</sup> in 24 hours, and whose genotype was identical to her brother's (c.508G>A homozygous), was entirely asymptomatic when screened at 10 years of age. By contrast, her brother developed renal failure at 7 months of age.<sup>60</sup>

In 1998, von Schnakenburg and colleagues published the first report of a combined deletion/insertion mutation in AGXT, involving exon 8 and detected in two unrelated families of Pakistani descent. In three probands homozygous for this mutation the clinical course differed, with presentation of urolithiasis alone in one family and renal failure during infancy in the second.<sup>61</sup>

More recently, in a larger study of 23 Italian patients with PH1, Amoroso and colleagues found lower normalized AGT activity, compound heterozygosity, and the c.322T>C mutation to be associated with earlier onset of disease or more severe disease.<sup>62</sup> By contrast, those with the c.508G>A mutation seemed to have higher residual AGT catalytic activity and milder disease, as well as showing some response to VB6 therapy.

The c.508G>A mutation has been recognized to be associated with greater in vitro residual AGT catalytic activity than other *AGXT* mutations for some time.<sup>47</sup> However, definitive demonstration of an association between this mutation and clinical response to VB6 treatment was published only recently.<sup>63</sup> Patients homozygous for the c.508G>A mutation showed complete or near-complete response to VB6 therapy, whereas heterozygous patients showed approximately 50% reduction (Fig. 12-6).

Although it has been of interest that PH1 patients with the c.508G>A mutation tend to have higher residual AGT enzyme activity, at least when measured in vitro, and that VB6 is a known co-factor of AGT, the underlying molecular basis for the reduction in urine oxalate excretion following treatment with pharmacologic doses of VB6 remains unexplained. Moreover, there have been suggestions that other mutations may also respond to VB6.<sup>12,64</sup> A few studies also suggest that VB6-treated patients have a better prognosis,<sup>12,62</sup> but confirmation of this valuable observation in larger cohorts of PH1 patients is still needed.



cDNA nucleotide 508 genotype

**Figure 12-6** Pyridoxine effect by c.508 genotype in type 1 primary hyperoxaluria. Urine oxalate excretion rates before (lighter shade) and after (darker shade) VB6 administration in patients homozygous (AA), heterozygous (GA) and lacking the c.508 G>A allele (GG). Normal range for urine oxalate excretion is < 40.5 mg (0.46 mmol/1.73 m<sup>2</sup>)/24 hrs. Vertical bars indicate standard deviation. (From Monico CG, Rossetti S, Olson JB, Milliner DS: Pyridoxine effect in type I primary hyperoxaluria is associated with the most common mutant allele. Kidney Int 67:1704–1709, 2005.)

#### PRIMARY HYPEROXALURIA TYPE 2 (OMIM 260000)

#### **History of PH2**

This disorder, first described in 1968,<sup>65</sup> was characterized by hyperoxaluria and L-glycericaciduria and absence of Dglycerate dehydrogenase (D-GDH) activity in leukocytes. Subsequent studies confirmed these findings in other patients,<sup>66</sup> highlighting the need for careful classification of patients with hyperoxaluria to avoid misclassification as PH1. The D-GDH enzyme was also known to have glyoxylate reductase (GR) and hydroxypyruvate reductase (HPR) activities,<sup>67,68</sup> and studies on human liver confirmed that liver from a PH2 patient was deficient in all three activities.

More recently it became apparent that the dehydrogenase reaction (i.e., D-GDH) was unlikely to be of any physiologic value<sup>69</sup> and that the reductase activity was more important, with GR more specific than HPR and consequently used for the diagnosis of PH2.<sup>70,71</sup> The characteristic L-glycericaciduria is believed to arise from the action of LDH on hydroxypyruvate. However, excess L-glyceric acid is not found in all patients,<sup>72</sup> and absence of this metabolite, in parallel with the variable L-glycolicaciduria in PH1, can no longer be used to exclude the disease.

#### **Role of GRHPR in Humans**

The enzyme catalyzes steps in two intracellular pathways: gluconeogenesis from serine and cytosolic glyoxylate metabolism (see Fig. 12-1). In the first pathway GRHPR catalyzes the reduction of hydroxypyruvate to D-glycerate, a precursor of 2-phosphoglycerate. This pathway would appear to be of minor importance in humans, considering that loss of GRHPR does not seem to impair gluconeogenesis in these patients. On the other hand, the role of GRHPR in removal of cytosolic glyoxylate does appear to be essential to prevent the metabolism of glyoxylate to oxalate catalyzed by LDH. It seems that the relative activities of GRHPR and LDH are dependent on the concentrations of co-factors in the cell, particularly that of NADPH.<sup>73</sup>

#### **Genetics of PH2**

The *GRHPR* gene encoding GRHPR has been cloned<sup>74,75</sup> and mapped to the centromeric region of chromosome 9. It has nine exons spanning approximately 9 kb of genomic DNA.<sup>74</sup> The mature RNA transcript is 1,235 bp, comprising 987 bp of open reading frame and 225 bp of 3' untranslated region. The gene seems to be expressed in most tissues but with the greatest concentration in the liver, lesser amounts in kidney, and still less in other tissues.<sup>76</sup> Analysis of RNA from patients with PH2 has recently suggested that there may be more than one gene transcript, in that both normal and mutated sequences were identified in leukocyte complementary DNA from a patient homozygous for a 2-bp deletion in the *GRHPR* gene.<sup>77</sup>

Several mutations have been reported in GRHPR genomic DNA, including missense, minor deletions, and splicing mutations (Fig. 12-7). Two of the mutations have an ethnic bias, which may reflect a founder effect. One of these, 103delG, in exon 2, occurs in 45% of mutant alleles, but thus far has been found only in Caucasians.<sup>76</sup> A second deletion of 4 bp at the exon 4-intron 4 boundary affects splicing, with varying amounts of intron 4 being left in the final transcript. This mutation has been found only in patients of Asian origin. It should, however, be noted that mutation analysis in PH2 is still in its infancy, and these ethnic differences may disappear as more mutations are described. All mutations heretofore described in the gene encoding GRHPR are shown in Figure 12-7. Functional studies of the missense mutations described in GRHPR have shown very little or no activity in vitro, confirming their role in the pathology of the disease.<sup>76,79</sup>

#### **GRHPR PROTEIN**

The purified protein has GR, HPR, and D-GDH<sup>74,75</sup> activities. Immunoblots have shown that the tissue distribution mirrors that of the messenger RNA with the highest concentration of GRHPR protein in the liver.<sup>76</sup> Early fractionation studies indicated that some (<10%) of GRHPR protein was found in mitochondria.<sup>80</sup> The significance of this is unknown, particularly because the protein has no obvious mitochondrial targeting sequence in the N-terminal region. A cell model has recently been constructed in a Chinese hamster ovary cell line, confirming the cytosolic and mitochondrial location of this protein,<sup>81</sup> but further studies are required to determine the significance and mechanism of the mitochondrial targeting.

GRHPR can use either NAD(H) or NADP(H) as co-factor but has greater affinity for the latter. The enzyme's preference for NADPH over LDH means that levels of cytoplasmic NADPH are likely to provide a critical switch for the dominance of GRHPR over LDH activity in terms of the metabolism of glyoxylate and thus will have a protective effect in preventing the production of oxalate.<sup>73</sup>



Figure 12-7 Described mutations in the gene encoding GRHPR. The horizontal line represents genomic DNA sequence. Nucleotide numbering is based on the cDNA sequence of the *GRHPR* gene. The solid blocks represent exons, and intervening sequences are introns.

#### CLINICAL MANIFESTATIONS OF GRHPR DEFICIENCY

A comparative study of PH1 and PH2 suggested that the severity of disease expression was less in PH2.<sup>16</sup> However, there is evidence that some PH1 patients may have had a presumptive diagnosis of PH1 made<sup>82</sup> and thus, if extended to other studies where a definitive diagnosis has not been made, there may be a bias. There is considerable overlap between PH1 and PH2 in terms of age of onset of disease<sup>16</sup> and presenting symptoms. The majority of PH2 patients described thus far have presented with renal stones, although nephrocalcinosis can also occur with or without calculi.<sup>83,84</sup> The natural history of the disease in some patients is severe, with ESRD, complications due to systemic oxalosis, and death in early adulthood, although others seem to take a milder course and may be detected on family screening in middle to late adulthood with preserved renal function.

#### **Genotype-Phenotype Correlations in PH2**

Presently, there are insufficient numbers of PH2 patients documented at the genetic level to establish whether any correlation between genotype and phenotype exists. This issue will hopefully be addressed in the near future.

#### DIAGNOSIS OF PRIMARY HYPEROXALURIA

An evidence-based algorithm for the diagnosis of the primary hyperoxalurias has recently been published.<sup>85</sup> Primary hyperoxaluria should be considered in the differential diagnosis of any child or adolescent with nephrocalcinosis or calcium oxalate urolithiasis, adults with recurrent calcium oxalate stones, and patients of any age with reduced renal function or kidney failure with nephrocalcinosis or renal stones. The diagnosis should also be considered in infants or children with unexplained renal impairment, in whom the absence of either nephrocalcinosis or stones within the urinary tract should not preclude the diagnosis of primary hyperoxaluria.<sup>86</sup>

Regrettably, in part because of the rarity of these diseases, delays in diagnosis are still common, even in the modern era, with roughly 30% of patients recognized only after initiation of dialysis or following transplantation, according to several series published in the past 5 years.<sup>14,17,58,86</sup> In an analysis of 57 individuals from The Netherlands with PH1, ESRD was already present at diagnosis in 10 of 17 adults, and 9 of 40 children.<sup>86</sup> Similarly, a definitive diagnosis of primary hyperoxaluria was established after dialysis or kidney transplantation in 26 of 95 patients from the International Registry for Primary Hyperoxaluria.<sup>17</sup>

Early diagnosis and institution of medical treatment are imperative for preservation of renal function and improved long-term outcomes.<sup>87</sup> Of nine PH1 patients from The Netherlands presenting during infancy, pyridoxine administration improved symptoms or helped to preserve renal function in four patients.<sup>86</sup> In patients with marked hyperoxaluria or suggestive clinical features, and who lack evidence of a secondary cause, such as gastrointestinal disease or resection, pyridoxine or dietary calcium deficiency, or excess exogenous sources of oxalate (rhubarb, ethylene glycol), the diagnosis of primary hyperoxaluria should be strongly considered.

In patients with functioning kidneys, the diagnostic algorithm begins with measurement of urine oxalate, preferably in a timed collection. Timed urine oxalate excretion corrected for adult body surface area  $(1.73 \text{ m}^2)$  is constant after the age of 2 years.<sup>88</sup> If urine oxalate is significantly elevated (>0.7 mmol/1.73 m<sup>2</sup> in 24 hours), measurement of urine glycolate and glycerate is recommended. Urine samples should be collected into acid to prevent spontaneous conversion of ascorbate to oxalate, which may lead to artifactually increased values.

In infants, very young children, or patients in whom a timed collection is not feasible, a random or "spot" urine sample for oxalate and creatinine measurements and calculation of oxalate-to-creatinine ratio may be necessary. However, in term infants and children the normal range varies widely, and decreases markedly during the first 2 years of life. Consequently, differentiation of hyperoxaluria from normal (<0.46 mmol/ $1.73 \text{ m}^2$  in 24 hours), based on random urine collections alone, can be challenging.

For patients in whom biochemical screening is not possible because of advanced renal failure, either mutation analysis for the most common mutations and/or a liver biopsy may be required to confirm the diagnosis. A definitive diagnosis of primary hyperoxaluria has until recently required measurement of AGT and GR enzymatic activity in a liver biopsy, available only through a few dedicated centers worldwide. This test requires a biopsy specimen to be shipped frozen to the diagnostic center, but once that issue is overcome, does provide an unambiguous result of PH1 or PH2. Moreover, the enzyme catalytic activity result is usually supported by immunoblotting, which detects the presence or absence of immunoreactive AGT or GRHPR.

In most cases of PH1, liver AGT activity is much reduced and there is little immunoreactive protein produced, showing that the mutations on the whole lead to either no protein or an unstable protein product. GR activity in most cases of PH2 has been undetectable and again with no immunoreactive protein present. Heterozygotes, meaning those individuals with one normal and one mutant gene, can give an intermediate result with enzyme activity below the normal range. Because heterozygotes are asymptomatic, liver biopsies would not normally be done. However, there may be difficulty in interpreting slightly increased oxalate values in siblings of affected children. The ambiguity of biochemical analysis in these cases can be overcome by using genetic analysis for heterozygote testing (see below).

Molecular diagnosis has been complicated by wide genotypic heterogeneity in primary hyperoxaluria, inclusive of a number of family-specific mutations, and significant proportion of compound heterozygous patients. The strategies for genetic testing in these diseases have recently been reviewed<sup>78</sup> and are discussed below.

#### UNCLASSIFIED HYPEROXALURIA OF SUSPECTED METABOLIC ORIGIN

Since advent and adoption of more widespread use of hepatic enzyme analysis as the gold standard for definitive diagnosis of the primary hyperoxalurias, recognition of a subset of patients, all children, with hyperoxaluria in a range typically observed in patients with PH1 and PH2 but with normal AGT and/or GRHPR activities, has been made possible. In 1996, Van Acker and colleagues described two such infants, neither of whom had yet developed urolithiasis or nephrocalcinosis, and whose renal function was preserved.<sup>1</sup> GRHPR activity was not measured in either patient. Both infants had associated hyperglycolic aciduria and normal urine L-glycerate.

In 2002, Monico and colleagues described an additional six probands from five unrelated families with marked hyperoxaluria ( $1.3 \pm 0.5 \text{ mmol}/1.73 \text{ m}^2$  per 24 hours), urolithiasis, and normal hepatic levels of AGT and GRHPR. Despite systematic evaluation, a secondary cause for the hyperoxaluria was not found, raising the suspicion of a potential metabolic origin.<sup>2</sup> Because GO is known to participate in the glyoxylate pathway (see Fig. 12-1), it was selected as a potential candidate enzyme for a third form of inherited hyperoxaluria. Comprehensive mutation screening using direct sequencing, however, did not detect any disease-specific sequence changes in the six probands.

Careful analysis using <sup>13</sup>C-labeled oxalate did not reveal evidence of enteric oxalate hyperabsorption nor of dietary indiscretion to account for the elevated urine oxalate excretion. Although the affected children did seem to have fractional oxalate excretion levels above unity, the same has been shown for patients with marked hyperoxaluria of any cause (primary or enteric).<sup>48</sup> Genetic studies to exclude the possibility of a defect in one of the genes (*SLC26A1* and *SLC26A6*) encoding renal tubular oxalate transporters are currently in progress.

#### GENETIC DIAGNOSIS OF PRIMARY HYPEROXALURIA

The identification and chromosomal location of both the *AGXT* and *GRHPR* genes has meant that genetic testing for PH1 and PH2 is now feasible and can be used for primary diagnosis, prenatal diagnosis, and carrier testing.

#### **Primary Diagnosis**

This approach identifies the mutation causing the disease and, providing two mutations are identified, can provide a full diagnosis of PH1 or PH2. As mentioned above, some mutations in the AGXT and GRHPR genes are relatively common, and a preliminary screen for the three most common pathogenic changes in AGXT (c.508G>A, C.33 34insC, and c.731T>C), which together account for 45% of mutant PH1 alleles, has a test sensitivity of 62.3%.78 Of 287 PH1 patients with definitive diagnosis of PH1 based on measurement of hepatic AGT activity, the molecular basis for the disease could be fully detected (identification of two mutant alleles) in 99 (34.5%) patients. In a further one-third, a single mutation was detected, which, together with appropriate clinical symptoms makes the diagnosis of PH1 highly suggestive. In the final onethird, pathogenic changes other than c.508G>A, C.33\_34insC, and c.731T>C are assumed to be present. In the latter group, hepatic enzyme analysis would still be necessary for definitive diagnosis.

For PH2, screening for the most common mutation (c.103delG) provided a test sensitivity of 40%, with a definitive diagnosis made in 30% of cases.<sup>78</sup> The alternative to limited mutation screening is to sequence the entire gene. However, mutations have not been identified in every case, possibly reflecting that some mutations lie outside the coding region or are within promoter sequences. It is essential that the nature of any novel mutation is confirmed to affect enzyme activity by functional studies. The AGT protein in particular has several polymorphisms that cause missense changes in the protein without any functional consequence.

#### **Prenatal Diagnosis**

Although prenatal diagnosis for PH1 was originally described by measurement of AGT activity in fetal liver biopsy specimens,<sup>89</sup> this type of testing has been superseded by genetic analysis. Genetics has the advantage that it can be performed toward the end of the first trimester at 10 to 12 weeks, when a sample of chorionic villus is removed for testing. If a mutation has been described in that particular family, then diagnosis would involve testing for that mutation. If no mutation has been identified but the diagnosis in the index case is secure, linkage analysis can be used. In this approach, polymorphic DNA markers close to, or within, the gene of interest are used to track the disease gene through a family. These markers are often microsatellite repeats that can be made specific for a particular chromosomal location.

For PH1 and PH2 several such polymorphisms have been described. For example, a repeat region (VNTR) has been described in intron 4, which has alleles ranging from 12 to 38 repeats. This VNTR was successfully used for the first genetic prenatal diagnosis for PH1.<sup>90</sup> A small microsatellite region is located in intron 8 of the *GRHPR* gene,<sup>76</sup> which has been useful for family studies. A variety of other microsatellites have also been identified that flank the *GRHPR* and *AGXT* genes and that can be used for diagnostic purposes.<sup>83,91</sup>

The disadvantage of linkage analysis is that DNA samples are required from parents and an affected child, which may be a problem if that child is deceased. Another issue is that of recombination between the marker and disease gene, which could lead to misdiagnosis. However, in practice it is an effective approach and has been used for more than 50 cases of prenatal diagnosis of PH1.

#### **Carrier Detection**

As mentioned earlier, heterozygotes frequently show no biochemical abnormalities, although in some cases biochemical testing of children can lead to equivocal results, which cause anxiety for the family. Genetic testing can be performed to reliably diagnose heterozygote states and thus remove these ambiguities. The same tests described for prenatal diagnosis are used, although in this case they are applied to the relatives of affected individuals postnatally.

#### TREATMENT

The goals of treatment in primary hyperoxaluria are protection of the kidney from oxalate-induced tissue injury and prevention of urinary tract stone formation. The most effective approach is through normalization or reduction of hepatic production by pharmacologic doses of pyridoxine or by enzyme replacement through liver transplantation (PH1). Inhibition of calcium oxalate crystallization in urine (PH1 and PH2) is also important in abating renal injury and metabolic stone formation. Reduced urine oxalate concentrations by means of high fluid intake and use of neutral phosphate or citrate to alter the composition of urine can be effective in this regard.

#### MECHANISMS OF OXALATE-MEDIATED RENAL TOXICITY

The natural history of the primary hyperoxalurias predating 1990 provides solid clinical evidence for the progressively injurious effect of hyperoxaluria on renal tissue and function. In more recent years renal toxicity associated with elevated urine oxalate excretion has also become increasingly manifest in patients with secondary forms of hyperoxaluria, due to small bowel resection or bypass procedures. In 1972, Smith and colleagues first recognized the association of hyperoxaluria, calcium oxalate nephrolithiasis, and intestinal disease.<sup>92</sup> Appreciation for a potential loss of renal function due to chronic hyperoxaluria in this setting (enteric hyperoxaluria) was initially described in 1975<sup>93</sup> and has more recently gained increasing attention.<sup>94,95</sup>

When urine oxalate concentrations are chronically elevated, as is the case in individuals with primary and enteric forms of hyperoxaluria, renal interstitial inflammation and progressive injury are observed.<sup>96,97</sup> High concentrations of oxalate in urine have been shown to cause renal tubular cell injury, in both cultured renal cells and in vivo models of hyper-oxaluria.<sup>98</sup> The work of several investigators suggests that this renal toxicity, whether induced by oxalate ions or calcium oxalate crystals, seems to be mediated through mechanisms of oxidative stress.<sup>99,100</sup> Studies of Khan support a concentration dependence for the deleterious effect of oxalate on renal tubular epithelial cells in vitro.<sup>101</sup>

Following endocytosis of calcium oxalate crystals by renal tubular epithelial cells, their deposition in the renal interstitium leads to recruitment of macrophages and other inflammatory cells.<sup>102,103</sup> Development of these tubulointerstitial lesions has been attributed to a number of potential mechanisms, including activation of phospholipase A<sub>2</sub> and the renin-angiotensin system (RAS), as well as upregulation of many immune modulating species, including cytokines and osteopontin, and generation of reactive oxygen species.<sup>104–107</sup>

In Sprague-Dawley rat models of ethylene glycol-induced hyperoxaluria, Toblli and colleagues have demonstrated participation of RAS in the local inflammatory response generated by the presence of calcium oxalate crystals in renal tubular epithelial cells.<sup>100,108</sup> Production of angiotensin II is thought to promote release of a variety of inflammatory modulators including nuclear factor KB, interleukin-6, monocyte chemoattractant protein-1, and RANTES. Importantly, increasing evidence supports successful blunting of this inflammatory response by inhibitors of RAS (angiotensin-converting enzyme [ACE] inhibitors and angiotensin [AII] receptor blockers). In Sprague-Dawley rats with comparable degrees of ethylene glycol-induced hyperoxaluria, those treated with either benazapril or losartan exhibited significantly less tubulointerstitial scarring and improved preservation of renal function.<sup>100</sup> Interestingly, the use of enalapril in a different hyperoxaluric rat model did not reproduce the same ameliorating effect, however.109

Although there has been recent promising work on animal models of hyperoxaluria, thus far a good model is not available for testing of ACE inhibition or AII receptor blockade. Moreover, although ACE inhibition and AII receptor blockade is used in other types of renal injury, there have been no clinical trials of ACE inhibition or AII receptor blockade in patients with primary hyperoxaluria. Thus, the potential applicability of this approach remains unknown.

#### MEANS OF REDUCING ENDOGENOUS HEPATIC OXALATE PRODUCTION: PH1

Because disordered glyoxylate metabolism was implicated as a cause of hyperoxaluria even before the specific enzymatic

defect in PH1 was recognized, use of pyridoxine, a known cofactor in all aminotransferase reactions, was introduced early as a means of lowering endogenous oxalate production by the liver, with variable success.<sup>110</sup>

Pyridoxine (VB6) can reduce urine oxalate excretion and thus, by inference, its metabolic production, in approximately one-half of PH1 patients.<sup>110</sup> Using pharmacologic doses of VB6, urine oxalate can be restored to normal or near normal in 20% of PH1 patients. Another 30% will have a reduction of about 50% while receiving VB6, and yet others show no reduction in urine oxalate excretion to VB6 treatment.

Alternative ways of altering hepatic glyoxylate metabolism to lower oxalate production, and thus excretion, have been directed at binding or redirecting substrates in the pathway. Compounds such as calcium carbimide (glycoaldehyde dehydrogenase inhibitor), hydroxymethane sulfonic acid (glycolic acid analogue), allopurinol (xanthine oxidase inhibitor), sodium benzoate (glycine binder), and most recently, L-2-oxothiazolidine-4-carboxylate (conversion to cysteine and adduct formation with glyoxylate) have all been used in patients with primary hyperoxaluria.<sup>111–113</sup> None have been effective.

Recently, Scheinman and colleagues have taken on a similar approach, using pyridoxamine, a proven reactor with carbonyl intermediates, to bind intermediates of glyoxylate and glycoaldehyde, in efforts to minimize their metabolism to oxalate.<sup>114,115</sup> In a Sprague-Dawley rat model of ethylene glycol–induced hyperoxaluria, these investigators reported a 50% reduction in hyperoxaluria and hyperglycolic aciduria in pyridoxamine-treated rats when compared with nontreated control animals. This effect also seemed to favorably influence calcium oxalate crystal deposition in papillary and medullary renal tissue, as assessed by microscopic analysis.<sup>114,115</sup>

A less conventional approach has been proposed by Hoppe and colleagues<sup>116</sup> using oxalate-degrading bacteria. *Oxalobacter formigenes* is an obligate anaerobe that colonizes the gastrointestinal tract of a majority of the healthy human population. This bacterial species has been shown to use oxalate as its sole source of carbon, effectively degrading it to formate and carbon dioxide via two enzymatic reactions (formyl-CoA transferase and oxalyl-CoA decarboxylase). In a pilot study of primary hyperoxaluria patients, proponents of this therapeutic approach showed variable reductions in urinary oxalate excretion in those recolonized with an oral formulation (frozen paste) of *O. formigenes*. Further studies to confirm this effect are in progress.

#### AMELIORATING THE TARGET EFFECTS OF HYPEROXALURIA IN THE KIDNEY AND URINARY TRACT: PH1 AND PH2

#### Inhibitors of Calcium Oxalate Crystallization in Urine

Pyrophosphate, citrate, and magnesium are naturally occurring inhibitors of calcium oxalate precipitation used to therapeutic advantage for reduction of calcium oxalate crystals, which can be injurious to renal cells, and for stone prevention in individuals with primary hyperoxaluria. Although randomized, placebo-controlled trials for any of these agents in primary hyperoxaluria have not thus far been available, coincident use of neutral phosphate and VB6 has been shown to effectively reduce calcium oxalate supersaturation and crystal formation in the urine of primary hyperoxaluria patients.<sup>87</sup>

A favorable effect on metabolic stone-forming activity and renal function was observed in five PH1 patients treated with citrate over 10 to 36 months, associated with a statistically significant decrease in urine calcium oxalate saturation.<sup>117,118</sup> Recent evidence suggests that citrate may also play a protective role in abating oxalate-induced renal tubular epithelial cell injury instigated by oxidative stress.<sup>119</sup>

#### MANAGEMENT OF PRIMARY HYPEROXALURIA PATIENTS WITH REDUCED RENAL FUNCTION

Optimal management of the primary hyperoxaluria patient with impaired renal function (GFR <40 mL/min/1.73 m<sup>2</sup>) requires a meticulous, patient-specific approach with attention to both timing and type of renal replacement therapy. Over and beyond the standard of care for patients with advanced renal insufficiency (stages 3–5), which calls for finetuning of calcium and phosphorus balance and treatment of anemia, management of primary hyperoxaluria patients with chronic renal failure focuses on prevention (and/or early detection) of systemic oxalate deposition.

#### Transplantation Strategies in Primary Hyperoxaluria

Enzyme replacement for definitive cure of PH1 is currently performed as orthotopic liver transplantation. However, because of the attendant risks of liver transplantation, both in adults and children, embarking on such a procedure still requires careful thought and attention. Though effective, liver transplantation requires removal of the patient's otherwise healthy liver and, despite technical advancements, still imparts significant mortality and morbidity due to the necessity for long-term immune suppression.

Since the initial successful deceased donor combined liverkidney procedure performed in 1987 for management of PH1,<sup>120</sup> several hundred other procedures have been performed in Europe and the United States, with encouraging, albeit less than ideal, patient and allograft outcomes. In the largest database of liver transplantation in PH1, initiated in Europe by Jamieson, 1-, 2-, and 5-year patient survival rates were 88%, 80%, and 72%, respectively, for 87 procedures performed from 1984 to 1997.<sup>121</sup> Registry data from the United States from a comparable time period (1984–1996) showed overall patient survival rates of 74% in 62 kidney-alone recipients and 69% in 42 combined liver-kidney recipients.<sup>8</sup>

As is true for other inborn errors of metabolism requiring liver and/or liver-kidney replacement, and in part because of the shortage of deceased donor organs, transplantation procedures have evolved. In PHI, these include sequential liver followed by kidney, and split-liver transplants performed mostly in infants because of physical restrictions, in addition to simultaneous liver-kidney procedures. Auxiliary split-liver transplantation is inappropriate for correction of the metabolic defect in PH1, because native liver tissue remains a source of excess oxalate production.<sup>47</sup> Yet another option proposed by some<sup>122,123</sup> is preemptive liver transplantation,

which corrects the enzymatic defect before development of renal failure. Given the recent demonstration of the association between complete to near complete pyridoxine responsiveness and c.508G>A homozygosity (see Fig. 12-6), routine genotyping may help stratify PH1 patients with this genotype to kidney-alone transplantation.<sup>63</sup>

In PH2, because expression of the deficient protein (GRHPR) has been documented in body tissues other than liver, orthotopic liver transplantation is currently not recommended for management of patients with this subtype of primary hyperoxaluria. Similarly, until a specific causative metabolic defect is identified in patients with unclassified forms of marked hyperoxaluria, definitive therapy in the form of organ transplantation cannot be recommended.

Clinical trials of hepatocyte transplantation, in lieu of orthotopic liver transplantation, for management of liver failure and hepatic-based inborn errors (ornithine transcarbamylase and  $\alpha_1$ -antitrypsin deficiencies and Crigler-Najjar syndrome type 1) have appeared since the early to mid-1990s.<sup>124,125</sup> In contrast to orthotopic liver transplantation, which requires removal of a nonfunctional native organ and replacement with a donated functional counterpart, the technique of hepatocyte transplantation, whether autologous or allogenic, makes use of the inherent capacity of liver cells to proliferate in response to a mitogenic stimulus. Although a refinement of this method-particularly as it pertains to induction of preferential growth of the transplanted cells over host hepatocytes-is still needed, Guha and colleagues recently showed successful, progressive engraftment of ex vivo-implanted hepatocytes in a mouse model of type 1 primary hyperoxaluria.<sup>126</sup>

#### **FUTURE PROSPECTS**

Although all of the strategies so far described are useful and have largely resulted in long-term therapeutic benefit for patients with primary hyperoxaluria, none is entirely satisfying or free of potential adverse effects. As such, continued investigation into alternative therapeutic strategies is critical for future management of affected patients.

Identification of measurable markers of disease severity and of variables influencing phenotypic expression in primary hyperoxaluria will be of invaluable significance to the field in upcoming years. In addition to detection of as-yet-unrecognized genetic modifiers of these monogenic disorders, systematic evaluation of other potential inherent age-dependent differences between patients, such as excretion of inhibitors of calcium oxalate crystal formation, will be important. A shift from hepatic enzyme analysis as the gold standard for definitive diagnosis of the primary hyperoxalurias to a molecular-based approach is well underway.

Pharmacogenomics, in particular, may modify the current standard of care of primary hyperoxaluria patients in the next decade, facilitating selection of therapies (VB6 and kidneyonly vs liver-kidney transplant procedures) based on genotyping. For PH1 patients with AGT protein mistargeting and/or residual immunoreactive material, alternative therapies such as pharmacoperones for correction of the metabolic defect may also become feasible. Innovative and improved molecular-based therapeutic techniques show early promise. Hepatocyte transplantation as a means of ex vivo gene therapy is an emerging science with potential application to primary hyperoxaluria.

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### Chapter 13

## **Fabry Disease**

### James A. Shayman and Paul D. Killen

 $\alpha$ -Galactosidase A deficiency was independently described in 1898 by two dermatologists, Anderson and Fabry, based on the presence of a characteristic skin lesion termed angiokeratoma corporis diffusum.<sup>1</sup> Subsequent descriptions of additional individuals revealed the presence of other clinical manifestations including anhidrosis, acroparasthesias, corneal opacities, corneal and retinal vascular abnormalities, and renal failure. These reports established Fabry disease as a systemic disorder. In 1965 Fabry disease was reported to be heritable as an X-linked recessive trait.<sup>2</sup>

Sweeley and Klionsky characterized Fabry disease as a sphingolipidosis by isolating globotriaosylceramide (also known as Gb3 or GL-3) and galabiosylceramide from the kidney of a Fabry hemizygote.<sup>3</sup> Blood group B and B1 antigenic glycosphingolipids containing  $\alpha$ -galactosyl groups were subsequently also shown to accumulate in Fabry disease.<sup>4</sup> Brady and co-workers established the defect as one associated with ceramide trihexosidase.<sup>5</sup> Kint demonstrated the defect to be in an  $\alpha$ -galactosyl hydrolase.<sup>6</sup> Two lysosomal enzymes hydrolyze  $\alpha$ -galactosidase A is defective in patients with the clinical syndrome of Fabry disease.

Fabry disease is rare. The true frequency of the disease has not been established. However, it is estimated that the incidence ranges from 1 in 40,000 to 1 in 117,000.7 Thus, there are probably in excess of 6,000 affected individuals in the United States. Although most of the reported patients are Caucasian, affected individuals representative of most geographic and ethnic groups have been identified. The clinical expression of Fabry disease is thought to result from the progressive deposition of Gb3 in a variety of tissues, particularly the vascular endothelium. Affected individuals die prematurely, usually from cardiovascular catastrophes. However, Fabry disease is of particular interest to nephrologists because it is one of only two lysosomal storage disorders recognized to result in renal failure. (Cystinosis is the other storage disease.) With the recent use of recombinant  $\alpha$ -galactosidase A as a therapeutic for the treatment of patients with this disorder, there has been an upsurge in interest in Fabry disease. Yet although the genetic basis and biochemistry of Fabry disease are well characterized, the pathogenesis of the disease is poorly understood.

#### **CLINICAL FEATURES**

The classic presentation of Fabry disease involves clinical manifestations resulting from vascular deposition of glycolipid in skin, peripheral nerves, heart, brain, and kidney. The onset of symptoms typically occurs in childhood or adolescence, and a consistent natural history is typical of affected homozygous males. Diagnostic features that are common in male children with Fabry disease include pain or numbness of the fingers and toes, telangiectasias on the ears and conjunctiva, corneal opacities, edematous eyelids, blue or black angiomatous macules or papules, and Raynaud's phenomenon. By early adulthood, affected males may exhibit extensive telangiectasias and angiokeratomas, edema, fever or heat collapse in association with anhydrosis, lymphadenopathy, and urinary abnormalities. The latter include albuminuria, hematuria, and oval fat bodies by urinalysis. By 30 years of age, affected males may develop cardiac disease in the form of conduction defects, mitral insufficiency, ischemia, or left ventricular hypertrophy. They may also have renal insufficiency and suffer from strokes. Historically, the average age at death for hemizygous males with classic Fabry disease is 41 years.<sup>8</sup> This is reflective of major morbid complications associated with glycolipid deposition in the vasculature of heart, brain, and kidney.

Heterozygous females show more variable clinical signs and symptoms. These may range from the absence of any overt disease to the full-blown clinical manifestations seen in hemizygous males. The time of presentation with females may be more variable as well, with symptoms appearing early in childhood or later in life. The variable clinical expression in heterozygous females is presumably due to random X chromosome inactivation.

#### **Cardiac Disease**

Cardiac complications in Fabry disease include cardiomyopathy, valvular disease, and conduction system abnormalities. Coronary artery disease is often considered to be part of the clinical syndrome of Fabry disease. However, few data exist in support of the view that Fabry disease patients are at increased risk for ischemic heart disease. The cardiomyopathy of Fabry disease is most commonly associated with left ventricular hypertrophy and may be seen in upwards of 90% of affected male patients. Unlike other infiltrative diseases such as amyloidosis, the hypertrophy of Fabry disease is associated with increased voltage by electrocardiogram. An explanation for this difference is that amyloidosis results in the interstitial accumulation of amyloid protein; by contrast, Fabry disease results in the intracellular accumulation of Gb3. The ventricular hypertrophy of Fabry disease can be so great as to resemble hypertrophic cardiomyopathy. In the later stages of the disease, the left ventricular hypertrophy leads to decreased end-diastolic volume, impaired diastolic filling, and decreased cardiac output.

Valvular disease is thought to result from glycolipid deposition and fibrosis of the valvular tissues. Mitral valve thickening and prolapse are commonly observed in younger patients. More obvious mitral valve abnormalities are observed in older patients including papillary muscle thickening and mitral regurgitation. Progressive ventricular hypertrophy is often accompanied by aortic root dilatation.

Cardiac arrhythmias are observed in concert with the deposition of Gb3 in the conduction system. Patients are susceptible to supraventricular tachyarrhythmias including atrial fibrillation, complete heart block, and QRS prolongation. Arrhythmias often exacerbate other cardiac symptoms such as congestive heart failure.

#### **Neurologic Disease**

Neurologic manifestations of Fabry disease are an important cause of morbidity and often provide the seminal clues in the initial diagnosis. Cerebrovascular disease is the most significant complication. The presence of cerebrovascular disease portends a poor prognosis and has a high rate of recurrence for both hemizygotes and heterozygotes. Cerebrovascular complications predominantly affect the posterior circulation and include small and large vessel occlusive disease. The involvement of the verebrobasilar circulation can lead to the mistaken diagnosis of multiple sclerosis. Multiple explanations have been offered for the etiology of cerebrovascular disease. Mechanisms that have been invoked include cardiogenic embolism, the deposition of Gb3 in the arterial walls, impaired cerebrovascular reactivity due to autonomic dysfunction, and increased platelet reactivity.

Affected individuals have been reported to have an increased incidence of both venous and arterial thrombotic events. Increased susceptibility to arterial occlusion is supported in a mouse model of Fabry disease.<sup>9</sup> In this report the  $\alpha$ -galactosidase A knockout mouse displayed a robust response to oxidant-induced carotid occlusion. The increased susceptibility to vascular occlusion may be caused by increased concentrations of endothelial prothrombotic factors and increased expression of leukocyte adhesion molecules. Individuals with Fabry disease are also reported to have increased regional cerebral blood flow at rest.<sup>10</sup> These findings may be the result of alterations in the nitric oxide signaling pathway.

Acroparasthesias, associated with constant burning pain and tingling in the toes and fingers, are very common in Fabry disease. Patients commonly suffer from severe neuropathic pain that is debilitating. The pain may be precipitated by changes in temperature, exercise, or stress. The basis for the pain is unknown. However, it is hypothesized that glycolipid accumulation in the vasa nervorum and small nerve axons result in derangements of small fiber perfusion during coldinduced vasoconstriction.

Disturbances in autonomic dysfunction are common in Fabry disease and include problems in pain perception, cardiac arrhythmias, gastrointestinal motility, and tear, sweat, and saliva formation. Edema may be a manifestation of autonomic neuropathy or the result of associated cardiac or renal disease. Autonomic centers in the central and peripheral nervous systems demonstrate a proclivity for Gb3 accumulation. The basis for this accumulation and the mechanism whereby it results in autonomic neuropathy remain unknown.

#### **Renal Disease**

The diagnosis of Fabry disease should be considered in any young male patient with impaired urinary concentration, proteinuria, or chronic renal insufficiency. Urinary concentration defects with polyuria and nocturia are the earliest sign of renal involvement with Fabry disease. The natural history of renal disease in the person with Fabry disease was recently described in a 30-year experience at the National Institutes of Health with 105 patients.<sup>11</sup> Non-nephrotic range, glomerular proteinuria typically began for Fabry patients in their teens, and the development of full-blown nephrotic syndrome was rare. Chronic renal insufficiency presented with a mean age of onset of 27 years, and 50% of the patients with Fabry disease developed chronic renal insufficiency by age 43. Overall, only 24 of 105 patients (23%) developed end-stage renal disease by 53 years of age. Presumably, if followed for a sufficient period of time more patients would have developed end-stage renal disease. However, few patients in this series were treated with angiotensin-converting enzyme inhibitors. The time of progression from chronic renal insufficiency to renal failure occurred with a mean period of 4 years. Hypertension rarely preceded the development of chronic renal insufficiency. Secondary hypertension was also not a major factor in the progression of the renal failure, in that only 30% of patients developed hypertension during the course of their disease.

Renal involvement can be demonstrated by biopsy in most if not all hemizygous male patients with Fabry disease (Fig. 13-1). All compartments of the kidney are involved, with glycolipid deposits in the glomeruli, tubular epithelial cells, and vascular smooth muscle cells. Within the glomeruli, lipid deposition in the form of myeloid or zebra bodies can be demonstrated in the podocyte, mesangial, and endothelial cells. With progression, renal pathology demonstrates segmental and global glomerulosclerosis, tubular atrophy, and interstitial fibrosis.

The mechanism of renal injury in Fabry disease has not been elucidated. Investigators have suggested three potential mechanisms. These include vascular compromise secondary to deposition of Gb3 within the arterial wall, podocyte injury with secondary glomerulosclerosis, and tubular injury with atrophy and intersitial fibrosis.

The vasculopathy of Fabry disease has been more extensively studied. The vasculopathy of Fabry disease has been reproduced in the Fabry mouse and may provide clues to the basis for the human disease. In this model the mice are highly susceptible to oxidant-induced thrombosis<sup>9</sup> and accelerated atherosclerosis.<sup>12</sup> Both observations may be the result of aberrant endothelial nitric oxide synthase (eNOS) regulation.

#### **PATHOGENESIS**

# Glycosphingolipid Metabolism in Fabry Disease

Glycosphingolipids are composed of three components: a sphingol (a long-chain sphingoid amine), a fatty acid, and a carbohydrate.<sup>13</sup> These molecules possess two regions: a lipoidal, hydrophobic moiety ("ceramide") and a carbohydrate, hydrophilic moiety. It is this unusual combination that explains, in part, many of the properties of the glycosphingolipids. The ceramide part consists of the sphingol substituted at the amino group by a fatty acid in amide linkage. The carbohydrate moiety is linked at the primary hydroxyl group of the sphingol in a glycosidic linkage.

Two categories of glycosphingolipids can be considered: those in which the first sugar moiety, attached to ceramide, is glucose (glucosphingolipids) and those in which it is galactose



Figure 13-1 Renal biopsy from an individual with Fabry disease. The upper panel is a hematoxylin and eosin-stained section demonstrating the typical vacuolated appearance of the podocytes in a glomerulus of an affected kidney. The globotriaosylceramide (Gb3) accumulations within intracellular inclusions are extracted by organic solvents during the processing of the tissue, resulting in this appearance on light microscopy. The lower panel demonstrates the typical appearance of the glomerulus in tissue processed for electron microscopy, when the alycosphingolipid-rich intracellular accumulations are post-fixed with osmium tetroxide and plasticembedded tissue is stained with uranyl acetate and lead citrate. Because this procedure does not extract the lipids, the podocytes contain electron-dense whorls of lipid in the form of "myelin figures" within lysosomes of variable size (see inset). Though especially prominent in the podocyte, Gb3 accumulations can be identified in the cytoplasm of the glomerular endothelium and mesangial cells as well (not shown).

(galactosphingolipids). The glucolipids constitute by far the greatest number of glycosphingolipids, with well over 200 having been characterized. They are formed by the enzymatic attachment of additional sugar molecules, sulfate groups, or acetyl groups. The glucolipids containing neuraminic acid constitute the ganglioside family. Galactosphingolipids occur in a much smaller family, although their concentration is typically much higher than that of glucolipids in the brain. A sig-

nificant amount is found also in kidney.<sup>13</sup> Glycosphingolipids containing a single carbohydrate residue (glucosylceramide or galactosylceramide) are termed cerebrosides.

Mammalian glycosphingolipids fall into two distinct classes: neutral (nonionic) and acidic. Acidic glycosphingolipids generally comprise two types: gangliosides and sulfolipids. Gangliosides are distinguished by the presence of one or more sialic acid residues, each of which possesses a carboxyl group; sulfolipids are characterized by the existence of sulfate monoesters, most commonly at the 3-hydroxy group of galactose. Apart from the brain, the kidney is the richest source of these compounds. The most common sulfolipids consist of sulfate esters of galactosylceramide ("sulfatide") and lactosylceramide. Based on carbohydrate structure, at least 30 different glycosphingolipids have been definitively characterized in kidney and related cell lines. Only those with terminal  $\alpha$ -galactosyl residues accumulate in Fabry disease.

The deficiency of the  $\alpha$ -galactosidase A activity in Fabry patients results in the progressive accumulation of two major glycosphingolipids in the lysosomes of affected tissues. These glycolipids are Gb3 (or GL3) and galabiosylceramide. In addition, the blood group antigens B, B1, and P1 accumulate. These are neutral glycosphingolipids and, with the exception of galabiosylceramide, all contain glucosylceramide as the base cerebroside in  $\beta$ -linkage. The structures and names of the neutral glycosphingolipids shown to accumulate in Fabry disease are given in Table 13-1.

#### **Molecular Considerations**

A 12-kilobase gene located on the long arm of the X chromosome (Xq22.1) encodes  $\alpha$ -galactosidase A. The native enzyme is a heavily glycosylated homodimeric protein of approximately 101 kDa molecular weight. Multiple natural forms exist that vary based on the heterogeneity of their carbohydrate chains. Differences in glycosylation may determine both the plasma half-life and tissue distribution of enzyme.

The gene encoding  $\alpha$ -galactosidase A comprises seven exons, and mutations have been characterized in all seven. These mutations result in the full range of molecular defects including nonsense and missense mutations. Defects also result in partial gene deletions, insertion, and RNA processing defects. (All of these defects have been associated with classical Fabry disease.) Nonsense and frameshift mutations result in premature termination of  $\alpha$ -galactosidase A protein translation with loss of enzyme activity. Missense mutations involving the catalytic domain may also result in loss of galactosidase A activity.

Many mutations are confined to a single affected family. Therefore, it has been difficult to establish genotypephenotype correlations with individuals with Fabry disease. However, an important correlation exists between those genotypes that result in a loss of all residual activity and the propensity to develop an earlier loss of renal function. By contrast, patients with residual  $\alpha$ -galactosidase A activity are characterized by a later onset of renal insufficiency in association with lower tissue Gb3 levels.

#### Diagnosis

The diagnosis of Fabry disease is usually established on the basis of low  $\alpha$ -galactosidase A activity in plasma, cultured skin

Chemical Structure	Trivial Name	Approved Nomenclature
$Gal(\alpha 1 \rightarrow 4)Gal(\beta 1 \rightarrow 4)Glc(\beta 1 \rightarrow 1')Cer$	Ceramide trihexoside	Globotriaosylceramide
$Gal(\alpha 1 \rightarrow 4)Gal(\beta 1 \rightarrow 1')Cer$	Digalactosylceramide	Galabiosylceramide
Gal(α1→3)Gal(2→1αFuc)(β1→3) GlcNAc(β→3)Gal(β1→4)Glc (β1→1′)Cer	Blood group B glycolipid	IV <sup>2</sup> -α-Fuc-IV <sup>3</sup> -α-Gal-LcOse <sub>4</sub> Cer
Gal( $\alpha$ 1 $\rightarrow$ 3)Gal(2 $\rightarrow$ 1 $\alpha$ Fuc)( $\beta$ 1 $\rightarrow$ 4) GlcNAc( $\beta$ 1 $\rightarrow$ 3)Gal( $\beta$ 1 $\rightarrow$ 4) Glc( $\beta$ 1 $\rightarrow$ 1')Cer	Blood group B1 glycolipid	IV <sup>2</sup> -α-Fuc-IV <sup>3</sup> -α-Gal-LcnOse <sub>4</sub> Cer
$\begin{array}{l} Gal(\alpha 1 \rightarrow 4)Gal(\beta 1 \rightarrow 4)GlcNAc(\beta 1 \rightarrow 3) \\ Gal(\beta 1 \rightarrow 4)Glc(\beta 1 \rightarrow 1')Cer \end{array}$	Blood group P1 glycolipid	IV <sup>4</sup> -α-Gal-LcnOse <sub>4</sub> Cer

Table 13-1 Neutral Glycosphingolipids with Terminal α-Galactosyl Moieties Shown to Accumulate in Fabry Disease

fibroblasts, or leukocytes. Alternatively, the glycolipids in the urinary sediment can be quantitated. (Gb3 levels are at least twice normal.) If a family history consistent with Fabry disease is elicited, then molecular testing to establish the  $\alpha$ -galactosidase A mutation can be performed, often with a view toward the testing of other family members.

#### **TREATMENT STRATEGIES**

Historically, only palliative and general preventive treatment strategies were used for individuals with Fabry disease. These included the treatment of neuropathic pain with carbamazepine, gabapentin, or diphenylhydantoin. Antiplatelet agents and anticoagulants have been used to prevent cardiovascular complications. The proteinuria has been treated with angiotensin-converting enzyme inhibitors. Little if any clinical data support the use of these agents. However, until the mechanisms of the cardiovascular and renal complications are understood, the continued use of these drugs would seem rational.

Renal transplantation has been routinely used to treat endstage renal failure in patients with Fabry disease. Despite an initial belief that the transplanted kidney would provide a source of  $\alpha$ -galactosidase A, Fabry transplant recipients have been shown to develop recurrent Gb3 deposition in their grafts over time.<sup>14</sup>

Recombinant  $\alpha$ -galactosidase A (Fabrazyme) was approved for patient use in the United States in 2003. Approval was based on the decrease in endothelial Gb3 deposits in the kidneys of 58 patients studied.<sup>15</sup> Of 29 treated patients, 20 demonstrated the loss of endothelial glycolipid deposits. None of 29 placebo control patients achieved this result. U.S. Food and Drug Administration approval of  $\alpha$ -galactosidase A was contingent on the results of a phase iv randomized, blinded, placebocontrolled study that will measure progression of renal disease, and the occurrence of cardiac and cerebrovascular disease as end points. At the time this review was written, the results of the phase iv study had not been reported.

However, an open-label, single-center, prospective trial of patients with Fabry disease receiving enzyme replacement therapy for  $23 \pm 8$  months has been reported.<sup>16</sup> Of the 26

patients studied, 16 had impaired renal function (glomerular filtration rate 71  $\pm$  17 mL/min/1.73 m<sup>2</sup>) and 9 had no baseline renal functional impairment (glomerular filtration rate 115  $\pm$  18 mL/min/1.73 m<sup>2</sup>). Twelve clinically significant end points, including death, end-stage renal disease, and myocardial infarction, were observed in patients with baseline renal impairment. No clinically significant end points were observed in those patients with normal renal function at baseline.

Experimental evidence exists for the potential use of smallmolecule drugs for the treatment of Fabry disease. Two approaches have been used. The first strategy is the inhibition of Gb3 synthesis by targeting an upstream glycosyltransferase. Ethylenedioxyphenyl-2-palmitoylamino-3-pyrrolidino propanol, an inhibitor of glucosylceramide synthase, has been shown to prevent the accumulation of Gb3 in the heart, liver, and kidney of the  $\alpha$ -galactosidase A null mouse.<sup>17</sup> The second strategy is to use a chemical chaparone of  $\alpha$ -galactosidase A to permit the localization of a defective galactosidase where residual activity remains.<sup>18</sup> The use of chemical chaparones, if successful, will be limited to those patients with residual  $\alpha$ -galactosidase A activity. Clinical trials for lysosomal storage disorders are currently underway for agents that work by both of these means.

#### CONCLUSIONS

A resurgence of interest in Fabry disease has followed the approval and availability of recombinant  $\alpha$ -galactosidase A for the treatment of affected individuals. With this renewed interest, two important questions remain unanswered. First, will the degradation of Gb3 by the use of  $\alpha$ -galactosidase A be sufficient to prevent the cardiac, vascular, neurologic, and renal complications of Fabry disease? Second, what are the mechanisms whereby the inability to degrade  $\alpha$ -galactosyl groups of glycosphingolipids results in vascular, cardiac, neurologic, and renal complications? Answering the second question may not only result in a better understanding of the normal physiologic role of Gb3 and other "globo series" glycosphingolipids, but may also yield new insights into the pathogenesis of more common cardiovascular and renal disorders as well.

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### Chapter 14

## Hereditary Disorders of the Proximal Tubule Charles Y. Kwon and David B. Mount

The renal proximal tubule accomplishes the near-isosomotic reabsorption of two-thirds to three-fourths of the glomerular ultrafiltrate. This encompasses the reabsorption of approximately 60% of filtered Na<sup>+</sup>-Cl<sup>-</sup>, in addition to a wide variety of filtered organic and inorganic solutes. The proximal tubule also functions in the endocytic reabsorption of filtered albumin and a host of other low-molecular-weight proteins. The reabsorption of filtered solutes and proteins by the proximal tubule involves the coordinated effort of an everexpanding list of apical and basolateral transport pathways. Isolated defects in specific transport pathways can cause considerable morbidity; for example, loss-of-function mutations in the basolateral Na<sup>+</sup>-HCO<sub>3</sub><sup>-</sup> cotransporter NBC1 are a cause of proximal renal tubular acidosis<sup>1</sup> (see Chapter 17). Alternatively, cellular and functional impairment of the proximal tubule results in Fanconi syndrome, in which there are generalized defects in the proximal reabsorption of multiple filtered solutes and/or proteins. This chapter reviews the molecular pathophysiology of hereditary Fanconi syndrome and related disorders of proximal tubular function.

The cardinal features of Fanconi syndrome are aminoaciduria, glucosuria with a normal serum glucose, and phosphaturia. Associated defects can also occur in the renal reabsorption of water, bicarbonate, uric acid, calcium, potassium, and low-molecular-weight proteins. A complete description of all the transport pathways involved is beyond the scope of this chapter. However, several key interactions merit a brief review in this context. First, two versatile endocytic receptors, megalin and cubilin, play a critical role in the regulated endocytosis of transporters and filtered proteins by the proximal tubule.<sup>2</sup> Megalin is a large transmembrane protein, encoded by a member of the low-density lipoprotein receptor gene family. The megalin protein is a key component of the coated pits that function in endocytic reabsorption at the brush border of proximal tubular cells; mice with a targeted deletion in megalin have a considerable attenuation of the endocytic apparatus, with a marked deficiency of subapical coated pits and related structures<sup>3</sup> (Fig. 14-1). These mice have a marked defect in the tubular reabsorption of low-molecularweight proteins, including vitamin D-binding protein, retinolbinding protein,  $\alpha_1$ -microglobulin, and odorant-binding protein.<sup>3</sup> Megalin and cubilin, an extracellular protein that coassociates with megalin (Fig. 14-2), bind to a large number of low-molecular-weight proteins, resulting in the endocytic reabsorption of these proteins from the glomerular filtrate.<sup>2</sup> A reduction in the urinary excretion of megalin, reflective of defective endocytic recycling, is common to Lowe syndrome and Dent disease, two hereditary causes of Fanconi syndrome<sup>4</sup>; this serves to emphasize the key role of megalin dysfunction in the pathogenesis of the low-molecular-weight proteinuria that can be a feature of Fanconi syndrome. Notably, megalin also functions in the regulated function and endocytosis of transport proteins such as the Na<sup>+</sup>-phosphate transporter NaPi2a,<sup>5</sup> emphasizing the central role of this and associated proteins in several aspects of proximal tubular physiology.

A related issue is the precise coordination of the transcriptional and posttranslational regulation of transport proteins in the proximal tubule. In particular, a Fanconi syndrome results from targeted deletion of the mouse gene for hepatocyte





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**Figure 14-2** Cubilin and megalin are co-receptors for a number of filtered low molecular weight proteins. Cubilin is a peripheral membrane protein, whereas megalin is a transmembrane protein with 3 cytoplasmic NPXY motifs, directing the receptor into coated pits. A partial list of filtered ligands is shown, in addition to albumin and receptor-associated protein (RAP), which bind to both receptors. In addition, the binding of cubilin to megalin and the megalin-mediated internalization of the ligand-receptor-receptor complex are indicated. Apo, apolipoprotein; RBP, retinol-binding protein; TC, transcobalamin; DBP, vitamin D-binding protein; EGF, epidermal growth factor; PTH, parathyroid hormone. (From Christensen EI, Birn H: Megalin and cubilin: Synergistic endocytic receptors in renal proximal tubule. Am J Physiol Renal Physiol 280:F562–F573, 2001.)

nuclear factor 1a (HNF1a),<sup>6</sup> a transcription factor that functions in terminal differentiation of proximal tubule and other epithelial tissues. HNF1a plays a critical role in transcriptional activation of the Na<sup>+</sup>-glucose cotransporter SGLT2,<sup>7</sup> with additional presumptive roles in the transcriptional regulation of other proximal tubular transport proteins.

At the posttranslational level, a number of scaffolding proteins play critical roles in the coordinated regulation of several apical and basolateral transport proteins. In particular, a family of scaffolding proteins that contain protein-protein interaction motifs known as PDZ domains, named for the *P*SD95, *D*iscs large (Drosophila), and *Z*O-1 proteins in which these domains were first discovered, functions in the regulated

clustering and trafficking of several critical transport proteins in the proximal nephron<sup>8</sup> (Fig. 14-3). The first of these proteins, NHE regulatory factor-1 (NHERF-1), was purified as a cellular factor required for the inhibition of NHE3 (Na<sup>+</sup>-H<sup>+</sup> exchanger-3) by protein kinase A.<sup>9</sup> NHERF-2 was in turn cloned by yeast two-hybrid screens as a protein that interacts with the C terminus of the NHE3 protein; NHERF-1 and NHERF-2 have very similar effects on the regulation of NHE3 in cultured cells. The related protein PDZK1 interacts with NHE3 and a number of other epithelial transporters, including the apical urate exchanger URAT1.<sup>10</sup> A network of scaffolding proteins thus serves to coordinate the activity of multiple transporters at both the apical and basolateral membrane of the proximal tubule (see Fig. 14-3).

Aminoaciduria is a cardinal feature of Fanconi syndrome, and a critical regulatory factor for proximal tubular amino acid transport was recently identified.<sup>11,12</sup> Targeted deletion of the novel transmembrane protein collectrin thus results in profound overexcretion of multiple amino acids.<sup>11,12</sup> Collectrin is expressed at the apical membrane of proximal tubular cells, and brush border expression of several amino acid transporters is reduced in the kidneys of collectrin-deficient knockout mice. Moreover, co-expression of collectrin serves to activate amino acid transporters in heterologous expression systems. Notably, collectrin is a transcriptional target of HNF1a,<sup>13</sup> emphasizing the multitude of overlapping mechanisms whereby proximal tubular solute transport can be affected in Fanconi syndrome.

#### INHERITED CAUSES OF FANCONI SYNDROME

#### **Cystinosis** Clinical Presentation and Diagnosis

Cystinosis is an autosomal-recessive disease characterized by the accumulation of cystine, the disulfide of cysteine, within lysosomes.14 This disorder has an estimated incidence of 1 case per 100,000 to 200,000 live births,<sup>15</sup> and is the most common hereditary cause of Fanconi syndrome. Infantile or nephropathic cystinosis is the most common and severe form; patients typically present with renal tubular dysfunction within the first year of life.15 "Juvenile" or "intermediate" cystinosis typically presents in adolescence and is characterized by lower intracellular cystine levels.<sup>16</sup> Renal impairment occurs much later and progresses more slowly; glomerular dysfunction predominates, and clinical manifestations of Fanconi syndrome are not a feature.<sup>17</sup> Patients with a third subtype, benign or ocular cystinosis, do not exhibit renal involvement, and present with photophobia in childhood or adulthood<sup>18</sup>; cystine crystals in these patients are restricted to the cornea, leukocytes, and bone marrow.<sup>19</sup>

Renal manifestations dominate the early clinical course of nephropathic cystinosis (Table 14-1). Common presenting symptoms include failure to thrive, polydipsia, and polyuria; initial evaluation can reveal a variety of electrolyte derangements (hypokalemia, hypouricemia, hypophosphatemia, etc.), in addition to a hyperchloremic metabolic acidosis from proximal tubular bicarbonate wasting. Patients with nephropathic cystinosis have a progressive, vasopressin-resistant renal concentrating defect,<sup>20,21</sup> with urine outputs of 2 to 6 L a day.<sup>15</sup>



**Figure 14-3** Interaction between apical and basolateral transporters expressed in proximal tubules with PDZ proteins. PDZ domains are represented as squares. Other protein-interacting domains are indicated as follows: SH3, Src homology 3 domain; GK, guanylate kinase-like domain; PH, pleckstrin homology domain; AQP, aquaporin; β<sub>2</sub>-Adrenergic R, β<sub>2</sub>-adrenergic receptor; CFEX, Slc26a6 anion exchanger; CFTR, cystic fibrosis transmembrane conductance regulator; D-AKAP2, dual kinase A anchoring protein 2; GLUT1CBP, GLUT1 C-terminal-binding protein; MRP, multidrug-resistance protein; NHE3, Na<sup>+</sup>/H<sup>+</sup> exchanger 3; NHERF1, NHE3 regulatory factor-1; PICK1, protein that interacts with C-kinase; PKA, protein kinase A; PTH1R, parathyroid hormone receptor; SAP, synapse-associated protein; URAT, urate transporter. (From Hernando N, Wagner CA, Gisler SM, et al: PDZ proteins and proximal ion transport. Curr Opin Nephrol Hypertens 13:569–574, 2004.)

Several patients have been reported with hypokalemic alkalosis and marked increases in plasma renin activity and aldosterone, consistent with Bartter syndrome<sup>22</sup>; the concomitant Fanconi syndrome typically leads to the investigation and diagnosis of cystinosis.

Microdissected tubules from cystinotic kidneys classically demonstrate a "swan neck" lesion, due to atrophy of the early proximal tubule (Fig. 14-4). Serial biopsies in two young patients demonstrated the acquired nature of this lesion in cystinosis, which was preceded by lysosomal crystals and degenerative changes in the involved segment of the proximal tubule.<sup>20</sup> Development of the swan-neck lesion in these two patients coincided with marked worsening of the associated Fanconi syndrome (Fig. 14-5). A more pathognomic finding is the presence of multinucleated podocytes in nephropathic cystinosis, which are exceedingly rare in other forms of renal disease.<sup>23</sup> Renal biopsy findings are otherwise relatively nonspecific, with varying degrees of tubulo-interstitial disease and glomerulosclerosis. Medullary nephrocalcinosis is relatively common<sup>24</sup>; however, only a few patients develop renal calculi, most likely due to their very dilute and alkaline urine.

Growth delay in nephropathic cystinosis is usually evident in the first year of life, prior to a decline in glomerular filtration rate (GFR).<sup>25</sup> This growth delay is attributed to multiple factors, including anorexia, chronic metabolic acidosis, and hypophosphatemic rickets secondary to phosphaturia. The

Age	Symptom or Sign	Prevalence in Affected Patients (%)
6-12 mo	Renal Fanconi syndrome (polyuria, polydipsia, electrolyte imbalance, dehydration, rickets, growth failure)	95
5–10 yr	Hypothyroidism	50
8–12 yr	Photophobia	50
8–12 yr	Chronic renal failure	95
12–40 yr	Myopathy, difficulty swallowing	20
13–40 yr	Retinal blindness	10–15
18–40 yr	Diabetes mellitus	5
18–40 yr	Male hypogonadism	70
21–40 yr	Pulmonary dysfunction	100
21–40 yr	Central nervous system calcifications	15
21–40 yr	Central nervous system symptomatic deterioration	2

 Table 14-1
 Age-related Clinical Characteristics of Untreated Nephropathic Cystinosis

From Gahl WA, Thoene JG, Schneider JA: Cystinosis. N Engl J Med 347:111–121, 2002.



Figure 14-4 Microdissected nephrons from a healthy 5month-old infant (A), a patient with nephropathic cystinosis at 5 months of age (B), a separate patient with nephropathic cystinosis at 6 months of age (C), and the patient from panel C, biopsied at age 14 months, showing the "swan-neck" deformity of cystinosis (D). (From Mahoney CP, Striker GE: Early development of the renal lesions in infantile cystinosis. Pediatr Nephrol 15:50-56, 2000.)

subsequent development of hypothyroidism and chronic renal disease further exacerbates growth failure in cystinosis.

Biochemical diagnosis of cystinosis can be accomplished by measuring leukocyte cystine content. Normal levels in leukocyte preparations are less than 0.2 nmol of half-cystine per milligram of protein; patients with nephropathic cystinosis exceed 2 nmol of half-cystine per milligram of protein.<sup>26</sup> Other clinical variants have intermediate levels of leukocyte or fibroblast cystine.<sup>17</sup> Notably, however, the use of purified polymorphonuclear leukocytes is required for the accurate detection of heterozygous carriers.<sup>27</sup> Cystine determinations from chorionic villus sampling can be exploited for diagnosis in utero<sup>28</sup>; alternatively, placental analysis at birth can make the diagnosis. Clinically, the presence of corneal crystals on slit-lamp examination is also diagnostic of cystinosis.<sup>29</sup> In the absence of specific therapy for cystinosis (see Treatment section following), renal function will decline, with the development of end-stage renal disease occurring typically before 10 years of age.<sup>30</sup> Chronic dialysis is tolerated very well, and the disease does not recur in a transplanted kidney.<sup>31,32</sup> However, transplant recipients with cystinosis have progression of their primary disease in other organs affected by intralysosomal cystine accumulation. Corneal crystal deposits are absent at birth but develop very early in life, leading to photophobia and visual impairment. Deposits can also be found in the retina, with the development of a progressive retinopathy.<sup>33</sup> Other characteristic later complications include hypothyroidism from the destruction of the follicular cells of the thyroid<sup>34,35</sup>; a diffuse myopathy exhibited by distal skeletal muscle wasting,<sup>36</sup> a progressive dysphagia,<sup>34,37</sup> and restrictive Rights were not granted to include this figure in electronic media. Please refer to the printed publication.

Figure 14-5 Progressive aminoaciduria in a patient with nephropathic cystinosis, measured at 5 months and 14 months of age. This is the same patient from panels B and D of Figure 14-4. (From Mahoney CP, Striker GE: Early development of the renal lesions in infantile cystinosis. Pediatr Nephrol 15:50–56, 2000.)

pulmonary disease<sup>38</sup>; primary hypogonadism in males<sup>39</sup>; pancreatic insufficiency, both endocrine and exocrine<sup>40,41</sup>; and cortical atrophy and central nervous system (CNS) deterioration.<sup>42,43</sup>

#### Cystine Transport and Cystinosin

Cystine accumulates within lysosomes of cystinotic leukocytes<sup>14</sup> and fibroblasts.<sup>44</sup> Chloroquine and other inhibitors of lysosomal protein degradation attenuate the re-accumulation of cystine in depleted cystinotic cells, indicating that intracellular protein degradation is the source of the excess cystine.<sup>45</sup> The cystine content of normal and cystinotic cells can also be increased by loading with cystine dimethyl ester<sup>46</sup> or cysteine-glutathione mixed disulfide<sup>44</sup>; notably, however, the egress of cystine from lysosomes is markedly impaired in cystine-loaded cystinotic cells.<sup>44,46</sup> Cystine efflux from cells derived from heterozygous carriers of the nephropathic cystinosis gene is not significantly impaired when compared with wild-type cells.<sup>44</sup> However, measurement of cystine-cystine countertransport in lysosomal granules clearly demonstrates an absence in homozygous patients, with values for hetero-zygous carriers that are approximately one-half that of normal control cells<sup>47</sup>; defective lysosomal cystine transport is thus the causative defect in cystinosis.

The biochemical diagnosis of both patients<sup>48</sup> and heterozygous carriers<sup>49</sup> was critical to the fine mapping of the cystinosis gene on chromosome 17p13. A key breakthrough was the detection of genomic deletions for a linked microsatellite marker, followed by the identification of the *CTNS* gene within the minimum deletion interval.<sup>50</sup> The *CTNS* gene comprises 12 exons, encoding a 367–amino acid protein called cystinosin. Cystinosin consists of seven transmembrane domains, a 128–amino acid N terminus that contains seven glycosylation sites, and a 10–amino acid cytosolic C terminus. All patients with the various subtypes of cystinosis appear to have a mutation in the CTNS gene (i.e., there is no evidence thus far of genetic heterogeneity). The most common mutation is a 57-kb deletion that moves the 5' region upstream of exon 10<sup>51,52</sup>; over 70% of patients with nephropathic cystinosis of northern European descent are homozygous for this mutation.<sup>52-54</sup> Bendavid and colleagues have developed fluorescence in situ hybridization probes for this large deletion, the first of its kind for a lysosomal storage disorder.<sup>55</sup> Separate putative founder effect mutations have been identified in French Canada<sup>56</sup> (W138X, of Irish origin) and in the Brittany province of France<sup>57</sup> (a splice-site mutation at the end of exon 8). One recurrent missense mutation (G339R) has been identified in two particular ethnic populations: two unrelated families of Amish-Mennonite descent and five unrelated families of Jewish-Moroccan origin.58-61 An additional 50 mutations of CTNS have also been identified, including at least three patients with mutations in the promoter region of the gene.<sup>62</sup> Patients with juvenile or ocular cystinosis are homozygous for a "milder" mutation, typically a point mutation with no disruption to the open reading frame; alternatively, these patients are compound heterozygotes for a mild mutation and a more severe mutation.<sup>17,59</sup>

Cystinosin is widely expressed, with particularly abundant transcript in pancreas, muscle, and kidney.<sup>50</sup> Immunohistochemistry with anticystinosin antibodies<sup>63</sup> and transfection of cells with epitope-tagged complementary DNA (cDNA)<sup>64,65</sup> reveals that the protein is expressed in lysosomes. As expected, expression of cystinosin is particularly robust in the renal proximal tubule.<sup>63</sup> The localization of cystinosin to the lysosomal membrane is reliant on both a tyrosine-based GYDQL lysosomal sorting motif located on the C-terminal tail and on a YFPQA pentapeptide within the fifth inter-transmembrane loop. The YFPQA motif is somewhat unique in that it is a rare example of a lysosomal sorting signal located outside the cytoplasmic tail.<sup>64</sup> It seems to exhibit some capacity to rescue cystinosin sorting on its own, given that cystinosin mutant with an absent GYDQL motif but an intact YFPQA motif still partially localizes to lysosomes.<sup>65</sup> A minority of cystinosis mutations affect lysosomal targeting of cystinosin, due to primary disruption of the GYDQL motif or to as-yet-uncharacterized effects on secondary structure.<sup>66</sup>

Functional characterization of cystinosin exploited the redirection of the protein to the plasma membrane in a mutant, cystinosin-.GYDQL, that lacks the C-terminal GYDQL motif.<sup>67</sup> Cystinosin-.GYDQL thus functions as an H<sup>+</sup>-driven cystine transporter at the plasma membrane of transfected cells. It is highly specific for L-cystine; other amino acids, in particular the monosulfide cysteine, are not substrates. Cystinosin is saturable and follows Michaelis-Menton kinetics, with a  $K_m$  for cystine of ~280  $\mu M$ .<sup>67</sup> Inward transport is stimulated considerably by an acid-outside extracellular pH (Fig. 14-6); collapse of this transmembrane H<sup>+</sup> gradient by the addition of nigericin abolishes transport, consistent with H<sup>+</sup>cystine cotransport by cystinosin. Lysosomal cystine transport is in turn dependent on the presence of adenosine triphosphate (ATP), which fuels the lysosomal H<sup>+</sup>-ATPase and drives cystine efflux (Fig. 14-7).46,68,69

The cystinosin-.GYDQL construct has been utilized for functional characterization of disease-associated missense mutations in cystinosin. For the most part, transport and clinical phenotypes correlate, with abolition of transport by mutations associated with nephropathic cystinosis and residual function mediated by constructs expressing mutations associated with juvenile and ocular subtypes of the disease.<sup>66</sup> However, some mutations associated with juvenile cystinosis abolish transport in the context of cystinosin-.GYDQL, suggesting that these mutations have lesser effects on full-length cystinosin expressed in lysosomal membranes; one possibility is that cystinosin interacts with lysosomal proteins that stabilize these particular mutant forms.<sup>66</sup> The converse also occurs,



**Figure 14-6** Effect of a transmembrane pH gradient on cystine uptake in cells expressing wild-type cystinosin protein or a mutant of cystinosin that traffics to the plasma membrane (cystinosin-.GYDQL, i.e., cystinosin-ΔGYDQL). **A**, [<sup>35</sup>S]cystine uptake by mock-transfected (*light blue line*) and cystinosin-.GYDQL (*dark blue line*) expressing cells, as performed in uptake buffer adjusted to a pH ranging from 5.6 to 7.4. Cystinosin-mediated uptake increases with decreasing pH. **B**, Amount of [<sup>35</sup>S]cystine accumulated by mock-transfected, cystinosin-expressing, and cystinosin-·GYDQL-expressing cells in an acidic extracellular medium (*dark blue*). The addition of 5 μM nigericin to the uptake media (*light blue*) abolishes [<sup>35</sup>S]cystine uptake by cystinosin and cystinosin-.GYDQL, demonstrating the dependence of cystine transport on a proton gradient. (From Kalatzis V, Cherqui S, Antignac C, Gasnier B: Cystinosin, the protein defective in cystinosis, is a H(+)-driven lysosomal cystine transporter. EMBO J 20:5940–5949, 2001.)



**Figure 14-7** Chemiosmotic coupling between cystinosin and the lysosomal H<sup>+</sup>-ATPase. The interior of the lysosome (small grey circle) is acidified by a H<sup>+</sup>-ATPase present in its membrane. The efflux of cystine (C-S-S-C) from the lysosome by cystinosin, the lysosomal cystine transporter, is coupled to an efflux of H<sup>+</sup>; the influx of H<sup>+</sup>, mediated by the lysosomal H<sup>+</sup>-ATPase, drives cystinosin-mediated cystine transport to the cytoplasm. (From Kalatzis V, Cherqui S, Antignac C, Gasnier B: Cystinosin, the protein defective in cystinosis, is a H(+)driven lysosomal cystine transporter. EMBO J 20:5940–5949, 2001.)

such that some nephropathic cystinosis mutations have minimal effect on transport mediated by cystinosin-.GYDQL.<sup>66</sup>

Targeted deletion of cystinosin in mice leads to cystine accumulation in multiple organs, including kidney.<sup>70</sup> Focal deposit of cystine crystals can be detected in several tissues, including within proximal tubule cells. However, these mice do not exhibit a proximal tubulopathy, which suggests that the accumulation of cystine crystals alone is not causative of disease.<sup>70</sup> However, ocular anomalies in cystinosin-deficient mice are very similar to patients with cystinosis. These animals thus develop corneal cystine crystal deposits and depigmented patches in their retina, indicative of a retinopathy.<sup>70</sup>

#### Cellular Pathophysiology

The proximal tubulopathy of nephropathic cystinosis dominates the early clinical manifestations of the disease. Notably, however, the pathophysiology of this cellular injury is not particularly clear, although it does not appear to directly involve or require cellular toxicity of cystine crystals. As noted above, for example, cystinosin-deficient knockout mice do not have a demonstrable renal phenotype, despite the presence of crystals within proximal tubular cells.<sup>70</sup> Several lines of evidence suggest that lysosomal accumulation of cystine is responsible for cell death and/or cellular dysfunction in the proximal tubule of patients with cystinosis. Intracellular cystine loading of perfused rabbit proximal tubules with cystine dimethyl ester (CME) reduces the tubular reabsorption of fluid, glucose, and bicarbonate.<sup>71</sup> Intraperitoneal injection of CME can also induce a Fanconi syndrome in rats, with marked polyuria, phosphaturia, and glucosuria.<sup>72</sup> Treatment with CME appears to induce respiratory dysfunction and reduced ATP generation in proximal tubular cells.72-74 However, despite a modest reduction in cellular ATP content, cystinotic fibroblasts do not have demonstrable defects in mitochondrial energy-generating capacity or Na<sup>+</sup>,K<sup>+</sup>-ATPase activity.75 Cystinotic fibroblasts do, however, have an increased sensitivity to pro-apoptotic stimuli such as tumor necrosis factor- $\alpha$  (TNF- $\alpha$ ); lysosomal loading of normal fibroblasts or proximal tubular epithelial cells with cystine (CME treatment) results in a comparable sensitivity.<sup>76</sup> Treatment of cystinotic cells with cysteamine reduces the observed sensitivity to pro-apoptotic stimuli, suggesting that lysosomal cystine accumulation is responsible for this cellular phenotype.<sup>76</sup> These findings have led to a re-appraisal of the role of lysosomes in apoptosis.<sup>77</sup> In particular, cystine accumulation appears to result in cysteinvlation and activation of protein kinase C $\delta$  (PKC $\delta$ ), a pro-apoptic kinase; knockdown or pharmacologic inhibition of PKC $\delta$  attenuates apoptosis in cystinotic proximal tubular cells and fibroblasts.78 A reduced sensitivity of murine PKC $\delta$  to cysteinvlation has been speculated to underlie the lack of proximal tubulopathy in the cystinosin knockout mouse.77

Finally, cystinotic cells may have an increased sensitivity to oxidative injury. In particular, patients with nephropathic cystinosis excrete higher than usual amounts of pyroglutamic acid (5-oxoproline), suggesting depletion of glutathione, a major intracellular antioxidant.<sup>79</sup> Pyroglutamic acid and cysteine are major metabolites of the ATP-dependent  $\gamma$ glutamyl cycle, which is reportedly more sensitive to ATP depletion and other stressors in cystinotic cells.<sup>80</sup> Modest reduction in cellular glutathione has been reported in some but not all studies of cystinotic cells.<sup>81,82</sup> However, increases in the ratio of oxidized glutathione disulfide (GSSG) to glutathione have been reported,<sup>81</sup> in addition to increases in the activity of superoxide dismutase<sup>82</sup>; both observations are consistent with increased oxidative stress in cystinotic cells.

#### **Treatment**

Supportive therapy is crucial in nephropathic cystinosis, particularly during infancy. The large fluid and electrolyte losses must be aggressively replenished. Treatment should thus include oral supplementation of bicarbonate and phosphate, along with oral vitamin D therapy. Indomethacin can be used in efforts to reduce high sodium and water losses.<sup>83</sup> Exogenous growth hormone can help restore normal growth rates.<sup>84</sup> Also, dysfunctions of other organ systems must be rectified, administering levothyroxine for hypothyroidism and testosterone for gonadal dysfunction. Patients with cystinosis often develop carnitine deficiency through the absence of carnitine reabsorption in the proximal tubule.<sup>85</sup> This is not unique to cystinosis; Fanconi syndrome of any etiology can lead to significant urinary carnitine loss.<sup>86</sup> Oral carnitine therapy can restore levels to normal in both serum and muscle.<sup>87,88</sup> Muscle lipid accumulation, characteristic of carnitine deficiency, also diminishes with therapy. Oral carnitine is very well tolerated. However, there is not yet any clear evidence demonstrating overt clinical improvements with such therapy.

Cysteamine is an aminothiol that facilitates depletion of lysosomal cystine.<sup>89</sup> It enters the lysosome through a specific transporter and reacts with cystine to form mixed disulfide cysteamine-cysteine molecules. These are then exported out of the lysosome through the lysosomal lysine transporter,<sup>90</sup>



Figure 14-8 The mechanism of cystine depletion with cysteamine. **A**, In normal lysosomes, cystine and lysine are both transported across the lysosomal membrane. **B**, In cystinotic lysosomes, lysine is transported across the lysosomal membrane; cystine cannot cross the membrane, and accumulates inside the lysosome. **C**, In cysteamine-treated lysosomes, cysteamine combines with half-cystine (i.e., cysteine) to form the mixed disulfide cysteine-cysteamine, which exploits the lysine transporter to exit the lysosome. (From Gahl WA, Thoene JG, Schneider JA: Cystinosis. N Engl J Med 347:111–121, 2002.)

which is separate and distinct from cystinosin (Fig. 14-8). It is then reduced to cysteamine and cysteine by glutathione in the cytoplasm. The importance of early treatment with cysteamine cannot be emphasized enough; significant renal damage often has occurred by the time a diagnosis has been made, even at 1 year of age. Cysteamine therapy slows deterioration of renal function and improves linear growth. In a retrospective study by Markello and colleagues, cystinosis patients were divided according to the extent of cysteamine therapy they had received as children; early implementation and good compliance were strongly associated with a much slower rate of renal deterioration. More specifically, data from this study showed that each month of cysteamine therapy prior to 3 years of age preserved 14 months of subsequent renal function.<sup>91</sup> Compliance can be difficult, as cysteamine must be administered every 6 hours, and it has a distinct odor and taste. Capsules of cysteamine bitartrate are preferable to solutions, and the capsule contents can be dissolved for younger children. Corneal crystals do not respond to oral cysteamine therapy,<sup>92</sup> and necessitate the administration of cysteamine eye drops.<sup>29,93</sup> Again, with this and the many other extrarenal complications that these patients endure even after renal replacement therapy, life-long cysteamine administration is imperative.

#### Galactosemia

Galactosemia is an autosomal-recessive disorder caused by a deficiency in one of the primary enzymes involved in galactose metabolism. The most common subtype is the "transferase-deficient galactosemia," or "classic galactosemia," which is attributed to abnormal activity of the galactose-1-phosphate uridyl-transferase (GALT) enzyme, the second enzyme in the Leloir pathway. It has an approximate incidence of 1 in 60,000 live births. Infants consuming milk or formula containing lactose, the most common source of galactose (Fig. 14-9), accumulate galactose-1-phosphate and other metabolites,



Figure 14-9 Metabolism of lactose; composite diagram of the Leloir pathway and uridine diphosphate (UDP)-glucose pyrophosphorylase pathway. *Galk*, galactokinase; *galt*, galactose-1-phosphate uridyltransferase; *Gale*, UDP-galactose 4-epimerase; *Hex*, hexokinase; *Pgm*, phosphoglucomutase; *Ugp*, UDPglucose pyrophosphorylase. (From Leslie ND: Insights into the pathogenesis of galactosemia. Annu Rev Nutr 23:59–80, 2003.).

leading to cellular injury. The precise mechanisms of injury are still unclear.94 Several pathways of carbohydrate metabolism are inhibited by galactose-1-phosphate, and defective protein and/or lipid galactosylation may also be a contributing factor.<sup>95,96</sup> Organs primarily affected include the liver, kidney (particularly the proximal tubule), ovaries, lens, and brain. The formation of galactitol from galactose, catalyzed by aldose reductase, appears to be responsible for cataract formation.<sup>97</sup> Proximal tubule dysfunction may be a direct effect of galactose itself.98,99 However, galactokinase deficiency also disrupts galactose metabolism, without increasing levels of galactose-1-phosphate; notably, affected patients typically present only with cataracts, rarely pseudotumor cerebri, but not with renal disease.<sup>100,101</sup> Experimental galactosemia, induced by feeding rats a high-galactose diet, does lead to glomerular injury and proteinuria.<sup>102</sup> Ballooning of glomerular epithelial cells is observed in these models, along with glycation of the glomerular basement membrane.<sup>102</sup> Notably, glomerular filtration rates are elevated by experimental galactosuria, suggesting a hyperfiltration injury.<sup>103</sup>

Surprisingly, knockout mice deficient in GALT do not develop a phenotype. Tissues in these mice accumulate levels of galactose-1-phosphate, without much of an elevation in galactitol; combined elevation of both metabolites is conceivably required for organ damage in galactosemia due to GALT deficiency.<sup>104</sup>

#### **Clinical Presentation and Diagnosis**

Affected infants typically present with poor feeding, vomiting, diarrhea, and failure to thrive. If the diagnosis is not made early, many may quickly progress to develop jaundice, unconjugated hyperbilirubinemia, coagulopathy, and lethargy. Cataracts can appear within days of birth.<sup>101</sup> Continued lactose intake ultimately leads to hepatomegaly and cirrhosis, developmental delay, and mental retardation. Sepsis with *Escherichia coli* is classically associated with galactosemia<sup>105</sup>; this has been attributed to an inhibition of leukocyte bactericidal activity. Affected patients can develop aminoaciduria and proteinuria within days of birth. Of note, melituria in galactosemia is primarily a result of galactosuria, rather than glucosuria.

The presence of galactosuria is suggestive but not diagnostic of galactosemia; it can be seen in normal newborns or severe liver disease of any etiology. Erythrocyte transferase activity must be measured directly from erythrocytes to confirm the diagnosis.<sup>106</sup> Currently, most states include galactosemia testing as part of standard neonatal screening. The screening measures serum galactose-1-phosphate levels, and any anomalous results are confirmed by determining transferase enzyme activity.<sup>107</sup>

#### **Molecular Genetics**

The gene for GALT has been localized to chromosome 9p13.<sup>108</sup> Two common mutations have been identified, Q188R and K285N, accounting for approximately 60% to 70% of galactosemia mutants in patients of European descent.<sup>109,110</sup> Among black patients, a missense mutation, S135L, is prevalent.<sup>111</sup> To date, nearly 200 different mutations have been identified, making genetic confirmation testing difficult.

The Duarte variant, N314D, is a more benign mutation identified in 5% to 6% of the North American nongalac-

tosemic population through newborn screening.<sup>112</sup> Homozygotes for this mutation have an approximate 50% reduction in GALT activity. These patients typically do not manifest any acute symptoms. However, Cramer and colleagues identified an increased risk for vaginal agenesis in women with the Duarte variant.<sup>113</sup> The mechanism of this effect is unclear, since more severe mutations do not produce a similar risk. In vitro studies show normal transcript levels for this mutation, with reduced stability and shortened half-life of the enzyme protein.<sup>114</sup> One other GALT enzyme, the Los Angeles (or D1) variant, has no effect on transcript levels, yet produces more GALT protein, resulting in increased enzyme activity.<sup>115</sup> This D1 variant has no associated phenotype.

#### **Treatment**

The elimination of galactose from the diet is essential for the resolution of acute symptoms. Hepatic and renal function improves, and cataracts will often regress. However, even with prompt and thorough dietary modifications, long-term sequelae, such as growth and developmental delay,<sup>116</sup> speech impairment,<sup>117,118</sup> and gonadal dysfunction (primarily in girls),<sup>119,120</sup> can develop.<sup>121</sup> These complications have been attributed to intrinsic galactose production or absent galactosylation of various lipids.<sup>122,123</sup> Hormone replacement therapy is often necessary to induce pubertal development and to prevent other complications of hypogonadism.<sup>120,124</sup> Growth hormone replacement can be effective; however, the hypogonadism must be addressed first.<sup>120</sup>

#### **Tyrosinemia**

Tyrosinemia (tyrosinemia type I, hepatorenal tyrosinemia) is an inborn error of metabolism affecting the liver, kidneys, and peripheral nerves. It has an estimated incidence of 1 in 100,000,<sup>125</sup> with clusters of increased incidence in Scandinavia and Quebec.<sup>126</sup> Notably, the name of this disorder is somewhat misleading. For one, the accumulation of tyrosine can be attributed to a variety of etiologies, including general liver dysfunction, hyperthyroidism, vitamin C deficiency, or even transiently in healthy newborns.<sup>127–129</sup> Additionally, tyrosine itself appears to have no direct hepatic or renal toxicity.

The primary defect in tyrosinemia is a deficiency in fumarylacetoacetate hydrolase (FAH), an enzyme expressed primarily in the liver and kidneys. This leads to the accumulation of several upstream metabolites, including fumarylacetoacetate (FAA), maleylacetoacetate (MAA), and their various derivatives, including succinylacetoacetate (SAA) (Fig. 14-10); these have been identified as the disease-causing agents in tyrosinemia. In particular, FAA has been shown to cause endoplasmic reticulum stress, genomic instability, and increased apoptosis.<sup>130–132</sup>

There are two other subtypes of genetic tyrosinemia.<sup>125</sup> Oculocutaneous tyrosinemia (tyrosinemia type II) presents with corneal thickening, developmental delay, and hyperkeratosis of the palms and soles.<sup>133</sup> Tyrosinemia type III, a primary deficiency of 4-hydroxy phenylpyruvate dioxygenase (HPD), an enzyme upstream of FAH, manifests with severe mental retardation and other neurologic disturbances.<sup>134</sup> Neither subtype is typically associated with hepatic or renal dysfunction. Finally, another variant is "transient tyrosinemia of the newborn"; not a true "metabolic error," this is caused by



**Figure 14-10** Tyrosine metabolism. The enzymes defective in different forms of tyrosinemia are indicated. TAT, tyrosine aminotransferase (tyrosinemia type III); 4-HPD, 4-hydroxyphenylpyruvic acid dioxygenase (tyrosinemia type III); FAH, fumarylacetoacetate hydrolase (tyrosinemia type I). (From Scott CR: The genetic tyrosinemias. Am J Med Genet C Semin Med Genet 142:121–126, 2006.)

a transient developmental deficiency in HPD. This was thought to be an entirely benign disorder since these children have no acute symptoms; however, on long-term follow-up, some of these children suffer from mild developmental delays and learning disorders.<sup>135</sup>

#### **Clinical Features and Diagnosis**

Symptoms of tyrosinemia can present in infancy, ranging from isolated clotting abnormalities with near-normal transaminases<sup>136</sup> to severe liver dysfunction; alternatively, the disease may be more chronic and indolent, with progressive cirrhosis and a high risk for the development of hepatocellular carcinoma. The malignancy risk has been attributed to the mutagenic properties of FAA.<sup>130,132</sup> Patients may also develop acute episodes of peripheral neuropathy and paresthesias, with eventual development of autonomic dysfunction. Renal involvement is almost universal. Proximal tubular function is most often affected, with resultant aminoaciduria, glycosuria, and hypophosphatemic rickets. However, these patients are also at risk for chronic kidney disease with progressive glomerulosclerosis, interstitial fibrosis, and eventual renal failure.<sup>137-139</sup> Bilateral nephromegaly and nephrocalcinosis are often observed on ultrasonography.140

Various other plasma biochemical markers are elevated in tyrosinemia, including tyrosine and methionine. Highly elevated levels of  $\alpha$ -fetoprotein are often seen even prior to elevations of tyrosine,<sup>141</sup> but have a low specificity for diagnosis of tyrosinemia. However, the presence of succinylacetone (see Fig. 14-10) in the urine is diagnostic of tyrosinemia. FAH enzyme activity can also be directly measured in cultured skin fibroblasts to confirm the diagnosis.

#### Molecular Genetics and Renal Pathogenesis

FAH has been mapped to chromosome 15q23-q25.142,143 Approximately 35 different mutations have been identified.<sup>142-144</sup> Prenatal diagnosis is possible through amniocentesis and genotyping of amniocytes or the measurement of FAH activity.<sup>145</sup> Amniotic succinylacetone levels may also be elevated. Notably, there is a considerable lack of a genotype to phenotype correlation in tyrosinemia. A wide range of clinical manifestations have been observed even within the same family. One possible explanation for this variance is the remarkable paradigm of spontaneous mutation reversion observed in tyrosinemia. Upon transplantation, livers of patients with tyrosinemia were found to have varying degrees of FAH activity. When stained for FAH, these livers were shown to be actual mosaics with patches positive for FAH.<sup>146</sup> Sequencing of these regions revealed them to be heterozygotic, with actual reversion of the original point mutation. The extent of this spontaneous reversion has been inversely correlated with the clinical severity of liver disease.<sup>147</sup>

Maleic acid, a derivative of MAA, and the structurally similar succinvlacetone have been used to induce Fanconi syndrome in vivo.148-150 Maleic acid has also been postulated to induce renin release through afferent arteriolar vasoconstriction, potentially resulting in chronic ischemic injury.<sup>151</sup> The use of knockout mice has provided elegant evidence for increased apoptosis of renal tubular cells in tyrosinemia. Targeted deletion of the murine FAH results in embryonic lethality, which is rescued by breeding with mice deficient in 4-hydroxy phenylpyruvate dioxygenase (HPD), the disease gene for type II tyrosinemia (see previous discussion of tyrosinemia subtypes). HPD catalyzes an earlier step in tyrosine metabolism (see Fig. 14-10), such that generation of FAA and other toxic metabolites is blocked in mice that are doubly deficient in FAH and HPD.<sup>152</sup> Renal tubular cells of these mice undergo rapid, massive apoptosis when treated with homogentisate, a precursor to FAA that is downstream of HPD (see Fig. 14-10). This is associated with development of renal impairment and a Fanconi syndrome.<sup>152</sup> Of interest, cellular evidence of apoptosis was blocked by caspase inhibitors, without an effect on renal tubular function.<sup>152</sup>

#### Treatment

Nutritional modifications with a low-phenylalanine and lowtyrosine diet have been shown to significantly improve renal tubule dysfunction in tyrosinemia.<sup>153</sup> However, the impact on hepatic function is less substantial. As a result, orthotopic liver transplantation was until recently the mainstay of long-term therapy for tyrosinemia, with good success.<sup>140</sup> Patients who undergo liver transplantation can show improvements in their renal function despite continued elevated urinary SA levels.<sup>154,155</sup> However, tubulopathy may still persist in other patients.<sup>139</sup> More recently, the use of nitisinone [2-(2nitro-4trifluoromethylbenzoyl)-1,3cyclohexanedione] has diminished the need for transplantation. Its presumed mechanism is the inhibition of the 4-HPD, upstream of FAH, thereby reducing the accumulation of FAA and other toxic metabolites.<sup>156,157</sup>

#### **Dent Disease**

Mutations in the *CLCN5* gene, located on chromosome Xp11.22,<sup>158</sup> result in the clinical disease of X-linked hereditary

nephrolithiasis. A total of four disease processes are caused by mutations in CLCN5: Dent disease, X-linked recessive nephrolithiasis (XRN), X-linked recessive hypophosphatemic rickets (XLRH), and idiopathic low-molecular-weight proteinuria of Japanese children (JILMWP). These disorders appear to reflect a phenotypic spectrum of one disease, grouped under the umbrella title of Dent disease. Common features include low-molecular-weight proteinuria, hypercalciuria, and nephrocalcinosis. In addition, patients with loss-of-function mutations in CLCN5 all manifest some degree of proximal tubule dysfunction with aminoaciduria, phosphaturia, and glycosuria. Potassium wasting is also common. However, there are also distinct differences between these diseases: patients with Dent disease and XLRH develop rickets, not so with XRN and JILMWP. Renal failure is in turn a common finding only in Dent disease and XRN.

Genetic heterogeneity has recently been observed in Dent disease. In a study of 13 families whose male probands exhibited the clinical symptoms of Dent disease yet lacked mutations in *CLCN5*, five had mutations identified in the *ORCL1* gene (Xq25-Xq27.1). Mutations in *ORCL1* are typically associated with Lowe syndrome (see below). However, these patients did not exhibit any of the major clinical features of Lowe syndrome.<sup>159</sup> The identification of other disease-associated loci in Dent disease is sure to yield important insight into the pathophysiology of this intriguing disease.

#### **Pathogenesis**

CLCN5 encodes a chloride channel, ClC-5, part of a ninemember family of chloride channels involved in a wide variety of genetic diseases.<sup>160</sup> CLC-5 expression is renal-predominant,<sup>161</sup> with detectable protein in all subsegments of the proximal tubule, in thick ascending limb cells, and in type A intercalated cells of the collecting duct.<sup>162-164</sup> CLC-5 is predominantly an intracellular protein, as are the closely related paralogs CLC-3 and CLC-4.165 However, there is sufficient expression at the plasma membrane to allow for functional characterization, in both Xenopus oocytes and HEK293 cells; CLC-4 and CLC-5 mediate outwardly rectifying anion currents in these cells, with a similar preference for  $NO_2^- > Cl^- > I^{-166}$ A seminal observation in the biophysics of the CLC family was the demonstration that a bacterial paralog functions as an electrogenic Cl-H+ exchanger, with an apparent stoichiometry of 2 Cl<sup>-</sup> anions to 1 H<sup>+</sup>.<sup>167</sup> Subsequent reports have revealed that CLC-4 and CLC-5 also function as electrogenic Cl-H<sup>+</sup> exchangers,<sup>168,169</sup> rather than as "pure" chloride channels. Regardless, genetic mutations associated with Dent disease significantly reduce or obliterate the chloride transport activity of CLC-5.170-172

Low-molecular-weight proteins pass through the glomerular filter and are reabsorbed by proximal tubule cells through endocytosis, followed by lysosomal degradation (Figs. 14-2 and 14-11). CLC-5 protein expression can be detected just



Figure 14-11 Megalin and cubilin function together in the proximal tubular uptake and activation of  $25(OH)D_3$  bound to vitamin D binding protein (DBP). Filtered  $25(OH)D_3$ -DBP is endocytosed via the endocytic receptor pathway recognizing DBP. The complexes are delivered to lysosomes where DBP is degraded and  $25(OH)D_3$  is released to the cytosol;  $25(OH)D_3$  is either secreted or hydroxylated in the mitochandria to  $1,25(OH)_2D_3$ , followed by release into the interstitial fluid and complex formation with DBP (*inset*). Cubilin facilitates the endocytic process by sequestering the steroid-carrier complex on the cell surface, prior to association with megalin and internalization of the cubilin-bound  $25(OH)D_3$ -DBP. (From Nykjaer A, et al: Cubilin dysfunction causes abnormal metabolism of the steroid hormone 25(OH) vitamin D(3). Proc Natl Acad Sci U S A 98:13895–13900, 2001.)

below the apical brush border, where it colocalizes with H<sup>+</sup>-ATPase in endosomal structures.<sup>163</sup> H<sup>+</sup>-ATPase plays a key role in acidification of endosomes and lysosomes, and targeted deletion of mouse ClC-5 leads to an impairment in the acidification of early endosomes.<sup>173</sup> The chloride channel function of ClC-5 was initially thought to provide a charge dissipation to compensate for the buildup of H<sup>+</sup> in endosomes; however, this role is now considerably less clear, given that ClC-5 functions as a Cl—H<sup>+</sup> exchanger.<sup>168,169</sup> Regardless, it is clear that the endocytosis of low-molecular-weight proteins is reduced in the proximal tubule of ClC-5 knockout mice, with attenuation in the trafficking of both megalin and cubilin to the brush border.<sup>5,174</sup> This defect in endocytosis may be due to a loss of interactions between ClC-5 and cytoskeletal proteins such as cofilin,<sup>175</sup> and/or scaffolding proteins such as NHERF-1 and NHERF-2<sup>176</sup> (see Fig. 14-3).

The hypercalciuria and renal stones seen in Dent disease may also result from defective endocytosis, although in a more indirect manner. Parathyroid hormone (PTH) and vitamin D are filtered at the glomerulus and normally endocytosed in a megalin- and ClC-5-dependent manner. PTH is known to downregulate NaPi-2a, a proximal tubule transporter important for phosphate reabsorption. In ClC-5 knockout mice, urinary PTH concentrations are increased to about twice normal levels with normal serum levels and resultant hyperphosphaturia.<sup>174</sup> PTH also upregulates the enzymatic conversion of 25(OH)-vitamin D3 to its active metabolite,  $1,25(OH)_2$ -vitamin D<sub>3</sub>, which can be elevated in patients with Dent disease.<sup>177</sup> The ensuing increase in intestinal calcium absorption potentially increases the calcium load filtered by the kidney, leading to hypercalciuria and stone formation. The two published strains of ClC-5 knockout mice differ in the presence<sup>178</sup> or absence<sup>174</sup> of hypercalciuria; the mouse strain with hypercalciuria has elevated levels of 1,25(OH)<sub>2</sub>-vitamin  $D_{3}$ <sup>179</sup> underlining the potential role of vitamin D-induced hypercalciuria. Moreover, the development of renal failure is unique to the hypercalciuric ClC-5 knockout strain,<sup>178,179</sup> emphasizing the role of hypercalciuria in the renal failure associated with Dent disease.

#### **Clinical Presentation and Treatment**

As described above, there is a considerable phenotypic spectrum in Dent disease (Table 14-2); however, all patients manifest some degree of proximal tubulopathy, and fluid and electrolyte loss can be significant. In addition to affected males, female carriers can develop proteinuria, albeit to a lesser degree.<sup>180</sup> Children may develop rickets and adults osteomalacia. Renal stone disease is a chronic problem, present in up to 50% of affected males and severe enough to progress to renal failure.<sup>181</sup> End-stage renal failure tends to occur around the fifth decade of life. Renal pathology shows a pattern of chronic interstitial nephritis, with scattered calcium deposits and prominent tubular atrophy with diffuse inflammation.<sup>182</sup>

Treatment is largely supportive. Fluids and electrolytes must be replenished, with generous intake of fluid to mitigate the high risk for stone disease. Thiazide diuretics can be used to reduce the hypercalciuria associated with Dent disease.<sup>183</sup> Notably, a high-citrate diet preserved renal function and slowed progression of renal disease in the hypercalciuric strain of ClC-5 knockout mice<sup>179</sup>; this intriguing strategy has yet to be extended to human patients.

### Table 14-2 Clinical Features in 15 Patients with Dent Disease Disease

Low-molecular-weight proteinuria	100%
Albuminuria	100%
Aminoaciduria	100%
Glucosuria*	8/15
Hypercalciuria <sup>†</sup>	12/13
Reduced clearance of creatinine or raised serum creatinine	11/15
Reduced concentrating capacity	9/9
Acidification defect	7/14
Acidification defect Nephrocalcinosis	7/14 11/15
Acidification defect Nephrocalcinosis Renal stones	7/14 11/15 8/15
Acidification defect Nephrocalcinosis Renal stones Rickets	7/14 11/15 8/15 6/15

\*No transport maximum performed, no high-performance liquid chromatography analysis.

<sup>†</sup>Excluding one patient with terminal renal failure.

From Ludwig M, Utsch B, Monnens LA: Recent advances in understanding the clinical and genetic heterogeneity of Dent's disease. Nephrol Dial Transplant 21:2708–2717, 2006.

#### **Oculocerebrorenal Syndrome of Lowe**

The oculocerebrorenal syndrome of Lowe (OCRL, Lowe syndrome) is an X-linked recessive syndrome characterized by a triad of symptoms: congenital cataracts, cognitive impairment, and renal dysfunction. It is linked to a gene on chromosome Xq24-q26, which encodes ORCL1, a phosphotidylinositol 4,5-bisphosphate phosphatase.<sup>184,185</sup> ORCL1 is ubiquitously expressed, and the molecular mechanism of disease is not fully understood (see review<sup>186</sup>). ORCL1 localizes to the Golgi complex and is thought to be involved with protein trafficking. This may lead to disruption of cell polarization, particularly important in renal tubules and the cornea, explaining perhaps the symptom cluster. Additionally, patients with Lowe syndrome often have lower urinary levels of megalin; this is attributed to defective recycling in a mechanism similar to Dent disease.<sup>4</sup>

#### **Clinical Features**

As its name indicates, symptoms in this syndrome are largely restricted to the eye, nervous system, and kidney. Cataracts are usually identified at birth,<sup>187</sup> and patients may also develop glaucoma and nystagmus. Mothers of patients with Lowe syndrome may also develop cataracts, in the absence of other symptoms of this disorder.<sup>188</sup> Although up to 25% of these patients may have low normal intelligence,<sup>189</sup> the large majority suffer from significant mental retardation and developmental delay. Infants will often present with areflexia and hypotonia and can develop a seizure disorder. Patients with loss-of-function mutations in ORCL1 have also been

reported to exhibit features of Dent disease, without the major clinical features of Lowe syndrome.<sup>159</sup>

Renal function is typically normal at birth; however, by 1 year of age, proximal tubule dysfunction and proteinuria are nearly ubiquitous. Generalized aminoaciduria with sparing of branched-chain amino acids is characteristic of Lowe syndrome.<sup>190</sup> Glycosuria is variable and may be absent; phosphaturia typically progresses with age. Significant fluid and potassium losses are common in infancy. Proteinuria develops very early and may exceed 1 g/m<sup>2</sup> body surface area/day<sup>190</sup>; this is believed to cause progressive renal disease, with a falling GFR, glomerulosclerosis, and renal failure by the fourth decade of life. Linear growth delay is very common, with development of hypophosphatemic rickets and osteopenia. Though uncommon, hypercalciuria and nephrocalcinosis have been reported<sup>191</sup>; however, this is conceivably a complication of calcium and vitamin D therapy.

Histologic changes in the kidney can occur very early; dilatation and atrophy of proximal tubule cells have been seen in patients at just a few months of age.<sup>192</sup> Over time, patients develop thickening of the glomerular basement membranes and fusion of foot processes, glomerular hypercellularity, and glomerular and interstitial fibrosis.<sup>193</sup>

#### **Diagnosis and Treatment**

Although most patients have elevated creatine kinase, lactate dehydrogenase, and total serum protein,<sup>190</sup> no single laboratory evaluation or pattern is specific for the diagnosis of Lowe syndrome. Diagnosis is generally clinical, based on the patient's constellation of symptoms. Treatment is symptomatic and supportive. Electrolyte, bicarbonate, and vitamin D supplementation is required. Most children have their cataracts removed during infancy.<sup>187</sup> Antiepileptics are commonly administered, and developmental therapies should be implemented early. Progressive renal disease exerts the biggest influence on morbidity and mortality in this disorder.

#### Wilson's Disease

Wilson's disease (hepatolenticular degeneration) is a disorder of copper metabolism. It is caused by a mutation of the gene *ATP7B*, located on chromosome 13,<sup>194,195</sup> which encodes an ATPase copper-transporting polypeptide expressed primarily in the liver. The disease is inherited in an autosomal-recessive fashion with an estimated incidence of 1 in 30,000. It is present worldwide, with over 200 different mutations identified thus far. This, in addition to the large size of the *ATP7B* gene (80 kb with 21 exons), makes genetic screening and diagnosis difficult.

#### **Pathogenesis**

Ingested copper is absorbed and stored in intestinal cells. It is later moved into circulation by copper-transporting ATPase1 (*ATP7A*), expressed on the membrane of enterocytes. Bound to albumin, the copper is transported to hepatocytes where it is excreted into biliary canaliculi, which is regulated by *ATP7B*. *ATP7B* also facilitates the transfer of copper to apoceruloplasmin to form ceruloplasmin. In this form, copper is transported to peripheral organs. Mutations in *ATP7B* result in low ceruloplasmin levels and toxic accumulation of copper in hepatocytes. This leads to mitochondrial injury, cellular damage, and spillage of excess copper into the bloodstream, which overloads various end organs, such as the brain and kidney.

#### **Clinical Features**

The clinical presentation can be exceedingly varied, which presents a challenge to prompt and accurate diagnosis. Approximately 40% of patients present with hepatic disease, another 40% present with neuropsychiatric symptoms, and the remaining present with other organ system involvement. Liver involvement typically presents in adolescence.<sup>196</sup> It can show features of acute hepatitis, chronic liver disease, or fulminant hepatic failure. Symptoms can range from general malaise to jaundice and abdominal pain to hematemesis. Typically, the younger the age of presentation, the more progressive the liver disease, and orthotopic liver transplantation has been performed in patients with Wilson's disease during early childhood.<sup>196</sup>

Neurologic symptoms usually appear during the second or third decade of life but have been reported as late as 72 years of age.<sup>197</sup> As with liver involvement, presentation can be quite variable. A common presentation is with bulbar symptoms, including speech and swallowing difficulties and drooling. Cerebellar symptoms and parkinsonian features are also common. Psychiatric disturbances may be as mild as attention deficit/hyperactivity disorder and impulsivity, but may range to depression, paranoia, and psychosis.

Perhaps the most common sign of Wilson's disease is Kayser-Fleischer rings, seen around the periphery of the cornea, caused by copper deposition in Descernet's membrane. These rings are indicative of copper accumulation in the brain, so it follows that they are identified in nearly all patients with neuropsychiatric symptoms. However, they are present in only about 50% of patients without neurologic involvement.

Renal involvement is relatively common and usually precedes hepatic failure. Many patients exhibit signs of Fanconi syndrome with glycosuria, aminoaciduria, and hyperphosphaturia. Proteinuria and hematuria have been described but are less common.<sup>198,199</sup> Several cases of patients with Wilson's disease presenting with nephrolithiasis and hypercalciuria have been reported.<sup>200–202</sup>

#### Diagnosis

Laboratory confirmation of Wilson's disease can be elusive, leading to delayed diagnoses.<sup>203</sup> Ceruloplasmin is often described as a hallmark of Wilson's disease. However, it is an acute-phase reactant and therefore may be falsely elevated. Additionally, a low ceruloplasmin can be seen in Menkes' disease (a mutation in *ATP7A*), celiac disease, and significant liver disease of any etiology. A 24-hour urinary copper measurement can be very reliable if performed correctly.<sup>204</sup> It is typically quite elevated in Wilson's disease, often in excess of 100  $\mu$ g per day, compared to 20 to 50  $\mu$ g per day in normal individuals. Free copper in serum is also often elevated and can be helpful in cases where ceruloplasmin is falsely elevated. Copper content of hepatocytes can be measured directly after liver biopsy. However, patients often suffer from coagulation disturbances, contraindicating a biopsy, and the sensitivity of

such a study does not necessarily exceed other laboratory evaluations.<sup>204</sup> As mentioned above, with the numerous possible mutations, genetic diagnosis can be very difficult. There are certain predominant mutations in particular ethnic groups, however. In one study, in North American and European patients, 38% carried one of two point mutations: His1070Gln and Gly1267Lys<sup>205</sup>. Arg788Leu is commonly seen among Korean patients.<sup>206</sup>

#### Treatment

Treatment focuses on the removal of the toxic copper deposits. This is accomplished through the use of copper chelating agents and limitation of dietary copper. Copper-rich foods include chocolate, nuts, and shellfish. D-Penicillamine is the oldest chelating agent used in Wilson's disease and continues to be used today. It is very effective because it not only chelates copper directly from tissue but also promotes the synthesis of metallothionein, which binds copper into a nontoxic form. Its side effect profile is quite extensive; this includes hypersensitivity, bone marrow suppression, and autoimmune disease. Regular monitoring of hematologic, biochemical, and urinary parameters are necessary, and urinary copper should also be monitored to determine dose efficacy. If D-penicillamine cannot be tolerated, trientine can be substituted. Ammonium tetrathiomolybdate is currently being evaluated for use in Wilson's disease and appears to have very good efficacy, particularly in preserving neurologic function.<sup>207</sup> Once patients have entered a maintenance phase, zinc can be added to prevent absorption of dietary copper. In fact, Marcellini and colleagues demonstrated that if administered early enough, zinc treatment can be used effectively in isolation.<sup>208</sup> Some advocate that zinc replace D-penicillamine as the choice for first-line therapy.<sup>209</sup>

Treatment should be lifelong; if the diagnosis is made early enough, patients with Wilson's disease can live an entirely normal life. However, because of the varied presentation, diagnosis is often delayed. Patients with fulminant liver failure do very well after transplantation, with no recurrence of disease.<sup>210,211</sup>

#### **Hereditary Fructose Intolerance**

Hereditary fructose intolerance stems from a deficiency in aldolase B, an enzyme responsible for the cleavage of fructose-1-phosphate. It has an autosomal-recessive inheritance pattern with an approximate prevalence of 1 in 20,000.<sup>212</sup> The human aldolase B gene is located on chromosome 9q22,<sup>213</sup> and although numerous mutations have been identified, one particular point mutation, A149P, accounts for more than half of the cases in patients of European descent.<sup>212,214</sup>

#### **Clinical Features**

This disorder was first described by Chambers in 1956 as an "idiosyncrasy to fructose."<sup>215</sup> Breast-fed infants will be entirely asymptomatic until they are weaned and introduced to sucrose or any related sugar, such as sorbitol. They may present acutely with vomiting, diarrhea, and abdominal pain. Even more severe, patients may exhibit profound hypoglycemia with seizures, acute liver failure, and coma. Other patients

may have a more indolent pattern of disease with only failure to thrive. These patients more often will develop other endorgan injury through prolonged fructose exposure, such as hepatic insufficiency, jaundice, and renal tubular dysfunction.

#### **Pathogenesis**

In the absence of aldolase activity, intracellular phosphate is depleted though the rapid phosphorylation of fructose.<sup>216</sup> This in turn reduces ATP content and promotes the degradation of purine nucleotides.<sup>217,218</sup> In the kidney, there is a potential link between aldolase and vacuolar (V)-ATPase in the proximal tubule. V-ATPases are responsible for endosomal acidification and proton secretion; Lu and colleagues demonstrated that these two enzymes are colocalized in proximal tubule cells, proposing that the absence of aldolase inhibits the activity of V-ATPase and promotes tubular dysfunction.<sup>219,220</sup>

#### **Diagnosis and Treatment**

Aside from a history of symptoms immediately following introduction to sucrose, patients will have non-glucose-reducing sugars in the urine, when tested immediately after dietary exposure. An aldolase assay may be performed on the liver as a confirmatory test<sup>221</sup>; this is a very sensitive evaluation, but aldolase levels may also be low in other causes of liver dysfunction. A fructose tolerance test may be performed under close supervision, as a less invasive alternative<sup>222</sup>; intravenous administration is much preferable because the gastrointestinal response may be violent. DNA analysis may also be performed with excellent specificity; sensitivity may vary depending on the patient's background.

Complete avoidance of fructose, sorbitol, and sucrose results in elimination of all symptoms, and if implemented soon enough, patients may live completely normal lives. These dietary restrictions are made easier by the almost instinctive avoidance of all sweet foods many patients develop.

#### **Mitochondrial Cytopathies**

Biervliet in 1977 first described a family in which three infants died from mitochondrial myopathy, lactic acidosis, and Fanconi syndrome.<sup>223</sup> Subsequent reports documented similar patients with generalized and progressive hypotonia, leading to respiratory failure.<sup>224</sup> It has since been recognized that these diseases represent a diverse group of disorders stemming from dysfunctional mitochondria, coined mitochondrial cytopathies (see review<sup>225</sup>). The fundamental defect is mutations in mitochondrial DNA, causing impairment of one or more of the oxidative phosphorylation pathways

Mitochondrial DNA (mtDNA) is inherited maternally, and each cell contains multiple mitochondria, so it is possible for both normal and mutated mitochondria to co-exist in one cell, a situation called heteroplasmy. This contributes to the extensive variability in phenotype of the different mitochondrial disorders.

#### **Clinical Features**

Mitochondrial cytopathies typically affect systems with the highest aerobic demands, namely skeletal muscle, the CNS,

and renal tubule cells. Infants exhibit muscle weakness through hypotonia and weak cries. Later, patients develop chronic ophthalmoplegia and ptosis.<sup>226</sup> CNS involvement is often quite severe, with symptoms ranging from sensorineural hearing loss and optic atrophy to seizure, ataxia, and dementia.<sup>227</sup>

Proximal tubulopathy is the most common renal dysfunction due to the high ATP demands of that section of the nephron. It is very often present in infancy. Occasionally patients may exhibit isolated hyperaminoaciduria; more typically, patients demonstrate a complete Fanconi syndrome, with losses of phosphate, bicarbonate, amino acids, glucose, and calcium. Although rare, glomerular involvement has been described, most often as focal segmental glomerulosclerosis.<sup>228,229</sup> Older children and adults may develop tubulo-interstitial nephritis, which carries a higher risk for progressive renal disease.<sup>230</sup>

#### **Diagnosis**

A classic association in mitochondrial cytopathy is a patient with Fanconi syndrome and lactic acidosis, particularly with an abnormal lactate to pyruvate ratio. This can be measured either in the serum or cerebrospinal fluid. However, the most common presentation is perhaps a hypotonic infant. In an older patient, an oral glucose lactate challenge can be performed to check for abnormal elevations in lactate.<sup>231</sup> For definitive diagnosis, a muscle biopsy must be performed with biochemical evaluation of the respiratory chain and direct visualization of the mitochondria.<sup>232</sup> Genetic evaluations may also be performed; however, due to heteroplasmy and the high rate of mutation in mtDNA, this can be inconclusive.

#### Treatment

Currently, there are no curative therapies for mitochondrial cytopathies. The bulk of intervention focuses on responding to each patient's specific symptoms, replenishing urinary losses, and offering pharmacologic therapies for cardiac and neurologic dysfunction. Preliminary results have been reported on the use of exercise to hypothetically promote the upregulation of wild-type mtDNA.<sup>233</sup> Gene therapy is perhaps the most promising therapeutic approach with the intent of altering the heteroplasmy in favor of the wild-type mtDNA; this approach is seriously limited by the absence of an effective mitochondrial transfection vector.<sup>234</sup>

#### Glycogen Storage Disease Type 1 (von Gierke Disease)

There are a number of glycogen storage diseases (GSDs); however, renal involvement is unique to type 1 GSD. This autosomal-recessive disorder is caused by dysfunction of the processing of glucose-6-phosphate (G6P). There are several subtypes.<sup>235</sup> Type 1a GSD results from mutations of glucose-6-phosphatase (G6Pase) itself, for which the gene is located on chromosome 17<sup>236–238</sup>; type 1b, less common, is caused by an abnormal G6P transporter, encoded on chromosome 11. Types 1c and 1d are much more obscure, and their molecular causes remain unidentified. G6Pase is expressed in the liver, gastrointestinal tract, and kidney, with complications occurring in these organs in the absence of enzyme activity. Without the capacity to process G6P, glycogen accumulates in the liver and kidney, and with any prolonged period of fasting, patients are unable to maintain glucose homeostasis and become acutely hypoglycemic.

#### **Clinical Features**

Von Gierke originally described this disorder in 1929. He emphasized the significant enlargement of the liver and kidneys, which are overloaded with glycogen. Patients often present, as feedings are spaced farther apart during the first year of life, with hepatomegaly, hypoglycemic seizures, and lactic acidosis. Dyslipidemia and coagulopathies may develop as a result of progressive liver dysfunction.

Renal disease is quite common and prominent. Proximal tubule dysfunction has been described in a few cases.<sup>239,240</sup> However, this is not the most prevalent renal finding in GSD type 1. The predominant pathologic renal lesion in GSD type 1 is focal segmental glomerulosclerosis,<sup>241</sup> with glomerular hyperfiltration often preceding the onset of proteinuria.<sup>242</sup> The cause of the hyperfiltration is not known, since it can occur in the presence of normal-sized kidneys.<sup>243</sup> There are many possible contributing factors in these patients, including dyslipidemia, hypertension, nephrocalcinosis,<sup>244</sup> and hyper-uricemia<sup>245</sup>; however, many of these complications develop later in life, whereas glomerular hyperfiltration and nephromegaly have been documented in young children.<sup>242,244</sup>

#### **Diagnosis and Treatment**

Hypoglycemic lactic acidosis is common but not specific to GSD type 1. Kidneys are large and echogenic on ultrasonography.<sup>244</sup> Diagnosis requires direct measurement of G6Pase activity from liver tissue. Treatment primarily revolves around supporting the patient's glucose homeostasis. Early metabolic control has been shown to effectively halt or at least slow disease progression.<sup>246</sup> Continuous intragastric feedings are very effective; however, as the patient moves beyond infancy, this becomes rather impractical. The use of uncooked cornstarch with regular feedings has been shown to be equally effective to continuous overnight feedings.<sup>247</sup> Both renal and liver transplantation have been performed successfully in these patients. Logically, patients with renal transplants continue to struggle with glucose homeostasis,<sup>248</sup> whereas recipients of liver transplants have a restoration of hepatic glucoregulatory function.249

#### Idiopathic Fanconi Syndrome

Several kindreds have been described with hereditary Fanconi syndrome in isolation, without any known cause. This disorder has been denoted as idiopathic or primary Fanconi syndrome. Inheritance patterns are variable, some being autosomal dominant,<sup>250,251</sup> others autosomal recessive,<sup>252</sup> and even X-linked recessive.<sup>253</sup> Linkage analysis of one large kindred indicates a locus for autosomal-dominant Fanconi syndrome on chromosome 15q15.3.<sup>254</sup> However, this syndrome is likely a cluster of different disorders; some cases describe associated metabolic disorders,<sup>255,256</sup> whereas others have a constellation of syndromic findings.<sup>257</sup> In consequence, clinical outcomes for these patients are also variable. Many do progress to renal failure, albeit at different rates. Therapies are largely symptomatic, focusing on fluid and electrolyte replenishment and treatment of bone disease and growth delay. In one report,

Fanconi syndrome recurred in a transplanted kidney, suggesting an extrarenal cause of the tubulopathy.<sup>258</sup>

#### **ISOLATED TRANSPORT DEFECTS**

#### **Renal Glycosuria**

Hereditary renal glycosuria is an anomaly in which glucose is excreted in the urine in the presence of normal serum glucose concentrations and all other glomerular filtrates are handled appropriately. This is an entirely benign condition; patients are asymptomatic with no physical findings. Diagnosis usually occurs incidentally on routine urinalysis. Insulin secretion and sensitivity are normal; however, these patients should have a fasting serum glucose level and a glucose tolerance test as part of their evaluation. The absolute amount of glycosuria is typically relatively low; in rare cases, patients have severe glycosuria with virtually no tubular glucose reabsorption, termed renal glycosuria type 0.<sup>259</sup>

The underlying mechanism appears to be a selective defect in proximal tubular glucose transport, in that renal glycosuria is not associated with impairment of intestinal glucose transport (typically caused by mutations in SGLT1). Diseaseassociated mutations in SGLT2 have been reported in several kindreds.<sup>260,261</sup> There is considerable heterogeneity of apical glucose cotransporters in the proximal tubule; however, SGLT2 is heavily expressed at the brush border of S1 segments, and is considered the major low-affinity glucose transporter of the proximal nephron.<sup>262</sup> HNF1a plays a critical role in transcriptional activation of SGLT2.<sup>7</sup> Notably, mutations in HNF1a are a cause of maturity onset diabetes of the young type 3; these patients have a reduced renal threshold for glucose, consistent with the associated reduction in SGLT2 expression.<sup>7</sup>

Renal glycosuria is also a prominent finding in Fanconi-Bickel syndrome, a disorder characterized by impaired utilization of glucose and galactose, resulting in hepatorenal glycogen accumulation.<sup>263,264</sup> These patients frequently develop a more complete Fanconi syndrome in addition to their glycosuria. The primary defect is a mutation in the gene for GLUT2, a lowaffinity facilitative glucose transporter expressed in hepatocytes and the basolateral membrane of renal proximal tubule cells.

#### **Renal Hypouricemia**

Renal hypouricemia is an intriguing disorder caused by lossof-function mutations in the *SLC22A12* gene encoding URAT1, the apical urate-anion exchanger of the proximal tubule. These patients typically have serum uric acid levels of approximately 1 mg/dL, with marked increases in their fractional urate clearance.<sup>265</sup> Clinical manifestations include a characteristic syndrome of exercise-associated acute renal failure, which can be recurrent. The mechanism of this renal injury is not known. However, renal biopsies typically reveal evidence of acute tubular necrosis, without intratubular deposition of uric acid.<sup>266</sup> An attractive hypothesis is that the reduction in circulating uric acid, a known antioxidant,<sup>267,268</sup> reduces the ability to cope with the increase in free radicals associated with strenuous exercise.<sup>269</sup>

The hyperuricosuria associated with renal hypouricemia, typically greater than 900 mg/day, is associated with renal

stones in approximately 10% of affected patients.<sup>265,270-272</sup> When analyzed biochemically, these episodes have included both calcium oxalate272 and uric acid270,271 stones. Hyperuricosuria is a well-described risk factor for calcium-oxalate stones<sup>273</sup>; affected patients generally have a urine pH that is greater than 5.0, with urinary supersaturation of sodiumurate and calcium oxalate due to concomitant increases in urinary calcium and sodium.<sup>273,274</sup> In contrast, the uric acid stones in patients with "gouty diathesis" typically occur in the context of hyperuricemia and reduced fractional excretion of urate, with urine pH of approximately 5.275,276 Recent data indicate a role for systemic insulin resistance in reducing urinary NH4+ excretion, generating an acid urine, and subsequent uric acid crystallization.<sup>277</sup> The lesser role of hyperuricosuria is underlined in renal hypouricemia; uric acid stones are not a universal problem in this syndrome, despite the marked increase in urinary uric acid.<sup>265</sup>

#### **Imerslund-Grasbeck Syndrome**

Imerslund-Grasbeck syndrome (IGS), also known as megaloblastic anemia I, is a rare autosomal-recessive disorder comprising intestinal malabsorption of vitamin  $B_{12}$  and lowmolecular-weight proteinuria.<sup>278</sup> This disorder is genetically heterogeneous, caused by mutations in the genes encoding cubilin<sup>279</sup> or "amnionless"<sup>279</sup>; an additional locus appears to cause the disease in those without mutations in cubilin or amnionless.<sup>280</sup> There is a homologous disorder in dogs, due to mutations in the canine ortholog of amnionless.<sup>281</sup> Amnion and cubilin are essential components of the intestinal receptor for vitamin  $B_{12}$ <sup>278</sup>; this defect is easily bypassed by intramuscular injections of the vitamin. Kidney function is generally stable in patients with IGS, without other associated features of Fanconi syndrome.

The renal significance of this relatively benign disorder lies in the observation that patients and dogs with IGS have a defect in tubular reabsorption of albumin, implicating the cubilin-amnionless complex as a co-receptor with megalin for this and other low-molecular-weight proteins. Indeed, cubilin is required for efficient renal tubular reabsorption of vitamin D-binding protein (DBP), which can be detected in the urine of patients and dogs with IGS.<sup>282</sup> Glomerular filtration of vitamin 25-(OH)D<sub>3</sub> bound to DBP is thus followed by reabsorption via the megalin-cubilin-amnionless complex, then by hydroxylation to active 1,25-(OH)D<sub>3</sub> by 1a-hydroxylase and basolateral exit from the cell (see Fig 14-11).

#### Inherited Disorders of Renal Amino Acid Transport

The reabsorption of filtered amino acids is a key function of the proximal tubule, and aminoaciduria is a cardinal feature of Fanconi syndrome. Transepithelial transport of amino acids is accomplished by a continually expanding list of transporters<sup>283</sup> (Fig 14-12 and Table 14-3). Not surprisingly, there are a number of uncharacterized defects in the renal reabsorption of specific amino acids; a corollary is that defects in the transport of some specific amino acids are clinically benign. These disorders of renal amino acid transport are summarized in Table 14-4; the more common and clinically significant aminoacidurias are reviewed in more detail below.
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**Figure 14-12** Luminal and basolateral transporters functioning in transporthelial amino acid transport by the proximal tubule. Names of transporters and of the corresponding genes are indicated in rectangles. Recycling pathways are shown by dotted lines. Specific aminoacidurias, potentially caused by defects in the corresponding transporters, are indicated in ellipses. AA, neutral amino acids; AA+, cationic amino acids; AA anionic amino acids. (From Verrey F, et al: Novel renal amino acid transporters. Annu Rev Physiol 67:557–572, 2005.)

Table 14-3 Amino Acid Transporters Expressed in the Renal Proximal Tubule

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From Verry F, et al: Novel renal amino acid transporters. Annu Rev Physiol 67:557–572, 2005.

	Gene Defect	Locus	Amino Acids Affected	Inheritance	Clinical Manifestations	Organs Involved	References
Isolated cystinuria	Unknown	Unknown	Cystine	AR	Benign	Kidney	331
Hyperdibasic aminoaciduria type 1	Unknown	Unknown	Lysine, arginine, ornithine	AD	Mental retardation	Kidney, intestines	332,333
Isolated lysinuria	Unknown	Unknown	Lysine	AR	Failure to thrive, seizures, mental retardation	Kidney, intestines	334
Methioninuria	Unknown	Unknown	Methionine	AR	Edema, seizures, mental retardation	Kidney, intestine	335,336
Histidinuria	Unknown	Unknown	Histidine	AR	Mental retardation	Kidney, intestine	337–339
Iminoglycinuria	SLC6A20, SLC36A1, and SLC6A18(?)	3p21.3 5q33.1, 5p15(?)	Glycine, proline, hydroxyproline	AR	Benign	Kidney, intestine	340–343
Isolated glycinuria	Unknown	Unknown	Glycine	AR	Nephrolithiasis?	Kidney	344,345
Dicarboxylic aminoaciduria	EAAC1	9p24	Glutamate, aspartate	AR	Benign	Kidney, intestine	346,347

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AR, autosomal recessive; AD, autosomal dominant; (?), unconfirmed candidate genes.

## Cystinuria

Cystinuria is an inherited form of nephrolithiasis arising from the dysfunction of the proximal tubule reabsorption of cystine and other dibasic amino acids, such as ornithine, arginine, and lysine. Normally, only 1% of the filtered load of these amino acids is actually excreted in the urine; in classic cystinuria, the entire load filtered through the glomerulus is excreted, with no tubular reabsorption. As the cystine load moves to the acidic environment of the distal tubule, microcrystals can form and coalesce into larger stones.

Traditionally, cystinuria had been categorized into three subtypes. They all manifest the same phenotypes as homozygotes: very high levels of cystine in the urine with a very high risk of stone formation. However, the different subtypes can best be differentiated according to the phenotypes of their heterozygotes:

- Phenotype I (autosomal recessive): Ucystine less than 100 μmol/g creatinine
- Phenotype II (dominant): Ucystine greater than 1000 μmol/g creatinine
- Phenotype III (partially dominant): Ucystine = 100 to 1000 µmol/g creatinine

Two different genes have been identified as causative in cystinuria. In 1994, Pras and colleagues identified linkage between cystinuria type I and three genetic markers on chromosome 2p.<sup>284</sup> Later, Calonge and colleagues established *SLC3A1* (rBAT) as the cystinuria gene.<sup>285</sup> They identified *M467T* as the most common causative mutation, preventing

the trafficking of the protein to the plasma membrane. Although rBAT is expressed on the membrane surface, its topology of four transmembrane domains<sup>286</sup> made it an unlikely candidate for the primary amino acid transport protein.<sup>287</sup>

A few years later, a locus on chromosome 19q13 was also linked to cystinuria non-type I.<sup>288,289</sup> The gene *SLC7A9* encodes a 12-transmembrane domain protein, part of a family of amino acid transporters.<sup>290</sup> Although their interaction is not entirely clear, rBAT appears to guide the transporter protein to the surface membrane and possibly activate it.<sup>291</sup> Therefore, *SLC3A1* heterozygotes are able to maintain channel activity and normal tubular cystine uptake. In contrast, in cystinuria type II, even heterozygotes lose significant cystine transport function. The primary mutations here are in the *SLC7A9* gene.<sup>292,293</sup> Type III heterozygotes have partial transport function; however, many similarly have defects in the *SLC7A9* gene.<sup>293</sup> It is not clear why similar mutations cause different phenotypes. One proposal is a digenic mutation of both *SLC3A1* and *SLC7A9*,<sup>294,295</sup> leading to a different classification system:

- Type A (type I): two mutations on SLC3A1
- Type B (type II): two mutations on SLC7A9
- Type AB (type III): one mutation on each *SLC3A1* and *SLC7A9*

Notably, several haplotypes of *SLC7A9* have been identified; the haplotype background of specific disease-associated mutations may influence the phenotype of *SLC3A1* or *SLC7A9*  heterozygotes.<sup>296</sup> Scriver and colleagues have described a cohort of infants with elevated urinary cystine levels.<sup>297</sup> Within a year, the majority had reduced their cystine excretion to the level of their parents, many of whom were heterozygotes for cystinuria by phenotype. This entity has been termed transient neonatal cystinuria, and most of these infants are eventually classified as heterozygotes, most often for a mutant SLC7A9. Immaturity of rBAT expression limits cystine transport, exaggerating the cystinuria during early life in these patients.<sup>298</sup> In another clinical variant, a microdeletion of part of both the SLC3A1 and PREPL genes results in hypotonia-cystinuria syndrome. These patients present with generalized hypotonia at birth and go on to develop nephrolithiasis, growth hormone deficiency, and failure to thrive, followed by hyperphagia and rapid weight gain in later childhood. PREPL, or prolyl endopeptidase-like protein, although similar in structure to other serine peptidases, does not share any similar substrate specificity, and its precise function is yet unknown.299

## **Clinical Features and Diagnosis**

The only clinical manifestation of cystinuria is nephrolithiasis and the complications thereof. Stones can first be seen in the first decade of life and account for up to 10% of stone disease in the pediatric population.<sup>300,301</sup> Although several amino acids are excreted in high concentrations, only cystine contributes to stone formation due to its insolubility, particularly in an acidic environment. Males tend to be more severely affected than females.<sup>294</sup> Renal biopsies of patients with cystine stones showed dilatation and blockage of the ducts of Bellini and inner medullary collecting ducts, which is associated with interstitial fibrosis and glomerular injury.<sup>302</sup>

The presence of hexagonal cystine crystals on urine microscopy is diagnostic of cystinuria. However, a more sensitive and definitive diagnostic evaluation is the direct measurement of urinary cystine, ideally from a 24-hour urine collection. Measurements of greater than 1,300  $\mu$ mol/g creatinine are considered homozygous for a cystinuria mutation and mandate further evaluation.<sup>303</sup>

## **Treatment**

The primary therapeutic goal is to minimize stone formation by promoting cystine solubility. First, patients are advised to adhere to a low-protein, low-sodium diet in efforts to diminish cystine production. Reduction in sodium excretion has been shown to reduce urinary cystine.<sup>304</sup> However, compliance can be difficult, and protein restriction is generally avoided in children due to concerns about their growth. Urine dilution and alkalinization are also crucial life-long interventions. Recommended fluid intake for an adult with cystinuria is 4 to 5 L per day, including nighttime intake to ensure continued diuresis overnight. Alkalizing beverages, such as herbal teas and citrus juices, are recommended. In addition, patients are often given potassium citrate to further promote urine alkalinization.

If these interventions are inadequate, chelating agents are recommended. D-Penicillamine and  $\alpha$ -mercaptoproprionylglycine (MPG) are the two most widely used drugs. Both cleave cystine into two more soluble cysteine molecules, and both being sulfhydryl agents may cause frequent side effects, including rash, thrombocytopenia, agranulocytosis, and significant proteinuria. MPG is generally better tolerated<sup>305</sup> and is perhaps the preferred drug for the treatment of cystinuria.

Even with good compliance with medical therapy in cystinuria, cystine stones may still form. Urologic intervention is commonly required; one study cited that by middle age, the average cystinuria patient will have undergone seven urologic procedures.<sup>306</sup> Shock-wave lithotripsy (SWL) can be effective if the stone is rather small, less than 1.5 cm.<sup>307</sup> Larger stones usually need more invasive procedures. Percutaneous nephrolithotomy (PNL) is still minimally invasive and is very effective.<sup>308</sup> Even larger, branched stones can very often be managed with a combination of PNL and SWL. With the introduction of smaller instruments, PNL has become an equally viable option in the treatment of children.<sup>309</sup> Whereas surgical intervention does not alter the rate of recurrence, if patients are free of stones after intervention, they do have a longer time before recurrence.<sup>308</sup>

## Lysinuric Protein Intolerance

Lysinuric protein intolerance (LPI) is a rare autosomal-recessive disorder caused by defective transport of cationic dibasic amino acids, specifically lysine, arginine, and ornithine. Of note, cystine transport is normal. Abnormal basolateral efflux in intestinal, hepatic, and renal tubular cells results in poor amino acid absorption and urinary wasting. The low levels of amino acids impair urea cycle function, resulting in defective protein metabolism and postprandial hyperammonemia. Typically, infants remain asymptomatic until they are weaned and can present with vomiting, diarrhea, and coma. Over time, patients develop a strong aversion to high-protein diets, and chronically have a delay in bone growth with osteoporosis and muscle hypotonia. The majority of LPI patients develop asymptomatic pulmonary manifestations on radiograph with a few developing significant respiratory insufficiency<sup>310</sup> and showing pulmonary alveolar proteinosis on autopsy.<sup>311</sup> Immunologic anomalies have been seen with LPI,<sup>312,313</sup> with reported association with systemic lupus erythematosus.<sup>314,315</sup>

The gene responsible for LPI, *SLC7A7*, has been localized to chromosome  $14q11.^{316,317}$  It encodes y<sup>+</sup>LAT-1, a 12-transmembrane domain protein, which acts as a light-chain subunit bound to 4F2hc by a disulfide bridge to form a heteromeric amino acid transporter. It is expressed at the basolateral membrane of epithelial cells in the kidney, small intestines, and lungs.

Patients with LPI are maintained on a moderate protein restriction and supplemented with citrulline. As a neutral amino acid, citrulline is transported by a different pathway and is readily taken up by the liver. It is converted to ornithine and arginine, allowing the urea cycle to function.<sup>318,319</sup> Some investigation has been made into lysine supplementation; although patients are able to tolerate oral lysine supplements with good response in their serum levels, the impact of such therapy on the course of their disease is still unclear.<sup>320,321</sup>

## Hartnup Disease

Named for the family in which this disorder was first described,<sup>322</sup> Hartnup disease is a condition of excessive urinary losses of neutral amino acids, including alanine,

asparagine, glutamine, histidine, isoleucine, leucine, methionine, phenylalanine, serine, threonine, tryptophan, tyrosine, and valine. Of note, glycine, cystine, dibasic, and imino acids are excreted normally. Inheritance is autosomal recessive, with an estimated prevalence of 1 in 26,000.323 At the time of its initial description, Hartnup disease was thought to be a rare syndrome, with a pellagra-like rash, cerebellar ataxia, mental retardation, and growth delay. However, this disorder is now known to be one of the most common forms of hyperaminoaciduria, with the majority of affected patients remaining largely asymptomatic.<sup>324,325</sup> Patients become symptomatic due to a cluster of factors<sup>326,327</sup>: diet, environment, and perhaps some host-specific variables. Patients can usually maintain normal serum amino acid levels through dietary replenishment, and lower plasma amino acid levels do seem to correlate with the onset and severity of symptoms.<sup>327</sup> Certainly in any patient with a pellagra-like rash and neurologic symptoms, Hartnup disease should be considered.

The gene responsible for Hartnup disease, *SLC6A19*, has been localized to chromosome 5p15.<sup>328,329</sup> The protein product of *SLC6A19* is a neutral amino acid transporter expressed primarily in the small intestines and in the kidney. To date, at least 10 different mutations have been identified in the *SLC6A19* gene, including one in the original Hartnup family.<sup>330</sup> Diagnosis is made from a urinary aminogram; normal excretion of proline and cystine serve to differentiate Hartnup disease from Fanconi syndrome. Treatment consists of the administration of nicotinamide; nicotinamide is derived from tryptophan, and deficiency results in the pellagra-like rash. It is unclear whether asymptomatic patients require nicotinamide supplementation; however, this treatment is relatively benign.

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## Chapter 15

## Hereditary Disorders of the Thick Ascending Limb and Distal Convoluted Tubule

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## SALT-WASTING TUBULAR DISEASES WITH SECONDARY HYPOKALEMIA

This chapter focuses on the different forms of renal salt wasting caused by inherited dysfunction of ion-transporting proteins expressed along the thick ascending limb (TAL) of Henle's loop and along the early distal convoluted tubule (DCT1). Renal salt wasting due to impaired Na<sup>+</sup> reabsorption along the aldosterone-sensitive distal nephron (ASDN) composed of the late distal convoluted tubule (DCT2), the connecting tubule, and the cortical and medullary portions of the collecting duct (CCD and MCD) comes along with hyperkalemia and is not discussed in this chapter.

# Basic Principles of Ion Transport in the TAL and DCT1

With respect to their role in Na<sup>+</sup> absorption, the TAL and DCT1 form a functional unit in that they separate tubular NaCl from water. Compared to Na<sup>+</sup> absorption in the other nephron segments, which occurs via Na<sup>+</sup> hydrogen exchange or by Na<sup>+</sup> channels in the proximal nephron and in the ASDN, respectively, TAL and DCT1 Na<sup>+</sup> transport is accomplished primarily by the active reabsorption of Na<sup>+</sup> together with Cl<sup>-</sup> from the tubular fluid. These nephron segments, in addition, are relatively water-tight and thus prevent osmotically driven absorptive flow of water. About 30% of the total Na<sup>+</sup> load provided by glomerular filtration is absorbed along the TAL and, via countercurrent multiplication, contribute to medullary interstitial hypertonicity. TAL Na<sup>+</sup> absorption thus not only accounts for the most important (in quantitative terms) mechanism of Na<sup>+</sup> retention (apart from the proximal nephron, which absorbs ~60% of the filtered Na<sup>+</sup> load), but also generates the osmotic driving force for water absorption along the collecting ducts. For this reason, disturbances in TAL salt absorption result in both salt wasting and severely reduced urinary concentrating capacity (i.e., water wasting). In contrast, DCT-mediated salt absorption accounts for only about 5% of the filtered Na<sup>+</sup> load and does not contribute to the urinary concentrating mechanisms. Impaired DCT salt absorption therefore does not interfere with urinary concentrating capability, although the accompanying saluresis indirectly increases renal water excretion even in view of normal urine osmolalities.

Transepithelial NaCl absorption in both nephron segments is driven by secondary active transport processes that depend on a low intracellular Na<sup>+</sup> concentration maintained by active extrusion of Na<sup>+</sup> by the basolateral Na<sup>+</sup>,K<sup>+</sup>-ATPase (Na<sup>+</sup> pump). By far the majority of TAL Na<sup>+</sup> absorption depends on the operation of the furosemide-sensitive NKCC2 Na<sup>+</sup>, K<sup>+</sup>,

Cl<sup>-</sup> cotransporter, with about half of the Na<sup>+</sup> taking the transcellular route and half taking a paracellular route by cation-selective intercellular pathways. K<sup>+</sup> that enters the TAL cell by Na<sup>+</sup>, K<sup>+</sup>, Cl<sup>-</sup> cotransport (1 K<sup>+</sup> ion being transported with 1 Na<sup>+</sup> and 2 Cl<sup>-</sup> ions) recycles back to the tubular lumen through renal outer medulla K<sup>+</sup> (ROMK) channels. This guarantees proper activity of NKCC2-mediated transport along the entire length of the TAL by replenishment of urinary K<sup>+</sup> that otherwise would rapidly decrease along the TAL through absorption by NKCC2. Even more importantly, luminal K<sup>+</sup> secretion in addition establishes a lumen positive transepithelial voltage gradient that provides-in terms of energy recovery-a low-priced driving force for paracellular transport of cations like Na<sup>+</sup>, Ca<sup>2+</sup>, and Mg<sup>2+</sup>. The essential functions of the TAL thus not only span the reabsorption of NaCl but also that of Mg<sup>2+</sup> and Ca<sup>2+</sup>. Noteworthy, all of the TAL Cl- reabsorption occurs on the transcellular route. Overall parity of Na<sup>+</sup> (with ~50% transcellular and ~50% paracellular) and Cl<sup>-</sup> (100% transcellular) reabsorption is due to the stoichiometry of the apical NKCC2 transporter that transports 2 Cl<sup>-</sup> ions for each Na<sup>+</sup> ion (Fig. 15-1).

Taken together, the initial step of transcellular NaCl and paracellular N<sup>+</sup> transport across the TAL epithelium critically depends on the proper activity of NKCC2 and ROMK.

In contrast to the TAL, NaCl absorption in the DCT1 occurs almost exclusively by the transcellular route. Luminal NaCl uptake is mediated by the electroneutral thiazide-sensitive NaCl cotransporter NCCT that is structurally related to the NKCC2 protein but transports 1 Na<sup>+</sup> ion together with 1 Cl<sup>-</sup> ion without K<sup>+</sup>. A relevant apical K<sup>+</sup> conductance seems not to exist in DCT1 cells, which instead express TRPM6 cation channels that permit apical Mg<sup>2+</sup> entry. Inhibition of NCCT transport by long-term administration of thiazides or by genetic ablation in animal models was shown to reduce the number of DCT1 cells, which might explain impaired renal Mg<sup>2+</sup> reabsorption with consecutive hypomagnesemia observed in human diseases caused by impaired NCCT-mediated transport.

DCT1 and TAL cells differ with respect to the apical entry step for NaCl; however, as mentioned above, basolateral Na<sup>+</sup> release in both cell types is accounted for by the Na<sup>+</sup> pump. Moreover, TAL and DCT1 cells share similar pathways for basolateral Cl<sup>-</sup> exit. In both cell types two highly homologous ClC-K type Cl<sup>-</sup> channel proteins (ClC-Ka and ClC-Kb) associate with their  $\beta$ -subunit barttin to form a basolateral Cl<sup>-</sup> conductance, which accounts for the release of by far of the majority of reabsorbed Cl<sup>-</sup> ions (see Fig. 15-1).

Taken together, NCCT mediates DCT1 cell NaCl uptake, and ClC-K type Cl<sup>-</sup> channels in association with barttin account for basolateral Cl<sup>-</sup> release in TAL and DCT1 cells.



Figure 15-1 Mechanisms of Na<sup>+</sup> reabsorption along the distal nephron. The key transport proteins and ion channels are shown for the thick ascending loop of Henle, early distal convoluted tubule, and cortical collecting duct. CIC-Ka and CIC-Kb, CIC-K type chloride channels; CIC-Kβ, subunit battin; NKCC2, sodiumpotassium chloride cotransporter; ROMK, renal outer medulla potassium channel.

In the transition zone between the TAL and DCT1, a plaque of closely packed epithelial cells morphologically different from TAL and DCT1 cells forms the macula densa. Together with closely adjacent extraglomerular mesangial cells and granular cells of the afferent arterioles appendant to the same nephron, these specialized tubular cells assemble the juxtaglomerular apparatus. Macula densa cells serve an important function in coupling renal hemodynamics with tubular reabsorption in that they monitor the NaCl concentration of the tubular fluid and via paracrine signaling molecules like prostaglandin E<sub>2</sub> (PGE<sub>2</sub>), adenosine triphosphate (ATP), adenosine, and nitric oxide (NO) provide a feedback mechanism, which adapts glomerular filtration to tubular reabsorption (tubuloglomerular feedback, TGF). In case of an increased NaCl concentration at the macula densa, the TGF induces afferent arteriole vasoconstriction and decreases renin release, whereas a decreased macula densa NaCl concentration dilates the afferent arteriole and increases renin release. To sense the tubular NaCl concentration, the macula densa cells seem to take advantage of essentially the same repertoire of transport proteins as found in salt-reabsorbing TAL cells. Via apical NaCl uptake (NKCC2 and ROMK) and basolateral Cl<sup>-</sup> release (ClC-K and barttin), changes in luminal NaCl concentration are translated in alterations of basolateral transmembrane voltage. This again results from the recycling of K<sup>+</sup> into the tubular lumen, which guarantees an asymmetric-hence electrogenic-transcellular transport of NaCl, with 1 Na<sup>+</sup> ion being reabsorbed together with 2 Cl<sup>-</sup> ions, which results in basolateral membrane depolarization by transcellular net movement of one negative charge. This in turn regulates, among other processes, voltagesensitive Ca<sup>2+</sup> entry, which triggers a series of intracellular signaling events eventually resulting in the release of the abovementioned paracrine signals. As a result of these combined functions in transepithelial transport and sensing of tubular NaCl, impaired activity of one of the participating proteins not only results in salt wasting due to reduced TAL salt-reabsorbing capacity but also abrogates the TGF as an important safety valve, which otherwise would reduce the filtered NaCl load by decreasing glomerular filtration. In fact, the blinding of the macula densa for the tubular NaCl concentration with following disinhibition of glomerular filtration might constitute the single most important mechanism underlying the severe salt wasting observed in impaired TAL salt transport. This notion accommodates with findings from NHE3-deficient mice (lacking the Na<sup>+</sup>/H<sup>+</sup> exchanger type 3, the dominating Na<sup>+</sup> reabsorbing protein of the proximal tubule), which surprisingly do not display renal salt wasting. An intact TGF, admittedly together with intact TAL function, thus obviously suffices to compensate for a Na<sup>+</sup> reabsorption defect exceeding more than 60% of the filtered Na<sup>+</sup> load.

Taken together, NKCC2, ROMK, the ClC-K type Clchannels, and barttin participate in the salt-sensing mechanism of the macula densa. Impaired function of one of these proteins affects the TGF and perturbs the adjustment of glomerular filtration with tubular salt-reabsorbing capacity, which additionally aggravates renal salt wasting.<sup>1</sup>

## Hypokalemic Salt-Wasting Kidney Disorders

With the exception of the MCD, which primarily serves for the absorption of water, reabsorption of NaCl from the glomerular filtrate, at least in quantitative terms, constitutes the key function of all nephron segments. Given the normal daily amount of 170 L of glomerular filtrate produced by adult kidneys, at a normal plasma Na<sup>+</sup> concentration of 140 mmol/liter and plasma Cl<sup>-</sup> concentration of 105 mmol/L, the filtered load of Na<sup>+</sup> and Cl<sup>-</sup> per 24 hours amounts to 23.8 mol (~550 g) and 17.9 mol (~630 g), respectively. Healthy kidneys manage the reabsorption of more than 99% of the filtered load, with about 60% by the proximal tubule, 30% by the TAL, 5% by the DCT1, and the remainder by the ASDN. Impairment of Na<sup>+</sup> transport in any of these nephron segments causes a permanent reduction in extracellular fluid volume, which in turn causes compensatory activation of Na<sup>+</sup>-conserving mechanisms-that is, stimulation of renin secretion and aldosterone synthesis. Accordingly, an intact ASDN function provided, the primary symptoms of renal salt wasting like hypovolemia with tendency for reduced arterial blood pressure mix with those of secondary hyperaldosteronism, which increases ASDN Na<sup>+</sup> retention at the expense of an increased K<sup>+</sup> excretion, eventually resulting in hypokalemia. In case of renal salt wasting, hypokalemia thus indicates proper function of the ASDN and points to the involvement of nephron segments more proximal to the ASDN.

As mentioned above, Na<sup>+</sup> reabsorption along the TAL and DCT1 is coupled to the reabsorption of Cl<sup>-</sup>. Na<sup>+</sup> wasting caused by defects in these nephron segments hence comes along with decreased reabsorption of Cl<sup>-</sup>. Unlike Na<sup>+</sup>, which at least partially may be recovered by compensatory increased reabsorption along the ASDN, Cl<sup>-</sup> is lost irretrievably with the urine. Accordingly, the urinary Cl<sup>-</sup> loss exceeds that of Na<sup>+</sup> and for the sake of electroneutrality has to be balanced by other cations like ammonium or K<sup>+</sup>. Loss of ammonium, the main carrier of protons in the urine, results in metabolic alkalosis; K<sup>+</sup> loss in addition aggravates hypokalemia caused by secondary hyperaldosteronism. For this reason, hypochloremia with metabolic alkalosis in addition to severe hypokalemia characterize salt wasting due to defects along the TAL and DCT1.

Finally, Na<sup>+</sup> reabsorption along the proximal tubule via the Na<sup>+</sup> proton exchanger and the carboanhydrase is indirectly coupled to the reabsorption of bicarbonate. Proximal tubular salt wasting thus—in addition to hypokalemia—comes along with urinary loss of bicarbonate resulting in hyperchloremic metabolic acidosis.

Taken together, in the state of renal salt-wasting, determination of plasma K<sup>+</sup>, Cl<sup>-</sup>, and bicarbonate concentrations allows for the rapid assessment of the affected nephron segment. Notably, in this context the determination of the plasma Na<sup>+</sup> concentration is not very helpful, because changes in plasma Na<sup>+</sup>—the more-or-less exclusive extracellular cation accounting for plasma osmolality—reflects disturbances in the osmoregulation (i.e., water balance) rather than in the regulation of Na<sup>+</sup> balance.

Apart from more general disturbances of proximal tubular function, which among other transport processes affect proximal tubular Na<sup>+</sup> reabsorption (the Fanconi renotubular syndromes), no hereditary defects specifically affecting the proximal tubular Na<sup>+</sup> proton exchanger have been described in humans. By contrast, several genetic defects affect NaCl transport along the TAL and DCT1 and will be the focus of the following section.

## Renal Salt-Wasting with Hypokalemia and Hypochloremic Metabolic Alkalosis Historical Overview and Nomenclature

In 1957, two pediatricians described an infant with congenital hypokalemic alkalosis, failure to thrive, dehydration, and hyposthenuria, who finally died at the age of 7.5 months.<sup>2</sup> Some years later, two patients with normotensive hyperaldosteronism, hyperplasia of the juxtaglomerular apparatus, metabolic alkalosis, and severe renal K<sup>+</sup> wasting were characterized by the endocrinologist Frederic Bartter.<sup>3</sup> Other features of this syndrome were increased activity of the reninangiotensin system and a relative vascular resistance to the pressor effect of exogeneously applied angiotensin II. Following these original reports, hundreds of such Bartter syndrome cases have been described. Whereas all shared the findings of hypokalemia and hypochloremic alkalosis, patients differed with respect to age of onset, severity of symptoms, degree of growth retardation, urinary concentration capacity, magnitude of urinary K<sup>+</sup> and prostaglandin excretion, presence of hypomagnesemia, and extents of urinary Ca<sup>2+</sup> excretion.

Gitelman and colleagues pointed to the susceptibility to carpopedal spasms and tetany in three Bartter syndrome cases.<sup>4</sup> Tetany was attributed to low plasma Mg<sup>2+</sup> levels secondary to impaired renal conservation of Mg<sup>2+</sup>. Further examination of these patients in addition revealed low urinary Ca<sup>2+</sup> excretion.<sup>5</sup> Consequently, the association of hypocalciuria with renal Mg<sup>2+</sup> wasting was regarded as a hallmark to separate the then defined Gitelman syndrome from other forms of Bartter syndrome.<sup>6</sup> Interestingly, both patients in Bartter's original report displayed positive Chvostek's sign and carpopedal spasms. Indeed, in a recent review of the original observations described by Bartter et al, one of the authors conceded that the majority of patients seen by both endocrinologists perfectly matched the later description of Gitelman.<sup>7</sup>

Phenotypic homogeneity of Bartter syndrome was challenged even more seriously when the pediatricians Fanconi and McCredie described high urinary Ca2+ excretion and medullary nephrocalcinosis in preterm infants initially suspected of having Bartter syndrome.<sup>8,9</sup> Descriptions of this variant in the literature became more frequent in the 1980s, most likely because advances in neonatal medicine resulted in higher survival rates of extremely preterm babies. The neonatologist Ohlsson finally described the antenatal history with maternal polyhydramnios, which probably predisposed to premature birth.<sup>10</sup> Immediately after birth, profound polyuria puts these type of patients at high risk for life-threatening dehydration. Contraction of the extracellular fluid volume is accompanied by markedly elevated renal and extrarenal PGE<sub>2</sub> production. Treatment with prostaglandin synthesis inhibitors effectively reduced polyuria, ameliorated hypokalemia, and improved growth. To emphasize the obviously critical role of PGE<sub>2</sub> in the pathogenesis of this distinct tubular disorder, Seyberth coined the term hyperprostaglandin E syndrome.<sup>11,12</sup> Another variant of this severe, prenatal-onset salt-wasting disorder was first described in a Bedouin family. It differs from the above-mentioned hyperprostaglandin E syndrome by the presence of sensorineural deafness, absence of medullary nephrocalcinosis, and slowly deteriorating renal function.<sup>13</sup>

Taken together, renal salt-wasting syndromes associated with hypokalemia and hypochloremic metabolic alkalosis (frequently subsumed as "Bartter syndrome" in a broader sense) present with marked clinical variability (Table 15-1). Severe early-onset forms (the "antenatal Bartter syndrome" or "hyperprostaglandin E syndrome") with symptoms directly arising from profound saltwasting with extracellular volume depletion contrast with mild late-onset forms primarily characterized by the sequelae of secondary hyperaldosteronism (the "Gitelman syndrome"). In between these two extremes, the Bartter syndrome sensu stricto ("classic Bartter syndrome") presents as a disorder with intermediate severity. Variable extents of extracellular volume depletion and secondary electrolyte disturbances contribute to a variable disease phenotype, which in its extremes may mimic antenatal Bartter syndrome or Gitelman syndrome.

This classification based on clinical criteria was enriched by the decipherment of the underlying genetic defects (Table 15-2). As disclosed by molecular genetic analyses, antenatal Bartter syndrome results from disturbed salt reabsorption along the TAL due to defects in either NKCC2,<sup>14</sup> ROMK,<sup>15</sup> barttin,<sup>16</sup> or both ClC-Ka and ClC-Kb.<sup>17</sup> The classic Bartter syndrome is caused by dysfunction of ClC-Kb,<sup>18</sup> which impairs salt transport to some extent along the TAL and in particular along the DCT1. A pure defect of salt reabsorption along the DCT1 due to dysfunction of NCCT finally results in the Gitelman syndrome. Unfortunately, a frequently used classification merely based on molecular genetic criteria, which simply follows the chronology of the identification of the genetic defects, does not accommodate for a more perspicuous functional classification. According to this molecular genetic classification, Bartter syndrome type I refers to a defect of NKKC2 (gene name SLC12A1), Bartter syndrome type II to a defect of ROMK (KCNI1), Bartter syndrome type III to a defect of ClC-Kb (CLCNKB), and Bartter syndrome type IV to a defect of barttin (BSND). Not included in this classification was Gitelman syndrome due to disturbed NCCT (SLC12A3) function, despite its apparent relatedness to this group of disorders. Instead, Bartter syndrome type V was suggested to refer to some gain-of-function mutations of the Ca<sup>2+</sup>,Mg<sup>2+</sup>sensing-receptor (CaSR), which, however, in the first instance cause autosomal dominant hypocalcemia with variable degrees of renal salt wasting explained by the inhibitory effect of CaSR activation on salt transport along the TAL.<sup>19</sup> The autosomaldominant mode of inheritance and the clinically more relevant hypocalcemia are features not compatible with Bartter syndrome and make the designation Bartter syndrome type V rather impractical; therefore, we do not consider Bartter syndrome type V in the following sections.

Taken together, renal salt wasting with hypokalemia and hypochloremic metabolic alkalosis becomes manifest in three clinically defined syndromes: antenatal Bartter syndrome, classic Bartter syndrome, and Gitelman syndrome. From a functional point of view, antenatal Bartter syndrome arises from NaCl transport defects of the TAL. Classic Bartter

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Disorder	OMIM No.	Inheritance	Gene Locus	Gene	Protein
Antenatal Bartter syndrome	601678 241200	AR AR	15q15–21 11q24	SLC12A1 KCNJ1	NKCC2, NaK2Cl co-transporter ROMK, potassium channel
Antenatal Bartter syndrome with sensorineural deafness	602522 602522	AR AR (digenic)	1p31 1p36	BSND CLNKA/B	Barttin, Cl⁻ channel subunit renal Cl⁻ channels
Classic Bartter syndrome	607364	AR	1p36	CLCNKB	Renal CI <sup>_</sup> channel
Gitelman syndrome	263800	AR	16q13	SLC12A3	NCCT, NaCl co-transporter

AR, autosomal recessive.

lable 15-2 Clinical and Biochemical Characterist
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Disorder	Age at Onset	Polyhydramnios	Polyuria/ Polydipsia	Nephrocalcinosis	Urine Ca <sup>2+</sup>	Urine Mg <sup>2+</sup>	Blood pH	Serum K+	Serum Mg <sup>2+</sup>
Antenatal Bartter syndrome	Prenatal	Yes	Yes	Yes	$\uparrow \uparrow \uparrow$	Ν	Ŷ	$\downarrow$	Ν
Antenatal Bartter syndrome with sensorineural deafness	Prenatal	Yes	Yes	Yes	↑↑↑	Ν	Ŷ	Ŷ	Ν
Classic Bartter syndrome	Infancy	Very rare	Yes	Very rare	$\downarrow - \uparrow$	Ŷ	Ŷ	$\downarrow$	N or ↓
Gitelman syndrome	Adolescence	No	Rare	No	$\downarrow\downarrow$	$\uparrow\uparrow$	↑	$\downarrow$	$\downarrow$

syndrome combines features of weak TAL defects with disturbed DCT1 function, whereas Gitelman syndrome reflects pure DCT1 dysfunction. Accordingly, genetic defects associated with antenatal Bartter syndrome affect NKCC2, ROMK, barttin, and both ClC-K isoforms. Classic Bartter syndrome is caused by isolated ClC-Kb dysfunction, whereas Gitelman syndrome typically is caused by mutations affecting NCCT but may be mimicked by impaired ClC-Kb function.

## Genetic Disorders of the TAL, the Antenatal Bartter Syndrome

# Furosemide-Sensitive Na/K/2Cl Cotransporter (NKCC2)

Disruption of NaCl reabsorption in the TAL due to inactivating mutations in NKCC2 causes a severe disorder with antenatal onset. Within the second trimenon, fetal polyuria leads to progressive maternal polyhydramnios. Cl- concentration in the amniotic fluid is elevated to as high as 118 mmol/L.<sup>20,21</sup> Untreated, premature delivery occurs around 32 weeks of gestation. The most striking abnormality of the newborns is profound polyuria. With adequate fluid replacement, daily urinary outputs can easily exceed half of the body weight of the newborn (>20 mL/kg/h). Despite both extracellular fluid volume contraction and presence of high arginine vasopressin levels, urine osmolality hardly approaches that of plasma, indicating a severe renal concentrating defect. Salt reabsorption along the TAL segment is also critical for urine dilution, which explains that urine osmolality on the other hand typically does not decrease below 160 mOsmol/kg. Some preserved ability to dilute urine might be explained by an adaptive increase of DCT1 salt reabsorption, which functions as the most distal portion of the diluting segment. This moderate hyposthenuria clearly separates NKCC2-deficient patients from polyuric patients with nephrogenic diabetes insipidus, who typically display urine osmolalities below 100 mOsmol/kg.

Within the first months of life, nearly all patients develop medullary nephrocalcinosis in parallel with persistently high urinary  $Ca^{2+}$  excretion. Amazingly, conservation of  $Mg^{2+}$  is not affected to a similar extent, and NKCC2-deficient patients usually do not develop hypomagnesemia. This is even more surprising given that loss-of-function mutations in paracellin-1, which mediates paracellular transport of divalent cations in the TAL, invariably cause both hypercalciuria and hypermagnesiuria, leading to severe hypomagnesemia in paracellin-1deficient patients.<sup>22</sup> With respect to  $Mg^{2+}$  transport, the difference between both disorders might be explained by an upregulation of  $Mg^{2+}$  reabsorption parallel to a compensatory increased NaCl reabsorption in DCT1 cells in case of a NKCC2 defect.<sup>23</sup>

## Renal Outer-Medullary K<sup>+</sup> Channel

ROMK-deficient patients similarly show a history of maternal polyhydramnios, prematurity with median age of gestation of 33 weeks, vasopressin-insensitive polyuria, isosthenuria, and hypercalciuria with secondary nephrocalcinosis. As in the case of NKCC2 dysfunction, the severity of the symptoms argues for a complete defect of NaCl reabsorption along the TAL. The mechanism of renin-angiotensin-aldosterone system activation is virtually identical to that proposed for NKCC2-deficient patients. However, despite the presence of high plasma aldosterone levels, ROMK-deficient patients exhibit transient hyperkalemia in the first days of life.<sup>24</sup> The simultaneous appearence of hyperkalemia and hyponatremia resembles the clinical picture of mineralocorticoid deficiency (which, however, shows low aldosterone levels) or that of pseudohypoaldosteronism type I; high aldosterone levels). Indeed, several published cases of pseudohypoaldosteronism type I turned out to be misdiagnosed, and subsequent genetic analysis revealed ROMK mutations as the underlying defect.<sup>25</sup> The severity of initial hyperkalemia decreases with gestational age.<sup>26</sup> Hyperkalemia may be attributed to the additional role of ROMK in the CCD, where it participates in the process of K<sup>+</sup> secretion (see Fig. 15-1). Though less pronounced as compared with NKCC2 deficiency, the majority of ROMKdeficient patients develop hypokalemia in the later course of the disease. The transient nature of hyperkalemia may be explained by the upregulation of alternative pathways for K<sup>+</sup> secretion in the CCD. An attractive candidate for this alternative route would be a large-conductance K<sup>+</sup> channel identified in the apical membrane of CCD principal cells.<sup>27</sup> Because of its low open probability this K<sup>+</sup> channel provides no significant apical K<sup>+</sup> release under normal conditions. Experimental data, however, suggest that its activity increases with enhanced fluid and solute delivery to the CCD.<sup>28</sup>

## The Cl<sup>-</sup> Channel (ClC-K) $\beta$ -Subunit Barttin

Only recently a new player in the process of salt reabsorption along the TAL and DCT1 was identified—the ClC-K channel  $\beta$ -subunit barttin. Discovery of barttin was initiated by chromosomal linkage of a very rare variant of tubular salt wasting associated with sensorineural deafness. By a positional cloning strategy, a novel gene (*BSND*) was identified, and inactivating mutations were found in affected individuals.<sup>16</sup> Because the gene product, barttin, had no homology to any known protein, its physiologic function remained unclear until two groups independently described the role of barttin as an essential  $\beta$ -subunit of the ClC-K Cl<sup>-</sup> channels.<sup>29,30</sup>

Two ClC-K isoforms of the CLC family of Cl<sup>-</sup> channels are highly expressed along the distal nephron, with ClC-Ka being exclusively expressed in the thin ascending limb and decreasing expression levels along the adjacent distal nephron. Its homologue ClC-Kb is predominantly expressed in the DCT1. Along the TAL, both channel isoforms are equally expressed. Barttin, which is found in all ClC-K-expressing nephron segments, is essential for proper ClC-K channel function in that it facilitates the transport of ClC-K channels to the cell surface and modulates biophysical properties of the assembled channel complex.

In affected individuals, the barttin defect seems to completely disrupt Cl<sup>-</sup> exit across the basolateral membrane in TAL as well as DCT1 cells. Accordingly, patients display the most severe salt-wasting kidney disorder described so far. As with defects of NKCC2 and ROMK, the first symptom of a barttin defect is maternal polyhydramnios due to fetal polyuria beginning at approximately 22 weeks of gestation. Again, polyhydramnios accounts for preterm labor and extreme prematurity. Postnatally, patients are at high risk of volume depletion. Plasma Cl<sup>-</sup> levels fall to approximately 80 mmol/L; a further decrease usually can be avoided by close laboratory monitoring and rapid intervention on neonatal intensive care units. Polyuria again is resistant to vasopressin, and urine osmolalities range between 200 and 400 mOsmol/kg.

Unlike patients with loss-of-function mutations affecting ROMK and NKCC2, barttin-deficient patients exhibit only transitory hypercalciuria.<sup>31</sup> Medullary nephrocalcinosis is absent, yet progressive renal failure is common with histologic signs of pronounced tissue damage like glomerular sclerosis, tubular atrophy, and mononuclear infiltration. The mechanisms underlying the deterioration of renal function are not yet understood. The lack of hypercalciuria, however, may be explained by disturbed NaCl reabsorption along the DCT1. Isolated DCT1 dysfunction like in Gitelman syndrome (see below) or after long-term inhibition of NCCT-mediated transport by thiazides is known to induce hypocalciuria. This effect might counterbalance the hypercalciuric effect of TAL dysfunction in case of a combined impairment of salt reabsorption along the TAL and DCT1. In contrast to  $Ca^{2+}$ , the renal conservation of Mg<sup>2+</sup> is severely impaired, leading to pronounced hypomagnesemia. This might be explained by the disruption of both Mg<sup>2+</sup> reabsorption pathways, the paracellular one in the TAL and the transcellular one in the DCT1, respectively.

The barttin defect is invariably associated with sensorineurinal deafness. Clarification of the pathogenesis of this rare disorder has provided a deeper insight into the mechanisms of  $K^+$ -rich endolymph secretion in the inner ear: Marginal cells of the stria vascularis contribute to the endolymph formation by apical  $K^+$  secretion. Transcellular  $K^+$  transport is mediated by the furosemide-sensitive NaK2Cl cotransporter type 1 (NKCC1) ensuring basolateral  $K^+$  entry into the marginal cells. Voltage-dependent  $K^+$  channels mediate apical  $K^+$  secretion into the endolymph. Proper function of NKCC1 requires basolateral recycling of Cl<sup>-</sup>. Deafness associated with barttin deficiency suggests that this recycling is permitted by the ClC-K/barttin channel complex.

## A Digenic Disorder: The CIC-Ka/b Phenotype

The concept of the physiologic role of barttin as a common  $\beta$ -subunit of ClC-K channels was substantiated by the recent description of an individual harboring inactivating mutations in both the ClC-Ka and ClC-Kb Cl<sup>-</sup> channels, respectively.<sup>17</sup> The clinical symptoms associated with this digenic disease are indistinguishable from those of barttin-deficient patients. This observation not only proves the concept of the functional interaction of barttin with both ClC-K isoforms but also excludes important other functions of barttin not related to ClC-K channel interaction.

## Disorders of the DCT1, the Classic Bartter Syndrome and the Gitelman Syndrome

## The Basolateral Cl<sup>-</sup> Channel ClC-Kb

In the context of a normal ClC-Ka function, an isolated defect of the gene encoding ClC-Kb leads to a more variable phenotype. Several studies have indicated that the clinical variability is not related to a certain type of mutation.<sup>32,33</sup> Even the most deleterious mutation, which implies the absence of the complete coding region of the gene encoding ClC-Kb and which affects nearly 50% of this patient cohort, can cause varying degrees of disease severity. Features of tubular dysfunction distal from the TAL predominate, suggesting a major role of ClC-Kb along the DCT1. Although TAL salt transport can be impaired to a variable extent, its function is never completely perturbed. Obviously, alternative routes of basolateral Cl<sup>-</sup> exit can be recruited in the TAL segment, most likely via ClC-Ka.

With respect to renal function, the neonatal period in ClC-Kb-deficient patients usually passes without major problems. Maternal polyhydramnios is observed in only one-fourth of the patients and usually is mild. Accordingly, duration of pregnancy is not substantially decreased. More than half of the patients are diagnosed within the first year of life. Symptoms at initial presentation include failure to thrive, dehydration, muscular hypotonia, and lethargy. Laboratory examination typically reveals low plasma Cl- concentrations (down to 60 mmol/L), decreased plasma Na<sup>+</sup> concentration, and severe hypokalemic alkalosis. At first presentation, electrolyte derangement is usually more pronounced as compared with the other groups, because renal salt wasting progresses slowly and is virtually not accompanied by polyuria, which might delay medical consultation. Plasma renin activity is strongly increased, whereas plasma aldosterone concentration is only slightly elevated. This discrepancy might be attributed to negative feedback regulation of aldosterone incretion by hypokalemia and alkalosis. Therefore, normal or slightly elevated aldosterone levels under conditions of profound hypokalemic alkalosis are in fact inadequately high.

Urinary concentrating ability is preserved at least to a certain extent. Indeed, some patients achieve urinary osmolalities above 700 mOsmol/kg in morning urine samples. Because renal medullary interstitial hypertonicity critically depends on NaCl reabsorption in the TAL, the ability to concentrate urine above 700 mOsmol/kg indicates nearly intact TAL function despite ClC-Kb deficiency. Moreover, the integrity of TAL function is also reflected by the finding that hypercalciuria is not a typical feature of ClC-Kb dysfunction and (if present) occurs only temporarily. The majority of patients exhibit normal or even low urinary Ca<sup>2+</sup> excretion. Accordingly, medullary nephrocalcinosis-a hallmark of pure TAL dysfunction—is rare. The plasma Mg<sup>2+</sup> concentration gradually decreases over time as a result of impaired renal Mg<sup>2+</sup> conservation, as it is observed in other forms of derogated DCT1 function. Accordingly, several ClC-Kb-deficient patients exhibit both hypomagnesemia and hypocalciuria, a constellation that usually is thought to be highly indicative for an NCCT defect. ClC-Kb deficiency thus may mimic Gitelman syndrome.

The symptoms associated with malfunction of ClC-Kb largely parallel the features of Bartter's original description. The ethnic origin of Bartter's first patients supports this idea. Both were African Americans, and among this racial group only ClC-Kb mutations have been identified thus far. African Americans were also suggested to be affected from Bartter syndrome more frequently and to suffer from a more severe course of the disease. In a recent study in five African-American patients with ClC-Kb mutations, two of them had a history of polyhydramnios that elicited extreme prematurity.<sup>34</sup> Postnatal polyuria and electrolyte derangement led to diagnosis already in the early neonatal period. The incidence of chronic renal failure tends to be higher among African-American Bartter syndrome patients as compared with other ethnic groups.

## Thiazide-Sensitive NaCl Cotransporter

DCT epithelia contain two cell types: DCT1 cells that express the NCCT as its predominant apical Na<sup>+</sup> entry pathway, and further distal residing DCT2 cells that express the epithelial Na channel as the main pathway for apical Na<sup>+</sup> reabsorption (Fig. 15-2). Both Na<sup>+</sup> entry pathways are inducible by aldosterone. DCT1 and DCT2 cells probably also differ with respect to their function in divalent cation transport.

NCCT deficiency results in only mild renal salt wasting. Initial presentation frequently occurs at school age or later, with the characteristic symptoms being muscular weakness, cramps, and fatigue. Not uncommonly, individuals with NCCT deficiency are diagnosed accidentally when they seek medical consultation because of growth retardation, constipation, or enuresis. A history of salt craving is common. Urinary concentrating ability typically is not affected. Laboratory examination shows a typical constellation of metabolic alkalosis, low normal Cl<sup>-</sup> levels, hypokalemia, and hypomagnesemia; urine analysis shows hypocalciuria. Family studies revealed that electrolyte imbalances are present from infancy on, although the affected infants displayed no obvious clinical signs. Notably, the combination of hypokalemia and hypomagnesemia exerts an exceptionally unfavorable effect on cardiac excitability, which puts these patients at high risk for cardiac arrhythmias.

The pathognomonic feature of Gitelman syndrome is the dissociation of renal  $Ca^{2+}$  and  $Mg^{2+}$  handling, with low urinary  $Ca^{2+}$  and high urinary  $Mg^{2+}$  levels. Subsequent hypo-

magnesemia causes neuromuscular irritability and tetany. Decreased renal Ca<sup>2+</sup> elimination together with Mg<sup>2+</sup> deficiency favor deposition of mineral Ca<sup>2+</sup> as demonstrated by increased bone density as well as chondrocalcinosis. Although the combination of hypomagnesemia and hypocalciuria is typical for NCCT deficiency, it is neither a specific nor universal finding. Clinical observations in NCCT-deficient patients disclosed intraindividual and interindividual variations in urinary Ca<sup>2+</sup> concentrations; such results can be attributed to gender, age-related conditions of bone metabolism, intake of Mg2+ supplements, changes in diuresis and urinary osmolality, respectively. Likewise, hypomagnesemia might not be present from the beginning. Because less than 1% of total body Mg<sup>2+</sup> is circulating in the blood, renal Mg<sup>2+</sup> loss can be balanced temporarily by Mg<sup>2+</sup> release from bone and muscle stores as well as by an increase of intestinal Mg<sup>2+</sup> reabsorption. Accordingly, the strict definition of hypomagnesemia with coincident hypocalciuria so as to separate Gitelman (NCCT) syndrome from classic Bartter (ClC-Kb) syndrome seems arbitrary.

The mechanisms compromising distal  $Mg^{2+}$  reabsorption and favoring reabsorption of  $Ca^{2+}$  are not yet completely understood. The occasional coexistence of hypomagnesemia and hypocalciuria in ClC-Kb-deficient patients indicates that this phenomenon is not restricted to NCCT defects but is rather a consequence of impaired transcellular NaCl reabsorption along the DCT1. It is tempting to speculate that, in case of a functional defect of DCT1 cells, which in addition to NaCl reabsorb  $Mg^{2+}$  by apical TRPM6  $Mg^{2+}$  channels, these



**Figure 15-2** Divalent cation reabsorption along the distal convoluted tubule. DCT1 cells express an apical Mg<sup>2+</sup> conductance (TRPM6), whereas DCT2 cells provide an apical Ca<sup>2+</sup> conductance formed by the epithelial sodium channel (ECaC) (TRPV5). Impairment of DCT1 cell function by mutations of the genes encoding NCCT or ClC-Kb might shift the DCT1/DCT2 cell ratio in favor of DCT2 cells, which entails increased Mg and decreased Ca excretion. cells are replaced by DCT2 cells, which reabsorb Na<sup>+</sup> via epithelial Na<sup>+</sup> channels and Ca<sup>2+</sup> via epithelial Ca<sup>2+</sup> channels (ECaC or TRPV5). Accordingly, reabsorption of Mg<sup>2+</sup> would decrease and that of Ca<sup>2+</sup> increase. Moreover, other phenomena such as the redistribution of renal tubular NaCl reabsorption to more proximal nephron segments (proximal tubule and TAL) might contribute to alterations in renal Ca<sup>2+</sup> and Mg<sup>2+</sup> handling.

#### Treatment

As with other hereditary diseases, the desirable correction of the primary genetic defects is not yet feasible. In the case of salt-wasting kidney disorders, however, the amendment of secondary phenomena such as increased renal prostaglandin synthesis or disturbed electrolyte homeostasis became part of their treatment virtually beginning from the first description of the diseases. Until recently the cornerstones in the treatment of renal salt wasting have been nonsteroidal antiinflammatory drugs (NSAIDs) and long-term electrolyte substitution.

In the case of antenatal Bartter syndrome, inhibition of renal and systemic prostaglandin synthesis leads to reduced urinary PGE<sub>2</sub> excretion, markedly decreases polyuria, converts hyposthenuria to isosthenuria, reduces hypercalciuria, and stimulates catch-up growth. A convincing explanation for these unsurpassed effects of NSAIDs is still missing, albeit a reduction of glomerular filtration and blockage of an aberrant TGF certainly are important contributors. Despite these beneficial effects of NSAIDs, a lifelong substitution of KCl usually is required to prevent threatening extents of hypokalemia.

Consistent with the combined defect of the TAL and DCT1, NSAID treatment of antenatal Bartter syndrome with deafness proved clearly less effective. In addition to high NSAID doses, these patients need ample amounts of extra fluid and electrolytes (NaCl, KCl, Mg<sup>2+</sup>) to prevent extracellular fluid volume contraction and electrolyte derangements.

In contrast to TAL defects, disturbed salt reabsorption along the DCT1 does not affect TGF and thus is not associated with increased renal prostaglandin synthesis.<sup>35</sup> Accordingly, NSAIDs are of little benefit in Gitelman syndrome. Substitution of KCl and Mg<sup>2+</sup> is therefore central in the treatment of this disorder. As pointed out above, avoidance of factors that in addition to hypokalemia and hypomagnesemia might affect cardiac excitability (in particular QT-time prolonging drugs) is mandatory to prevent life-threatening cardiac arrhythmias.

## Conclusion

Parallel loss of Na<sup>+</sup> and Cl<sup>-</sup> by disturbed renal tubular function is the basis of several distinct diseases, which differ with respect to the degree of extracellular fluid volume contraction and secondary electrolyte derangements. Common features of all combined NaCl transport defects are extracellular fluid volume contraction, hypokalemia, hypochloremia, and metabolic alkalosis. The decipherment of the underlying genetic defects has contributed impressively to the understanding of the contribution of the affected proteins to renal salt transport.

### INHERITED HYPOMAGNESEMIC DISORDERS

 $Mg^{2+}$  is the second most intracellular cation in the body. As a co-factor for many enzymes, it is involved in energy metabolism and protein and in nucleic acid synthesis. It also plays a critical role in the modulation of membrane transporters and in signal transduction. Under physiologic conditions, serum  $Mg^{2+}$  levels are maintained at almost constant values. Homeostasis depends on the balance between intestinal absorption and renal excretion.  $Mg^{2+}$  deficiency can result from reduced dietary intake, intestinal malabsorption, or renal loss. The control of body  $Mg^{2+}$  homeostasis primarily resides in the kidney tubules.

Several acquired and hereditary disorders of Mg<sup>2+</sup> handling have been described, most of them due to renal Mg<sup>2+</sup> loss and all of them being relatively rare. The phenotypic characterization of clinically affected individuals and experimental studies promoted the identification of various involved nephron segments. Together with the mode of inheritance, this led to a classification into different subtypes of inherited Mg<sup>2+</sup>-wasting disorders.<sup>36,37</sup> During the past few years genetic studies in affected families were able to identify several genes involved in the pathogenesis of these diseases and provided a first insight into the physiology of epithelial Mg<sup>2+</sup> transport at the molecular level. Depending on the genotype, the clinical course can be mild or even asymptomatic, so that the diagnosis is often delayed and the disease prevalences might be underestimated for some of these disorders.

## Mg<sup>2+</sup> Physiology

 $Mg^{2+}$  is the second most prevalent intracellular cation. The normal body  $Mg^{2+}$  content is approximately 24 g (1,000 mmol).  $Mg^{2+}$  is distributed mainly between bone and the intracellular compartments of muscle and soft tissues; less than 1% of total body  $Mg^{2+}$  circulates in the blood.<sup>38</sup> Serum  $Mg^{2+}$  levels are maintained within a narrow range. Circulating  $Mg^{2+}$  is present in three different states: dissociated/ionized, bound to albumin, or in complex with phosphate, citrate, or other anions. Ionized and complexed forms account for the ultrafiltrable fraction, the biologically active portion is the free, ionized  $Mg^{2+}$ .

Mg<sup>2+</sup> homeostasis depends on the balance between intestinal absorption and renal excretion. The daily dietary intake of Mg<sup>2+</sup> varies substantially. Within physiologic ranges, diminished Mg<sup>2+</sup> intake is balanced by enhanced Mg<sup>2+</sup> absorption in the intestine and reduced renal excretion. These transport processes are regulated by metabolic and hormonal influences.<sup>39,40</sup> The principal site of Mg<sup>2+</sup> absorption is the small intestine, with smaller amounts being absorbed in the colon. Intestinal Mg<sup>2+</sup> absorption occurs via two different pathways: a saturable active transcellular transport and a nonsaturable paracellular passive transport<sup>39,41</sup> (Fig. 15-3A). Saturation kinetics of the transcellular transport system are explained by the limited transport capacity of active transport. At low intraluminal concentrations Mg<sup>2+</sup> is absorbed primarily via the active transcellular route and with rising concentrations via the paracellular pathway, yielding a curvilinear function for total absorption (Fig. 15-3B).



**Figure 15-3 A**, Schematic model of intestinal Mg<sup>2+</sup> absorption via two independent pathways: passive absorption via the paracellular pathway and active, transcellular transport consisting of an apical entry through a putative Mg<sup>2+</sup> channel and a basolateral exit mediated by a putative Na<sup>+</sup>-coupled exchange. **B**, Kinetics of human intestinal Mg<sup>2+</sup> absorption. Paracellular transport linearly rising with intraluminal concentrations (*dotted line*) and saturable active transcellular transport (*dashed line*) together yield a curvilinear function for net

Mg<sup>2+</sup> absorption (solid line).

In the kidney approximately 80% of total serum  $Mg^{2+}$  is filtered in the glomeruli. Of this amount, more than 95% is reabsorbed along the nephron.  $Mg^{2+}$  reabsorption differs in quantity and kinetics depending on the various nephron segments. Fifteen to twenty percent is reabsorbed in the proximal tubule of the adult kidney. Interestingly, the premature kidney of the newborn is able to reabsorb up to 70% of the filtered  $Mg^{2+}$  in this nephron segment.<sup>42</sup>

From early childhood onward, the majority of  $Mg^{2+}$ (~70%) is reabsorbed in the loop of Henle, especially in the cortical TAL. Transport in this segment is passive and paracellular, driven by the lumen-positive transepithelial voltage (Fig. 15-4A). Although only 5% to 10% of the filtered  $Mg^{2+}$  is reabsorbed in the DCT, this is the part of the nephron wherein the fine adjustment of renal excretion is accomplished. The reabsorption rate in the DCT defines the final urinary  $Mg^{2+}$  excretion, in that there is no significant reabsorption of  $Mg^{2+}$  in the collecting duct.  $Mg^{2+}$  transport in this part of the nephron is active and transcellular in nature (Fig. 15-4B). Physiologic studies indicate that apical entry into DCT cells is mediated by a specific and regulated  $Mg^{2+}$  channel driven by a favorable transmembrane voltage.<sup>43</sup> The mechanism of basolateral transport into the interstitium is unknown.  $Mg^{2+}$  has to be extruded against an unfavorable electrochemical gradient. Most physiologic studies favor a Na<sup>+</sup>-dependent exchange mechanism.<sup>44</sup> Mg<sup>2+</sup> entry into DCT cells seems to be the rate-limiting step and the site of regulation.  $Mg^{2+}$  transport in the distal tubule has been recently reviewed in detail by Dai et al.<sup>43</sup> Finally, 3% to 5% of the filtered  $Mg^{2+}$  is excreted in the urine.

## Hereditary Disorders of Mg<sup>2+</sup> Handling

Recent advances in molecular genetics of hereditary hypomagnesemia substantiated the role of a variety of genes and their encoded proteins in human epithelial  $Mg^{2+}$  transport (Table 15-3). The knowledge on underlying genetic defects helps to distinguish different clinical subtypes of hereditary disorders of  $Mg^{2+}$  homeostasis. However, careful clinical observation and additional biochemical parameters can in most cases distinguish among the different disease entities, even if there might be a considerable overlap in the phenotypic characteristics (Table 15-4).

### Isolated Dominant Hypomagnesemia (OMIM 154020)

Isolated dominant hypomagnesemia (IDH) is caused by a mutation in the FXYD2 gene on chromosome 11q23, which encodes a y-subunit of the Na<sup>+</sup>-ATPase.<sup>45</sup> Only two IDH families have been described so far.46,47 The two index patients presented with seizures during childhood (at 7 and 13 years). Serum Mg<sup>2+</sup> levels in the two patients at that time were approximately 0.4 mmol/L. One index patient was treated for seizures of unknown origin with antiepileptic drugs until serum Mg<sup>2+</sup> levels were evaluated in adolescence. At that time severe mental retardation was evident. Systematic serum Mg<sup>2+</sup> measurements performed in members of both families revealed low serum Mg<sup>2+</sup> levels (~0.5 mmol/L) in numerous apparently healthy individuals. A 28 g-retention study in one index patient pointed to a primary renal defect.<sup>46</sup> The intestinal absorption of Mg<sup>2+</sup> was preserved and even stimulated in compensation for the increased renal losses. Urinary Mg<sup>2+</sup> measurements in affected family members revealed Mg2+ excretions of around 5 mmol per day despite profound hypomagnesemia.<sup>46</sup> In addition, urinary Ca<sup>2+</sup> excretion rates were low in all hypomagnesemic family members, a finding reminiscent of patients presenting with Gitelman syndrome. However, in contrast to patients with Gitelman syndrome, no other associated biochemical abnormalities were reported, especially no hypokalemic alkalosis.

Pedigree analysis in the two families pointed to an autosomal dominant mode of inheritance. A genome-wide linkage study mapped the disease locus on chromosome 11q23.<sup>48</sup> Detailed haplotype analysis demonstrated a common haplotype segregating in the two families, suggesting a common ancestor. Indeed, subsequent mutational screening of the *FXYD2* gene demonstrated the identical mutation G41R in all affected individuals of both family branches.



**Figure 15-4 A,** Mg<sup>2+</sup> reabsorption in the thick ascending limb of Henle's loop. Paracellular reabsorption of Mg<sup>2+</sup> and Ca<sup>2+</sup> is driven by lumen-positive transcellular voltage generated by the transcellular reabsorption of NaCl. **B**, Mg<sup>2+</sup> reabsorption in the distal convoluted tubule. In this nephron segment Mg<sup>2+</sup> is reabsorbed actively via the transcellular pathway involving an apical entry step probably through a Mg<sup>2+</sup>-selective ion channel and a basolateral exit, presumably mediated by a Na<sup>+</sup>-coupled exchange mechanism. The molecular identity of basolateral exchange is unknown. GS, Gitelman syndrome.

Disorder	OMIM No.	Inheritance	Gene Locus	Gene	Protein
Isolated dominant hypomagnesemia	154020	AD	11q23	FXYD2	γ-subunit of the Na <sup>+</sup> / K <sup>+</sup> -ATPase
Isolated recessive hypomagnesemia	248250	AR	Ś	Ś	Ś
Autosomal dominant hypoparathyroidism	601198	AD	3q21	CASR	CaSR
Familial hypocalciuric hypercalcemia	145980	AD	3q21	CASR	CaSR
Neonatal severe hyperparathyroidism	239200	AR	3q21	CASR	CaSR
Familial hypomagnesemia with hypercalciuria/nephrocalcinosis	248250	AR	3q28	CLDN16	Paracellin-1, tight-junction protein
Hypomagnesemia with secondary hypocalcemia	602014	AR	9q22	TRPM6	TRPM6, putative ion channel
Hypomagnesemia/metabolic syndrome	500005	Maternal	mtDNA	MTTI	Mitochondrial tRNA (isoleucine)

## Table 15-3 Inherited Disorders of Mg<sup>2+</sup> Handling

CaSR, Ca<sup>2+</sup>/Mg<sup>2+</sup>-sensing receptor.

The  $\gamma$ -subunit encoded by *FXYD2* is a member of a family of small single transmembrane proteins which share the common amino acid motif F-X-Y-D. Out of the seven members, which differ in their tissue specificity, FXYD2 and FXYD4, also called channel-inducing factor, are highly expressed along the nephron, displaying an alternating expression pattern.<sup>49</sup> The  $\gamma$ -subunit comprises two isoforms (named  $\gamma$ - $\alpha$  and  $\gamma$ - $\beta$ ) that are differentially expressed in the kidney. The  $\gamma$ - $\alpha$  isoform is present predominantly in the proximal tubule, and expression of the  $\gamma$ - $\beta$  isoform predominates in the distal nephron, especially in the DCT and connecting tubule.<sup>50</sup> The ubiquitous Na<sup>+</sup>, K<sup>+</sup>-ATPase is a dimeric enzyme invariably consisting of one  $\alpha$ - and one  $\beta$ -subunit. FXYD proteins constitute a third or  $\gamma$ -subunit that represents a tissue-specific regulator of Na<sup>+</sup>, K<sup>+</sup>-ATPase. The FXYD2  $\gamma$ -subunit increases the apparent affinity of Na<sup>+</sup>, K<sup>+</sup>-ATPase for ATP while decreasing its Na<sup>+</sup>

affinity.<sup>51</sup> Thus, it might provide a mechanism for balancing energy utilization and maintaining appropriate salt gradients.

Expression studies of the mutant G41R  $\gamma$ -subunit in mammalian renal tubule cells revealed a dominant negative effect of the mutation leading to a retention of the  $\gamma$ -subunit within the cell. Whereas initial data pointed to a retention of the entire Na<sup>+</sup>, K<sup>+</sup>-ATPase complex in intracellular compartments, more recent data demonstrate an isolated trafficking defect of the mutant  $\gamma$ -subunit while trafficking of the  $\alpha/\beta$ complex is preserved.<sup>52</sup> The mutant  $\gamma$ -subunit is obviously retarded in the Golgi complex, pointing to a disturbed posttranslational processing. The assumption of a dominant negative effect is substantiated by the observation that individuals with a large heterozygous deletion of chromosome 11q including the *FXYD2* gene exhibit normal serum Mg<sup>2+</sup> levels.<sup>53</sup>

Disorder	Age at Onset	Serum Mg <sup>2+</sup>	Serum Ca <sup>2+</sup>	Serum K⁺	Blood pH	Urine Mg <sup>2+</sup>	Urine Ca <sup>2+</sup>	Nephrocalcinosis	Renal Stones
Isolated dominant hypomagnesemia	Childhood	$\downarrow$	N*	Ν	Ν	↑	$\downarrow$	No	No
lsolated recessive hypomagnesemia	Childhood	$\downarrow$	Ν	Ν	Ν	Ŷ	Ν	No	No
Autosomal-dominant hypoparathyroidism	Infancy	$\downarrow$	$\downarrow$	Ν	N or ↓	Ŷ	↑ to ↑↑	Yes*	Yes*
Familial hypocalciuric hypercalcemia	Often asymptomatic	N to ↑	¢	Ν	Ν	$\downarrow$	$\downarrow$	No	Ś
Neonatal severe hyperparathyroidism	Infancy	N to ↑	$\uparrow\uparrow\uparrow$	Ν	Ν	$\downarrow$	$\downarrow$	No	Ś
Familial hypomagnesemia with hypercalciuria/ nephrocalcinosis	Childhood	$\downarrow$	Ν	Ν	N or ↓	$\uparrow\uparrow$	$\uparrow\uparrow$	Yes	Yes
Hypomagnesemia with secondary hypocalcemia	Infancy	$\downarrow\downarrow\downarrow\downarrow$	Ļ	N	N	1	Ν	No	No

 Table 15-4
 Clinical and Biochemical Characteristics

\*No change.

<sup>†</sup>Frequent complication under therapy with Ca<sup>2+</sup> and vitamin D.

Urinary Mg<sup>2+</sup> wasting together with the expression of the FXYD2 gene indicate a defective transcellular Mg2+ reabsorption in the DCT in individuals with IDH. But how can a defect of Na<sup>+</sup>, K<sup>+</sup>-ATPase modulation lead to impaired renal Mg<sup>2+</sup> conservation? One possible explanation is based on changes in intracellular Na<sup>+</sup> and K<sup>+</sup> levels. Meij and colleagues have suggested that diminished intracellular K<sup>+</sup> might depolarize the apical membrane, resulting in a decrease in Mg<sup>2+</sup> uptake.<sup>45</sup> Alternatively, an increase in intracellular Na<sup>+</sup> could impair basolateral Mg<sup>2+</sup> transport, which is presumably achieved by a Na<sup>+</sup>-coupled exchange mechanism. Another explanation is that the  $\gamma$ -subunit is not only involved in Na<sup>+</sup>, K<sup>+</sup>-ATPase function but also an essential component of a yet unidentified ATP-dependent transport system specific for Mg<sup>2+</sup>. As with Ca<sup>2+</sup>, both a specific Mg<sup>2+</sup>-ATPase and a Na<sup>+</sup>coupled exchanger might exist. Further studies are needed to clarify this issue.

An interesting feature of IDH is the finding of hypocalciuria, which is primarily observed in Gitelman syndrome (see above). Unfortunately, only one large family with IDH has been described, and an animal model for IDH is still lacking. Mice lacking the  $\gamma$ -subunit do not demonstrate any abnormalities in Mg<sup>2+</sup> conservation or balance.<sup>54</sup> Therefore, data on the structural integrity of the DCT in IDH do not exist. One could speculate that, as in Gitelman syndrome, a defect in Na<sup>+</sup>, K<sup>+</sup>-ATPase function and energy metabolism might lead to an apoptotic breakdown of the early DCT responsible for Mg<sup>2+</sup> reabsorption, whereas later parts of the distal nephron remain intact. In IDH there is no evidence for renal salt wasting and no stimulation of the renin-angiotensinaldosterone system. The finding of hypocalciuria despite no apparent volume depletion apparently contradicts recent experimental data, which favor an increase in proximal tubular Ca<sup>2+</sup> reabsorption due to volume depletion in Gitelman syndrome.55

## Isolated Recessive Hypomagnesemia (OMIM 248250)

Geven et al reported a form of isolated hypomagnesemia in a consanguineous family, indicating autosomal recessive inheritance.<sup>56</sup> Two affected girls presented with generalized seizures during infancy. Unfortunately, late diagnosis resulted in neurodevelopmental deficits in both patients. A thorough clinical and laboratory workup at 4 and 8 years of age, respectively, revealed serum  $Mg^{2+}$  levels around 0.5 to 0.6 mmol/L with no other associated electrolyte abnormalities. A 28 g-retention study in one patient pointed to a primary renal defect, whereas intestinal  $Mg^{2+}$  uptake was preserved.<sup>56</sup> Both patients exhibited renal  $Mg^{2+}$  excretions of 3 to 6 mmol per day despite hypomagnesemia, confirming renal Mg<sup>2+</sup> wasting. In contrast to IDH, renal Ca<sup>2+</sup> excretion rates in isolated recessive hypomagnesemia are within the normal range. Haplotype analysis performed in this family excluded the gene loci involved in IDH, familial hypomagnesemia with hypercalciuria and nephrocalcinosis (FHHNC; see below), and Gitelman syndrome, indicating that isolated recessive hypomagnesemia is not allelic with these diseases.53

## Disorders of the Ca<sup>2+</sup>,Mg<sup>2+</sup>-Sensing Receptor

The extracellular Ca<sup>2+</sup>,Mg<sup>2+</sup>-sensing receptor (CaSR) plays an essential role in Mg<sup>2+</sup> and Ca<sup>2+</sup> homeostasis by influencing not only parathyroid hormone (PTH) secretion in the parathyroid but also by directly regulating the rate of Mg<sup>2+</sup> and Ca<sup>2+</sup> reabsorption in the kidney. It was first cloned by Brown and colleagues in 1993.<sup>57</sup> Along the distal nephron, the CaSR is expressed basolaterally in TAL and DCT as well as at both the apical and basolateral membrane of the collecting duct.<sup>58</sup> Activation of the CaSR serves to coordinate changes in

renal Ca<sup>2+</sup> and Mg<sup>2+</sup> excretion and in water diuresis.<sup>59</sup> The dilution of the urine by decreasing aquaporin expression in the collecting duct is thought to minimize the risk of stone formation in the face of an increase in Ca<sup>2+</sup> and Mg<sup>2+</sup> excretion. Several diseases associated with both activating and inactivating mutations in the *CASR* gene have been described. Because alterations in CaSR activity also affect renal Mg<sup>2+</sup> handling, they are presented in this chapter with a special focus on Mg<sup>2+</sup>.

## Autosomal Dominant Hypoparathyroidism (OMIM 601198)

Activating mutations of the CASR result in autosomal dominant hypoparathyroidism. Patients typically manifest during childhood with seizures or carpopedal spasms. Laboratory evaluation reveals the typical combination of hypocalcemia and low PTH concentrations, but most patients also exhibit moderate hypomagnesemia with serum levels around 0.5 to 0.6 mmol/L.60,61 Affected individuals are often given the diagnosis of primary hypoparathyroidism on the basis of inadequately low PTH levels despite their hypocalcemia. Serum  $Ca^{2+}$  levels are typically in a range of 6 to 7 mg/dL. The differentiation from primary hypoparathyroidism is of particular importance, because treatment with vitamin D can result in a marked increase in hypercalciuria and the occurrence of nephrocalcinosis and impairment of renal function in individuals with autosomal dominant hypoparathyroidism. Therefore, therapy with vitamin D or Ca<sup>2+</sup> supplementation should be reserved for symptomatic patients with the aim to maintain serum Ca<sup>2+</sup> levels just sufficient for the relief of symptoms.<sup>61</sup>

Activating *CASR* mutations lead to a lower setpoint of the receptor or an increased affinity for extracellular  $Ca^{2+}$  and  $Mg^{2+}$ . This inadequate activation by physiologic extracellular  $Ca^{2+}$  and  $Mg^{2+}$  levels then results in diminished PTH secretion and decreased reabsorption of both divalent cations mainly in the cTAL (cortical TAL). For  $Mg^{2+}$  the inhibition of PTH-stimulated reabsorption in the DCT may significantly contribute to an increased renal loss in addition to the effects observed in the TAL.<sup>43,62</sup> A pronounced hypomagnesemia is observed in patients with complete activation of the CaSR at physiologic serum  $Ca^{2+}$  and  $Mg^{2+}$  concentrations who also exhibit a Bartter-like phenotype.<sup>19</sup> In these patients, CaSR activation inhibits TAL-mediated salt and divalent cation reabsorption to an extent that cannot be compensated in later nephron segments.

## Familial Hypocalciuric Hypercalcemia (OMIM 145980) and Neonatal Severe Hyperparathyroidism (OMIM 602014)

Familial hypocalciuric hypercalcemia and neonatal severe hyperparathyroidism result from inactivating mutations present in either the heterozygous or homozygous (or compound heterozygous) state, respectively.<sup>60,63</sup> Individuals with familial hypocalciuric hypercalcemia normally present with mild to moderate hypercalcemia accompanied by few if any symptoms and often do not require treatment. Urinary excretion rates for Ca<sup>2+</sup> and Mg<sup>2+</sup> are markedly reduced, and serum PTH levels are inappropriately high. In addition, affected individuals also show mild hypermagnesemia.<sup>64</sup> In contrast, patients with neonatal severe hyperparathyroidism with two CaSR mutations usually present in early infancy with polyuria and dehydration due to severe symptomatic hypercalcemia. Unrecognized and untreated, hyperparathyroidism and hypercalcemia result in skeletal deformities, extraosseous calcifications, muscle wasting, and a severe neurodevelopmental deficit. Early treatment with partial-to-total parathyroidectomy therefore seems to be essential for outcome.<sup>65</sup> Data on serum  $Mg^{2+}$  in neonatal severe hyperparathyroidism are sparse. However, elevations in PTH concentration to around 50% above normal have been reported.

## Familial Hypomagnesemia with Hypercalciuria and Nephrocalcinosis (OMIM 248250)

Familial hypomagnesemia with hypercalciuria and nephrocalcinosis (FHHNC) is caused by mutations in the CLDN16 gene encoding the tight-junction protein claudin-16 (paracellin-1).<sup>22</sup> Since its first description, at least 50 different kindreds have been reported, allowing a comprehensive characterization of the clinical spectrum of this disorder and discrimination from other  $Mg^{2+}$ -losing tubular diseases.<sup>66–68</sup> Due to excessive renal  $Mg^{2+}$  and  $Ca^{2+}$  wasting, patients develop the characteristic triad of hypomagnesemia, hypercalciuria, and nephrocalcinosis that gave the disease its name. Individuals with FHHNC usually present during early childhood with recurrent urinary tract infections, polyuria/ polydipsia, nephrolithiasis, and/or failure to thrive. Signs of severe hypomagnesemia such as cerebral convulsions and muscular tetany are less common. Extrarenal manifestations, especially ocular involvement (including severe myopia, nystagmus, or chorioretinitis) have also been reported.<sup>66-68</sup> Additional laboratory findings include elevated serum PTH levels before the onset of chronic renal failure, incomplete distal tubule acidosis, hypocitraturia, and hyperuricemia present in most patients.<sup>69</sup> The clinical course of FHHNC patients is often complicated by the development of chronic renal failure early in life. A considerable number of patients exhibit a marked decline in glomerular filtration rate (<60 mL/min per 1.73 m<sup>2</sup>) already at the time of diagnosis, and about onethird of patients develop end-stage renal disease during adolescence. Hypomagnesemia may completely disappear with the decline of glomerular filtration rate due to a reduction in

filtered  $Mg^{2+}$  limiting urinary  $Mg^{2+}$  excretion. In addition to continuous  $Mg^{2+}$  supplementation, therapy aims at the reduction of  $Ca^{2+}$  excretion by using thiazides to prevent the progression of nephrocalcinosis and stone formation. The degree of renal calcification has been correlated with progression of chronic renal failure.<sup>66</sup> However, these therapeutic strategies do not seem to significantly influence the progression of renal failure. Supportive therapy is important for the protection of kidney function and should include provision of sufficient fluids and effective treatment of stone formation and bacterial colonization. As expected, renal transplantation is performed without evidence of recurrence, because the primary defect resides in the kidney.

Using a positional cloning approach, Simon et al could identify a new gene (*CLDN16*, formerly *PCLN1*), which is mutated in patients with FHHNC.<sup>22</sup> *CLDN16* codes for claudin-16, a member of the claudin family. More than 20 claudins identified so far compose a family of  $\approx$ 22-kDa proteins with four transmembrane segments, two extracellular domains, and intracellular N and C termini. The individual composition of tight junctions strands with different claudins confers the characteristic properties of different epithelia regarding paracellular permeability and/or transepithelial resistance. In this context, a crucial role has been attributed to the first extracellular domain of the claudin protein, which is extremely variable in number and position of charged amino acid residues.<sup>70</sup> Individual charges have been shown to influence paracellular ion selectivity, suggesting that claudins positioned on opposing cells forming the paracellular pathway provide charge-selective pores within the tight-junction barrier.

The C terminus is remarkable for a consensus threonine-Xvaline PDZ-binding domain, which is involved in proteinprotein interactions and targeting of the paracellin-1 protein to tight-junction strands. The longest possible open reading frame of the human complementary DNA encodes a protein of 305 amino acids with a cytoplasmic N terminus of 73 amino acids. This structure contrasts with all other claudins, which share a very short N terminus of only 6 or 7 amino acids. Interestingly, there is a second in-frame start codon within a suitable Kozak consensus sequence at position Met71 that is analogous to the translation start site of all other claudins. Sequence comparison of the human complementary DNA with other species and the results of mutation analyses that identified a common insertion/deletion polymorphism (165 166*del*GGinsC) that would lead to a shift of the reading frame (R55fs71X) argue for the second translation initiation start site being used in vivo.<sup>69,71</sup> These observations are supported by in vitro data that show a much more robust expression of claudin-16 constructs lacking the first 70 amino acids.<sup>72</sup> Moreover, in the same study, the analysis of the subcellular localization of claudin-16 revealed that only the shorter protein is correctly expressed at cell-cell borders, whereas the full-length claudin-16 protein is mistargeted to endosomes or lysosomes.<sup>72</sup> It was speculated that in humans the native cellular environment contains regulatory factors to allow bypassing the first methionine (M1) in claudin-16 and ensure appropriate translation from the second methionine (M71).

The majority of mutations reported so far in FHHNC are simple missense mutations affecting the transmembrane domains and the extracellular loops with a particular clustering in the first extracellular loop containing the ion selectivity filter. Within this domain, patients originating from Germany and eastern European countries exhibit a common mutation (L151F) due to a founder effect.<sup>69</sup> Because this mutation is present in approximately 50% of mutant alleles, molecular diagnosis is greatly facilitated in patients originating from these countries. Defects in CLDN16 have also been shown to underlie the development of a chronic interstitial nephritis in Japanese cattle that rapidly develop chronic renal failure shortly after birth.73 Interestingly, affected animals typically show hypocalcemia but no hypomagnesemia, which might be explained by advanced chronic renal failure present at the time of examination. The fact that, in contrast to the point mutations identified in human FHHNC, large deletions of CLDN16 are responsible for the disease in cattle might explain the more severe phenotype with earlyonset renal failure. In FHHNC patients, progressive renal failure is more likely a consequence of massive urinary Ca<sup>2+</sup> wasting and nephrocalcinosis. However, Cldn16-knockout

mice do not display renal failure during the first months of life.<sup>74</sup> Considering the ocular abnormalities observed in some individuals with FHHNC, it is interesting to note that *cldn16* expression has been identified in bovine cornea and retinal pigment epithelia.75 Further examination of the eves of affected Japanese cattle and of Cldn16-knockout mice will hopefully provide an answer to the question whether myopia, nystagmus, and chorioretinitis observed in individuals with FHHNC are directly linked to CLDN16 mutations. Furthermore, there is evidence from family analyses that carriers of heterozygous CLDN16 mutations may also present with clinical symptoms. Two independent studies describe a high incidence of hypercalciuria, nephrolithiasis, and/or nephrocalcinosis in first-degree relatives of patients with FHHNC.66,69 A subsequent study also found a tendency toward mild hypomagnesemia in family members with heterozygous CLDN16 mutations.<sup>76</sup> Thus, one might speculate that CLDN16 mutations could be involved in idiopathic hypercalciuric stone formation.

Recently, a homozygous *CLDN16* mutation (T303R) affecting the C-terminal PDZ domain has been identified in two families with isolated hypercalciuria and nephrocalcinosis without disturbances in renal  $Mg^{2+}$  handling.<sup>77</sup> Interestingly, the hypercalciuria disappeared during follow-up, and urinary  $Ca^{2+}$  levels reached normal values beyond puberty. Transient transfection of MDCK cells with the *CLDN16* (T303R) mutant revealed a mistargeting into lysosomes, whereas wild-type claudin-16 was correctly localized to tight junctions. It remains to be determined why this type of misrouting is associated with transient isolated hypercalciuria without increased  $Mg^{2+}$  excretion.

The exact physiologic role of claudin-16 is still not fully understood. From the FHHNC disease phenotype, it was concluded that claudin-16 might regulate the paracellular transport of  $Mg^{2+}$  and  $Ca^{2+}$  ions by contributing to a selective paracellular conductance through building a pore permitting paracellular fluxes of  $Mg^{2+}$  and  $Ca^{2+}$  down their electrochemical gradients.<sup>22,78</sup> However, recent functional studies in LLC-PK1 cells could show that the expression of claudin-16 selectively and significantly increased the permeability of Na<sup>+</sup> with a far less pronounced change of  $Mg^{2+}$  flux. From these observations it was hypothesized that in the TAL claudin-16 probably contributes to the generation of the lumen-positive potential (allowing the passive reabsorption of divalent cations) rather than to the formation of a paracellular channel selective for  $Ca^{2+}$  and  $Mg^{2+}$ .<sup>72</sup>

As mentioned above, many individuals with FHHNC develop chronic renal failure associated with progressive tubulointerstitial nephritis. The pathophysiology of this phenomenon, which is not regularly observed in other tubular disorders, is unclear. Traditionally, renal failure in FHHNC has been attributed to the concomitant hypercalciuria and nephrocalcinosis, but a true correlation could not be established. Therefore, it has been speculated that claudin-16 is involved not only in paracellular electrolyte reabsorption but also in tubular cell proliferation and differentiation.<sup>79</sup> This hypothesis is supported by the bovine *cldn16*-knockout phenotype observed in Japanese Black cattle, which exhibit early-onset renal failure due to interstitial nephritis with diffuse zonal fibrosis.<sup>73,80</sup> Tubular epithelial cells were reported as "immature" with loss of polarization and attachment to the basement membrane. A close association between fibrosis and abnormal tubules was noted, and the term "renal tubular dysplasia" was used to emphasize that the lesions initiate in the epithelial cells of the renal tubules.<sup>81</sup> These cattle have large homozygous deletions, whereas human FHHNC mutations are mainly missense mutations affecting the extracellular loops of claudin-16. From these observations it seems that the site and extent of the mutation determines the phenotypic manifestation, ranging from isolated alterations in channel conductance to an alteration in cell proliferation and and differentiation.

## Hypomagnesemia with Secondary Hypocalcemia (OMIM 602014)

Hypomagnesemia with secondary hypocalcemia (HSH) is a rare autosomal recessive disorder that manifests in early infancy with generalized seizures or other symptoms of increased neuromuscular excitability as first described in 1968.<sup>82</sup> Delayed diagnosis or noncompliance with treatment can be fatal or result in permanent neurologic damage.

Biochemical abnormalities include extremely low serum  $Mg^{2+}$  and low serum  $Ca^{2+}$  levels. The mechanism leading to hypocalcemia is still not completely understood. Severe hypomagnesemia results in an impaired synthesis and/or release of PTH.<sup>83</sup> Consistently, PTH levels in individuals with HSH were found to be inappropriately low. The hypocalcemia observed in HSH is resistant to treatment with  $Ca^{2+}$  or vitamin D. Relief of clinical symptoms, normocalcemia, and normalization of PTH levels can only be achieved by administration of high doses of  $Mg^{2+}$ .<sup>84</sup>

Transport studies in HSH patients pointed to a primary defect in intestinal Mg<sup>2+</sup> absorption.<sup>85,86</sup> However, in some patients an additional renal leak for Mg<sup>2+</sup> was suspected.<sup>87</sup>

By linkage analysis, a gene locus (HOMG1) for HSH had been mapped to chromosome 9q22 in 1997.88 Later, two independent groups identified TRPM6 at this locus and reported presumable loss-of-function mutations, mainly truncating mutations, as the underlying cause of HSH.<sup>89,90</sup> Thus far, in more than 20 families affected with HSH, mutations in TRPM6 have been identified.91,92 TRPM6 encodes a member of the transient receptor potential (TRP) family of cation channels. TRPM6 protein is homologous to TRPM7, a Ca<sup>2+</sup>and Mg<sup>2+</sup>-permeable ion channel regulated by Mg-ATP.93 TRPM6 is expressed along the entire small intestine and colon but also in kidney in distal tubule cells. Immunofluorescence studies with an antibody generated against murine TRPM6 could localize TRPM6 to the apical membrane of the DCT.<sup>94</sup> The detection of TRPM6 expression in the DCT confirms the hypothesis of an additional role of renal Mg<sup>2+</sup> wasting for the pathogenesis of HSH.<sup>36</sup> This was also supported by intravenous Mg<sup>2+</sup>-loading tests in HSH patients, which disclosed a considerable renal Mg<sup>2+</sup> leak, albeit still being hypomagnesemic.90

The observation that in HSH patients the substitution of high oral doses of  $Mg^{2+}$  achieves at least subnormal serum  $Mg^{2+}$  levels supports the theory of two independent intestinal transport systems for  $Mg^{2+}$ . TRPM6 probably represents a molecular component of active transcellular  $Mg^{2+}$  transport. An increased intraluminal  $Mg^{2+}$  concentration (by increased oral intake) permits compensation for the defect in active transcellular transport by increasing absorption via the passive paracellular pathway (see Fig. 15-3).

The TRP protein superfamily comprises more than 20 related cation channels playing important roles in various physiologic processes, including phototransduction, sensory physiology, and regulation of smooth muscle tone.<sup>95</sup> *Drosophila* flies carrying the *trp* mutation are inflicted with impaired vision because of the lack of a specific Ca<sup>2+</sup> influx pathway in the photoreceptors.<sup>96</sup> The identification of the *trp* gene product as a cation channel and the rewarding search for TRP homologs in other species led to the discovery of a new family of cation channels.

TRP proteins are allocated to the structural superfamily of six-transmembrane ion channels encompassing most voltagegated K<sup>+</sup> channels, the cyclic nucleotide-gated channel family, and single-transmembrane cassettes of voltage-activated Ca<sup>2+</sup> and Na<sup>+</sup> channels. Both N and C termini of TRP proteins are thought to be located intracellularly, and a putative pore-forming region is bordered by transmembrane domains 5 and 6. Four TRP protein subunits assemble to form a functional channel complex.<sup>97</sup>

TRP proteins can be subdivided into three subfamilies: TRPC, TRPV, and TRPM. TRPM proteins display the structural hallmark of exceptionally long intracellular N and C termini. Within the TRPM family, three members (TRPM2, TRPM6, and TRPM7) are set apart because they harbor enzyme domains in their respective C termini and thus represent prototypes of an intriguing new protein family of enzyme-coupled ion channels. TRPM2 is C-terminally fused to an adenosine diphosphate-pyrophosphatase and has been found to be activated by one of the products of NAD hydrolysis, adenosine diphosphate-ribose.<sup>98</sup> TRPM6 as well as TRPM7 contain protein kinase domains in their C termini, which bear sequence similarity to elongation factor 2 serine/ threonine kinases and other proteins that contain an α-kinase domain.<sup>99</sup> Despite the lack of detectable sequence homology to classical eukaryotic protein kinases, the crystal structure of TRPM7 kinase surprisingly revealed striking structural similarity to the catalytic core of eukaryotic protein kinases as well as to metabolic enzymes with ATP-grasp domains.<sup>100</sup> TRPM7 is widely expressed, and targeted disruption of the channel gene in cell lines proved to be lethal, underpinning a salient and nonredundant role in cell physiology.93 Interestingly, TRPM7 exhibits significant Mg2+ permeation, a rather unusual feature of other cation channels, and is inhibited by cytosolic Mg<sup>2+</sup> as well as Mg-ATP. A systematic analysis of the permeation properties of TRPM7 revealed that the latter channel has the unique property to conduct a wide range of divalent trace metal ions, some of these with detrimental consequences for the cell upon intoxication.<sup>101</sup> In light of its broad expression pattern and its constitutive activity, TRPM7 may provide a general mechanism for the entry of divalent cations into cells. However, recent data suggest that TRPM7 represents a primarily  $Mg^{2+}$ -permeable ion channel required for the cellular uptake of  $Mg^{2+}$ .<sup>102</sup> The  $Mg^{2+}$  permeability seems to be modulated by a functional coupling between TRPM7's ion channel and kinase domains indicated by coordinated changes in phosphotransferase activity and ion flow. By the phosphorylation of certain target proteins, the kinase domain might thus be involved in a negative-feedback mechanism that inhibits a further uptake of Mg<sup>2+</sup> in the pres-ence of rising intracellular Mg<sup>2+</sup> concentrations.<sup>102</sup> Recently, annexin-1 has been identified as the first endogenous substrate of TRPM7 kinase.<sup>103</sup> Annexin-1 is a Ca2+- and

phospholipids-binding protein implicated in the regulation of cell growth and apoptosis.<sup>104</sup>

TRPM6 is closely related to TRPM7 and represents the second TRP protein being fused to a C-terminal  $\alpha$ -kinase domain. The *TRPM6* gene is composed of 39 exons encoding a total of 2022 amino acid residues. *TRPM6* messenger RNA shows a more restricted expression pattern than TRPM7, with highest levels along the intestine (duodenum, jejunum, ileum, colon) and the DCT of the kidney.<sup>89</sup> Immunohistochemistry shows a complete colocalization with the Na<sup>+</sup>/Cl<sup>-</sup> cotransporter NCCT (also serving as a DCT marker) but also with parvalbumin and calbindin-D<sub>28K</sub>, two cytosolic proteins that putatively act as intracellular (Ca<sup>2+</sup> and) Mg<sup>2+</sup> buffers.<sup>94</sup>

Biophysical characterization of TRPM6 is currently controversial. Voets et al could demonstrate striking parallels between TRPM6 and TRPM7 with respect to gating mechanisms and ion selectivity profiles, in that TRPM6 was shown to be regulated by intracellular  $Mg^{2+}$  levels and to be permeable for  $Mg^{2+}$  and  $Ca^{2+}$ .<sup>94</sup> Permeation characteristics with currents almost exclusively carried by divalent cations with a higher affinity for  $Mg^{2+}$  than  $Ca^{2+}$  support the role of TRPM6 as the apical  $Mg^{2+}$  influx pathway. Furthermore, TRPM6 (in analogy to TRPM7) exhibits a marked sensitivity to intracellular  $Mg^{2+}$ . Thus, one might postulate an inhibition of TRPM6-mediated  $Mg^{2+}$  uptake by rising intracellular  $Mg^{2+}$  concentrations as a possible mechanism of a regulated intestinal and renal  $Mg^{2+}$ (re)absorption. This inhibition might in part be mediated by intracellular Mg-ATP as shown for TRPM7.<sup>93</sup>

Using a similar expression model (but a different expression vector), Chubanov et al reported that TRPM6 is only present at the cell surface when associating with TRPM7.105 Furthermore, fluorescence resonance energy transfer analyses showed a specific direct protein-protein interaction between both proteins. Electrophysiologic data in a Xenopus oocyte expression system indicated that co-expression of TRPM6 results in a significant amplification of TRPM7-induced currents.<sup>105</sup> The idea of heteromultimerization of TRPM7 with TRPM6 could be confirmed by Schmitz et al.<sup>106</sup> They could further demonstrate that TRPM6 and TRPM7 are not functionally redundant, but there is evidence that both proteins can influence each other's biologic activity. It has also been shown that TRPM6 can phosphorylate TRPM7 and that TRPM6 might modulate TRPM7 function in a Mg<sup>2+</sup>dependent manner.106

## Mitochondrial Hypomagnesemia (OMIM 500005)

Recently, a mutation in the mitochondrial-coded isoleucine transfer RNA gene (*MTTI*), has been discovered in a large Caucasian kindred.<sup>107</sup> An extensive clinical evaluation of this family was prompted after the discovery of hypomagnesemia in the index patient. Pedigree analysis was compatible with mitochondrial inheritance, because the phenotype was exclusively transmitted by affected females. The phenotype includes hypomagnesemia, hypercholesterolemia, and hypertension. Of the adults on the maternal lineage, the majority of offspring exhibit at least one of the mentioned symptoms; approximately half of the individuals show a combination of two or more symptoms, and around one-sixth had all three features. Serum  $Mg^{2+}$  levels of family members on the maternal lineage greatly vary, ranging from about 0.8 to about 2.5 mg/dL (equivalent to  $\approx 0.3$  to  $\approx 1.0$  mmol/L), with

approximately 50% of individuals being hypomagnesemic.

The hypomagnesemic individuals (serum  $Mg^{2+}$  <0.9 mmol/L) showed higher fractional excretions (median around 7.5%) than their normomagnesemic relatives on the maternal lineage (median  $\approx$ 3%), clearly pointing to renal  $Mg^{2+}$  wasting as causative for hypomagnesemia. Interestingly, hypomagnesemia was accompanied by decreased urinary Ca<sup>2+</sup> concentrations, a finding pointing to the DCT as the affected tubular segment.

The mitochondrial mutation observed in the examined family affects the isoleucine transfer RNA gene *MT-TI*. The observed nucleotide exchange occurs at the T nucleotide directly adjacent to the anticodon triplet. This position is highly conserved among species and critical for codon-anticodon recognition. The functional consequences of the transfer RNA defect in mitochondrial function remain to be elucidated in detail. Because ATP consumption along the tubule is highest in the DCT, the authors speculate on an impaired energy metabolism of DCT cells as a consequence of the mitochondrial defect, which could in turn lead to disturbed transcellular  $Mg^{2+}$  reabsorption. Further studies in these patients might help to better understand the mechanism of distal tubular  $Mg^{2+}$  wasting in these patients.

#### MEDULLARY CYSTIC KIDNEY DISEASE AND FAMILIAL JUVENILE HYPERURICEMIC NEPHROPATHY

Renal cystic diseases are an important group of inherited renal conditions and worldwide a leading genetic cause of end-stage renal disease. Autosomal dominant and recessive polycystic kidney disease represent the most frequent entities, but taken together, nephronophthisis (NPH), medullary cystic kidney disease/familial juvenile hyperuricemic nephropathy (MCKD/ FJHN), autosomal dominant glomerulocystic kidney disease (GCKD), and Bardet-Biedl syndrome account for an important group of patients as well.

During recent decades, advances in molecular genetics have allowed the identification of responsible genes and provided information on the respective proteins and therefore insight into the pathobiology in many of the above-mentioned conditions. The genes associated with autosomal dominant (*PKD1*, *PKD2*) and autosomal recessive polycystic kidney disease (*PKHD1*),<sup>108</sup> nephronophthisis (*NPHS1-6*),<sup>109</sup> MCKD type 2 (*UMOD*),<sup>110</sup> FJHN (*UMOD*, *HNF1β*),<sup>110,111</sup> and Bardet-Biedl syndrome (*BBS1-11*)<sup>112,113</sup> have been identified.

The recent discovery of the above-mentioned genes and proteins puts forth a new classification of cystic kidney diseases, not exclusively based on clinical presentation but rather on a genetic and pathogenic basis.

This chapter focuses on MCKD/FJHN, especially on its genetics, and on uromodulin (the protein encoded by the gene that is mutated in many individuals with MCKD/FJHN), on the clinicopathologic presentation, as well as on diagnosis and treatment in MCKD/FJHN.

#### Genetics

The conditions referred to as MCKD/FJHN have been shown to be genetically heterogeneous, with linkage established to at least three distinct loci thus far. According to the linkage to different loci, investigators have classified occurrences of MCKD into two groups.

Although the responsible gene or genes have not yet been isolated, MCKD type 1 (MCKD1, OMIM 174000) has been localized to chromosome 1q21 and the locus further refined by several investigators.<sup>114–118</sup> In a recent study, Wolf et al<sup>119</sup> confirmed the haplotype-sharing hypothesis and detected three different haplotype subsets in several kindreds. By mutational analysis of all 37 positional candidates, the authors found sequence variations in three different genes, compatible with involvement of different genes within the MCKD1 critical region.

MCKD type 2 (MCKD2, OMIM 603860) was mapped to chromosome 16p12,<sup>120</sup> overlapping the locus subsequently identified for FJHN (OMIM 162000) in some families.<sup>121,122</sup> Dahan et al proposed MCKD2 and FJHN to be allelic disorders<sup>123</sup> and Hart et al<sup>110</sup> for the first time described mutations in the gene encoding uromodulin (UMOD), causing MCKD2 in one and FJHN in three families, findings that were confirmed by further investigations<sup>110,124–129</sup> in many affected pedigrees. Additionally, a UMOD mutation has been found in one family with autosomal dominant GCKD (OMIM 609886),<sup>129</sup> extending the allelism to MCKD2/FJHN/GCKD. Nevertheless, in some families inherited GCKD is associated with maturity-onset diabetes (MODY5), and in such patients mutations of the hepatocyte nuclear factor  $1\beta$  (*HNF1* $\beta$ ) have been reported,<sup>130</sup> whereas a HNF1 $\beta$  mutation was also described in one family with FJHN and diabetes.<sup>111</sup> The significance of these findings in human disease has not been fully elucidated, but in at least one murine model, the role of the transcription factor HNF1 $\beta$  in regulating the terminal differentiation of renal tubular epithelial cells has been established: The expression of Umod, Pkhd1, Pkd2, Nphp1, and Tg737, all of them genes involved in cystic renal diseases, is reduced in conditionally, kidney-specific HNF1\beta-inactivated mice.<sup>131</sup>

Finally, in some families with typical MCKD, no linkage to either chromosome 1q21 or 16p12 could be established, suggesting the presence of another genetic locus.<sup>115,132</sup> The same applies for families with FJHN but without established linkage to *UMOD* mutations; in various studies linkage to chromosome 16p has been reported in 40% to 80% of them.<sup>133</sup>

## Uromodulin

Uromodulin, also referred to as Tamm-Horsfall glycoprotein, is exclusively expressed by cells of the TAL of the loop of Henle, and in some species within the early DCT.<sup>134</sup> It was initially characterized by Tamm and Horsfall,<sup>135</sup> but despite intensive research during more than 50 years, the definite functions of uromodulin have remained mostly elusive. Given its gelling properties, it was originally thought to be mainly responsible in maintaining water impermeability within TAL. Additionally, uromodulin has been implicated with many physiologic functions and pathophysiologic conditions (see review<sup>136</sup>), such as protection against bacterial infection of the urinary tract, immunomodulation, nephrolithiasis and cast nephropathy, interstitial nephritis, and, most recently, MCKD2/FJHN/GCKD.

Uromodulin is a complex glycoprotein, inserted into the luminal surface of the cells by a glycosyl-phosphatidylinositol anchor. After proteolytic cleavage of the ectodomain by action of a yet-unidentified enzyme, uromodulin is excreted into the urine where it represents the most abundant protein in healthy individuals. The substantial amount of cysteine residues (7.5%), resulting in 24 potential disulfide bridges, suggests a complex structure of this protein. Mutations affecting the disulfide bonds or reducing the calcium-binding affinity are suspected to alter the tertiary structure of the protein and therefore to result in delayed maturation and hence intracellular accumulation of uromodulin.129 This hypothesis is supported by experiments in vitro, through transient transfection of different cell lines with UMOD-mutant and wildtype constructs, respectively. Expression of mutant constructs results in delayed protein expression at the cell surface with a longer retention of uromodulin within the endoplasmic reticulum,<sup>129</sup> probably reflecting an abnormal folding of the protein. This fits very well with the following observations in humans: Many identified UMOD mutations in patients with MCKD2/FJHN affect cysteine residues and therefore are supposed to alter the tertiary structure of the protein. Accordingly, histopathology reveals patchy intracellular uromodulin deposits within the respective tubular segments associated with tubulointerstitial fibrosis and inflammation.128,129,137 Electron microscopy demonstrates accumulation of dense fibrillar material, supposedly being uromodulin, within the endoplasmic reticulum. Finally, urinary uromodulin excretion in patients is significantly reduced;<sup>128,129</sup> that affected patients excrete less than the supposed 50% of wild-type uromodulin suggests a dominant-negative effect of the mutated protein on the wild-type allele. Some authors propose to combine these conditions into the entity of uromodulin storage disease. Most of UMOD mutations described thus far are missense mutations modifying cysteine or charged residues, located in exon 4 and some within exon 5 with evidence of significant ethnic differences.<sup>128,129,138</sup> It is notable that exon 4 contains a strongly conserved cysteine-rich sequence comprising three calcium-binding epidermal growth factor-like domains (cbEGF), linking mutations within these sequence with the supposed ultrastructural abnormalities of the protein as a consequence of misfolding.

Despite evidence that uromodulin deficiency in mice results in increased susceptibility to urinary tract infection<sup>139,140</sup> and increased renal formation of calcium crystals,<sup>141</sup> in patients with UMOD-associated MCKD2/FIHN the frequency of neither urinary tract infection nor nephrolithiasis is increased.<sup>142</sup> On the other hand, the above-mentioned individuals with MCKD2/FJHN unequivocally present with histologic renal lesions, whereas in uromodulin knockout mice the kidneys appear morphologically normal.<sup>143,144</sup> Therefore, it has been hypothesized that the characteristic renal histologic changes in humans might be the consequence of abnormal uromodulin processing and storage within tubular epithelial cells, with consecutive induction of inflammatory and profibrotic processes. The residual excretion of wild-type uromodulinwhich has been shown to be the only form excreted in patients with FJHN<sup>128</sup>—could be sufficient to prevent them from increased susceptibility for urinary tract infection and crystal formation observed in mice completely lacking the expression of uromodulin.

## **Clinicopathologic Presentation**

MCKD is a genetic tubulointerstitial disorder, mainly characterized by autosomal dominant transmission of defective urinary concentrating ability, frequent hyperuricemia/gout, tubular dilations/cysts (often located at the corticomedullary junction), and chronic renal failure progressing to end-stage kidney disease during adulthood in many patients. Given the similarities with respect to clinical presentation, macroscopic pathology, and renal histology, MCKD and NPH were thought to be the autosomal dominant and recessive counterparts of a unique disease complex, until recently referred to as NPH-MCKD complex.<sup>145</sup> In view of the recent advances in molecular genetics, however, NPH and MCKD should be distinguished and instead classified according to molecular genetics.<sup>146</sup>

Differentiation between MCKD1 and MCKD2 on clinical grounds alone is difficult and arbitrary; the designation of both types is primarily based on linkage to different loci as described above. However, the phenotype in MCKD2 is described to be more severe with respect to hyperuricemia/ gout and earlier onset of end-stage renal disease (median 32 years in MCKD2 versus 62 years in MCKD1).<sup>120</sup>

The clinical presentation in families with FJHN is very similar to that for the above-mentioned description of MCKD, and most reports confirm, in addition to these overlapping symptoms between FJHN and MCKD2, an important intrafamilial and interfamilial heterogeneity of presentation in both. Even on the basis of the presence of corticomedullary cysts in presumed MCKD2 and hyperuricemia in individuals whose disease is classified as FJHN, no definite distinction between these two entities can be established. Therefore, differentiating these two seems artificial and probably not useful for clinical purposes.<sup>146</sup> Consequently, the designations MCKD2/FJHN complex or *UMOD*-related disorders for patients with proven mutations have been proposed. The most relevant features of MCKD/FJHN are discussed below.

## **Defective Urinary Concentrating Capacity**

Given the characteristic pattern of expression, uromodulin was for a long time suspected to be involved in water impermeability of the TAL, the nephron segment crucial for the creation of the corticomedullary countercurrent and therefore for urine concentration. The exact mechanisms by which uromodulin is involved in tubular water impermeability and salt reabsorption have not been discovered until now. However, a vast majority of patients with *UMOD*-related disease present with a markedly reduced urinary concentrating capacity, reflected by early-morning urine osmolality below 600 mOsm/L.<sup>146</sup>

## Hyperuricemia

Hyperuricemia is not only characteristic for FJHN but also often present in individuals with MCKD. When considering the two disease entities together, hyperuricemia can be found in at least two-thirds of patients with MCKD2/FJHN.<sup>146</sup> Bleyer et al described inappropriate fractional excretion of uric acid with an inverse correlation with creatinine clearance,<sup>125</sup> whereas Scolari et al found an inverse correlation between uric acid levels and urine osmolality.<sup>146</sup> The former observation suggests an effect of renal failure on the development of hyperuricemia; however, in general the degree of hyperuricemia is described as out of proportion to the degree of renal insufficiency. The inverse correlation between

hyperuricemia and urine osmolality, a supposed indirect marker for volume status, suggests an indirect effect through volume contraction and enhanced proximal sodium and water reabsorption. This hypothesis is supported by observations linking reduced sodium reabsorption in the TAL with elevated urate levels, as side effects of chronic administration of loop diuretics or in individuals with Bartter syndrome.<sup>146</sup>

## **Tubulointerstitial Fibrosis**

Diffuse tubulointerstitial fibrosis, sometimes associated with thickening and splitting of tubular basement membrane, is an unequivocal feature in patients with typical MCKD/FJHN.<sup>129</sup> The exact mechanisms linking *UMOD* mutations with the characteristic histologic lesions remain to be detected. A immunomodulatory and proinflammatory effect of uro-modulin has been shown by several investigators; that is, interstitial deposits of uromodulin and associated immune complexes frequently are surrounded by inflammatory cells (see review<sup>134</sup>); additionally, tubulointerstitial lesions can be induced in animals after injection with uromodulin, and interstitial uromodulin deposits have been shown in several human conditions associated with tubulointerstitial fibrosis, including MCKD.<sup>146</sup>

## **Tubular Dilation and Cysts**

Despite the denomination, cystic tubular dilations/cysts are not as frequent as tubulointerstitial fibrosis and hyperuricemia in MCKD/FJHN. Medullary cysts are derived from progressively dilated collecting tubules, remaining connected to the afferent and efferent segments, comparable with the characteristic cystic dilations in autosomal recessive polycystic kidney disease. The exact pathogenic mechanisms leading to cystic dilations, notably with marked interfamilial and intrafamilial variations, remain elusive. Tubular obstructions maybe through cellular protrusions—have been discussed as a possible explanation.<sup>146</sup>

## **Diagnosis and Treatment**

The diagnosis of MCKD/FJHN should be considered in every individual presenting with a combination of the following symptoms and signs: chronic renal failure, hyperuricemia (especially if serum urate concentration is out of proportion as compared with the degree of renal insufficiency) and/or gout, hypertension, a normal urinalysis, and a family history of chronic renal failure. Genetic testing on a routine basis for *UMOD* mutations is available, facilitating the final diagnosis in suspected pedigrees. In contrast, because the gene or genes in MCKD1 have not yet been identified, confirming this diagnosis remains difficult, probably resulting in underestimation of the frequency and under-reporting of this entity.

No specific treatment for MCKD/FJHN has been available until now. Correction of water and electrolyte disturbances is necessary in some patients, and optimal antihypertensive treatment should be instituted in hypertensive ones. Recurrence of tubulointerstitial lesions in renal allograft of unaffected donors has not been observed. Given the excellent reported outcome,<sup>147</sup> renal transplantation is considered the preferred therapy. With regard to living-related donor transplantation, a challenge arises especially in small families without a family history suggestive for autosomal dominant MCKD.  $^{\rm 148}$ 

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## Chapter 16

## Hereditary Disorders of Collecting Duct Sodium and Potassium Transport

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This chapter will focus on inherited disorders of Na<sup>+</sup>, K<sup>+</sup>, and Cl<sup>-</sup> that arise from mutations of transporters or regulatory molecules expressed in the aldosterone-sensitive distal nephron (ASDN). The ASDN comprises the late distal convoluted tubule (DCT2), the connecting tubule (CNT), and the cortical and medullary portions of the collecting duct (CCD and MCD). Morphologically and functionally there are three distinct cell types that make up the CNT and CCD: the principal cell and the  $\alpha$ - and  $\beta$ -intercalated cells. The principal cell is involved in Na<sup>+</sup> reabsorption and K<sup>+</sup> secretion, and the intercalated cells are involved in K<sup>+</sup> reabsorption and in H<sup>+</sup> and HCO<sub>3</sub><sup>-</sup> secretion. Cl<sup>-</sup> is absorbed by the paracellular route in principal cells but may be absorbed transcellularly in intercalated cells. Na<sup>+</sup> is reabsorbed in the principal cell via the electrogenic amiloride-inhibitable epithelial Na<sup>+</sup> channel (ENaC), and K<sup>+</sup> is secreted via the K<sup>+</sup> channels, ROMK and Maxi-K (Fig. 16-1). ENaC and many of its regulatory systems are expressed throughout the ASDN (Fig. 16-2), and this chapter will be restricted to transport defects that relate to transcellular passage of Na<sup>+</sup> and K<sup>+</sup> and the paracellular passage of Cl<sup>-</sup> in the ASDN (Table 16-1). Disorders that arise from mutations in transport pathways expressed predominantly along the early distal convoluted tubule (DCT1), such as Gitelman syndrome, are discussed in Chapter 15.

## ION TRANSPORT IN THE ALDOSTERONE-SENSITIVE DISTAL NEPHRON

## Sodium

Although the filtered load of Na<sup>+</sup> is large, under normal conditions almost 95% of this load is absorbed by proximal nephron segments including the proximal convoluted tubule, the ascending limb of the loop of Henle, and DCT1. The remaining Na<sup>+</sup> in tubular fluid is reabsorbed variably in more distal nephron segments in response to dietary cues and to circulating hormones to precisely regulate volume homeostasis and the control of blood pressure. This reabsorption is

 Table 16-1
 Mendelian Disorders of Na<sup>+</sup>, K<sup>+</sup> Transport in the Aldosterone-Sensitive Distal Nephron

	· ·		•	
Disorder	Site of Mutation	Inheritance	Serum K+	Plasma Aldosterone
Hypertension				
Liddle syndrome	β- or γ-ENaC	AD	Low	Low
Syndrome of apparent mineralocorticoid excess (SAME)	HSD11B2	AR	Low	Low
Gordon syndrome (Pseudohypoaldosteronism type 2)	WNK1, WNK4, locus 3	AD	High	Variable
Autosomal dominant hypertension with severe exacerbation in pregnancy	NR3C2	AD	Low	Low
Glucocorticoid-remediable hyperaldosteronism*	Aldosterone synthase	AD	Low	High
Hypotension				
Pseudohypoaldosteronism type 1	α-, β-, or γ-ENaC	AR	High	Very high
Pseudohypoaldosteronism type 1	NR3C2	AD	High	High
Gitelman syndrome <sup>†</sup>	NCC	AR	Low	High
Bartter syndrome <sup>†</sup>	NKCC2, ROMK, ClC-Kb, Barttin	AR	Low	High

AD, autosomal dominant; AR, autosomal recessive.

\*Disorder of aldosterone synthesis in the adrenal cortex.

<sup>†</sup>These disorders are discussed in chapter 15 on the thick ascending limb and distal convoluted tubule.



**Figure 16-1** Schematic of tubular epithelial cells lining the aldosterone-sensitive distal nephron (ASDN). Transporters and ion channels relevant to this chapter are shown on the apical and basolateral aspects of the DCT2, CNT, CCD,  $\alpha$ -intercalated cell ( $\alpha$ IC), and  $\beta$ -intercalated cell ( $\beta$ IC).

more robust in the early portion of the ASDN, with a larger fraction of  $Na^+$  reabsorption occurring in the DCT2 and the CNT, and a progressively smaller contribution from the CCD and MCD.<sup>1</sup> In fact, inactivation of ENaC in the collecting duct does not impair  $Na^+$  or  $K^+$  balance, reflecting the contributions of DCT2 and CNT to  $Na^+$  and  $K^+$  transport.<sup>2</sup>

Aldosterone is the principal hormone that regulates extracellular volume and renal K<sup>+</sup> excretion via its effects on Na<sup>+</sup> and K<sup>+</sup> transport in the ASDN. There are two principal stimuli for aldosterone release from the zona glomerulosa of the adrenal cell cortex: volume depletion and hyperkalemia. Volume depletion stimulates renin release, which increases circulating angiotensin II levels, which in turn stimulates aldosterone secretion. Hyperkalemia has a direct stimulatory effect on aldosterone release from the zona glomerulosa.<sup>3,4</sup> The major effects of aldosterone are genomic and mediated via its cytosolic receptor, the mineralocorticoid receptor (gene symbol NR3C2), which, when bound to its ligand, dimerizes and translocates to the nucleus to activate a set of target genes. Cortisol, the natural human glucocorticoid hormone, has equal affinity for the mineralocorticoid receptor, and its circulating levels are several-fold greater than that of aldosterone. In mineralocorticoid-responsive tissues such as the ASDN, an enzyme, 11B-hydroxysteroid dehydrogenase type 2 (gene symbol HSD11B2), metabolizes cortisol to the inactive cortisone allowing aldosterone unrestricted access to its cognate receptor (Fig. 16-3).<sup>5,6</sup>

The epithelial Na<sup>+</sup> channel is a heteromultimeric channel composed of  $\alpha$ -,  $\beta$ -, and  $\gamma$ -ENaC subunits (gene symbols *SCNN1A*, *SCNN1B*, and *SCNN1G*) expressed in the apical plasma membrane of DCT2 and the principal cells of CNT, CCD, and MCD. Each of these subunits has an intracellular NH<sub>2</sub><sup>+</sup> and COO<sup>-</sup> terminus, two transmembrane domains, and a single large extracellular domain.<sup>7,8</sup> Removal of the ENaC complex from the apical cell surface is mediated via conserved PPPXY (PY) motifs in the C termini of  $\beta$ - and or  $\gamma$ -ENaC subunits.<sup>9</sup> These PY motifs interact with E3-type ubiquitin ligases via their WW domains.<sup>10–12</sup> Ubiquitin ligases seem to regulate the endocytic retrieval, ubiquitination, and subsequent degradation of ENaC complexes and may serve to limit ENaC function.<sup>13,14</sup> Nedd4-2 is one such ubiquitin ligase that is expressed in the collecting duct, which can robustly inhibit ENaC function.<sup>11,15,16</sup>

The  $\alpha$ -ENaC subunit when expressed alone in reconstituted cell systems has weak Na<sup>+</sup> transport activity, which is amplified several fold in the presence of  $\beta$ - and  $\gamma$ -ENaC subunits.<sup>17</sup> These three subunits are integral members of the transporting ENaC complex in the ASDN, although the exact stoichiometry is still under debate with the current evidence favoring either a tetramer composed of  $2\alpha$ : $\beta$ : $\gamma$  or a nonamer comprised of  $3\alpha$ : $3\beta$ : $3\gamma$ .<sup>18–20</sup> In the ASDN,  $\beta$ - and  $\gamma$ -ENaC are constitutively expressed and found within the cytosol, whereas the level of  $\alpha$ -ENaC is low but exquisitely regulated in response to physiologic cues.

Two of the principal regulators of ENaC activity in the distal nephron are dietary Na<sup>+</sup> intake and circulating aldosterone. Under conditions of Na<sup>+</sup> depletion or an increase in aldosterone levels, there is the rapid induction of  $\alpha$ -ENaC in the CNT and to a lesser extent in the CCD, which then appears to translocate together with  $\beta$ - and  $\gamma$ -ENaC to the apical plasma membrane.<sup>21,22</sup> Aldosterone binds to the mineralocorticoid receptor and induces the transcriptional expression of  $\alpha$ -ENaC by activation of a hormone response element in the 5' flanking region of the  $\alpha$ -ENaC gene.<sup>23</sup> Aldosterone also increases the transcription of at least one other key regulatory molecule, the serum and glucocorticoid-regulated kinase, SGK1 (gene symbol *SGK*).<sup>24,25</sup> SGK1, in turn, can increase ENaC activity by at least two mechanisms (see Fig. 16-3). The Rights were not granted to include this figure in electronic media. Please refer to the printed publication.



**Figure 16-3** Aldosterone signaling in the ASDN. Cortisol is metabolized to inactive cortisone in the ASDN, allowing aldosterone (Aldo) unrestricted access to its cognate receptor, the mineralocorticoid receptor (MR). As a result a variety of aldosterone-sensitive genes are activated or repressed, leading to the stimulation of Na<sup>+</sup> transport via ENaC. HRE, hormone response element; 11βHSD2, 11β-hydroxysteroid dehydrogenase type 2.

first involves the phosphorylation of Nedd4-2 at one or more consensus phosphorylation sites,<sup>26,27</sup> which facilitates its interaction with the 14-3-3 family of regulatory proteins.<sup>28,29</sup> This interaction then reduces the affinity of Nedd4-2 for the PY motifs of ENaC.<sup>26,27</sup> An inhibition of the ENaC–Nedd4-2 interaction leads to a reduction in the endocytic retrieval of ENaC, resulting in more Na<sup>+</sup> channels at the apical cell surface. The second mechanism is a direct effect of SGK1 at a consensus phosphorylation site within the C terminus of

Figure 16-2 Gene expression profile of transporters, channels, and regulatory molecules in the ASDN. Interrupted expression indicates that these proteins are expressed only in intercalated cells of the relevant segments. The expression of KS-WNK1 is not shown, but it is restricted to the cortex and is most evident in the DCT. AE1, a CI-/HCO3anion exchanger; MR, mineralocorticoid receptor. (From Delaloy C, Lu J, Houot AM, et al: Multiple promoters in the WNK1 gene: One controls expression of a kidney-specific kinase-defective isoform. Mol Cell Biol 23:9208-9221, 2003.)

 $\alpha$ -ENaC to increase ENaC activity.<sup>30</sup> This increase in activity seems to result from the conversion of silent channels to open channels or by an increase in channel insertion into the apical membrane. A third mechanism has recently been described: SGK1 can phosphorylate WNK4 and alleviate the inhibitory effect of WNK4 on ENaC.<sup>30a</sup>

SGK1 is a member of the AGC family of kinases and is an inducible serine threonine kinase that is widely expressed.<sup>14</sup> In addition to corticosteroids, SGK1 expression is induced by a variety of stimuli including growth factors such as transforming growth factor- $\beta$ , fibroblast growth factor, platelet-derived growth factor, and granulocyte-macrophage colony-stimulating factor. SGK1 is activated by phosphorylation by a number of upstream kinases including PI-3 kinase, PDK-1, PKA, ERK5, p38- $\alpha$ , and WNK1.<sup>14,31</sup> Activated SGK1 can in turn directly or indirectly interact with Nedd4-2, WNK4, ENaC, and ROMK to stimulate collecting duct Na<sup>+</sup> absorption and K<sup>+</sup> secretion.

Although the canonical aldosterone-sensitive Na<sup>+</sup> transport protein is ENaC, other Na<sup>+</sup>-transporting proteins, such as the thiazide-sensitive electroneutral NaCl cotransporter of the DCT2 (NCC; gene symbol SLC12A3), respond to aldosterone as well. The relation between increases in ENaC activity and changes in the activity of electroneutral transport proteins may determine the physiologic and pathophysiologic effects of the steroid hormone. Aldosterone increases the activity and abundance of NCC in vivo; changes in the abundance of this transport protein may contribute to the phenomenon of mineralocorticoid escape.<sup>32–34</sup> The nature of the overall response to aldosterone, whether electrogenic or electroneutral, may determine whether aldosterone functions primarily as a sodium Cl- retaining hormone (as when stimulated by renin and angiotensin II) or as a K<sup>+</sup> secretory hormone (as when stimulated by hyperkalemia).35

## Potassium

The major site for K<sup>+</sup> secretion in the kidney is the principal cells of the CNT and the CCD. The driving force for K<sup>+</sup> secretion is the lumen-negative potential difference generated

by the absorption of Na<sup>+</sup> via ENaC. K<sup>+</sup> secretion is mediated via at least two apical K<sup>+</sup> channels.<sup>36</sup> The first is the lowconductance K<sup>+</sup> channel, ROMK (SK, Kir 1.1; gene symbol *KCNJ1*), and the second is a large-conductance K<sup>+</sup> channel, BK (Maxi-K), composed of a pore-forming  $\alpha$ -subunit (gene symbol *KCNMA1*) and a modulatory  $\beta$ -subunit (*KCNB1*) (see Fig. 16-1).

The renal outer medullary K<sup>+</sup> channel (ROMK) is expressed as one of three alternately spliced isoforms, and of these, ROMK1 is expressed exclusively in the CNT and CCD. An increase in dietary K<sup>+</sup> intake, which stimulates the secretion of aldosterone, increases the abundance of ROMK1 channels at the apical cell surface but does not seem to increase synthesis of ROMK1. One mechanism for this increase in ROMK1 is via the aldosterone-mediated activation of SGK1, which in turn can increase the apical abundance and activity of ROMK1.<sup>37,38</sup> K<sup>+</sup> secretion is increased by the enhanced activity of the apical Na<sup>+</sup> channel and the basolateral Na<sup>+</sup>, K<sup>+</sup>-ATPase, both of which are also stimulated by high plasma K<sup>+</sup>. WNK1, a serine threonine kinase expressed in the CCD and CNT seems to inhibit ROMK1 by enhancing its endocytosis.39,40 In preliminary studies, a kidney-specific truncated protein variant of WNK1, KS-WNK1, is increased with a high-K<sup>+</sup> diet and decreased on a low-K<sup>+</sup> diet.<sup>40,41</sup> KS-WNK1 antagonizes many of the effects of full-length WNK1; this may serve as an alternate pathway for increased surface expression of ROMK1 with hyperkalemia.

Low dietary K<sup>+</sup> intake, by contrast, stimulates the activity of protein tyrosine kinases like *c*-Src, which phosphorylates ROMK1 to facilitate its internalization from the apical cell surface.<sup>42</sup> WNK4, another serine threonine kinase expressed in the CCD and CNT, regulates ROMK activity by inhibiting its surface expression.<sup>43</sup> Whether changes in dietary or serum K<sup>+</sup> levels alter WNK4 abundance and/or function is not currently known.

The second apical K<sup>+</sup> channel in the principal cell of the CNT and CCD is the Ca<sup>2+</sup>-activated, tetraethylammoniumsensitive Maxi-K channel, whose activity is increased by tubular fluid flow rates. An increase in distal nephron tubular flow may be sensed by the central cilium of the principal cell, stimulating a Ca<sup>2+</sup> conductance, which in turn activates the Maxi-K channels to effect flow-dependent K<sup>+</sup> secretion.<sup>44,45</sup> An increase in plasma K<sup>+</sup> may also inhibit proximal tubular Na<sup>+</sup> and water reabsorption, which has also been postulated to lead to an increase in distal flow and increased K<sup>+</sup> secretion.<sup>42</sup>

K<sup>+</sup> is reabsorbed by the intercalated cells of the CCD and by the MCD via the apical H<sup>+</sup>, K<sup>+</sup>-ATPases, which include the SCH-28080-sensitive, ouabain-insensitive gastric isoform, the SCH-28080-insensitive, ouabain-sensitive colonic isoform, and a third as yet unidentified isoform that is insensitive to both SCH-28080 and ouabain.<sup>46–48</sup> A high-K<sup>+</sup> diet reduces the activity of H<sup>+</sup>, K<sup>+</sup>-ATPase and limits K<sup>+</sup> reabsorption in these circumstances. Conversely, a low-K<sup>+</sup> diet stimulates activity of the gastric H<sup>+</sup>, K<sup>+</sup>-ATPase with increased apical K<sup>+</sup> entry and its exit via basolateral K<sup>+</sup> channels.

## **Chloride Ion**

Approximately 4% to 8% of filtered Cl<sup>-</sup> is delivered to the distal tubule of hydropenic rats. Fractional Cl<sup>-</sup> reabsorption amounts to 2% to 4% of filtered load along the superficial distal tubule. A similar amount is reabsorbed beyond the superficial distal tubule.<sup>49</sup> Volume expansion increases Cl<sup>-</sup>

delivery to the distal tubule and Cl<sup>-</sup> reabsorption in that segment. The superficial distal tubule can reabsorb Cl<sup>-</sup> actively, even though the transepithelial voltage favors passive Cl<sup>-</sup> reabsorption.<sup>50</sup> The mechanisms by which Cl<sup>-</sup> moves across DCT, CNT, and collecting duct epithelia remain incompletely defined.

Cl<sup>-</sup> is believed to traverse DCT cells predominantly via a transcellular pathway (see Fig. 16-1). Cl<sup>-</sup> enters the cell across the apical membrane, against an electrochemical gradient, largely via the thiazide-sensitive NCC, and driven by Na<sup>+</sup> entry. Cl- exits DCT cells across the basolateral cell membrane via the Cl<sup>-</sup> channel, ClC-Kb (gene symbol CLCNKB, which corresponds to ClC-K2 in the rodent)<sup>51</sup> and via a KCl cotransporter, thought to be KCC4 (gene symbol SLC12A4).<sup>52</sup> The exit of Cl<sup>-</sup> through ClC-Kb is driven by cell voltage, whereas that through the KCl cotransporter is driven by the chemical gradient for K<sup>+</sup>. The Cl<sup>-</sup> channel ClC-Kb seems to require a β-subunit, termed barttin (gene symbol BSND), which colocalizes with both CLC-K2 (ClC-Kb) and CLC-K1, and may enhance channel delivery to the plasma membrane.<sup>53</sup> Although deficiency of this Cl<sup>-</sup> channel causes a form of Bartter syndrome, recent mathematical models of the DCT suggest that the KCl pathway is more important, quantitatively, for transepithelial Cl<sup>-</sup> movement.<sup>54,55</sup> Paracellular Cl<sup>-</sup> flux across this segment, under normal conditions, is probably minimal.<sup>54,55</sup>

Little information is available regarding routes of transepithelial Cl- transport in the CNT. Both principal cells and intercalated cells are present along this nephron segment. CLC-K2 has been described at the basolateral membrane of the CNT, 56,57 but routes of Cl- entry across the apical membrane have not been defined. Conversely, pendrin (gene symbol SLC26A4), a Na<sup>+</sup>-independent Cl<sup>-</sup>/HCO<sub>3</sub><sup>-</sup> exchanger, is expressed at the apical surface of  $\beta$ -intercalated cells in the CNT and collecting duct (and occasionally along the DCT), where it may participate in HCO3<sup>-</sup> secretion (and Cl<sup>-</sup> reabsorption). Cl<sup>-</sup> enters across the basolateral membrane of  $\alpha$ intercalated cells via another Cl<sup>-</sup>/HCO<sub>3</sub><sup>-</sup> anion exchanger, AE1 (gene symbol SLC4A1). This exchanger seems to play an important role in maintaining acid/base balance.58 Clchannels may also be present at the basolateral membrane of both  $\alpha$ - and  $\beta$ -intercalated cells to allow exit of Cl<sup>-</sup>. According to recently modeled parameters, significant transepithelial Cltransport would be expected to traverse *β*-intercalated cells via pendrin and the basolateral Cl<sup>-</sup> channel.<sup>54,55</sup> Cl<sup>-</sup> would largely recycle across the basolateral cell membrane of  $\alpha$ intercalated cells.

## LIDDLE SYNDROME (PSEUDOALDOSTERONISM; OMIM 177200)

## **Historical Overview**

Liddle syndrome is a rare autosomal dominant disorder with early-onset severe hypertension, variable hypokalemia, and metabolic alkalosis with suppressed renin and aldosterone levels. The first description of a large kindred with this disorder by Grant Liddle led to his eponymous association with this disease.<sup>59</sup> The proband, who presented at age 16 with severe hypertension and hypokalemic metabolic alkalosis, had a strong family history of early-onset hypertension with hypokalemia. The proband had very low basal aldosterone levels,
and urinary aldosterone levels remained low despite correction of the hypokalemia and being placed on a low-Na<sup>+</sup> diet. Liddle and colleagues demonstrated that the salivary and sweat Na<sup>+</sup>/K<sup>+</sup> ratio was high and found normal levels of glucocorticoid and mineralocorticoid metabolites in the urine, indicating that the syndrome was unlikely to be due to an excess of circulating corticosteroid-like compounds. Triamterene, a Na<sup>+</sup> channel blocker, but not spironolactone, a mineralocorticoid receptor blocker, improved blood pressure and corrected the hypokalemia The proband eventually developed renal failure, presumably from poorly controlled hypertension, and after undergoing a renal transplant had a reversal of the previously noted metabolic abnormalities.<sup>60</sup> The constellation of findings suggested that the abnormality in these patients were related to an intrinsic defect within the distal nephron leading to enhanced Na<sup>+</sup> absorption.

### **Genetic Basis**

A genetic analysis of the original kindred demonstrated complete linkage of the disorder to the  $\beta$ -ENaC gene locus. Mutation analysis confirmed that affected members of the family had a nonsense mutation that truncated  $\beta$ -ENaC, removing the entire cytoplasmic C-terminal tail of β-ENaC. Additional studies in several kindred have shown nonsense or frameshift mutations in the C-terminal domain of either the  $\beta$ -ENaC or  $\gamma$ -ENaC subunit that deletes a conserved PY motif in these proteins.<sup>61,62</sup> Subsequently, three kindred with missense mutations that substituted one amino acid within the PY motif confirmed the importance of this motif in regulating channel activity.<sup>63–65</sup> Although a PY motif is also present in the  $\alpha$ -ENaC subunit, thus far all mutations reported in Liddle syndrome have been heterozygous mutations in the C-terminal tail of the  $\beta$ - or  $\gamma$ -ENaC subunit (Table 16-2). Surprisingly, in one recently reported kindred, a missense mutation in the extracellular domain of \gamma-ENaC was identified.66

## **Clinical Features**

Early-onset hypertension that is often severe in an individual with a strong family history of hypertension should prompt consideration of this disease. The classic laboratory features of the disease are hypokalemia with metabolic alkalosis.<sup>67</sup> In one instance the hypertension and metabolic abnormalities were evident in infancy.<sup>68</sup> Hypertension and the hypokalemia can be effectively treated with amiloride or triamterene but not by spironolactone or eplerenone, which is another feature that should increase the suspicion for Liddle syndrome.

If the hypertension is poorly treated or is not recognized, then end-organ damage including renal failure can occur early and be severe. Worsening renal function may mitigate the tendency to hypokalemia, and a clue to the diagnosis may be lost.

## Pathophysiology

Mutations that disrupt or delete the PY motif of ENaC lead to an increase in the activity of the Na<sup>+</sup> channel. This has been demonstrated by expression of disease-causing mutant subunits in *Xenopus* oocytes and in Madin-Darby canine kidney cells.<sup>69,70</sup> The preponderance of evidence indicates that the increase in Na<sup>+</sup> transport with Liddle mutations comes about primarily from an increase in the number of channels at the

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Subunit	Codon Change*	Mutation	References
β	R566X	1696C→T	61,72
	A579fr	1735del1736	73
	Q591X	1770C→T	61
	T594fr	1907insC	61
	P596fr	1913delC	61
	T601Fr	1928insG	66
	E607X	1917insC	74
	P617S	1976C→T	65
	P618L	1980C→T	63,65,75,76
	P618R	1980C→G	77,78
	P618H	1980C→A	79
	P618S	1979C→T	80
	Y620H	1985T→C	64
γ	N530S	1589A→G	66
	W575X	1724G→A	62,76

\*Numbering is based on NCBI reference sequence NP\_000327 and NP\_001030 as of 31 October 2005.

cell surface.<sup>69,71</sup> The PY motif normally binds to WW domain–containing proteins such as the E3-type ubiquitin ligase, Nedd4-2. The interaction between  $\beta$ - and/or  $\gamma$ -ENaC and Nedd4-2 targets the channel complex for ubiquitination, endocytosis, and possible degradation. In the absence of one of these PY motifs there is less retrieval of ENaC from the cell surface, which leads to an increase in the number of active channels at the apical membrane with resulting stimulation of Na<sup>+</sup> transport. The recent report of Liddle syndrome resulting from a mutation in the extracellular domain of  $\gamma$ -ENaC identified a second region of ENaC that when modified can lead to a gain of function. Although the precise mechanism for the increase in channel activity with this mutation is unknown, it is likely to regulate channel gating.<sup>66</sup>

Liddle syndrome is thus the consequence of an activating mutation in one copy of the  $\beta$ - or  $\gamma$ -ENaC subunit that leads to an increase in distal-nephron Na<sup>+</sup> transport. This primary increase in Na<sup>+</sup> transport increases the driving force for K<sup>+</sup> and H<sup>+</sup> loss from the collecting duct and explains the volume expansion, suppressed aldosterone levels, and hypokalemic alkalosis seen in this disease. The disease exhibits locus heterogeneity, in that mutations in either the  $\beta$ - or  $\gamma$ -ENaC subunit cause the same disease.

## **Animal Model**

An animal model of Liddle syndrome has been generated by the creation of a mouse expressing one or two copies of the original Liddle mutation. These animals develop hypertension, hypokalemia, and metabolic alkalosis on a high-salt diet and recapitulate the cardinal features of the syndrome.<sup>81,82</sup> The Liddle mouse demonstrates increased Na<sup>+</sup> transport not only in the collecting duct of the kidney but also in the distal colon, suggesting that enhanced colonic Na<sup>+</sup> absorption may contribute to the phenotype in humans.

#### **Laboratory Diagnosis**

The classic laboratory features of Liddle syndrome are hypokalemia and metabolic alkalosis that occur in a young hypertensive with a strong family history of hypertension and hypokalemia. Hypokalemia can be especially severe in patients who have a high dietary salt intake. An extended pedigree analysis of the original Liddle kindred and several other kindred demonstrated that hypokalemia is not a universal finding.<sup>60,64</sup> Additional testing of affected individuals will demonstrate suppressed plasma renin activity (PRA) and reduced serum and urine aldoserone levels even on a low-salt diet.

An increase in amiloride-sensitive nasal potential difference has been described in one kindred with Liddle syndrome.<sup>83</sup> In another kindred an increase in amiloride-sensitive Na<sup>+</sup> transport was noted in peripheral blood lymphocytes.<sup>84</sup> The usefulness of these tests for screening or diagnosis of Liddle syndrome remains uncertain.

#### **Differential Diagnosis**

Other causes of hypokalemic alkalosis with hypertension include essential hypertension treated with thiazide or loop diuretics, primary hyperaldosteronism, Cushing syndrome and apparent mineralocorticoid excess (see Table 16-1). Primary hyperaldosteronism is the most common cause of secondary hypertension with hypokalemia and is distinguished from Liddle syndrome by the elevated serum and urine aldosterone levels that are not suppressible on a highsalt diet, and an elevated serum aldosterone-to-renin ratio. Cushing syndrome can be excluded by the absence of characteristic clinical features with a loss of diurnal variation of serum cortisol, and elevated serum, salivary, and urinary cortisol concentrations that are not suppressible by low-dose dexamethasone. The syndrome of apparent mineralocorticoid excess is an autosomal-recessive disease associated with growth retardation and an elevated urine tetrahydrocortisolto-tetrahydrocortisone ratio (see below).

## **Genetic Testing**

The diagnosis of Liddle syndrome can be confirmed by the identification of mutations within the C terminus of one allele of the  $\beta$ - or  $\gamma$ -ENaC subunit that result in disruption of the PY motif. Because the entire C terminus is contained within the terminal exon of  $\beta$ - and  $\gamma$ -ENaC, a single exon-specific polymerase chain reaction from genomic DNA obtained from a blood sample or buccal swab should be sufficient. This test is not commercially available.

## Treatment

Because the defect is intrinsic to the epithelial Na<sup>+</sup> channel, the hypertension and hypokalemia should be readily treated with amiloride or triamterene, which are direct inhibitors of the channel. Published anecdotal reports on patients with Liddle syndrome indicate that this is generally true.<sup>60</sup> Renal transplantation in a few patients with Liddle syndrome has been reported in the pre-cyclosporine era, and, as expected, the hypertension and hypokalemia have resolved.

#### AUTOSOMAL RECESSIVE PSEUDOHYPOALDOSTERONISM TYPE 1 (OMIM 254350)

## **Historical Overview**

Autosomal recessive pseudohypoaldosteronism type 1 (PHA1) is a rare disorder characterized by severe renal salt wasting associated with hyponatremia, hyperkalemia, and acidosis that begins early in life. The first description of a patient who may have had this disorder was in 1958.<sup>85</sup> In that report, a 3-monthold infant with lethargy and poor weight gain was noted to have renal salt wasting with mild hyponatremia and variable hyperkalemia and otherwise normal renal and adrenal function. The infant responded well to NaCl supplementation but was poorly responsive to deoxycorticosterone acetate, suggesting renal tubular resistance to aldosterone action.

#### **Genetic Basis**

The disease is caused by mutations in both copies of any of the three subunits ( $\alpha$ -,  $\beta$ -, and  $\gamma$ -ENaC) that form the epithelial Na<sup>+</sup> channel.<sup>86,87</sup> Mutations are generally homozygous nonsense, frameshift, or splice site mutations within the coding region of the ENaC subunits and are predicted to lead to loss of Na<sup>+</sup> channel activity in the collecting duct and in other epithelia where ENaC is expressed and functional.<sup>88,89</sup> In one patient with severe disease, a large homozygous deletion in the promoter region of  $\beta$ -ENaC was identified.<sup>90</sup> In a few patients with a milder phenotype the disease arises from compound heterozygous mutations where at least one allele has a missense mutation.<sup>89,91</sup> The disease has been generally described in offspring of consanguineous unions, and in these matings heterozygous parents are asymptomatic carriers (see Edelheit et al<sup>89</sup> for a comprehensive list of mutations causing autosomal recessive PHA1).

## **Clinical Features**

Occasionally autosomal-recessive PHA1 can present during pregnancy with polyhydramnios.<sup>92,93</sup> The disease classically presents with lethargy, failure to thrive, lack of growth, difficulty with feeding, vomiting, and volume depletion within the first week of life.94,95 The infants manifest hypotension with hyperkalemia, hyponatremia, and metabolic acidosis. These infants require hospitalization, parenteral saline repletion, and emergent management of hyperkalemia during the hypotensive crises. Once stabilized, infants and children with the disorder generally respond to large doses of oral NaCl and can be maintained on salt supplementation and ion exchange resins. However, these children are prone to recurrent salt depletion crises that may require frequent hospitalizations. During these episodes there is excessive stimulation of the renin-angiotensin-aldosterone axis with massive elevations in PRA and aldosterone levels.

The disease is not limited to the kidney. There are elevated sweat and salivary electrolytes, and that may also contribute to the salt wasting. The excess salt in sweat during crises can predispose children to miliaria-like skin lesions on the face and trunk.<sup>96,97</sup> Newborns may also present with respiratory distress, although this seems to be unusual.<sup>98,99</sup> More commonly, pulmonary manifestations appear in the older child with increased airway secretions, chronic cough, and recurrent respiratory infections.<sup>88,94,95</sup> This disorder is thus also called systemic PHA1, in contrast to the autosomal-dominant form that is renal limited (see below).

## **Pathophysiology**

A few of the ENaC mutations identified in autosomal-recessive PHA1 have been tested in *Xenopus* oocytes by co-expression with other subunits, and these studies demonstrate severely reduced Na<sup>+</sup> transport.<sup>86,100</sup> Mutations are generally homozygous nonsense, frameshift, or splice site mutations within the coding region of the ENaC subunits that are predicted to disrupt protein function. The disease is thus likely to be a result of loss of Na<sup>+</sup> transport from the inheritance of two hypomorphic or null alleles.

Na<sup>+</sup> absorption from the tubular lumen into the CNT and collecting duct of the kidney occurs through the epithelial Na<sup>+</sup> channel. Na<sup>+</sup> transport in this segment of the nephron can contribute to the reabsorption of 2% to 5% of the filtered Na<sup>+</sup> load. Loss-of-function mutations in ENaC subunits lead to failure of Na<sup>+</sup> reabsorption in the distal nephron with resulting urinary Na<sup>+</sup> loss, hypotension, and stimulation of aldosterone secretion. The failure to transport Na<sup>+</sup> directly leads to inhibition of apical membrane K<sup>+</sup> and H<sup>+</sup> secretion from the principal and  $\alpha$ -interacalated cells of the CNT and collecting duct and account for the hyperkalemia and acidosis seen in this disease.

Na<sup>+</sup> transport via ENaC is seen throughout the airway epithelia from the nose to the terminal bronchioles as well as in alveolar epithelia. A reduction in Na<sup>+</sup> transport in the alveolar and airway epithelia leads to increased airway secretions that manifests as a runny nose with a chronic cough. Na<sup>+</sup> transport is also inhibited in sweat and salivary glands leading to high sweat and salivary gland electrolytes.

## **Animal Models**

Each of the ENaC subunits has been deleted in mice and has provided information on the role of these subunits in renal and lung physiology. Inactivation of the  $\alpha$ -ENaC subunit in mice leads to death within 2 to 4 days from respiratory distress, because the liquid filling the airway and alveolar spaces in utero is not reabsorbed after birth.<sup>101</sup> Transgenic expression of  $\alpha$ -ENaC at a low level can rescue these mice from their lethal pulmonary phenotype, but they go on to manifest PHA1 with significant neonatal mortality, presumably because the renal expression of  $\alpha$ -ENaC in the rescued animals is insufficient for ENaC function.<sup>102</sup>

Inactivation of the  $\beta$ - or  $\gamma$ -ENaC subunit leads to the PHA1 phenotype, and mice die within 2 days of birth with hyperkalemia.<sup>103,104</sup> There is little to no abnormality in lung tissues, indicating that the  $\beta$ - and  $\gamma$ -ENaC subunits are not critical for the transition to air breathing after birth, at least in mice. Despite the marked lung disease seen in the  $\alpha$ -ENaC-knockout mouse, humans with homozygous loss-of-function mutations in  $\alpha$ -ENaC do not generally present with neonatal respiratory distress. However, there are two clinical reports of newborns presenting with respiratory distress and PHA1.<sup>98,99</sup>

## Laboratory Diagnosis

Individuals with autosomal-recessive PHA1 present with hyperkalemia, hyponatremia, and metabolic acidosis in infancy. An elevated PRA and high serum and urine aldosterone levels will be invariably found. The transtubular K<sup>+</sup> gradient is expected to be less than 5 in the presence of hyperkalemia, reflecting aldosterone resistance. Na<sup>+</sup> concentrations in the urine, sweat, and saliva will be high, reflecting the loss of ENaC-mediated Na<sup>+</sup> transport in these sites. Amiloride-sensitive nasal potential difference can be measured to demonstrate loss of Na<sup>+</sup> transport in airway epithelia.<sup>88,105</sup>

## **Differential Diagnosis**

Other causes of salt wasting with hyperkalemic acidosis include congenital adrenal hyperplasia with mineralocorticoid deficiency, isolated hypoaldosteronism, and autosomaldominant PHA1. Acquired hypoaldosteronism from adrenal insufficiency and drugs that interfere with aldosterone action may also manifest with hypotension and hyperkalemic metabolic acidosis.

## **Genetic Testing**

Mutations that cause PHA1 are usually homozygous, although there are occasional reports of compound heterozygotes.<sup>89,106</sup> These mutations are nonsense, frameshift, or missense mutations that occur throughout the coding region (except in the C terminus) and have been more frequently reported for the  $\alpha$ -ENaC subunit.<sup>89</sup> Mutations in the promoter of  $\beta$ -ENaC leading to near total loss of expression of  $\beta$ -ENaC have been described and add to the complexity of mutation screening.<sup>90</sup> A systematic search for mutations in all three subunits must be undertaken, and such testing is only available in research laboratories.

#### Treatment

Infants typically present early in life with severe and lifethreatening symptoms, and the disease has been associated with a reported high mortality.<sup>94,107</sup> Emergent treatment of the volume depletion and hyponatremia requires parenteral saline repletion, and the management of hyperkalemia includes the use of ion exchange resins and in some cases temporary dialysis. Consistent with the pathophysiology, these patients do not respond to exogenous mineralocorticoids indicating an end-organ resistance to aldosterone. In the long term, children can be managed with large doses of oral NaCl together with chronic ion exchange resin therapy for those with resistant hyperkalemia. These children are prone to recurrent salt depletion crises that may require frequent hospitalizations.

#### AUTOSOMAL DOMINANT PSEUDOHYPOALDOSTERONISM TYPE 1 -(OMIM 177735)

#### **Historical Overview**

Patients with autosomal dominant PHA1 can present in infancy in a similar fashion to autosomal recessive PHA1 with

failure to thrive, lethargy, vomiting, and volume depletion. The first description of autosomal dominant PHA1 was in 1991, where the proband presented with classic severe symptoms in infancy, whereas other affected family members had a milder phenotype.<sup>95</sup> Patients in this family were treated with a high-salt diet and responded well to this treatment.

## **Genetic Basis**

Heterozygous mutations in the mineralocorticoid receptor have been identified in 75% of patients with autosomal dominant PHA1 and in some sporadic cases of autosomal recessive PHA1.<sup>106</sup> These mutations are found throughout the coding region and include nonsense, frameshift, and splice site mutations that lead to a truncated receptor and missense mutations that occur within a functional domain that is predicted to reduce or eliminate receptor function or trafficking (see Zennaro and Lombes<sup>106</sup> for a comprehensive list of mutations causing autosomal dominant PHA1). In some of the pedigrees with autosomal dominant PHA1, mutations in the mineralocorticoid receptor have not been identified, but linkage analysis was not performed to determine if the disease segregates with the mineralocorticoid receptor locus.<sup>108</sup> These patients may have mutations elsewhere in the gene, such as in untranslated regions or in regulatory regions. Alternatively this disease may exhibit genetic heterogeneity with a second, hitherto unknown locus that accounts for the remainder of cases.

## **Clinical Features**

Autosomal dominant PHA1, like the autosomal-recessive form of PHA1, presents with failure to thrive, lethargy, vomiting, and volume depletion from salt wasting. This disorder, unlike the autosomal recessive form, has no pulmonary phenotype, because the airways are not a classic aldosterone-responsive tissue. Hyponatremia, hyperkalemia, and metabolic acidosis are prominent features, as are elevated PRA and plasma and urine aldosterone levels. Evidence of urinary Na<sup>+</sup> wasting despite hyperaldosteronemia and volume depletion is suggestive of end-organ resistance to mineralocorticoids. The disease usually presents with a family history, but sporadic cases have been well described.<sup>106</sup> Patients are treated with salt supplementation and with K<sup>+</sup> resins. Importantly, unlike autosomal recessive PHA1, the symptoms remit with age, and salt supplementation can be discontinued in many patients.

## **Pathophysiology**

A few of the mineralocorticoid receptor mutations identified in autosomal dominant PHA1 have been tested in vitro and demonstrate impaired ligand binding, DNA binding, or diminished *trans*-activation function.<sup>109–111</sup> The disease is thus likely to be a result of haploinsufficiency from hypomorphic or null alleles, although as the active mineralocorticoid receptor is a dimer, some mutations may function in a dominant negative fashion.

Aldosterone acting via the mineralocorticoid receptor stimulates Na<sup>+</sup> transport in the CNT and the collecting duct. When there is a reduction in the number of functional mineralocorticoid receptors there is insufficient Na<sup>+</sup> reabsorption in the distal nephron, especially in the newborn and in early childhood, resulting in Na<sup>+</sup> wasting and an impairment in K<sup>+</sup> and H<sup>+</sup> secretion leading to the secondary effects of hyperkalemia and metabolic acidosis. The improvement in salt wasting and the impairment in K<sup>+</sup> and H<sup>+</sup> secretion with increasing age is not well understood. This suggests that in infancy and in early childhood there is a critical dependence on a full complement of functional mineralocorticoid receptors, and with increasing age there are pathways that can compensate for the hypomorphic allele.

## **Animal Models**

The mineralocorticoid receptor–knockout mouse develops salt wasting with hyponatremia, hyperkalemia, and markedly elevated renin and aldosterone levels, and dies around 10 days of age unless rescued with a high-salt diet or with glucocorticoid therapy.<sup>112–114</sup> The heterozygous null mutation (MR<sup>+/-</sup>) should more closely resemble the autosomal dominant PHA1 in humans. However, these mice develop normally and do not demonstrate electrolyte abnormalities, although there is an increase in urinary Na<sup>+</sup> loss and moderate elevation of renin and aldosterone levels.

#### **Laboratory Diagnosis**

Patients present in infancy with hyperkalemia, hyponatremia, and varying degrees of metabolic acidosis, although in later life the biochemical abnormalities may be mild. Patients are expected to have an elevated PRA and high serum and urine aldosterone levels. Unlike autosomal recessive PHA1, nasal potential difference should be normal, although this has not been formally validated.

#### **Differential Diagnosis**

Other causes of salt loss with hyperkalema and acidosis include autosomal recessive PHA1 and congenital adrenal hyperplasia with mineralocorticoid deficiency. Acquired aldosterone deficiency from adrenal insufficiency and aldosterone resistance from drugs that that interfere with aldosterone action may also manifest with variable hypotension and hyperkalemic acidosis. Patients with PHA2 (see below) have hyperkalemia and acidosis but are generally hypertensive and are unlikely to be confused with patients with PHA1.

#### **Genetic Testing**

Mutations in *NR3C2*, which encodes the mineralocorticoid receptor, have been found in 75% of patients with autosomal dominant PHA1 and in a few cases of sporadic PHA1. These mutations are spread throughout the coding region and in some cases are predicted to reduce its activity. Mutation testing is only available in research laboratories.

#### Treatment

Salt supplements should be provided enterally or parenterally in the newborn and in early childhood until symptoms remit. Hyperkalemia is managed by chronic ion exchange resin therapy. Children usually outgrow the syndrome, and in later life salt supplementation and resin therapy may not be required.

#### THE SYNDROME OF APPARENT MINERALOCORTICOID EXCESS (OMIM 218030)

#### **Historical Overview**

The genetic syndrome of apparent mineralocorticoid excess (SAME) is an autosomal recessive disorder with early onset severe hypertension, hypokalemia and metabolic alkalosis. It is also known as 11 $\beta$ -hydroxysteroid dehydrogenase II deficiency and as cortisol 11 $\beta$ -ketoreductase deficiency. The first reported case was a native American girl who had hypertension and hypokalemia with suppressed mineralocorticoid levels.<sup>115</sup> Adrenocorticotrophin administration worsened the hypertension and hypokalemia, whereas spironolactone or a low-salt diet corrected both.

### **Genetic Basis**

The disorder arises from loss-of-function mutations in both copies of the gene encoding the enzyme 11 $\beta$ -hydroxysteroid dehydrogenase type 2 (*HSD11B2*). The disorder is typically reported in offspring of consanguineous families, and as expected in these cases, homozygous mutations in both alleles are found.<sup>116</sup> In a few patients compound heterozygous mutations are seen. The mutations are most often missense mutations in the C-terminal portion of the protein, but there are a few examples of frameshift and deletion mutations (see Quinkler and Stewart<sup>6</sup> for a comprehensive list of mutations that cause SAME).

## **Clinical Features**

This is an autosomal-recessive disorder in which affected patients present with hypertension, hypokalemia, and metabolic alkalosis. The disease classically presents very early after birth with failure to thrive, growth retardation, and polyuria. Intrauterine growth retardation may be manifest by low birth weight, and hypertensive end-organ damage seems to occur early in severe forms of the disease.<sup>117</sup> Renal manifestations include nephrocalcinosis and chronic renal failure that is thought to be secondary to hypercalciuria. A smaller number of patients have milder hypertension with more modest biochemical defects and present later in life and have been labeled as AME type 2.6 The disorder is characterized by suppressed renin and aldosterone activity and points to the presence of a circulating mineralocorticoid-like substance. The disease can be treated with spironolactone and amiloride and is exacerbated by adrenocorticotrophin administration.

## **Pathophysiology**

Proteins containing these mutations have reduced enzymatic activity when tested in transfected cultured cells and explain the syndrome.<sup>118,119</sup> In one case, enzyme immunostaining and NAD-dependent enzyme activity was shown to be absent or severely reduced in the placenta from an affected pregnancy.<sup>120</sup>

The enzyme  $11\beta$ -hydroxysteroid dehydrogenase type 2 is selectively expressed in certain mineralocorticoid-responsive tissues, including the CNT and collecting duct of the kidney. In these sites the enzyme converts cortisol to its inactive metabolite cortisone allowing aldosterone free access to its receptor. In the absence of this enzyme, cortisol, which is present in molar excess as compared with aldosterone and has equal affinity for the mineralocorticoid receptor, occupies this receptor and activates a mineralocorticoid-dependent gene profile in target tissues (see Fig. 16-3). This leads to an increase in Na<sup>+</sup> reabsorption via ENaC with enhanced K<sup>+</sup> and H<sup>+</sup> secretion by the principal and intercalated cells of the collecting duct. The resulting volume expansion suppresses PRA and aldosterone levels.

## **Animal Model**

The 11 $\beta$ -hydroxysteroid dehydrogenase type 2–knockout mouse develops hypertension, hypokalemia, polyuria, and reduced urinary Na<sup>+</sup> excretion associated with suppressed aldosterone levels, thus recapitulating the clinical phenotype seen in humans. The knockout mice appeared weaker than their control littermates; they developed intestinal dilatation, and as many as 50% died within 48 hours, presumably from severe hypokalemia.<sup>121</sup> Some of the metabolic abnormalities could be reversed with spironolactone or with dexamethasone, although the effect on hypertension was not reported. As expected, the heterozygous mouse has no detectable clinical or metabolic abnormality.

## **Laboratory Diagnosis**

The classic laboratory features of this syndrome are hypokalemia and metabolic alkalosis that occur in very young hypertensives with growth retardation. Suppressed PRA and low serum and urine aldoserone levels will be found on expanded testing. The deficiency in 11 $\beta$ -hydroxysteroid dehydrogenase type 2 can be detected by measuring the ratio of the metabolites of cortisol to the metabolities of cortisone in the urine (tetrahydrocortisol + allo-tetrahydrocortisol to tetrahydrocortisone).<sup>122,123</sup> Recent data suggest that the ratio of urinary free cortisol to cortisone is also a sensitive marker of 11 $\beta$ -hydroxysteroid dehydrogenase type 2 activity and can be used to identify patients with SAME.<sup>124</sup>

## **Differential Diagnosis**

Other causes of severe early-onset hypertension should be considered in such patients. In those with a family history of early-onset hypertension, the differential diagnosis will include glucocorticoid-remediable hyperaldosteronism, Liddle syndrome, and Gordon syndrome. All of these have an autosomal dominant inheritance in contrast to SAME. The finding of a suppressed serum aldosterone would exclude glucocorticoidremediable hyperaldosteronism, whereas the presence of hypokalemia would exclude Gordon syndrome. Acquired causes of hypokalemic alkalosis with hypertension include essential hypertension treated with thiazide or loop diuretics, primary hyperaldosteronism, and Cushing syndrome.

## **Genetic Testing**

Homozygous or compound heterozygous mutations have been found in *HSD11B2* in type 1 and type 2 AME.<sup>122,123</sup> Mutation testing is available at the Molecular Hypertension Laboratory of the University of Berne, Switzerland (brigitte.frey@dkf.unibe.ch).

#### Treatment

Hypertension and the hypokalemia can be effectively treated with spironolactone, eplerenone, amiloride, or triamterene, although triamterene is best avoided in children. Thiazide diuretics have been reported to be useful when added to spironolactone to control hypertension and to reduce hypercalciuria with nephrocalcinosis.<sup>119</sup> Levels of the endogenous glucocorticoid cortisol can be suppressed by administration of a low-dose synthetic glucocorticoid-like dexamethasone that does not bind to the mineralocorticoid receptor and can be used as an alternative form of therapy.<sup>116,125</sup> There is one report of normalization of hypokalemia, improvement in blood pressure, and correction of the urinary cortisol-tocortisone ratio following renal transplantation, suggesting that the disorder is primarily due to deficiency of renal 11 $\beta$ hydroxysteroid dehydrogenase type 2.<sup>124</sup>

#### AUTOSOMAL-DOMINANT HYPERTENSION WITH EXACERBATION IN PREGNANCY (OMIM 60515)

#### **Historical Overview**

This disease has only been recognized in one kindred and was discovered by screening a large number of patients with early-onset severe hypertension for mutations in *NR3C2*, which encodes the mineralocorticoid receptor. Among 75 unrelated individuals, a 15-year-old boy with severe hypertension, suppressed PRA, and low serum aldosterone was identified with a novel mutation in *NR3C2*.<sup>126</sup>

## **Genetic Basis**

A missense mutation in *NR3C2* results in a S810L substitution in the mineralocorticoid receptor, converting it into a constitutively active receptor.<sup>126</sup> The specificity of the receptor is altered, converting progesterone and spironolactone into potent agonists at the mineralocorticoid receptor. This is a gain-of-function mutation that leads to dominantly inherited hypertension at an early age. Because there is a large increase in circulating progesterone levels during pregnancy, there is also a marked increase in blood pressure during pregnancy resulting from overstimulation of mineralocorticoid receptors with Na<sup>+</sup> retention and hypokalemia.

## **Clinical Features and Pathophysiology**

This is an exceedingly rare autosomal-dominant disorder that has so far been reported in only one kindred. An analysis of the kindred revealed that affected patients present with severe hypertension in their teens with suppressed PRA and low aldosterone levels.<sup>126</sup> Neither hypokalemia nor metabolic alkalosis seem to be prominent features of the syndrome. In the only pedigree examined, a missense mutation in *NR3C2* results in a S810L substitution in the mineralocorticoid receptor, converting it into a constitutively active receptor. Activation of the mineralocorticoid receptors in the connecting tubule and collecting duct leads to enhanced reabsorption of Na<sup>+</sup> with secondary K<sup>+</sup> secretion that leads to the clinical syndrome. The mutation described converts progesterone into a potent agonist for mineralocorticoid receptors.<sup>126</sup> This results in severe exacerbation of hypertension during pregnancy with hypokalemia but without other features of pre-eclampsia such as proteinuria or edema. Spironolactone, a classic mineralocorticoid receptor antagonist, also becomes a potent agonist of the abnormal mineralocorticoid receptors and should be avoided in patients known to carry this gene mutation. Exacerbation of hypertension with spironolactone in a young hypertensive with a strong family history may be a clue to this rare disorder.

## Laboratory Diagnosis and Genetic Testing

Hypokalemia may be seen in the disorder but is not a common feature.<sup>126</sup> Evidence of low PRA and suppressed aldosterone levels may be found. History of pregnancy-related exacerbation of hypertension in affected family members may be an important clue to the disease. A definitive diagnosis can only be made by detection of a specific mutation in *NR3C2*. This test is not available commercially.

#### Treatment

Amiloride and triamterene should be effective in treating the hypertension in this disorder, but there is no published information on efficacy of any antihypertensive therapy in families with this disorder. Spironolactone should be avoided in patients known to carry this gene mutation, and in women pregnancy must be approached with caution.<sup>126</sup>

#### PSEUDOHYPOALDOSTERONISM TYPE 2 (GORDON SYNDROME, FAMILIAL HYPERKALEMIC HYPERTENSION, OMIM 145260)

#### **Historical Overview**

The first reported patient with this disorder was a 15-year-old with hypertension, hyperkalemia, and renal tubular acidosis (RTA) resulting from a specific renal tubular defect in K<sup>+</sup> secretion.<sup>127</sup> The patient had normal renal function, low PRA, a high-normal aldosterone level, and a remarkable response to low doses of a thiazide diuretic. Gordon reported a patient with additional features of short stature, mild mental retardation, and dental abnormalities.<sup>128</sup> Subsequent reports of "Gordon syndrome" emphasized the features of hypertensive hyperkalemia and RTA with normal renal function.<sup>129-133</sup> In 1981, Schambelan and colleagues reported a patient with hypertension, hyperkalemia, RTA, and normal renal function who responded dramatically to low-dose thiazide therapy.<sup>134</sup> They named this syndrome "type 2 pseudohypoaldosteronism" (PHA2) to differentiate it from type 1 pseudohypoaldosteronism, a previously reported disease of hyperkalemia and salt wasting. The patient reported by Schambelan and colleagues was clinically indistinguishable from the previously reported patients with Gordon syndrome, suggesting that these disorders are the same clinical entity. The term pseudohypoaldosteronism carries the connotation of salt wasting and peripheral aldosterone resistance, and has proved confusing because these patients are hypertensive and do not typically have elevated aldosterone levels. Some authors prefer

the use of the more descriptive term, familial hyperkalemic hypertension, to designate this syndrome.

## **Genetic Basis**

Most, if not all cases of PHA2 exhibit characteristics of an autosomal dominant disease with high penetrance.<sup>135–141</sup> The clinical phenotype of hypertension, hyperkalemia, and acidosis is the mirror image of Gitelman syndrome, which is known to be caused by loss-of-function mutations in the gene encoding the thiazide-sensitive NCC (gene symbol SLC12A3) in the DCT. Not surprisingly, patients with PHA2 were postulated to have a gain of function in NCC; however, a careful examination of this locus in PHA2 pedigrees excluded SLC12A3 as a candidate gene.<sup>142,143</sup>

PHA2 exhibits genetic heterogeneity, in that the disease maps to loci on chromosomes 1, 12, and 17 when examined in different kindred with this disease.<sup>135,136,138,139,141</sup> These three genetically distinct PHA2 subtypes have been classified as type A (linked to 1q), type B (linked to 17p), and type C (linked to 12p). In 2001 a new PHA2C family with a deletion in the linked interval on chromosome 12 was identified.<sup>137</sup> The deletion lies within the first intron of WNK1, a member of a novel kinase family, and leads to an increase in WNK1 expression, at least in leukocytes. The disease thus seems to be secondary to a gain of function of the mutant WNK1 allele. Separately, PHA2B pedigrees were shown to have missense mutations within the coding region of WNK4, a second member of the WNK kinase family that is expressed from the chromosome 17p locus.<sup>137</sup> These observations identify at least two forms of PHA2 as diseases of the WNK kinase pathway. The identity of the gene affected at the third locus, on chromosome 1, is unknown.

WNK kinases (representing with no lysine [K]) are recently described proteins.<sup>144</sup> WNK1 (gene symbol *WNK1*) is a large protein of 2,126 amino acids related to the MAP/extracellular signal regulated protein kinase (ERK) family. Although the open reading frame is predicted to encode a protein with a kinase domain, its structure is atypical. It lacks a lysine in subdomain 2, at a site that binds adenosine triphosphate, which is nearly invariant in all previously identified kinases. Instead, the lysine required for phosphoryl transfer lies in kinase subdomain 2. These proteins are, however, bona fide kinases: WNK1 can phosphorylate WNK4, synaptotagmin, SPAK, OSR1, and also themselves (autophosphorylation).<sup>144–146</sup> WNK4 (gene symbol *WNK4*) is homologous to WNK1 but is shorter, requires co-factors to exhibit kinase activity, and generally has substrates different from those of WNK1.<sup>147–149</sup>

## **Clinical Features**

The most consistent feature of PHA2 is hyperkalemia. Serum  $K^+$  concentrations range from 5.3 to 7.3 mEq, even in children.<sup>138,150,151</sup> Hypertension is commonly observed in PHA2, but its presence is more variable than is hyperkalemia. Blood pressure is dependent on age in affected individuals; frank hypertension is typical in adults but often absent in children, which may explain why some younger patients are not diagnosed with PHA2, despite hyperkalemia.<sup>133,139,152</sup> In a survey of the literature before 1993, hypertension was present in only 38 of 69 reported cases, although many of those who were not hypertensive were children.<sup>153</sup> More recently, a

genetically characterized family with PHA2 resulting from mutations in *WNK1* was studied. In this family with 17 affected individuals and 32 unaffected relatives, hyperkalemia was present universally among affected individuals, and blood pressure was only 7 (systolic) and 9 (diastolic) mmHg higher among affected than unaffected individuals.<sup>139</sup>

Despite the reported variability in blood pressure, some individuals with PHA2 have severe hypertension that is difficult to treat with combinations of antihypertensive drugs.<sup>154</sup> Most of these, however, can be treated with low doses of a thiazide diuretic.<sup>151</sup> Treatment with a thiazide diuretic also tends to normalize K<sup>+</sup> excretion and correct the RTA. The remarkable effectiveness of thiazide diuretics in this syndrome has been recently confirmed.<sup>152</sup> Thiazides reduce the systolic and diastolic pressures of PHA2 patients by 45 and 25 mmHg, respectively, compared with 13 and 10 mmHg in patients with essential hypertension. In contrast, loop diuretics do not reliably normalize the serum K<sup>+</sup> or blood pressure during prolonged follow-up.<sup>155</sup>

Hyperchloremia and metabolic acidosis are very common features of the disease. Serum Cl<sup>-</sup> concentration typically varies from 105 to 116 mM and bicarbonate from 15 to 24 mM.<sup>127,131,156–159</sup> The causes of acidosis include both impaired ammonium excretion and a reduced bicarbonatereabsorptive threshold. Reducing serum K<sup>+</sup> with oral cation exchange resins increased ammonium excretion but did not increase the bicarbonate threshold.<sup>159</sup> In contrast, dietary salt restriction led to correction of acidosis by increasing the bicarbonate threshold, without correcting hyperkalemia. Thus, PHA2 patients manifest two processes that limit proton excretion. First, they excrete reduced amounts of ammonium, secondary to hyperkalemia. Second, the bicarbonate threshold is low, which can be corrected by infusing non-Cl<sup>-</sup> salts of Na<sup>+</sup> or by severe dietary salt restriction.

Many PHA2 patients waste calcium, a situation that can be worsened with furosemide administration.<sup>152</sup> In one kindred, whose disease resulted from *WNK4* mutations, basal hypercalciuria was seen in all affected members, in comparison with their unaffected relatives. In these PHA2 patients bone mineral density was also reduced as compared with their unaffected relatives. In a kindred whose disease resulted from mutations in *WNK1*, hypercalciuria could not be detected.<sup>139</sup> Whether hypercalciuria is a feature of PHA2 resulting specifically from *WNK4* mutations or whether other variables are responsible for the observed differences is not clear.

Several other features have been reported to occur in PHA2. Short stature and mental retardation were described in the earliest cases.<sup>128,154,155,159</sup> Most subsequent cases lack both features, although specific information about the height and intelligence of patients characterized genetically has been lacking (see below). Several reports indicate that when treated with thiazide diuretics, patients with Gordon syndrome grow and develop normally, suggesting that short stature and developmental delay are secondary to the electrolyte abnormalities and not a primary feature of the syndrome.

## **Pathophysiology**

The clinical features of PHA2 are, in many respects, opposite to those observed in Gitelman syndrome, where hypokalemia, mild hypotension, hypocalciuria, and resistance to the effects of angiotensin II are seen.<sup>128,160</sup> This would suggest that the

PHA2 phenotype results from overactivity of the thiazidesensitive NaCl cotransporter. Yet several features suggest that the phenotypic features reflect more complicated interactions. For example, patients with Gitelman syndrome nearly always manifest profound magnesium wasting and hypomagnesemia, yet abnormalities of magnesium balance do not appear prominent in PHA2.<sup>150,152,160</sup> Furthermore, some individuals with PHA2, perhaps primarily resulting from mutations in *WNK1*, do not waste calcium.<sup>139</sup>

#### WNK4 Mutations and PHA2

PHA2 is a disease of the distal nephron, both from functional studies and because the gene products affected in this disease are preferentially expressed along this nephron segment.<sup>134,137</sup> When co-expressed in Xenopus oocytes, WNK4 binds to the thiazide-sensitive NaCl cotransporter (NCC) and reduces its abundance at the plasma membrane by inhibiting exocytic insertion into the plasma membrane<sup>137,161</sup> (Fig. 16-4). Four missense mutations in WNK4 have been associated with PHA2. At least one of the resulting substitutions, Q562E, is less active than wild-type WNK4 at inhibiting NCC activity.161-163 Two other substitutions, E559K and D561A, were also initially thought to be inactive on NCC, although all three abnormal proteins bound normally to the NCC.<sup>137</sup> However, others have shown that E559K and D561A retain the ability to inhibit NCC in Xenopus oocytes and in the case of D561A reduce surface expression in MDCK II, an epithelial cell line.161,164

WNK4 is expressed along the lateral membrane of DCT2 and CNT cells, where it seems to play a role in increasing paracellular Cl<sup>-</sup> conductivity (see Fig. 16-4). WNK4 was also shown to phosphorylate claudins, tight-junction proteins that play a central role in adjusting paracellular ion permeability.<sup>165</sup> PHA2-causing abnormal WNK4 was shown to increase Cl<sup>-</sup> conductance, relative to Na<sup>+</sup>, across the paracellular pathway.<sup>165,166</sup>

Other ion transport proteins have been shown to be regulated by WNK4. WNK4 inhibits ROMK by enhancing endocytosis by a clathrin-coated pit-dependent pathway.<sup>43</sup> This effect is independent of WNK4 kinase activity and requires the C-terminal domain (see Fig. 16-4). In contrast to the effects of WNK4 mutations on NCC activity, PHA2-causing mutations increase the suppression of ROMK. Thus, PHA2causing mutations of WNK4 may enhance NCC activity, enhance paracellular Cl<sup>-</sup> permeability, and suppress ROMK activity; all these effects would enhance distal NaCl reabsorption and reduce distal K<sup>+</sup> secretion.

#### WNK1 Mutations and PHA2

Mutations in *WNK1* also cause PHA2; the mutations reported thus far are large deletions within the first intron, which do not involve the coding sequence.<sup>137</sup> There are two principal transcripts for WNK1; the first encodes full-length WNK1 including a kinase domain, whereas the second arises from an alternative first exon (exon 4a) and is missing the N-terminal kinase domain. The predominant form of WNK1 expressed in the kidney is this truncated form, called KS-WNK1.<sup>39,145,167</sup> The effects of this intronic deletion on disease pathogenesis are not completely understood, but it seems to increase full-length WNK1 expression, at least in leukocytes.<sup>137</sup> Whether KS-WNK1 is also increased in PHA2 is currently unknown.

WNK1 can phosphorylate WNK4 and inhibit the WNK4 effect on NCC, an effect that requires an active WNK1 kinase domain<sup>149,164</sup> (see Fig. 16-4). Mutations that increase WNK1 expression would thus be expected to further release NCC from WNK4-mediated suppression, resulting in increases in



**Figure 16-4** Schematic illustrating the normal effects of WNK4, WNK1, and KS-WNK1 in the ASDN. WNK4 inhibits transcellular Na<sup>+</sup> and K<sup>+</sup> transport by reducing NCC and ROMK expression and function. WNK4 stimulates paracellular Cl<sup>-</sup> transport. WNK1 inhibits the effect of WNK4 on NCC. WNK1 stimulates ENaC activity via SGK1, whereas it inhibits ROMK activity. KS-WNK1 can inhibit the effect of WNK1 on ROMK and on WNK4.

NCC activity. KS-WNK1 exerts dominant negative effects on full-length WNK1, inhibiting both its kinase activity and its ability to inhibit WNK4.<sup>168</sup> If *WNK1* mutations were to increase the abundance of full-length WNK1 relative to KS-WNK1 in renal tissue, WNK4 activity would be inhibited, which is predicted to lead to an increase in NCC activity.

WNK1 has effects on other ion transport proteins. WNK1 binds to and activates SGK1 which can then stimulate ENaCmediated Na<sup>+</sup> transport via phosphorylation of Nedd4-2 in *Xenopus* oocytes and in CHO cells<sup>31</sup> (see Fig. 16-4). Because mutations that cause PHA2 lead to increased expression of full-length WNK1, these mutations would be expected to increase ENaC expression and increase Na<sup>+</sup> reabsorption in the ASDN, thus contributing to the hypertension seen in PHA2. Whether KS-WNK1 can inhibit full-length WNK1 in its ability to activate SGK1 is not known. However, KS-WNK1 was recently shown to stimulate ENaC activity, and an increase in either isoform of WNK1 in PHA2 should lead to an increase in ENaC-mediated Na<sup>+</sup> absorption.<sup>169</sup>

WNK1 also inhibits ROMK1 by enhancing its endocytosis, and this effect can be suppressed by KS-WNK1<sup>40</sup> (see Fig. 16-4). Mutations that increase full-length WNK1 would be expected to reduce ROMK1 expression, thereby contributing to the hyperkalemia seen in PHA2. Thus, PHA2-causing mutations of *WNK1* may enhance NCC activity and increase ENaC activity, while simultaneously suppressing ROMK activity; all these effects would enhance distal NaCl reabsorption and reduce distal K<sup>+</sup> secretion. However, because the effect of PHA2 mutations on KS-WNK1 are unknown, a comprehensive mechanistic hypothesis relating the various effects of WNK kinases and their mutations to the clinical PHA2 phenotype requires more study.

Two other features of PHA2 are hypercalciuria and reduced bone density.<sup>150,152,170</sup> These features may also result from overactivity of the NCC. When the NCC is not active, as occurs during treatment with thiazide diuretics or with an NCC knockout (Gitelman syndrome), renal Ca<sup>2+</sup> excretion is reduced. Activation of apical NaCl uptake activity in PHA2 would thus be expected to lead to hypercalciuria. However, hypercalciuria may not occur when PHA2 is caused by mutations in *WNK1*, a difference that requires further study.<sup>139</sup>

## **Animal Models**

An animal model of PHA2 resulting from overexpression of WNK4 (Q562E) has been reported.<sup>171</sup> The animals displayed hypertension, hyperkalemia and hypercalciuria together with an increase in NCC abundance and marked hyperplasia of the DCT. The hypertension and the metabolic derangements were corrected in offspring carrying WNK4 (Q562E) and homozygous null mutation in NCC. A second animal model of PHA2 was generated by knockin of WNK4 (D561A/+).<sup>171a</sup> These animals developed hyperkalemia followed by hypertension and metabolic acidosis associated with increased apical expression of phosphorylated NCC. Although ENaC expression in the CCD was increased, thiazide treatment corrected the PHA2 phenotype. Together these mouse models indicate that PHA2 from WNK4 mutations arises from increased absorption of NaCl via NCC with reduced Na+ delivery to the CCD leading to diminished K+ secretion. The WNK1 gene has been knocked out in mice and is embryonic lethal. Mice with heterozygous deletion survive normally but have a blood pressure that is 12 mmHg lower than wild-type animals.<sup>172</sup> Other phenotypic features of these mice have not been reported. Mice with gain-of-function mutations in *WNK1* akin to those that cause human disease have not yet been created.

## Laboratory Diagnosis

The combination of hyperkalemia, hypertension, and normal anion gap metabolic acidosis in the absence of overt renal disease in a young patient with a family history of hyperkalemia is highly suggestive of the disorder. Although hyperkalemia is nearly universal in untreated patients, some patients may have hyperkalemia without hypertension; the diagnosis has been missed frequently when hypertension is absent. Hyperchloremic metabolic acidosis is frequently observed, as is hypercalciuria; this may be more prevalent in patients harboring *WNK4* mutations. Typically, PRA is suppressed, but plasma aldosterone is often normal, perhaps as a result of the hyperkalemia. No pathognomonic laboratory features have been described.

## **Differential Diagnosis**

The differential diagnosis includes PHA1 and Addison disease, which are also associated with hyperkalemia and metabolic acidosis; yet those disorders are typically associated with frank salt wasting and a tendency toward hypotension. Other causes of hypertension with hyperkalemia include type 4 RTA from hyporeninemic hypoaldosteronism or aldosterone resistance; this syndrome most commonly results from early diabetic nephropathy or interstitial nephritis. The setting of diabetes or interstitial renal dysfunction and an older age of onset usually suggest that the hyperkalemia and hypertension are acquired phenomena. Calcineurin inhibitors, such as cyclosporine and tacrolimus, are a common iatrogenic cause of a syndrome that strongly resembles hyporeninemic hypoaldosteronism.<sup>173</sup> Any other process that leads to hyperkalemia that coexists with essential hypertension may also be confused with PHA2.

## **Genetic Testing**

Commercial testing is not currently available.

## **Treatment**

The most important features of PHA2 are hypertension and hyperkalemia. Various maneuvers have been used to increase urinary K<sup>+</sup> excretion and correct the hyperkalemia. These have included cation exchange resins, distal convoluted tubule (thiazide) diuretics, loop diuretics, carbonic anhydrase inhibitors, dietary salt restriction, exogenous mineralocorticoids, and infusions of non-Cl- Na+ salts.151,154,159,174 The most consistently effective of these have been thiazide diuretics, dietary salt restriction, and infusions of non-Cl- Na+ salts.<sup>131,150,155</sup> Exogenous mineralocorticoids have been quite variable in their ability to enhance K<sup>+</sup> excretion, being most effective when combined with dietary salt restriction.<sup>175</sup> Dietary K<sup>+</sup> restriction is also useful. Thiazides may also improve bone density.<sup>150,152</sup> In fact, because the syndrome is often so thiazide-sensitive, overcorrection may occur with full doses. When thiazides fail to correct the syndrome fully, additional antihypertensives may be added.

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## Chapter 17

## **Hereditary Renal Tubular Acidosis**

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Renal tubular acidosis (RTA) is a failure of renal regulatory mechanisms to maintain systemic pH homeostasis. Patients present with hyperchloremic metabolic acidosis in the setting of an inappropriate inability to acidify urine pH below 5.5. The associated clinical syndrome can include any combination of hypokalemia, nephrocalcinosis, nephrolithiasis, growth retardation, rickets, osteomalacia, nausea, vomiting, polyuria, and dehydration. Hereditary RTA appears in autosomal dominant and recessive forms, and as four traditionally defined clinical types. Hereditary distal renal tubular acidosis (dRTA), or type 1 RTA, is associated with mutations impairing H<sup>+</sup> secretion by the intercalated cells of the distal nephron, resulting in metabolic acidosis without bicarbonaturia. "Complete" dRTA exhibits spontaneous metabolic acidosis. "Incomplete" dRTA exhibits no acidosis and requires either systemic acid loading with NH<sub>4</sub>Cl or a modified furosemide test to elicit evidence for failure to lower urinary pH below the threshold level of 5.5. dRTA can be accompanied by deafness. Hereditary proximal renal tubular acidosis (pRTA), or type 2 RTA, is associated with mutations impairing HCO<sub>3</sub><sup>-</sup> reabsorption in the proximal tubule, resulting in metabolic acidosis with bicarbonaturia. pRTA can be accompanied by ocular and cognitive abnormalities and by elevated circulating pancreatic enzymes. Hereditary mixed RTA, or type 3 RTA, results from mutations impairing both proximal tubular cell HCO<sub>3</sub><sup>-</sup> reabsorption and distal nephron intercalated-cell H<sup>+</sup> secretion. Mixed RTA can be accompanied by osteopetrosis and cognitive abnormalities. Type 4 RTA is usually characterized by deficiency of, or distal nephron unresponsiveness to, aldosterone. The hyperkalemia often accompanying type 4 RTA contrasts with the hypokalemia seen in patients with type 1 dRTA, type 2 pRTA, or type 3 mixed RTA. RTA that is associated with autosomal dominant inheritance (hereafter referred to as dominant RTA) is usually milder and more slowly progressive than that associated with autosomal recessive inheritance (recessive RTA), which in early childhood may be associated with life-threatening dehydration.

This chapter will review recent advances in our understanding of the molecular defects and cell physiologic mechanisms underlying familial RTAs. Earlier reviews of this subject include the disease-focused articles of Rodriguez-Soriano,<sup>1,2</sup> Igarashi and colleagues<sup>3</sup> Quigley,<sup>4</sup> Toye,<sup>5</sup> Shayakul and Alper,<sup>6</sup> Nicoletta and Schwartz,<sup>7</sup> Wrong and associates,<sup>8</sup> Alper,<sup>9</sup> Karet,<sup>10</sup> Laing and Unwin,<sup>11</sup> among others. Additional molecule-oriented reviews with discussion of RTA include those of Aalkjaer et al,<sup>12</sup> Forgac,<sup>13</sup> Wagner and associates,<sup>14</sup> Beyenbach and Wieczorek,<sup>15</sup> Alper,<sup>16</sup> Pushkin and Kurtz,<sup>17</sup> Romero,<sup>18</sup> Mount and Romero,<sup>19</sup> Romero and colleagues,<sup>20</sup> Alper,<sup>21</sup> Alper and colleagues,<sup>22</sup> and Schwartz.<sup>23</sup>

#### DIAGNOSIS, THERAPY, AND PROGNOSIS OF RTA

RTA should be considered in a patient with metabolic acidosis, hyperchloremia, and a normal plasma anion gap. Serum  $K^+$  concentration, urine pH, urine  $NH_4^+$  excretion, fractional  $HCO_3^-$  excretion, and urine anion gap (Na<sup>+</sup> + K<sup>+</sup> - Cl<sup>-</sup>) are helpful parameters for diagnosis. Serum K<sup>+</sup> concentration is normal or reduced in patients with proximal (type 2) and distal (type 1) RTA but elevated in type 4 RTA. Urine pH can be reduced below 5.5 in proximal and type 4 RTA but not in distal RTA. Fractional  $NH_4^+$  excretion is normal in proximal but decreased in distal and type 4 RTA. Fractional  $HCO_3^-$  excretion can be elevated above 5% to 10% in proximal (type 2) RTA and mixed (type 3) RTA. Nephrocalcinosis is often present in distal (type 1) RTA but absent in proximal (type 2) and type 4 RTA.

If spontaneous metabolic acidosis is not present or not pronounced, acid loading can be performed by administration of an acidifying salt such as NH<sub>4</sub>Cl, CaCl<sub>2</sub>, or arginine hydrochloride. Incomplete RTA can be present if metabolic acidosis becomes apparent only after systemic acid loading. Therapy of RTA consists of oral administration of alkali either as  $HCO_3^-$  or as citrate that is metabolized to  $HCO_3^-$  in the liver.<sup>2</sup> A mixture of Na<sup>+</sup> and K<sup>+</sup> salts, usually as the citrates, is recommended in split doses throughout the day. The amount of alkali per kilogram of body weight required to correct metabolic acidosis is higher in children and gradually decreases in adulthood. In pRTA and dRTA, therapy should be maintained throughout life to prevent the progression of nephrocalcinosis and the development of chronic renal failure. In some cases with sporadic pRTA the renal defect might improve over the years, and therapy can be gradually discontinued. In hyperkalemic RTA, hypoaldosteronism due to decreased adrenal aldosterone synthesis may be treated with fludrocortisone in combination with a loop diuretic to reduce potential extracellular volume overload. Patients with type 4 RTA may also require alkali supplements.

Prompt initiation of alkali therapy is crucial to prevent growth retardation, bone disease, and episodes of nausea, vomiting, and dehydration in infancy. Progression of nephrocalcinosis can also be slowed by alkali therapy. Without treatment, nephrocalcinosis may progress to chronic renal failure at any age.

## PHYSIOLOGY OF URINARY ACIDIFICATION

Homeostatic mechanisms regulate intracellular and extracellular pH in mammalian tissues within a narrow physiologic range. A standard western diet leads the normative 70-kg human to generate approximately 1 mmol of nonvolatile mineral acid per kilogram of body weight.<sup>9</sup> Maintenance of systemic acid-base balance requires renal excretion of an equivalent amount of acid. The kidney meets this requirement using two principal mechanisms: reabsorption of filtered  $HCO_3^-$  by the proximal tubule, and net acid excretion as titratable acids and  $NH_4^+$  by the distal nephron.

# HCO<sub>3</sub><sup>-</sup> Reabsorption in the Proximal Tubule

The 180 L of glomerular filtrate generated during the course of 1 day contain approximately 4.5 mol of filtered  $HCO_3^{-9}$ As much as 80% to 90% of filtered HCO<sub>3</sub><sup>-</sup> is reabsorbed in the proximal tubule by polarized proximal tubular epithelial cells expressing the Na<sup>+</sup>/H<sup>+</sup> exchanger NHE3 and the vacuolar H+-ATPase (vH+-ATPase) in the luminal microvillar membrane and the Na<sup>+</sup>/HCO<sub>3</sub><sup>-</sup> cotransporter NBCe1 together with Na<sup>+</sup>, K<sup>+</sup>-ATPase in the basolateral membrane (Fig. 17-1). H<sup>+</sup> secretion into the tubular lumen by electroneutral NHE3 (about two-thirds of total H<sup>+</sup> secretion) and by electrogenic vH<sup>+</sup>-ATPase (approximately one-third of the total) favors the protonation of filtered HCO<sub>3</sub><sup>-</sup> in the tubular fluid to form carbonic acid  $(H_2CO_3)$ . This  $H_2CO_3$  quickly dissociates into CO<sub>2</sub> and H<sub>2</sub>O, catalyzed by the luminal membrane-associated glycosylphosphatidylinositol-linked carbonic anhydrases IV (CA IV) and (in rodent, but not primates) XV, and by the transmembrane carbonic anhydrase XIV (CA XIV). This CO<sub>2</sub> diffuses into the proximal tubular cell, where cytoplasmic carbonic anhydrase II (CA II) catalyzes its reaction with OH- to generate intracellular HCO<sub>3</sub><sup>-</sup>. HCO<sub>3</sub><sup>-</sup> then exits the basolateral side of the tubular cell via the electrogenic Na<sup>+</sup>/HCO<sub>3</sub><sup>-</sup> cotransporter kNBCe1, with a stoichiometry believed to be 3 HCO<sub>3</sub><sup>-</sup> per 1 Na<sup>+</sup> (or 1  $CO_3^{2-}$  and 1 HCO<sub>3</sub><sup>-</sup> per 1 Na<sup>+</sup>). The basolateral transmembrane carbonic anhydrase CA XII, together with glycosylphosphatidylinositol-linked CA IV and lower levels of transmembrane CA XIV in the basolateral membrane, equilibrate this newly exported HCO<sub>3</sub><sup>-</sup> with CO<sub>2</sub>. The insidenegative membrane potential across the basolateral membrane that helps drive Na<sup>+</sup>/HCO<sub>3</sub><sup>-</sup> cotransport is maintained in part by hyperpolarizing K<sup>+</sup> channels and probably also by Na<sup>+</sup>, K<sup>+</sup>-ATPase. The residual cytoplasmic H<sup>+</sup> generated by intracellular CA II exits the apical side of the cell via NHE3 and the vH+-ATPase as noted above. The overall mechanism is driven by the lumen-to-cell electrochemical gradient for Na<sup>+</sup> sustained by the basolateral membrane Na<sup>+</sup>, K<sup>+</sup>-ATPase (and, in this cell type, by kNBCe1).

Both CA II and CA IV are significantly upregulated in metabolic acidosis.<sup>23,24</sup> The rate of  $HCO_3^-$  reabsorption is modulated by luminal  $HCO_3^-$  concentration, luminal pH, flow rate, glucocorticoids, angiotensin II, and  $pCO_2$ . Boron and colleagues have proposed that the proximal tubules express and coordinate distinct receptors or sensors for  $HCO_3^-$  and for  $CO_2$ .<sup>25</sup> The small amount of  $HCO_3^-$  not reabsorbed in the proximal tubule is reclaimed in the thick ascending limb of

the loop of Henle (TAL) by the NHE2 and/or NHE3 luminal Na<sup>+</sup>/H<sup>+</sup> exchangers, or in the distal convoluted tubule and connecting segment by luminal Na<sup>+</sup>/H<sup>+</sup> exchangers and vH<sup>+</sup>-ATPase.

Another essential contribution of proximal tubular cells to acid-base homeostasis is the formation of ammonia (NH<sub>3</sub>) from glutamine. The rate-limiting steps in ammoniagenesis involve glutaminase, glutamate dehydrogenase, and phosphoenolpyruvate carboxylase, and all are upregulated in chronic metabolic acidosis.<sup>26</sup> Glutaminase upregulation is secondary to specific acid stabilization of glutaminase messenger RNA (mRNA) by zeta-crystallin binding to the pHresponse element of the 3'-untranslated region.<sup>27</sup>

Na<sup>+</sup>/H<sup>+</sup> exchangers (NHEs) catalyze the electroneutral 1:1 exchange of Na<sup>+</sup> and H<sup>+</sup> in almost all living organisms (Fig. 17-2). The complementary DNA products of nine distinct mammalian NHE genes have been cloned, SLC9A1-SLC9A9/NHE1–NHE9.<sup>28,29</sup> Although primary amino acid sequence identity ranges between 25% and 70% among the different NHE polypeptides, they share similar length and a predicted secondary structure of 12 or 14 transmembrane domains with intracellular N and C termini (see Fig. 17-2). The more variable C-terminal cytoplasmic domain sequence contains several phosphorylation sites and domains that bind regulatory co-factors (calmodulin, calcineurin-related protein) as well as the PDZ domain scaffolding proteins NHERF-1, NHERF-2, and PDZK1. NHE1 plays an important role in cell motility. Nhe3 is the major apical Na<sup>+</sup>/H<sup>+</sup> exchanger of the mouse proximal tubular brush border, although contributions from the recently described brush border polypeptide Nhe8 and/or from Nhe9 are possible.

The three genes encoding NBC Na<sup>+</sup>/HCO<sub>3</sub><sup>-</sup> cotransporters12,20,30 belong to the SLC4 superfamily of HCO3transporters that also includes three or four Cl-/HCO<sub>3</sub>exchangers, at least two Na<sup>+</sup>-driven Cl<sup>-</sup>/HCO<sub>3</sub><sup>-</sup> exchangers, a Na<sup>+</sup>/borate cotransporter, and probably a yet unidentified K<sup>+</sup>/HCO<sub>3</sub><sup>-</sup> cotransporter. NBCe1/SLC4A4 and NBCe2/ SLC4A5 mediate electrogenic Na<sup>+</sup>/HCO<sub>3</sub><sup>-</sup> cotransport, whereas NBCn1/SLC4A7 (also referred to in some literature as NBC3) is an electroneutral Na<sup>+</sup>/HCO<sub>3</sub><sup>-</sup> cotransporter. NBCe1 (Fig. 17-3) was the first Na<sup>+</sup>/HCO<sub>3</sub><sup>-</sup> cotransporter described in the salamander proximal tubule.<sup>31,32</sup> Three splice variants of the SLC4A4 gene encoding NBCe1 have been characterized: a "kidney" variant (NBCe1-A/kNBC1), a "pancreatic and heart" variant (NBCe1-B/pNBC1), and a "brain" variant (NBCe1-C).<sup>33</sup> The electrogenic Na<sup>+</sup>/HCO<sub>3</sub><sup>-</sup> cotransporter NBCe1-A of the proximal tubule basolateral membrane mediates HCO<sub>3</sub><sup>-</sup> reabsorption with a presumed in situ stoichiometry of 1:3 for Na<sup>+</sup>/HCO<sub>3</sub><sup>-.30</sup> NBCe<sup>1</sup>-A expressed in Xenopus oocytes exhibits a 1:2 stoichiometry when studied by two-electrode voltage clamp or in excised macropatch.34,35 Gross et al<sup>36</sup> suggested that both recombinant kNBCe1 and pNBCe1 exhibit tissue- or cell type-specific Na<sup>+</sup>/HCO<sub>3</sub><sup>-</sup> stoichiometry of 1:2 expressed in collecting duct cells or 1:3 expressed in proximal tubular cells. Reversible control of NBCe1-A stochiometry by intracellular Ca<sup>2+</sup> as measured in Xenopus oocytes<sup>35</sup> or by cyclic AMP as measured in epithelial cells<sup>36</sup> has also been suggested as important for NBCe1 regulation in the proximal tubule. Both NBCe1 and NBCn1 have been proposed to constitute "metabolons" with directly bound CA II-enhancing transport activity.37-39 However, recent experiments have failed to support either CA II binding



Titratable acid NH<sub>4</sub><sup>+</sup>

Figure 17-1 Schematic model of renal acidifying mechanisms:  $HCO_3^-$  reabsorption in proximal tubular cells and H<sup>+</sup> secretion in  $\alpha$ -intercalated cells of the collecting duct. In the proximal tubule, H<sup>+</sup> is secreted at the apical membrane by the NHE3 Na<sup>+</sup>/H<sup>+</sup> exchanger and by the vacuolar H<sup>+</sup>-ATPase. Membrane-bound carbonic anhydrase (CA) IV catalyzes the accelerated formation of  $CO_2$  from H<sub>2</sub>CO<sub>3</sub> generated by protonation of filtered HCO<sub>3</sub><sup>-</sup>.  $CO_2$  enters the tubular cell by diffusion and probably also via aquaporin 1. H<sub>2</sub>CO<sub>3</sub> is formed in the presence of cytosolic CA II, and HCO<sub>3</sub><sup>-</sup> is transported out of the cell at the basolateral surface by Na<sup>+</sup>/HCO<sub>3</sub><sup>-</sup> cotransporter NBCe1. In type A  $\alpha$ -intercalated cells of the cortical collecting duct, H<sup>+</sup> is transported out of the cell into the lumen by vacuolar H<sup>+</sup>-ATPase, and, in K<sup>+</sup>-depleted conditions, perhaps also by H<sup>+</sup>/K<sup>+</sup>-ATPase. HCO<sub>3</sub><sup>-</sup> generated inside the cells leaves via anion exchanger 1 (kAE1) in exchange for Cl<sup>-</sup> entry across the basolateral membrane. Basolateral Cl<sup>-</sup> recycling is depicted with KCC4 K<sup>+</sup>/Cl<sup>-</sup> cotransporter but may require Cl<sup>-</sup> channel(s) in humans. Type B  $\beta$ -intercalated cells secrete HCO<sub>3</sub><sup>-</sup> in exchange for Cl<sup>-</sup> via their apical anion exchanger pendrin. In contrast to  $\alpha$ -intercalated cells, they can express a basolateral vH<sup>+</sup>-ATPase.



**Figure 17-2** Putative transmembrane disposition of NHE/SLC9 Na<sup>+</sup>/H<sup>+</sup> exchanger polypeptides. Proposed final two transmembrane spans (without numbers) are based on immunologic epitope reactivity. (Redrawn from Orlowski J, Grinstein S: Diversity of the mammalian sodium/proton exchanger *SLC9* gene family. Pflugers Arch, 447:549–565, 2004.)

**Figure 17-3** Putative transmembrane dispositions of NBCe1/SLC4A4 Na<sup>+</sup>/HCO<sub>3</sub><sup>-</sup> cotransporter according to Romero et al<sup>20</sup> (A) and to Tatishchev et al<sup>236</sup> (B).

or stimulation of NBCe1 activity.<sup>40</sup> Chronic metabolic acidosis did not alter levels of NBCe1 polypeptide in rat kidney, although NBCn1 levels were upregulated.<sup>41</sup> In contrast, chronic loading of rats with NaHCO<sub>3</sub> or with NaCl downregulated NBCe1 polypeptide, suggesting that Na<sup>+</sup> load was responsible.<sup>42</sup>

## Acid-Base Handling by the Thick Ascending Limb and the Distal Convoluted Tubule

 $\rm HCO_3^-$  not reabsorbed by the proximal tubule can be reabsorbed by the epithelial cells of the TAL. The major apical mechanism for  $\rm HCO_3^-$  entry into the cell is NHE3,<sup>43</sup> with

basolateral membrane Cl<sup>-</sup>/HCO<sub>3</sub><sup>-</sup> exchanger AE2/SLC4A2 probably mediating base efflux across the basolateral membrane into the interstitium. AE2 was upregulated throughout the TAL by dietary Na<sup>+</sup> loading, whereas metabolic acidosis upregulated AE2 selectively in cortical TAL.<sup>44</sup> TAL NHE3 is negatively regulated by NO,<sup>45</sup> aldosterone, and nerve growth factor, and coordinately regulated by basolateral NHE1 activity via remodeling of the actin cytoskeleton.<sup>46</sup> NHE3 activity is also antagonized by an apical K<sup>+</sup>HCO<sub>3</sub><sup>-</sup> cotransport activity, which may be related to the squid axon K<sup>+</sup>/HCO<sub>3</sub><sup>-</sup> cotransporter.<sup>43</sup> NHE2 is located apically in the cortical TAL, macula densa, distal convoluted tubules, and connecting tubules.<sup>47</sup>

#### **Collecting Duct Acid Secretion**

The acid-secreting distal nephron includes the late distal convoluted tubule, the connecting segment, the cortical collecting duct, the outer medullary collecting duct, and the initial portion of the inner medullary collecting duct. The connecting segment and collecting ducts are mosaic epithelia consisting of two major cell types. Principal cells account for approximately 60% of tubular epithelial cells in these nephron segments, and intercalated cells compose the remaining 40%. Three morphologic types of intercalated cells are found in the connecting segment and cortical collecting duct. Type A or  $\alpha$ intercalated cells secrete  $H^+$ , type B or  $\beta$ -intercalated cells secrete HCO<sub>3</sub><sup>-</sup>, <sup>48,49</sup> and non-A, non-B intercalated cells may constitute a reserve for HCO<sub>3</sub><sup>-</sup> secretion<sup>50</sup> (see Fig. 17-1). In omnivores, type A cells compose about 45% of cortical collecting duct intercalated cells, whereas in herbivores such as the rabbit, as few as 15% of cortical collecting duct intercalated cells are type A cells. In the outer medullary collecting duct and the initial portion of the inner medullary collecting duct, all intercalated cells are of type A in all species examined.

The acid-secreting type A intercalated cell expresses the electrogenic vacuolar vH+-ATPase activity at the apical membrane and Cl<sup>-</sup>/HCO<sub>3</sub><sup>-</sup> exchange activity at the basolateral membrane. The apical membrane vH<sup>+</sup>-ATPase excretes H<sup>+</sup> into the luminal fluid, where H<sup>+</sup> is trapped as urinary  $NH_4^+$  secreted by the proximal tubule, or to lesser degrees by  $PO_4^{3-}$ that has escaped proximal absorption. The HCO<sub>3</sub>-secreting type B intercalated cell expresses vH<sup>+</sup>-ATPase activity at the basolateral membrane and Cl<sup>-</sup>/HCO<sub>3</sub><sup>-</sup> exchange activity at the apical membrane. The non-A, non-B cell expresses both vH+-ATPase and Cl<sup>-</sup>/HCO<sub>3</sub><sup>-</sup> exchange in the apical membrane. These latter cells may contribute to acid-base balance in a regulated manner still little understood, and/or may harness transport of acid-base equivalents to accomplish Clreabsorption. All three intercalated cell types express the cytoplasmic carbonic anhydrases CA II and (probably also) CA XIII. Type A-intercalated cells express basolateral membrane CA XII.

The complex heteromeric vH<sup>+</sup>-ATPase is encoded by 14 genes and requires additional genes to aid in biosynthetic assembly and regulation of the multi-subunit complex.<sup>14</sup> The two major domains of the vH<sup>+</sup>-ATPase are a transmembrane V<sub>0</sub> segment and an intracellular catalytic V<sub>1</sub> segment (Fig. 17-4). The V<sub>1</sub> ATPase segment includes three A subunits and three B subunits that form three ATP binding and cleavage sites at the A-B interfaces. B subunits are encoded by several genes whose products are specific for distinct cell types and distinct subcellular organelles. The B1 subunit encoded by the *ATP6V1B1* gene is expressed at high levels in collecting duct intercalated cells, as well as in narrow and clear cells of the epididymis, in the ciliary body of the eye, in the inner ear, and in placenta. The ubiquitous B2 is expressed in the proximal tubular brush border.

Systemic acidosis increases trafficking of vH<sup>+</sup>-ATPase from intracellular vesicles to apical plasma membrane in type A intercalated cells, and from the basolateral membrane into the cell in type B cells. Conversely, systemic alkalosis promotes internalization of vH<sup>+</sup>-ATPase from the type A intercalatedcell apical membrane into the cell, and exocytic insertion into the basolateral membrane of the type B cell.<sup>14</sup> Adaptation to metabolic acidosis in the cortical collecting duct is associated



Figure 17-4 Two putative structural models of AE1/SLC4A1 transmembrane domain. Blue box indicates the proposed carbonic anhydrase II (CA II) binding site. The N-terminal cytoplasmic domain is shown only from aa 691. Note that Figures 17-3, 17-4, and 17-5 present three different models of SLC4 polypeptides predicted from amino acid sequence homology to share a single transmembrane disposition. (A, based on Zhu Q, Lee DW, Casey JR: Novel topology in C-terminal region of the human plasma membrane anion exchanger, AE1. J Biol Chem 278:3112–3120, 2003. B, based on Wood PG: The anion exchange proteins: Homology and secondary structure. Prog Cell Res 2:325–352, 1992.)

with basement membrane deposition of galectin-3 and cyclophilin-mediated polymerization of hensin, <sup>51,52</sup> processes proposed to regulate intercalated cell phenotype, and perhaps to interconvert intercalated cell subtypes.<sup>53</sup> The endocytic retrieval steps in intercalated cells may be aided by small GTPase/GTP exchange factor complexes such as recently demonstrated for the vH+-ATPase a2 subunit of the proximal tubule.54 Trafficking of the vH+-ATPase in renal epithelial cells is also regulated by "soluble NEM-sensitive factor attachment protein receptor" (SNARE) proteins, by PDZ domain-containing scaffold proteins (such as NHERF-1) binding to the B1 subunit, and by direct interaction of B and C subunits with F-actin, among others.<sup>14</sup> In addition, vH<sup>+</sup>-ATPase activity may be regulated by cellular energy supply through control of V<sub>1</sub>-V<sub>0</sub> assembly by phosphatidylinositol-3kinase55 and through direct binding of aldolase.56

A second H<sup>+</sup> pump activity, the heterodimeric H<sup>+</sup>/K<sup>+</sup> exchange ATPase, is also expressed in kidney. The polytopic transmembrane  $\alpha$ -subunits are P-type ATPases, and the monotopic  $\beta$ -subunits contribute to normal trafficking and to pharmacologic properties. The role of H<sup>+</sup>/K<sup>+</sup> exchangers in acid-base balance remains unclear, but they have been implicated in distal K<sup>+</sup> reabsorption. The gastric-type HK<sub> $\alpha$ 1</sub> subunit and lower levels of the little understood HK<sub> $\alpha$ 4</sub>, are detectable in human collecting duct intercalated cells.<sup>57</sup> In K<sup>+</sup>replete rodents, the gastric HK<sub> $\alpha$ 1</sub> subunit predominates, but dietary K<sup>+</sup> depletion specifically upregulates the colonic isoform HK<sub> $\alpha$ 2</sub>, which mediates increased NH<sub>4</sub><sup>+</sup> secretion.<sup>58,59</sup>

The action of plasmalemmal H+-ATPases increases intracellular [OH<sup>-</sup>], which is converted by cytosolic CA II into HCO<sub>3</sub><sup>-</sup>. In the acid-secreting type A intercalated cell, HCO<sub>3</sub><sup>-</sup> is transported across the basolateral membrane by the SLC4A1 electroneutral Cl<sup>-</sup>/HCO<sub>3</sub><sup>-</sup> exchanger, kidney AE1 (kAE1) (see Fig. 17-1). The SLC4A1/AE1 gene transcribes mRNAs from two distinct promoters encoding, respectively, the major intrinsic protein of the erythrocyte, the eAE1 Cl<sup>-</sup>/HCO<sub>3</sub><sup>-</sup> exchanger, and the type A intercalated cell-specific kAE1. Human kAE1 differs from eAE1 in lacking 65 N-terminal amino acids present in eAE1<sup>22</sup> (Fig. 17-5, see also Fig 17-1). All SLC4 anion exchangers are characterized by a long N-terminal cytoplasmic domain followed by a transmembrane domain and a short C-terminal cytoplasmic tail. The N-terminal domain of eAE1 binds to cytoskeletal proteins such as the spectrin-binding protein ankyrin and actin-binding ezrinradixin-moiesin family protein 4.1R, as well as to glycolytic enzymes. In contrast, the binding partners of the N-terminal domain of kAE1 are little understood. CA II can bind, at least in some assay formats, to the C-terminal tail of eAE1. Thus CA II and AE1 have been proposed to constitute a "metabolon," a metabolic unit that potentiates HCO<sub>3</sub><sup>-</sup> transport activity by providing a physically linked enzyme to guarantee high local concentration of HCO<sub>3</sub><sup>-</sup> for kAE1-mediated transport out of the cell.<sup>23,60,61</sup> kAE1 expression is increased in metabolic acidosis and decreased in metabolic alkalosis.<sup>62,63</sup>

Type A intercalated cells of the medullary but not cortical collecting duct have also been reported to express in their basolateral membranes the SLC26A7 Cl<sup>-</sup>/HCO<sub>3</sub><sup>-</sup> exchanger,<sup>64</sup> a member of the distinct SLC26 HCO<sub>3</sub><sup>-</sup> transporter superfamily.<sup>19</sup> SLC26A7 differs from kAE1 in that it is acutely activated by hypertonicity,65 a response reinforced during prolonged hypertonic exposure by mobilization of intracellular vesicular protein to the plasma membrane.<sup>66</sup> mRNA expression of SLC26A7 is upregulated by increased medullary osmolality in water-deprived rats, whereas kAE1 mRNA is decreased.<sup>67</sup> Experiments in Brattleboro rats demonstrate that Slc26a7 expression can be controlled by vasopressin.<sup>68</sup> K<sup>+</sup> depletion in polarized Madin-Darby canine kidney (MDCK) cells also enhances basolateral membrane expression of heterologous SLC26A7,66 and so SLC26A7 might assist apical H<sup>+</sup>/K<sup>+</sup>-ATPase in intercalated cell–mediated K<sup>+</sup> reabsorption. However, SLC26A7 has also been presented as an acid pHactivated Cl<sup>-</sup> channel with minimal HCO<sub>3</sub><sup>-</sup> permeability, rather than a Cl<sup>-</sup>/HCO<sub>3</sub><sup>-</sup> exchanger.<sup>69</sup> In addition, distinct antibodies have localized mouse Slc26a7 to the proximal tubular brush border rather than to medullary intercalated cells.<sup>70</sup> Thus, the specific roles played by SLC26A7 in medullary HCO3<sup>-</sup> homeostasis and cell volume regulation in different species remain uncertain.

Type B β-intercalated cells secrete  $HCO_3^-$  through an apical Cl<sup>-</sup>/HCO<sub>3</sub><sup>-</sup> exchanger. Immunocytochemistry has in most cases failed to demonstrate the presence of apical membrane kAE1 in these cells.<sup>71,72</sup> However, Royaux et al<sup>73</sup> identified pendrin/*SLC26A4*, the Pendred syndrome–associated gene, as the apical Cl<sup>-</sup>/HCO<sub>3</sub><sup>-</sup> exchanger in type B intercalated cells of the cortical collecting duct (see Fig. 17-1). Pendrin is also present apically in non-A, non-B intercalated cells.<sup>74,75</sup> In contrast, AE1 (in all recent studies) is absent from both type B

and non-A, non-B intercalated cells. Although the pendrinknockout mouse is grossly normal and without alkalosis, HCO<sub>3</sub><sup>-</sup> secretion by cortical collecting ducts isolated from mineralocorticoid-treated mice revealed decreased HCO<sub>3</sub><sup>-</sup> secretion.<sup>73</sup> Pendrin expression in mouse and rat kidney is significantly reduced by acid loading.<sup>76,77</sup> Conversely, pendrin expression was modestly increased in response to alkali loading,<sup>78</sup> but a subsequent investigation suggested that pendrin is primarily regulated by systemic Cl<sup>-</sup> balance.<sup>79</sup> Both aldosterone and Cl<sup>-</sup> balance regulate pendrin primarily through subcellular protein redistribution.<sup>50</sup>

Tsuganezawa et al<sup>80</sup> cloned SLC4A9/AE4 as a DIDSinsensitive Cl<sup>-</sup>/HCO<sub>3</sub><sup>-</sup> exchanger and demonstrated its presence in the apical membrane of rabbit type B intercalated cells. However, in rat and mouse cortical collecting duct, AE4 was present in the basolateral membrane of type A intercalated cells, and AE4-dependent Cl<sup>-</sup>/HCO<sub>3</sub><sup>-</sup> exchange was DIDS sensitive.<sup>81</sup> Moreover, others suggest that AE4 (more closely related by amino acid sequence to the Na<sup>+</sup>/HCO<sub>3</sub><sup>-</sup> cotransporters than to AE1 and other AE anion exchangers) is a Na<sup>+</sup>-dependent transporter.<sup>20</sup> Thus, the function and physiologic role of AE4/SLC4A9 in intercalated cells remains in question. The electroneutral Na<sup>+</sup>/HCO<sub>3</sub><sup>-</sup> cotransporter NBCn1/SLC4A7 is also expressed in the apical membrane of intercalated cells, positioned where it might contribute to the luminal Na<sup>+</sup>-stimulated component of collecting duct H<sup>+</sup> secretion.

Acid and base secretion in the connecting segment and cortical collecting duct take place in the environment of a mosaic epithelium, in which principal cell-mediated Na<sup>+</sup> reabsorption and K<sup>+</sup> secretion (see Fig. 17-1) influence acidbase balance. Epithelial Na<sup>+</sup> channel (ENaC)-mediated Na<sup>+</sup> reabsorption across the principal cell apical membrane generates a lumen-negative potential, thereby favoring coincident renal outer medullary K<sup>+</sup> channel-mediated K<sup>+</sup> secretion across the apical membrane, as well as paracellular Clreabsorption. This lumen-negative potential also generates a favorable environment for  $H^{\scriptscriptstyle +}$  secretion by  $\alpha {\rm -intercalated}$  cells (see Fig. 17-1). Aldosterone influences distal renal acidification through several mechanisms. It induces synthesis of new apical ENaCs in principal cells and may also prolong their residence in the apical membrane, thereby increasing Na<sup>+</sup> reabsorption in distal and cortical collecting tubules. This effect is potentiated by upregulation of the basolateral Na<sup>+</sup>/K<sup>+</sup>-ATPase. Aldosterone also increases vH+-ATPase activity and NH3 synthesis, additionally contributing to renal acid secretion.<sup>82</sup>

Familial loss of function of any of the molecular components discussed above must be considered as possible causes of hereditary RTA. The sections to follow will present familial syndromes of human RTA for which causative mutations have been defined. These mutations have been discovered by several paths of investigation. Some discoveries have come through investigation of candidate genes based on prior understanding of the renal physiology. Others have followed whole-genome linkage mapping of carefully selected family cohorts, at which point investigation of candidate genes could be restricted to genetically delimited regions within a single chromosome. Finally, some RTA-associated genes have been discovered by analysis of knockout mouse phenotypes and have yet to be proven relevant to human RTA. Examples of each will be described.



missense, nonsense, splicing, and deletion mutation. The sites of mutations associated with dominant and recessive dRTA (Table 17-3) are shaded in blue and are missense mutations except for A888/889X and R901X. eAE1 polypeptide begins with Met 1. kAE1 begins with Met 66. (Modified from Shayakul C, Alper SL: Defects in processing and trafficking of the Figure 17-5 Mutations of the human AE1/SIC4A1 polypeptide associated with hereditary spherocytic anemia and altered erythroid shape are shaded in black, and include AE1 Cl-/HCO<sub>3</sub><sup>-</sup> exchanger associated with inherited distal renal tubular acidosis. Clin Exp Nephrol 8:1–11, 2004.)

## PROXIMAL RENAL TUBULAR ACIDOSIS (RTA TYPE 2)

pRTA is a disease of impaired HCO<sub>3</sub><sup>-</sup> reabsorption by the proximal tubule, resulting in delivery of large amounts of HCO<sub>3</sub><sup>-</sup> to the distal nephron. Because cells of the distal nephron beyond the TAL have limited capacity for HCO<sub>3</sub>reabsorption, fractional urinary HCO<sub>3</sub><sup>-</sup> excretion is markedly increased. The bicarbonaturia eventually leads to metabolic acidosis. In the presence of sufficiently low serum HCO<sub>3</sub>levels, the intact distal tubular acidification mechanisms in this disorder allow patients to lower their urine pH below 5.5. pRTA most frequently occurs as Fanconi syndrome, a generalized functional defect of proximal tubular transport processes accompanied by glucosuria, phosphaturia, calciuria, and aminoaciduria. The underlying genetic defect of primary familial Fanconi syndrome has not been reported. However, Fanconi syndrome is a prominent feature of the X-linked oculocerebrorenal syndrome of Lowe, caused by mutations in the OCRL1 protein related to inositol-5-phosphatase.<sup>83</sup> Fanconi syndrome also accompanies various hereditary defects of mitochondrial oxidative phosphorylation.

Permanent isolated pRTA is a rare disease that has been described in autosomal recessive and autosomal dominant forms, and occurs without evident disturbances of other proximal tubular reabsorptive mechanisms.

#### Autosomal Recessive Proximal RTA with Ocular Abnormalities

Autosomal recessive pRTA arises from inadequate  $HCO_3^-$  reabsorption by the proximal tubule, leading to metabolic acidosis with bicarbonaturia, spontaneously and/or during treatment with oral  $HCO_3^-$  supplementation. Patients with permanent recessive pRTA also exhibit growth retardation and dental enamel defects, ocular abnormalities such as band keratopathy, cataracts, and glaucoma, and cerebral defects including mental retardation and basal ganglia calcification.<sup>84–86</sup> The underlying genetic defect was identified by Igarashi et al, <sup>87</sup> who reported two children with recessive pRTA and distinct mutations on chromosome 4q21 in the *SLC4A4* gene encoding the electrogenic Na<sup>+</sup>/HCO<sub>3</sub><sup>-</sup> cotrans-

porter NBCe1: Arg298Ser (R298S) and Arg510His (R510H). Reported *NBCe1* mutations associated with pRTA are summarized in Table 17-1.

The autosomal recessive mode of inheritance led to the prediction that the mutations would encode loss of function. A gene dosage effect was expected, in that heterozygous parents of patients were not acidotic. However, initial functional analysis of the R298S and R510H mutant polypeptides in eukaryotic ECV304 cells, whether as mutants of kidney kNBCe1 or the pancreatic pNBCe1,<sup>88</sup> demonstrated only about 50% to 55% reduction of NBCe1 activity as compared with wild-type NBCe1. The later-described T485S recessive mutant had similar partial activity.<sup>89</sup>

Additional mutations of the *SLC4A4* gene have been reported in families with pRTA. The nonsense mutant Q29X, situated in the portion of the gene unique to the kNBC1 isoform, leads to a selective truncation of 1,007 C-terminal amino acids from the kidney isoform kNBCe1, predicting complete loss of function. The same mutation leaves pNBCe1 intact, with full function predicted<sup>90</sup> as long as the pNBCe1 promoter is not affected. The patient with the Q29X mutation indeed exhibited pRTA and bilateral glaucoma but had no band keratopathy or cataracts, and had no pancreatic phenotype.<sup>90</sup>

A loss-of-function mutation resulting in a Ser427Leu (S427L) substitution<sup>91</sup> and a deletion mutant at codon 721 (2311A) leading to a frameshift with 29 amino acids (aa) of neosequence followed by termination at codon 75092 have also been described. When expressed in Xenopus oocytes, the S427L mutant accumulates at the plasma membrane but exhibits only 10% of wild-type NBCe1 activity as measured by current and by HCO<sub>3</sub><sup>-</sup> transport, and has no reversal potential, possibly reflecting a defective voltage sensor or Na<sup>+</sup>-binding site.<sup>91</sup> When expressed in polarized MDCK cells, the S427L mutant is mistargeted to the apical membrane.93 Another mutant, A799V, also had severe reduction in functional activity as assaved by two-electrode voltage clamp in oocytes. The codon 721 frameshift mutant was undetectable at the plasma membrane of complementary RNA-injected oocytes, with corresponding lack of electrogenic transport activity.<sup>92</sup> Expression in Xenopus oocytes of the mutant L522P revealed low activity correlated with low surface expression.94

Table 17-1	SLC4A4/NBCe1	Mutations As	ssociated w	vith Inherited	Proximal	Renal	Tubular	Acidosis	with C	Dcular A	bnormaliti	ies
(MIM No.	603345,604278)											

Mutation	Zygosity	Inheritance	References
Q29X	Homozygous	Recessive	lgarashi et al <sup>90</sup>
R298S	Homozygous	Recessive	lgarashi et al <sup>87</sup>
S427L	Homozygous	Recessive	Dinour et al <sup>91</sup>
T485S	Homozygous	Recessive	Horita et al <sup>89</sup>
R510H	Homozygous	Recessive	lgarashi et al <sup>87</sup>
L522P	Homozygous	Recessive	Demirci et al <sup>94</sup>
721del2311A (terminates after 29aa neo-sequence)	Homozygous	Recessive	Inatomi et al <sup>92</sup>
A799V	Homozygous	Recessive	Horita et al <sup>89</sup>
R881C	Homozygous	Recessive	Horita et al <sup>89</sup> Tove et al <sup>95</sup>

MIM, Mendelian Inheritance in Man.

NBCe1 mutants T485S and R510H showed poor surface expression in oocytes but partial function in ECV304 cells.<sup>89</sup> However, the R510H mutant when expressed in polarized MDCK cells was retained inside the cell and did not reach the basolateral membrane.93 Mutants A799V and R881C showed reduced surface expression95 along with 15% and 40% of wild-type function, respectively. Moreover, mutants R298S and R881C preserved the 2:1 stoichiometry displayed by wild-type NBCe1 in Xenopus oocytes.<sup>89</sup> However, in polarized MDCK cells, R881C is retained in the endoplasmic reticulum and thus may not reach the plasma membrane in proximal tubular cells.95 Interestingly, the NBCe1 mutant R881C is homologous to the hereditary spherocytosis-associated SLC4A1/AE1 mutant R808C, which also was retained in the endoplasmic reticulum when expressed in HEK 293 cells.<sup>96</sup> However, the failure of AE1 mutant R808C to bind to SITS-Affigel, an index of antagonist binding, differs from the retention by NBCe1 mutant R881C of normally DIDS-sensitive Na<sup>+</sup>/HCO<sub>3</sub><sup>-</sup> cotransport of apparently wild-type turnover number, consistent with level of surface expression in Xenopus oocytes.

An NBCe1 mutation that deletes 65 nucleotides across the exon 23-intron 23 junction and so encodes a polypeptide sequence that lacks much of the cytoplasmic C-terminal tail sequence but preserves integrity of the transmembrane domain is reported to function normally in Xenopus oocytes.<sup>97</sup> This mutant polypeptide may also turn out to be a trafficking mutant, insofar as it lacks a basolateral membrane targeting motif that spans aa 1010 through 1015 near the C terminus of the human NBCe1 C-terminal cytoplasmic domain.98 Thus, conclusions drawn about the pathophysiologic mechanisms of NBCe1 mutants are highly dependent on the expression system used for study. Initial conclusions that pRTA can result from only 50% reduction in NBCe1 activity87,89 will be reconsidered as additional experiments are performed in polarized epithelial cells. Future experiments with the recently developed SLC4A4/NBCe1-knockout mouse99 should increase our understanding, although initial progress will be impeded by the phenotype of death at or before weaning.

Therefore, defects in intrinsic function and/or in trafficking of kNBCe1 probably suffice to explain pRTA. However, the considerable range and variability of extrarenal manifestations must also be explained. The pRTA patient with the R298S mutation had elevated serum amylase concentrations,<sup>85</sup> and the patient with the 2311A deletion had increased serum amylase and lipase levels.<sup>92</sup> pNBCe1 is believed to mediate most if not all basolateral HCO<sub>3</sub><sup>-</sup> entry into pancreatic duct cells for luminal pancreatic HCO<sub>3</sub><sup>-</sup> secretion, but rodents express pNBCe1 in both basolateral and luminal membranes. In contrast, human pancreatic ductal NBCe1 is basolateral only,<sup>88</sup> but pRTA patients have neither clinical pancreatitis nor pancreatic mucoviscidosis.

A complex ocular phenotype accompanies pRTA, but the clinical spectrum among patients varies. Both N-terminal variant NBCe1 polypeptides, the "kidney isoform" kNBCe1 and the "pancreatic isoform" pNBCe1, are expressed in corneal endothelium, ciliary epithelium, trabecular meshwork, and lens epithelium of both human and rat eyes.<sup>100</sup> The ocular phenotype may be partially explained by possible NBCe1-mediated transport of Na<sup>+</sup> and HCO<sub>3</sub><sup>-</sup> out of the corneal stroma into the aqueous humor to ensure corneal transparency. In patients with nonfunctional or hypofunctional NBCe1, HCO<sub>3</sub><sup>-</sup> concentration in the corneal stroma increases

and may favor calcium deposition. The presence of Cl<sup>-</sup>independent electrogenic Na<sup>+</sup>/HCO<sub>3</sub><sup>-</sup> cotransport activity in human lens epithelial cells supports a major role for NBCe1 in HCO<sub>3</sub><sup>-</sup> transport in lens epithelium.<sup>100</sup> Adequate function of NBCe1 is required not only for lenticular and corneal transparency but also for control of aqueous humor outflow. NBCe1 is expressed also in the human eye trabecular meshwork, the main site for aqueous outflow in the human eye.<sup>100</sup> Thus, inactivation of NBCe1, by altering contractile properties of trabecular meshwork cells to increase outflow resistance, might contribute to the development of glaucoma.

Absence of electroneutral Na<sup>+</sup>/HCO<sub>3</sub><sup>-</sup> cotransport by Slc4a7/NBCn1 in the mouse is associated with deafness and blindness with onset after 1 month of age, but no serum or electrolyte abnormalities have been observed.<sup>101</sup> Human *SLC4A7* is thus a candidate gene for the phenotypically analogous Usher syndrome 2B.<sup>102</sup>

#### **Autosomal Dominant pRTA**

Isolated dominant pRTA with short stature and decreased bone density was described in nine members of a Costa Rican kindred,<sup>103</sup> although the mode of inheritance in this family has been questioned.<sup>3</sup> These patients had metabolic acidosis with low serum  $\text{HCO}_3^-$  but were capable of maximally acidifying their urine because of intact distal tubular function.<sup>104</sup> The gene encoding the proximal tubular brush border Na<sup>+</sup>/H<sup>+</sup> exchanger SLC9A3/NHE3 has been proposed as a candidate gene for this disorder.<sup>1</sup>

Although two knockout mouse models of pRTA suggest candidate genes for human recessive pRTA, dominant-negative mutations in these genes encoding oligomeric proteins might (less likely) explain human dominant pRTA. The Slc9a3/ nhe3-/- mouse exhibits mild metabolic acidosis, moderate diarrhea, and hypotensive volume depletion.<sup>105</sup> Proximal tubular HCO<sub>3</sub><sup>-</sup> reabsorption is decreased to 61% of wild-type levels as assessed by in situ microperfusion of proximal convoluted tubules. As a compensatory response, HCO<sub>3</sub><sup>-</sup> reabsorption in the collecting duct of nhe3-/- mice is enhanced,<sup>106</sup> along with upregulation of renal mRNAs encoding renin, AE1, colonic H<sup>+</sup>/K<sup>+</sup>-ATPase and the  $\alpha$ -subunit of the ENaC Na<sup>+</sup> channel. These data demonstrated that Nhe3 is the major apical Na<sup>+</sup>/H<sup>+</sup> exchanger in the mouse proximal tubule.<sup>105,107,108</sup> The nhe3<sup>+/-</sup> mouse was normal, but because Nhe3 is believed to function as an oligomer, a dominantnegative mutation could in principle give rise to human disease. Recent localization of the Nhe8 polypeptide to brush border apical membrane of deep rather than superficial proximal tubule<sup>109</sup> also suggests SLC9A8/NHE8 as a possible pRTA candidate gene. However, Nhe8 is also expressed in intestinal brush border, and the absence of diarrhea reported in this family might argue against mutations in either the *NHE3* or *NHE8* genes causing this form of pRTA.

KCNK5/TASK2 is a dimeric two-pore (2P) K<sup>+</sup> channel expressed at the basolateral membrane of proximal tubular cells. There it stabilizes the hyperpolarized membrane potential that is believed necessary for optimal  $HCO_3^-$  reabsorption by maintenance of the 3:1 stoichiometry of  $HCO_3^-/Na^+$  transport by NBCe1. TASK2 is activated by alkaline extracellular pH such as is generated by NBCe1-mediated  $HCO_3^-$  efflux across the proximal tubule basolateral membrane, and by cell swelling such as is generated by Na<sup>+</sup>

and solute entry across the proximal tubular apical membrane.  $Kcnk5^{-/-}$  mice exhibit pRTA, with increased preweaning mortality, and volume depletion and hypotension in adults.<sup>110</sup> The pRTA is attributed to depolarization of the proximal tubular basolateral membrane, resulting in inadequate electrical driving force for NBCe1-mediated HCO<sub>3</sub><sup>-</sup> efflux. As a homodimer, *TASK2* mutations might be dominant negative, allowing proposal of *TASK2* as a candidate gene for dominantly inherited pRTA. *TASK2* mutations might also cause mild recessive forms of pRTA, depending on the spectrum, regulation, and expression levels of other basolateral K<sup>+</sup> channels of the proximal tubular basolateral membrane.

Patients with sporadic isolated pRTA exhibit a transient, nonfamilial defect of both renal and intestinal HCO<sub>3</sub><sup>-</sup> absorption.<sup>2,111,112</sup> They present with growth retardation and vomiting in early childhood without any obvious cause or other underlying disease. Treatment with alkali therapy completely reverses the clinical phenotype and can usually be discontinued safely after several years. The decreased HCO<sub>3</sub><sup>-</sup> threshold observed in these patients, it has been speculated, might be due to continued immaturity of NHE3 function.<sup>1</sup>

## DISTAL RENAL TUBULAR ACIDOSIS (dRTA TYPE 1)

## **Autosomal Dominant dRTA**

Autosomal dominant dRTA is associated with mutations in the *SLC4A1* gene encoding the collecting duct type A intercalated cell  $Cl^{-}/HCO_{3}^{-}$  exchanger, kAE1.<sup>113–115</sup> (*AE1* mutations

associated with recessive dRTA will be described in the following section.) The AE1 gene on human chromosome 17q21-22 (Table 17-2) is transcribed under the control of two different promoters. An ervthroid-specific promoter upstream of exon 1 regulates the transcription of the 911-aa human erythroid AE1 (eAE1). This Cl<sup>-</sup>/HCO<sub>3</sub><sup>-</sup> exchanger is the major intrinsic membrane protein of the red cell and is implicated in CO<sub>2</sub> transport in the blood. Numerous mutations in the AE1 gene result in autosomal dominant hereditary spherocytosis with hemolytic anemia<sup>21</sup> or without anemia but with cation leak.<sup>116</sup> Renal transcription initiates from a distinct promoter within intron 3 of the AE1 gene. The resultant kidney-specific kAE1 transcript is highly expressed in type A intercalated cells of the collecting duct and encodes a polypeptide that lacks the N-terminal 65 aa present in eAE1.<sup>117</sup> Although similar kidneyspecific transcripts have been found in mice, rats, and all other mammals and birds examined, this promoter so highly specific for the type A intercalated cell has yet to be studied and mapped. Distinct mutations in the AE1 gene result in RTA.

The *AE1* mutations found thus far in individuals with autosomal dominant dRTA are listed in Table 17-3. None of the dominant dRTA mutations have been found in homozygous state, suggesting embryonic or early postnatal lethality. Among the dominant mutations, R589 mutants have been reported in multiple unrelated families, and as a spontaneous mutation.<sup>115</sup> These dominant mutations have been found, with one exception (A858D) in Caucasian families. Remarkably, none of the eight dominant dRTA mutations of *AE1* express an erythroid phenotype. The same is true of four among the five recessive dRTA mutations of *AE1*. Conversely,

Table 17-2 Hereditary Syndromes of Renal Tubular Acidosis Attributed to Mutations in Defined Genetic Loci

Syndrome	MIM No.	Chromosomal Localization	Locus Symbol	Gene Product
Primary proximal RTA (type 2) Autosomal recessive with ocular abnormalities Autosomal dominant?	603345, 604278 179830	4q21 ?	SLC4A4 ?	NBCe1 ?
Primary distal RTA (type 1) Autosomal dominant Autosomal recessive Autosomal recessive with deafness Autosomal recessive with variable deafness	179800, 109270 602272, 109270 192132, 267300 602272, 605239	17q21-22 17q21-22 2p13 7q33-34	SLC4A1 SLC4A1 ATP6V1B1 ATP6V0A4	AE1 AE1 vH*-ATPase B1 subunit vH*-ATPase a4 subunit
Combined proximal and distal RTA (type 3) Autosomal recessive with osteopetrosis	259730	8q22	CA2	CA II
Dent disease*	300009	Xp11.22	CLCN5	CLC-5
Secondary hyperkalemic distal RTA (type 4)* Pseudohypoaldosteronism type 1* Autosomal dominant renal form* Autosomal recessive multiple-organ form*		4q31.1 12p13.1 16p12–13.11 16p12–13.11	MR SCNN1A SCNN1B SCNN1G	Mineralocorticoid receptor ENaC α-subunit ENaC β-subunit ENaC γ-subunit
Pseudohypoaldosteronism type 2* Hyperkalemic hypertension* (Gordon syndrome)*	145260, 605232 145260, 601844	12p13.3 17p11-q21	WNK1 WNK4	WNK1 kinase WNK4 kinase

ENaC, epithelial Na<sup>+</sup> channel; RTA, renal tubular acidosis.

\*Familial syndromes that are often but not necessarily accompanied by distal RTA.

none of the (at least) 48 distinct AE1 mutations associated with autosomal dominant hereditary spherocytosis or ovalocvtosis with anemia<sup>21,114,118</sup> express a renal acidification phenotype.<sup>118–121</sup> (The potential exceptions, AE1 S477fs<sup>122</sup> and AE1 Q203fs,<sup>123</sup> are reported to exhibit unexplained bicarbonaturia). AE1-associated hereditary spherocytosis mutations are nearly all loss-of-function mutations, most with nonsense-mediated decay of mRNA or with mutant protein instability. Also lacking a renal acidification phenotype are the five AE1 mutations associated with nonanemic spherocytosis with cation leak, all of which exhibit normal red cell surface expression with reduced anion transport.<sup>116</sup> Southeast Asian ovalocytosis (SAO; AE1Δ400-408) has been recently reclassified in this category. Whereas AE1 mutations of hereditary spherocytosis or ovalocytosis are found throughout the AE1 coding region, those associated with dRTA of either dominant or recessive form are found uniquely in the transmembrane domain or the C-terminal cytoplasmic tail (Fig. 17-6). With the single exception of V488M (to be discussed below), dRTA substitutions and hereditary spherocytosis mutations of the AE1 transmembrane domain are mutually exclusive subsets.

The erythrocytes of most patients with autosomal dominant hereditary spherocytosis express at least 50% of the wild-type membrane amount of eAE1 polypeptide. Assuming that the type A intercalated cells express a proportionately similar level of kAE1, this suggests that urinary acidification can tolerate haploinsufficiency of kAE1, whereas erythrocyte membrane stability cannot. Unfortunately, it has not been possible to maintain the type A intercalated cell in primary culture, and its phenotype has not been successfully immor-



**Figure 17-6** Simplified schematic model of the vacuolar H<sup>+</sup>-ATPase. The membrane-bound  $V_0$  domain consists of subunits a through d, the cytosolic  $V_1$  domain comprises subunits A through H.  $V_0$  includes the proton translocation pathway, and  $V_1$  hydrolyzes ATP, providing the energy to drive active H<sup>+</sup> transport across the membrane bilayer.

Mutation	Zygosity	Inheritance	References
V488M (Coimbra)	Homozygous	Recessive	Ribeiro et al <sup>147</sup>
R589H	Heterozygous	Dominant	Bruce et al <sup>113</sup> Jarolim et al <sup>114</sup> Karet et al <sup>115</sup>
R589C	Heterozygous	Dominant	Bruce et al <sup>113</sup>
R589S	Heterozygous	Dominant	Bruce et al <sup>113</sup>
R602H	Cmpd het	Recessive	Sritippayawan et al <sup>140</sup>
G609R	Heterozygous	Dominant	Rungroj et al <sup>133</sup>
S613F	Heterozygous	Dominant	Bruce et al <sup>113</sup>
G701D (Bangkok I)	Homozygote, Cmpd het	Recessive	Tanphaichitr et al <sup>138</sup> Bruce et al <sup>141</sup> Yenchitsomanus et al <sup>241</sup>
Q759H (Unimas)	Cmpd het	Recessive	Choo et al <sup>143</sup>
S773P	Cmpd het	Recessive	Kittanakom et al <sup>142</sup>
∆V850	Homozygous, Cmpd het	Recessive	Bruce et al <sup>141</sup>
A858D	Heterozygous, Cmpd het	Dominant	Bruce et al <sup>141</sup>
A888L/889X	Heterozygous	Dominant	Cheidde et al <sup>239</sup>
R901X (Walton)	Heterozygous	Dominant	Karet et al <sup>115</sup>

Tabl	e 17-3	SLC4A1	/AE1	<b>Mutations</b>	Associated	with	Inherited	Distal	Renal	Tubular	Acidosis	(MIM	:179800	, 602272	, 109270)
												•		/	, , ,

Cmpd het, compound heterozygous; MIM, mendelian inheritance in man.

talized. Moreover, renal biopsy specimens from dRTA patients of known genetic status, in the rare cases available, tend to be complicated by scarring or other consequences of the other disease process(es) that justified the biopsy.<sup>124</sup> Therefore, AE1 dRTA mutant polypeptides have been studied in available human kidney cell lines, most often the nonpolarized but easily transfectable HEK 293 cells, and the polarizable but genetically more recalcitrant MDCK II cells.

Studies in these cells, complemented by studies in Xenopus oocytes, have revealed two principal mechanisms by which mutant kAE1 polypeptides probably cause dominant dRTA. The first is a dominant-negative trafficking mechanism, which can be seen only in some but not all heterologous expression systems. Xenopus oocyte studies have shown that the dominant-mutant polypeptides generally exhibit between 50% and 100% of wild-type transport activity. AE1 mutant with the R589H substitution functioned about half as well as wildtype AE1 in Xenopus oocytes and exhibited no dominantnegative phenotype.<sup>114</sup> Thus, haploinsufficiency of intrinsic AE1 function cannot explain dominant dRTA. The explanation was therefore sought in abnormalities of kAE1 polypeptide trafficking. Trafficking and oligomerization studies of AE1 polypeptides have been greatly aided by use of epitopetagged constructs to facilitate surface biotinvlation assays. Advances in the study of intracellular trafficking within compartments of the secretory pathway required conversion of the high-mannose, Endo H-resistant wild-type N-glycosylation site at N642 in the fourth extracellular loop of AE1 (Fig. 17-5) with an engineered artificial N-glycosylation site in the third extracellular loop in the triple mutant resulting from substitutions N642D/Y555N/V557T.125 These mutations engineered to allow cell biologic study retained substantial, if not complete, transport activity in a wild-type background.

Expression of AE1 R589H in nonpolarized HEK 293 cells revealed isoform-specific trafficking, with normal surface expression of eAE1 R589H, but retention of most kAE1 R589H inside the cell, at least partially in endoplasmic reticulum. Co-expression of kAE1 R589H with wild-type kAE1 to mimic the heterozygous condition reduced surface expression of kAE1 with increased intracellular retention associated with, and thus most likely due to, hetero-oligomerization of mutant and wild-type polypeptides.<sup>126</sup>

Extension of these studies to polarized MDCK cells was initially troubled by low transient transfection efficiencies<sup>10</sup> and by instability of transgene expression in stably transfected populations and clonal cell lines.<sup>127</sup> Experiments have been advanced by use of recombinant, replication-deficient pseudotyped retrovirus<sup>128</sup> and of adenovirus vectors<sup>129</sup> for highefficiency infection with moderate overexpression, boosting sensitivity of detection of recombinant AE1 polypeptide. Use of MDCK cells offered the added benefit over nonpolarized HEK 293 cells of the ability to process the complex N-glycan at N642 to a mature form, allowing study of the trafficking of protein with glycan in the native position. Nonpolarized MDCK cells retained dominant mutants kAE1 R589H and S613F inside the cell, largely in endoplasmic reticulum, but with some protein detected in late endosomes and lysosomes. This phenotype was replicated in polarized MDCK cells, in contrast to the basolateral localization of wild-type kAE1.<sup>127,129</sup> Co-expression of C-myc-tagged wild-type kAE1 with kAE1 R589H led to inhibition of surface delivery of the wild-type polypeptide, apparently accompanied by accelerated degradation.<sup>129</sup> Thus, retention in the endoplasmic reticulum of hetero-oligomers of wild-type and mutant polypeptides is the probable mechanism of dominant dRTA caused by the *AE1* substitutions in residues R589 and S613.

A second mechanism by which AE1 mutations lead to dominant dRTA is mistargeting to the apical membrane, resulting in apical HCO<sub>3</sub><sup>-</sup> secretion that short-circuits apical H<sup>+</sup> secretion mediated by the vH<sup>+</sup>-ATPase. This mechanism was first reported for AE1 901X, which truncates the final 11 aa from the C-terminal cytoplasmic tail,<sup>115</sup> without impairment of surface expression or function in Xenopus oocytes.<sup>130,131</sup> AE1 901X is retained in endoplasmic reticulum of transiently transfected HEK 293 cells<sup>130</sup> and, via hetero-oligomerization, can retain with it co-transfected wild-type kAE1, in a premedial Golgi compartment. kAE1 is also retained inside glassgrown MDCK cells<sup>127</sup> but exhibits a distinct phenotype in polarized MDCK monolayers grown on permeable-filter supports. In transiently transfected, polarized MDCK cells or rat IMCD cells, kAE1 901X was present in both apical and basolateral membranes, as well as with some intracellular retention.132 In stably transfected cells, AE1 901X was present (within the limits of detection) exclusively in the apical membrane, in which conditions it seemed to have wild-type stability.127

Subsequent studies have revealed another *AE1* missense mutation associated with dominant dRTA by the same apparent mechanism. The mutant kAE1 polypeptide substituted at G609R exhibited normal anion transport function and surface expression in *Xenopus* oocytes. However, when expressed in polarized MDCK cells, the kAE1 mutant G609R accumulated to a greater degree at or near the apical membrane than in the basolateral membrane.<sup>133</sup> It remains to be determined whether the apical mis-sorting phenotype represents direct mistargeting or disordered retention of newly delivered protein due to altered stability in apical and basolateral membranes.

The polarized renal epithelial cell expression systems offered the opportunity to search for basolateral targeting signals in the human kAE1 amino acid sequence. CD8 fusion protein studies indicated the potential importance of a YXX $\Phi$ motif at AE1 aa 904–907. However, this motif did not appear to exert its influence via interaction with the µ1B subunit of AP-1B adaptin for sorting, as based on its continued importance in polarized LLC-PK1 cells, which lack µ1B.132 Later studies indicated that the Y904F substitution in isolation sufficed to retain kAE1 inside polarized MDCK cells. A putative type II PDZ recognition motif in the four C-terminal amino acids of kAE1 was not crucial to its basolateral sorting in polarized MDCK cell monolayers.<sup>127</sup> However, removal of most of the long N-terminal cytoplasmic domain of kAE1 (resulting in a construct containing aa 361-911) led to its exclusively apical localization in MDCK cell monolayers, and to unimpaired surface expression in HEK 293 cells.<sup>127</sup> Thus, basolateral sorting determinants seem to be present in both the short C-terminal and the long N-terminal cytoplasmic tails of kAE1.

The potential role of Y904 phosphorylation in basolateral targeting of kAE1 is unknown. Also unknown are the identities of kAE1-interacting proteins of type A intercalated cells. Several candidate interacting proteins detected in preliminary yeast two-hybrid screens included kanadaptin, proposed to recognize selectively kAE1 but not eAE1, <sup>134</sup> and the PDZ domain proteins Pick-1 and syntenin, proposed to bind the putative C-terminal cytoplasmic tail type II PDZ recognition motif.<sup>135</sup>

However, subsequent conflicting data<sup>136,137</sup> have not supported these initial proposals, leading to a renewed search for interacting proteins that might control basolateral targeting.

An important proviso in interpretation of kAE1 expression studies in MDCK cells is the toxicity of kAE1 to host cells in which it is not normally expressed. Stable expression of wildtype kAE1 has not generally been possible to maintain for more than several months. In addition, stable overexpression was noted to increase paracellular permeability and to decrease transepithelial electrical resistance of MDCK monolayers.<sup>127</sup> MDCK cells are not type A intercalated cells. Achievement of a cell culture model of type A intercalated cells would greatly further progress in understanding the pathogenesis of RTA.

#### **AE1** Mutations in Recessive dRTA

Five *SLC4A1/AE1* mutations have been associated with recessive dRTA (see Tables 17-2 and 17-3). Four of these are unassociated with anemia, although all four can be found as compound heterozygotes with other dRTA mutations or with the SAO mutation.

Recessive dRTA associated with AE1 mutations has been found in Thailand (predominantly in the northeast), Malaysia, and Papua New Guinea.<sup>138-143</sup> The first described was AE1 G701D.<sup>138</sup> The patient had normal eAE1 abundance in red cells and normal red cell anion transport activity. However, expression of either eAE1 G701D or kAE1 G701D in Xenopus oocytes demonstrated loss of function secondary to failure of surface expression. An important difference between ervthrocytes and type A intercalated cells is the erythroid-specific expression of the AE1-binding protein, glycophorin A. Glycophorin A-null erythrocytes have reduced AE1 activity,144 and Ae1-null mouse erythrocytes lack glycophorin A.<sup>145</sup> Coexpression of glycophorin A with eAE1 in Xenopus oocytes can potentiate functional surface expression of AE1,<sup>146</sup> but glycophorin A is not expressed in the kidney. When glycophorin A was co-expressed with the recessive dRTA mutant kAE1 G701D in Xenopus oocytes, surface expression of polypeptide and anion transport were rescued to wild-type levels.138

Expression of kAE1 G701D in polarized MDCK cells similarly leads to its intracellular retention in the Golgi compartment,<sup>129</sup> and it can be rescued to the basolateral membrane surface by co-expression of glycophorin A (C. Shayakul and S.L. Alper, personal communication). Interestingly, the recessive mutant polypeptide kAE1 G701D can be partially rescued to the basolateral surface of MDCK cells by coexpressed wild-type kAE1, probably secondary to heterooligomer formation, and without decreasing surface expression of wild-type polypeptide.<sup>129</sup> Thus, the recessive dRTAassociated AE1 mutation G701D represents a conditional trafficking phenotype. The mutant traffics normally in the erythrocyte in the presence of its erythroid-specific subunit, glycophorin A, but is presumed to be retained intracellularly in the intercalated cell, which lacks glycophorin A. AE1 G701D heterozygotes are normal, probably because of normal traffic and function of the wild-type/G701D hetero-oligomer in type A intercalated cells, as in the laboratory models of Xenopus oocytes and MDCK cells.

Several additional recessive dRTA mutants of AE1 have since been described (see Table 17-3). In *Xenopus* oocytes, the loss-of-function recessive mutant  $\Delta$ V850 and the less severe loss-of-function dominant mutant A858D are also potentiated

in their anion transport activity by co-expressed glycophorin A, if to slightly lesser degrees than for G701D.<sup>141</sup> Thus, the glycophorin A–dependent conditional trafficking phenotype may be a general property of recessive dRTA-associated AE1 mutant polypeptides. However, glycophorin A rescue of the dominant AE1 mutant A858D in *Xenopus* oocytes was blocked by co-expression of AE1 SAO, mirroring the very low anion transport activity of red cells from the A858D/SAO compound heterozygote despite approximately half-normal red cell AE1 polypeptide content.<sup>141</sup>

*Xenopus* oocyte assays for glycophorin A–rescued transport activity of recessive dRTA-associated AE1 mutants R602H, Q759H, and S773P have not been reported. However, kAE1 S773P is nonfunctional in HEK 293 cells as a result of endoplasmic reticulum retention with reduced expression level and accelerated proteosomal degradation.<sup>142</sup> Interestingly, kAE1 S773P was targeted at only slightly reduced levels to the basolateral membrane of polarized MDCK cells. However, the patient harboring this mutation was a compound heterozygote with AE1 G701D. Co-expression in polarized MDCK monolayers of kAE1 mutants S773P and G701D impaired S773P expression at the basolateral membrane.<sup>129</sup> This observation, together with the abnormal biosynthetic folding of AE1 S773P, <sup>142</sup> probably explains recessive dRTA in this compound heterozygote.

## dRTA with Complete Absence of AE1

*AE1* mutations associated with isolated dominant dRTA have not been detected in the homozygous state. Similarly, the *AE1* mutations encoding dominant spherocytic anemia and SSAO mutations<sup>21</sup> have not been detected in the homozygous state. Thus, the homozygous state for these loss-of-function mutations is probably embryonic lethal. Ribeiro et al<sup>147</sup> reported a newborn of two parents with mild hereditary spherocytosis due to AE1 heterozygous V488M. The homozygous infant was born with hydrops fetalis and severe hemolytic anemia with poikilocytosis. The erythrocytes were devoid of AE1 in the membrane. RTA was diagnosed at age 3 months, and nephrocalcinosis was detected soon afterwards. The patient survived early childhood with frequent blood transfusion and HCO<sub>3</sub><sup>-</sup> supplementation.

The phenotype of hydrops fetalis with RTA is reproduced in spontaneous and engineered AE1-knockout models. The Ae1<sup>-/-</sup> mouse shows retarded growth, severe hemolytic anemia with spherocytosis and poikilocytosis, and most homozygous mice die before weaning.148,149 Surviving homozygous Ae1-/mice exhibit spontaneous metabolic acidosis exacerbated by an acid load that is tolerated by wild-type and heterozygous mice. The acidosis is not accompanied by proportionate acidification of the urine, but the pH difference between wildtype and knockout mouse urine increases after acid loading. Cl<sup>-</sup>/HCO<sub>3</sub><sup>-</sup> exchange in the AE1<sup>-/-</sup> outer medullary collecting duct is reduced. Intercalated cells remain present, though with reduced immunostaining for vH+-ATPase and for pendrin. The dRTA is accompanied by a urinary concentrating defect associated with and possibly contributed to by nephrocalcinosis.150

Inaba et al<sup>151</sup> reported a bovine cohort with runted animals heterozygous for the nonsense mutation corresponding to human AE1 646X. Affected calves showed failure to thrive, with shortness of breath and hemolytic spherocytic anemia less severe than in  $Ae1^{-/-}$  mice or in the hydropic patient homozygous for AE1 V488M, despite the surprising total absence of eAE1 red cells. Metabolic acidosis was notably unaccompanied by compensatory respiratory alkalosis. Three alleles of AE1 deficiency have been described in zebrafish with hematopoietic defects.<sup>152</sup> However, the zebrafish pronephric duct lacks the equivalent of the mammalian collecting duct, and zebrafish AE1 is not detectably expressed in pronephric duct epithelial cells.

#### Autosomal Recessive dRTA with Sensorineural Deafness

Genes encoding the subunits of vH<sup>+</sup>-ATPase have long been suspected to cause familial dRTA. However, the ubiquitous expression of vH<sup>+</sup>-ATPase activity initially decreased enthusiasm for searching for these mutations, because defects in so widely expressed a protein either would not be likely to give rise to isolated dRTA or might be incompatible with survival. The first clue that this need not be the case was the discovery of two B-subunit gene products of the V<sub>1</sub> domain expressed with distinct expression patterns. In contrast to the wide distribution of the B2 isoform, the B1 isoform was found mainly in kidney, and particularly in intercalated cells.<sup>153–155</sup> The lack of immunohistochemical staining for apical vH<sup>+</sup>-ATPase in intercalated cells of kidney biopsy samples from individuals with Sjögren's syndrome and acquired primary dRTA implicated diminished intercalated-cell expression of vH<sup>+</sup>-ATPase.<sup>156–158</sup>

Karet et al<sup>159</sup> followed a global genetic mapping strategy to identify a locus on chromosome 2p13 to which could be mapped the recessive dRTA phentoype in a subset of collected family cohorts. Using a candidate gene approach, they discovered 15 mutations within the ATP6V1B1 gene on chromosome 2p13 encoding the B1 subunit of vH+-ATPase (Table 17-4) in 31 kindreds with recessive dRTA, accompanied in many cases by hearing loss.<sup>160</sup> Additional mutations linked with recessive dRTA were reported by these and other authors. Although the cell biologic properties of the mutant B1 polypeptides have been little studied, in addition to the certainly inactive termination, frameshift, and splice site mutations, the missense mutations found are in evolutionarily conserved residues believed likely to interfere with B1 structure or assembly of the complex hetero-oligomeric vH+-ATPase.159.161-164 Overexpression in rat inner medullary collecting duct (IMCD) cells of several GFP-fusion proteins of ATP6V1B1 containing dRTA-associated missense mutations showed that mutants failed to be incorporated into enzymatically active partial vH<sup>+</sup>-ATPase complexes, yet trafficked to the apical membrane in response to cellular acidification and inhibited microsomal proton pumping activity.<sup>165</sup> However, the relationship of this dominant-negative phenotype in cultured cells to the recessive disease phenotype in humans remains unclear.

dRTA in patients with mutations in the *ATP6V1B1* gene can be successfully treated with dietary  $HCO_3^-$  supplementation. However, the accompanying progressive bilateral sensorineural hearing loss is not prevented by standard alkali replacement therapy. The inner ear is a unique site for pH homeostasis, and vH<sup>+</sup>-ATPase B1 subunit is expressed in cochlea and endolymphatic sac.<sup>160</sup> Cochlear endolymph, with its elevated K<sup>+</sup> and low Na<sup>+</sup>, is highly electropositive such that equilibrium pH for endolymph is alkaline. However, active H<sup>+</sup> secretion by the vH<sup>+</sup>-ATPase leads to physiologic pH values near 7.4 in cochlear endolymph and 6.6 in the endolymphatic sac.<sup>166</sup> Inactivating mutations in the *ATP6V1B1* gene are believed to irreversibly damage cochlear hair cells as a result of chronic stress associated with altered endolymph pH and ion gradients. In contrast to the progressive deafness in humans with dRTA secondary to *ATP6V1B1* mutations, the *Atp6b1<sup>-/-</sup>* mouse is grossly normal, with normal inner ear development and normal hearing.<sup>167</sup> Normal hearing in *Atp6b1<sup>-/-</sup>* mice might be attributable to expression of the b2 subunit in the inner ear.

Atp6b1-/- mice, though not spontaneously acidotic, produce a significantly more alkaline urine as compared with their wild-type littermates. Upon oral challenge with an acid load, however, Atp6b1-/- mice exhibit metabolic acidosis. These mice thus present a model of incomplete dRTA without deafness.<sup>168</sup> Paunescu et al<sup>169</sup> have demonstrated that vH+-ATPase b2 subunit is coexpressed at low level with the b1 subunit in mouse and rat kidney collecting duct intercalated cells. Acetazolamide treatment increased apical localization of the b2 subunit in type A intercalated cells. b2-subunit expression was also increased in intercalated cells of the inner medullary collecting duct in Atp6b1-/- mice.168 Thus, b2 expression probably compensates for the absence of b1 subunit in intercalated cells and may explain the incomplete dRTA of the mouse model in contrast to the severe complete dRTA of the corresponding genetic deficiency in the human.

## Autosomal Recessive dRTA with Normal Hearing or Late-Onset Hearing Loss

Whole-genome genetic linkage and physical mapping analysis of 13 kindreds with recessive dRTA and normal hearing led to discovery of a novel dRTA gene, ATP6V0A4 (previously called ATP6N1B) on chromosome 7q33–34 (see Table 17-2). The gene comprises 23 exons and encodes an 840-aa, kidney-specific a4 isoform of the V<sub>0</sub> membrane-spanning sector of vH<sup>+</sup>-ATPase, with 61% identity to ATP6N1A-encoded a1 subunit.159,170 Mutational analysis of the single a-subunit gene in yeast has shown important roles in proton translocation, targeting, dissociation and coupling of proton transport, and ATP hydrolysis.<sup>171,172</sup> The vH<sup>+</sup>-ATPase a4 subunit is expressed in the proximal tubule, loop of Henle, and distal tubule, as well as in collecting duct intercalated cells.<sup>173</sup> Dietary acid-base loading or K<sup>+</sup> depletion in the mouse regulates a4 trafficking in intercalated cells without changing renal a4-subunit content.<sup>173</sup> dRTA-associated mutations in the ATP6V0A4 gene are listed in Table 17-5.

Although patients with recessive *ATP6V0A4* mutations exhibited normal hearing when initially examined, long-term follow-up into young adulthood revealed mild, delayed-onset hearing impairment in some of the patients studied.<sup>2,10,161</sup> ATP6V0A4 is correspondingly expressed in both cochlea and vestibular epithelium of the human.<sup>161</sup>

Of the 13 kindreds examined by Smith et al,<sup>170</sup> 4 exhibited *ATP6V1B1* or *ATP6V0A4*, indicating the presence of additional genes that cause recessive dRTA.<sup>161</sup> Genes encoding three additional vH<sup>+</sup>-ATPase subunits expressed mainly in the kidney (*ATP6V1C2*, *ATP6V1G3*, and *ATP6V0D2*) proved not to be disease-associated genes in eight otherwise unlinked kindreds studied.<sup>174</sup> The SLC4A2/AE2 Cl<sup>-</sup>/HCO<sub>3</sub><sup>-</sup> exchanger of the inner ear was also excluded.<sup>160</sup>

It has been speculated that hypokalemic dRTA in a subset of patients in northeastern Thailand might be secondary to vanadium toxicity and subsequent inhibition of  $H^+/K^+$ -

Mutation	Zygosity	Inheritance	References
R31X	Homozygous	Recessive	Karet et al <sup>160</sup>
(R74S)*	Cmpd het	Recessive	Hahn et al <sup>164</sup>
G78R <sup>†</sup>	Homozygous	Recessive	Borthwick et al <sup>163</sup>
L81P (L79)*	Homozygous, Cmpd het	Recessive	Karet et al <sup>160</sup> Ruf et al <sup>162</sup>
G123V (G121V)*	Homozygous Cmpd het	Recessive	Stover et al <sup>161</sup> Hahn et al <sup>164</sup>
R124W	Homozygous	Recessive	Karet et al <sup>160</sup>
R157C	Homozygous, Cmpd het	Recessive Recessive	Stover et al <sup>161</sup> Feldman et al <sup>240</sup>
T166fs (fsX174)	Homozygous Homozygous	Recessive Recessive	Karet et al <sup>160</sup> Ruf et al <sup>162</sup>
M174R	Homozygous	Recessive	Karet et al <sup>160</sup>
T275P	Homozygous	Recessive	Karet et al <sup>160</sup>
G316E	Cmpd het	Recessive	Karet et al <sup>160</sup>
P346R (P344R)	Homozygous, Cmpd het*	Recessive	Karet et al <sup>160</sup> Ruf et al <sup>162</sup>
G364S	Homozygous	Recessive	Karet et al <sup>160</sup>
P385fs	Homozygous	Recessive	Karet et al <sup>160</sup>
(R463H)*	Cmpd het	Recessive	Ruf et al <sup>162</sup>
and splice site mutatio	ns in introns 6,7,8,9.		

Table 17-4ATP6V1B1 Mutations Associated with Recessive Distal Renal Tubular Acidosis with Deafness (MIM No. 192132, 267300)

Cmpd het, compound heterozygous; MIM, Mendelian Inheritance in Man.

\*Amino acid numbering in parentheses is that of Ruf et al<sup>162</sup> and Hahn et al<sup>164</sup> and is based on Genbank AAA36496. Amino acid numbering used by Karet et al,<sup>160</sup> Stover et al,<sup>161</sup> and Borthwick et al<sup>163</sup> is based on Genbank NP\_001683, which is longer by two N-terminal residues. The two predicted polypeptides are encoded by two common polymorphic variants (Hahn et al<sup>164</sup> and HI Cheong, personal communication).

<sup>†</sup>In association with a second recessive mutation in TCIRG1/ATP6V0A3 causing osteopetrosis.

ATPase.<sup>175</sup> Thus far, however, evidence to support this proposal remains lacking. In addition, a report of a 21-month-old infant with failure to thrive, hypokalemia, and metabolic acidosis unaltered by alkali therapy led to the proposal of colonic  $H^+/K^+$ -ATPase deficiency,<sup>176</sup> but no data on  $H^+/K^+$ -ATPase regulation or expression was provided.

Mutations in a distinct tissue-specific isoform of the vH<sup>+</sup>-ATPase is associated with an extrarenal disease. Recessive infantile osteopetrosis is associated with mutations in the osteoclast-specific a3 subunit of the V<sub>0</sub> sector of the vH<sup>+</sup>-ATPase.<sup>163</sup> The disease is modeled by the *oc* osteosclerotic mouse, a spontaneous mutant that has a homozygous deletion spanning the translational initiation site of the a3 gene (see review<sup>9</sup>).

## dRTA Due to Failure of Intercalated Cell Differentiation

The collecting duct arises from the ureteral bud, upon interaction with factors from the metanephric blastema. Intercalated cells expressing vH<sup>+</sup>-ATPase and CA II are detectable in the E18 rat kidney, but AE1 expression lags.<sup>177</sup> However, the cellular and molecular origins of the three major cell types of the cortical collecting duct, and the identity of a possible precursor cell type, have remained controversial.<sup>178</sup> A new opportunity for understanding has arisen through study of dRTA in a mouse strain engineered for congenital systemic absence of the forkhead transcription factor Foxi1.179 Foxi1 plays an important role during embryogenesis and is expressed in intercalated cells and in the inner ear. Foxi1-/- mice are deaf, with lack of pendrin expression and Cl- absorption in the epithelium of the endolymphatic compartment. The gross development of the kidney proceeds normally in the Foxi1-/- mouse. However, the normal mosaic epithelium of the collecting duct is replaced by equal numbers of a uniform cell type exhibiting mixed characteristics of principal and intercalated cells, expressing both aquaporin 2 (AQP2) and CA II. This unusual cell type expresses no detectable AE1, vH+-ATPase B1 subunit (ATPV1B1), AE4, or pendrin, whereas renal KCC4 K<sup>+</sup>/Cl<sup>-</sup> cotransporter mRNA expression is undiminished. Foxi1-/mouse urine is alkaline on an acidic chow diet. The knockout mice are spontaneously hypokalemic and exhibit incomplete

Substitution	Zygosity	Inheritance	References
V35fs	Homozygous	Recessive	Smith et al <sup>170</sup>
E82fsX87	Cmpd het*	Recessive	Stover et al <sup>161</sup>
L103fsX139	Homozygous	Recessive	Stover et al <sup>161</sup>
N113fsX117	Homozygous	Recessive	Stover et al <sup>161</sup>
G175D	Homozygous, Cmpd het	Recessive	Stover et al <sup>161</sup>
R194X	Cmpd het*	Recessive	Stover et al <sup>161</sup>
K237del	Homozygous	Recessive	Stover et al <sup>161</sup>
Q276fs	Homozygous	Recessive	Smith et al <sup>170</sup>
Q358X	Homozygous	Recessive	Stover et al <sup>161</sup>
P395fsX407	Homozygous	Recessive	Stover et al <sup>161</sup>
R449H	Homozygous	Recessive	Stover et al <sup>161</sup>
Y502X	Homozygous, Cmpd het <sup>†</sup>	Recessive	Stover et al <sup>161</sup>
P524L	Homozygous	Recessive	Smith et al <sup>170</sup>
M580T	Homozygous	Recessive	Smith et al <sup>170</sup>
S611fsX648	Homozygous	Recessive	Stover et al <sup>161</sup>
Q753X	Homozygous	Recessive	Smith et al <sup>170</sup>
V778fsX	Cmpd het <sup>‡</sup>	Recessive	Stover et al <sup>161</sup>
R807Q	Homozygous	Recessive	Stover et al <sup>161</sup>
G820R	Homozygous	Recessive	Smith et al <sup>170</sup>
X841Q	Cmpd het <sup>‡</sup>	Recessive	Stover et al <sup>161</sup>
Intron 6 splice acceptor	Homozygous	Recessive	Smith et al <sup>170</sup>
Intron 12 splice acceptor	Homozygous	Recessive	Stover et al <sup>161</sup>
Intron 17 splice donor	Homozygous	Recessive	Smith et al <sup>170</sup>
	Cmpd het <sup>†</sup>	Recessive	Stover et al <sup>161</sup>

Table 17-5ATP6V0A4 Substitutions Associated with Recessive Distal Renal Tubular Acidosis with Variable Deafness (MIM No.602272, 605239)

Cmpd het, compound heterozygous; MIM, Mendelian Inheritance in Man. \*Compound heterozygous mutations found together in one sporadic case. <sup>†</sup>Compound heterozygous mutations found together in two sporadic cases. <sup>‡</sup>Compound heterozygous mutations found together in one familial case.

RTA.<sup>179</sup> Moreover, Foxi1 regulates the promoter of the intercalated cell gene product AE4.<sup>180</sup> Thus, Foxi1 plays a determining role in murine collecting duct differentiation in vivo and so has properties of a master switch for intercalated cell development. However, neither *Foxi1* mutations nor other causes of intercalated-cell differentiation failure have been reported as causes of familial dRTA in humans.

## Acid-Base Disorders and KCC4 Mutations

The electroneutral K<sup>+</sup>/Cl<sup>-</sup> cotransporter KCC4 (SLC12A7) is expressed in several nephron segments, including the basolateral membrane of collecting duct type A intercalated cells. Traditional views of the type A intercalated cell acid secretion required a component of basolateral recycling of Cl<sup>-</sup> imported by kAE1. In rabbit collecting duct this recycling was attributed to Cl<sup>-</sup> channels.<sup>181</sup> However, *Kcc4<sup>-/-</sup>* mice exhibit defective urinary acidification with a compensated metabolic acidosis. Type A intercalated cells in these mice have elevated intracellular Cl<sup>-</sup> content as assessed by x-ray microanalysis, consistent with inhibition of tonic Cl<sup>-</sup> efflux. Thus, the dRTA is believed to arise from reduction or absence of normal Kcc4-mediated efflux recycling of the Cl<sup>-</sup> imported across the basolateral membrane by kAE1 as it extrudes the HCO<sub>3</sub><sup>-</sup> generated by apical vH<sup>+</sup>-ATPase-mediated H<sup>+</sup> secretion.<sup>182</sup>

dRTA in  $Kcc4^{-/-}$  mice is accompanied by pre-weaning onset of deafness. Kcc4 expression in mouse cochlea is restricted to the lateral membranes of Deiter's cells, which surround and physiologically support the outer and inner hair cells in the organ of Corti.<sup>182</sup> Deiter's cells are believed to take up K<sup>+</sup> released from outer hair cells so as to shunt it via an epithelial cell–fibrocyte gap junctional system to the stria vascularis for secretory recycling back into endolymph. Thus, deafness in  $Kcc4^{-/-}$  mice is attributed to hair cell degeneration arising from Although human *SLC12A7/KCC4* gene mutations on chromosome 15q14 have not yet been found to co-segregate with dRTA, human *SLC12A7* remains a dRTA candidate gene.

## Acid-Base Disorders in Diseases of SLC26 Cl<sup>-</sup> Base Exchangers

SLC26A4/Pendrin is a Cl<sup>-</sup>/HCO<sub>3</sub><sup>-</sup> exchanger encoded by the SLC26A4 gene on chromosome 7q22, and expressed in the apical membrane of type B and non-A, non-B intercalated cells of the cortical collecting duct.<sup>50,73,183–186</sup> In humans, lossof-function mutations in pendrin cause the genetic disorder of Pendred syndrome, associated with deafness and variably penetrant hypothyroid goiter. Pendrin is believed to mediate apical Cl<sup>-</sup>/HCO<sub>3</sub><sup>-</sup> exchange in epithelial cells of the inner ear, regulating pH and Cl<sup>-</sup> concentration of endolymph, and to mediate apical Cl<sup>-</sup>/I<sup>-</sup> exchange in thyrocytes, regulating I<sup>-</sup> transfer into (and from?) the colloid space.<sup>187</sup> Neither patients with Pendred syndrome nor pendrin-knockout mice show altered acid-base balance under normal physiologic conditions, indicating that lack of pendrin in the distal nephron is fully compensated. However, when perfused cortical collecting ducts were isolated after in vivo HCO3<sup>-</sup> loading of deoxycorticosterone-treated mice, the wild-type collecting ducts secreted net HCO3-, whereas collecting ducts from pendrin-knockout mice mediated HCO<sub>3</sub><sup>-</sup> absorption.<sup>73</sup> Thus, pendrin is needed by the mouse to excrete a HCO<sub>3</sub>-load in conditions of mineralocorticoid excess. Subsequent studies have shown the importance of murine pendrin to regulation of distal Cl<sup>-</sup> reabsorption<sup>50,79</sup> and to mineralocorticoidinduced hypertension.<sup>188</sup> Therefore, a yet to be described gain-of-function mutation in the SLC26A4 gene encoding pendrin might potentially account for incomplete or mild dRTA.

*SLC26A7* is another possible candidate gene for human dRTA. This  $Cl^{-}/HCO_{3}^{-}$  exchanger polypeptide has been localized to the basolateral membrane of medullary but not cortical type A intercalated cells in mouse and rat, where it is activated by hypertonicity and by vasopressin. With these properties, SLC26A7 is well situated to play an important role in medullary collecting duct acidification. However, as noted above, these conclusions remain controversial.

## COMBINED PROXIMAL AND DISTAL (MIXED) RTA (TYPE 3): CA II DEFICIENCY

In some patients features of proximal and distal RTA coexist. Patients with familial dRTA of this type have CA II deficiency. CA II deficiency was the first cause of hereditary RTA described in the literature.<sup>189</sup> Mutations in the *CA2* gene on chromosome 8q22 (see Table 17-2) encoding CA II polypeptide cause marble brain disease with intracerebral calcifications and cognitive problems ranging from mild learning disability to severe mental retardation, mixed proximal and distal RTA, growth failure or delay, osteopetrosis with increased bone density, multiple bone fractures by adolescence, and hearing loss.<sup>163,190–192</sup> Although patients have been described in whom predominance of either proximal or distal RTA is present, most often the RTA is of the mixed type. Cytoplasmic CA II is

expressed throughout the nephron, with highest abundance in proximal tubular cells and in collecting duct intercalated cells. CA II catalyzes the reversible hydration of  $CO_2$  (see Fig. 17-1). In CA II deficiency, renal reabsorption of  $HCO_3^{-1}$  is impaired, a low urine-to-blood p $CO_2$  difference in an alkaline urine is present with decreased excretion of  $NH_4^+$ , and urine pH cannot be lowered below 5.5. RTA-associated mutations in the *CA2* gene are listed in Table 17-6.

The absence of CA II function may also contribute to mixed RTA by directly decreasing activity of the HCO<sub>3</sub><sup>-</sup> transporters SLC4A1 (AE1) in intercalated cells and SLC4A4 (NBCe1) in proximal tubular cells.<sup>23,37,38,60,61</sup> However, the proposed mechanism of SLC4/CA II metabolons has been recently questioned.<sup>40</sup>

Osteopetrosis is probably due to a defect in acid secretion by osteoclasts to dissolve bone mineral at the lacunar front. Genotype-phenotype correlation is not straightforward, and modifier gene interactions are probably complex. Thus, a pair of brothers homozygous for a frameshift at codon 252 of the 260-aa human CA II polypeptide is remarkable for severe mental retardation in the absence of RTA.<sup>190</sup> Conversely, absence of mental retardation in the presence of RTA has accompanied complete loss-of-function mutations. Bone marrow transplantation can correct osteopetrosis and stabilize progression of hearing loss and intracerebral calcification, but RTA and growth impairment do not improve.<sup>193</sup>

CA II–deficient *Car2<sup>-/-</sup>* mice generated by an ethylnitrosourea-induced point mutation encoding the prematurely terminated polypeptide Q155X<sup>194</sup> exhibit growth retardation and RTA,<sup>195</sup> but lack both osteopetrosis and cerebral calcification. These mice cannot acidify their urine after challenge with an acid load.<sup>196</sup> Intercalated cells are normal in number and distribution in young mice, but both type A and type B cells decrease in number as the mice age, with apparent replacement by principal cells.<sup>197</sup> In rats given a 2-week course of the CA inhibitor acetazolamide, the proportion of type B intercalated cells decreased, whereas type A intercalated cells increased not only in percentage but also in size and in length of apical membrane.<sup>198</sup> These data emphasize that CA plays an important role in maintenance of the differentiated state in collecting duct, even in adult animals.

Arterial blood gas analysis in barbiturate-anesthetized  $Car2^{-/-}$  mice revealed that the moderate metabolic acidosis was accompanied by incomplete respiratory compensation. The respiratory acidosis was exacerbated by intravenous  $HCO_3^-$  administration sufficient to correct the metabolic acidosis. Even in the context of possible enhanced susceptibility to respiratory depression, these data suggest that CA II deficiency in alveolar epithelial cells and/or erythrocytes may contribute to respiratory acidosis from  $CO_2$  retention in mice.<sup>199</sup> This problem has not been reported in humans with CA II deficiency.

The first successful transient correction by gene therapy of a monogenic hereditary renal disease was reported in  $Car2^{-/-}$  mice.<sup>200</sup> Retrograde injection of liposomes containing CA II complementary DNA into the renal pelvis of  $Car2^{-/-}$  mice resulted in transient expression of CA II in the kidney with highest expression levels at day 3 and CA II protein still detectable after 1 month. CA II expression correlated with restoration of the ability maximally to acidify the urine, but this functional rescue was no longer discernable 6 weeks after complementary DNA–liposome administration.

Substitution	Zygosity	Inheritance	References
Q28X	Homozygous	Recessive	Shah et al <sup>192</sup>
I33fsX	Homozygous	Recessive	Hu et al <sup>190</sup>
Y40X	Homozygous	Recessive	Summarized in Shah et al <sup>192</sup>
S48fsX	Homozygous	Recessive	Hu et al <sup>190</sup>
V49fxX	Homozygous	Recessive	Shah et al <sup>192</sup>
Q53fsX	Homozygous	Recessive	Hu et al <sup>190</sup>
H64fsX	Cmpd het	Recessive	Hu et al <sup>190</sup>
Q74fsX	Homozygous	Recessive	Shah et al <sup>192</sup>
c.232+1G>A (splicing mutation)*	Homozygous, Cmpd het	Recessive	Summarized in Shah et al <sup>192</sup>
Q92P	Homozygous	Recessive	Hu et al <sup>190</sup>
Н94Ү	Cmpd het	Recessive	Shah et al <sup>192</sup>
Ү96Х	Homozygous	Recessive	Shah et al <sup>192</sup>
H107Y	Homozygous, Cmpd het	Recessive	Summarized in Shah et al <sup>192</sup>
G145R	Cmpd het	Recessive	Shah et al <sup>192</sup>
K169fsX	Homozygous	Recessive	Shah et al <sup>192</sup>
c.507-1G>C (splicing mutation)*	Cmpd het	Recessive	Summarized in Shah et al <sup>192</sup>
D179fsX	Homozygous	Recessive	Shah et al <sup>192</sup>
W208fsX	Homozygous	Recessive	Shah et al <sup>192</sup>
L211fsX	Homozygous	Recessive	Shah et al <sup>192</sup>
c.663+1G>T (splicing mutation)*	Homozygous	Recessive	Hu et al <sup>190</sup>
K227fsX	Homozygous	Recessive	Summarized in Shah et al <sup>192</sup>
E233fsX	Homozygous	Recessive	Shah et al <sup>192</sup>
N252fsX	Homozygous	Recessive	Hu et al <sup>190</sup>

 Table 17-6
 Carbonic Anhydrase II Associated with Recessive Mixed Proximal-Distal Renal Tubular Acidosis with Osteopetrosis and Cerebral Calcification (MIM No. 259730)

Cmpd het, compound heterozygous.

\*cDNA numbering begins with A of the initiator ATG as nucleotide +1

## **DENT DISEASE**

Dent disease is an X-linked proximal tubular disorder caused by inactivating mutations of the CLCN5 gene (at Xp11.22) encoding the renal vesicular Cl<sup>-</sup>/H<sup>+</sup> antiporter CLC-5,<sup>201-203</sup> formerly believed to be a Cl<sup>-</sup> channel.<sup>204</sup> Patients with Dent disease exhibit hypercalciuria and nephrocalcinosis, often but not always accompanied by progressive renal failure and defective urinary acidification.<sup>205-207</sup> The 746-aa CLC-5 protein is modeled (based on the x-ray crystal structure of its bacterial homolog) to traverse the lipid bilayer as many as 18 times. CLC-5 belongs to the CLC superfamily of vesicular electrogenic Cl<sup>-</sup>/H<sup>+</sup> antiporters (CLC-3, CLC-4, CLC-5, and CLC-7) and voltage-gated plasmalemmal Cl<sup>-</sup> channels (ClC-0, ClC-2, ClC-K1, ClC-K2, and possibly ClC-1). CLC-5 is expressed in subapical endocytic vesicles of renal proximal tubular epithelial cells, where it colocalizes with vH+-ATPase in proximal tubular cells.<sup>208-210</sup> When CLC-5 was believed to be a Clchannel, it was proposed to provide a Cl<sup>-</sup> shunt for the endosomal vH+-ATPase. Since the discovery of its Cl-/H+ antiporter mechanism, the cell biologic function of CLC-5 is less clear. CLC-5 has also been detected in the TAL and, also colocalized with vH+-ATPase, in type A intercalated cells.<sup>206,208</sup> In renal biopsy samples from individuals with Dent disease, proximal tubular vH+-ATPase was changed in its polarity from the base of the apical brush border to the basolateral membrane, and type A intercalated-cell vH+-ATPase expression was undetectable.<sup>211</sup> Therefore, it is tempting to speculate that mutations in CLCN5 may cause or contribute to distal or mixed proximal-distal urinary acidification defects. However, the dRTA often accompanying Dent disease may be secondary to chronic nephrocalcinosis and interstitial nephritis rather than a reflection of a primary type A intercalated cell defect. *Clcn5<sup>-/-</sup>* mouse models for Dent disease (that of Piwon et al<sup>212</sup> is only one example of several) revealed severe reduction in apical proximal tubular endocytosis. Clinical Dent disease unaccompanied by acidosis or cataract, and variably accompanied by mental retardation, can also be caused by OCRL1

mutations.<sup>213</sup> Dent disease is discussed in greater detail in Chapter 14.

#### HYPERKALEMIC RTA (TYPE 4)

The hyperkalemia associated with type 4 RTA distinguishes it from other forms of RTA. The acidification defect in type 4 RTA is due in part to impaired ammoniagenesis, most likely secondary to the hyperkalemia. Thus, the ability to acidify the urine after administration of an acid load can remain intact, but net acid excretion and urinary total  $NH_4^+$  excretion are reduced. Several hyperkalemic disorders are accompanied by type 4 RTA, most common among them the various causes of hypoaldosteronism.<sup>2,214</sup> Decreased Na<sup>+</sup> reabsorption by principal cells is also thought to contribute to impaired electrogenic H<sup>+</sup> secretion by intercalated cells.

### Pseudohypoaldosteronism Type 1

The most frequent cause of pediatric hyperkalemic RTA is primary pseudohypoaldosteronism type 1 (PHA1). This disease is characterized by salt wasting, metabolic acidosis, hyperkalemia, and hyponatremia in the presence of elevated plasma renin and aldosterone levels due to target organ mineralocorticoid resistance. Patients present in the neonatal period with dehydration, hypotension, vomiting, and weight loss, with large variations in clinical severity. Two genetically distinct forms of PHA1 have been identified. The autosomal dominant form has mainly renal involvement with milder symptoms of salt wasting and metabolic acidosis, and spontaneous remissions are observed. Dominant PHA1 is caused by loss-of-function mutations in the mineralocorticoid receptor gene<sup>215–217</sup> (see Table 17-2). The relative contributions to the renal phenotype of a dominant-negative mechanism or the effects of haploinsufficiency remain uncertain and may vary among mutations.

The autosomal recessive form of PHA1 involves multiple organs with severe clinical symptoms, including recurrent life-threatening episodes of salt wasting.<sup>218–220</sup> The lungs exhibit an increase in airway surface liquid,<sup>221</sup> with the clinical correlate of recurrent respiratory tract infections.<sup>222,223</sup> Together with increased salivary and sweat electrolyte content, this disorder can mimic cystic fibrosis. Recessive PHA1 is caused by loss-of-function mutations in the genes encoding the  $\alpha$ -,  $\beta$ -, and  $\gamma$ -subunits of the amiloride-sensitive, luminal ENaC Na<sup>+</sup> channel of the collecting duct principal cell<sup>217,221,224–226</sup> (see Table 17-2). The severe symptoms reflect systemic absence of ENaC-mediated Na<sup>+</sup> reabsorption. Additional genetic heterogeneity among PHA1 cases has led to exclusion of claudin-8, a collecting duct tight-junction protein, as a type 4 RTA gene.<sup>217,227</sup>

PHA1 is discussed in greater detail in Chapter 16.

#### Pseudohypoaldosteronism Type 2 (Hyperkalemic Hypertension)

Pseudohypoaldosteronism type 2 (PHA2) is a genetically heterogeneous, autosomal dominant syndrome of arterial hypertension secondary to Cl<sup>-</sup>-dependent sodium retention, hyperkalemia, and suppressed plasma renin activity often associated with RTA, and highly responsive to treatment

with low-dose thiazide.<sup>228-230</sup> PHA2 is caused by mutations in the gene encoding WNK1 and WNK4 protein kinases<sup>231</sup> (see Table 17-2). The WNK1 mutations are deletions within the first intron of the gene postulated to lead to increased net WNK1 activity by regulating the proportional expression of WNK1 variant transcripts. The WNK4 mutations are missense mutations remote from the kinase domain that promote enhanced surface expression and activity of the thiazidesensitive Na<sup>+</sup>/Cl<sup>-</sup> cotransporter NCC-1 in distal convoluted tubule and connecting segment. Current understanding of the multiple mechanisms by which WNK kinases regulate Na<sup>+</sup>, K<sup>+</sup>, and Cl<sup>-</sup> transport by the distal nephron is increasing rapidly.<sup>232</sup> Decreased luminal Na<sup>+</sup> delivery to the cortical collecting duct in PHA2 may lead to decreased ENaCmediated Na<sup>+</sup> reabsorption, with consequently decreased electrogenic H<sup>+</sup> secretion. However, the mechanisms by which WNK mutations induce metabolic acidosis remain poorly understood.

PHA2 is discussed in greater detail in Chapter 16.

#### SUMMARY AND FUTURE PROSPECTS

Acid-base transport is essential to homeostasis, growth, and differentiation of all cells and tissues. Its central function might predict embryonic or neonatal lethal phenotypes to arise from loss-of-function mutations expressed in a wide range of cells. It seems, however, that many cell types and tissues regulate acid-base balance by redundant physiologic and genetic systems. Nonetheless, some acid-base transporter or regulator polypeptides are expressed in specific cell types in which physiologic redundancy is absent. Mutations in the genes encoding these proteins produce the genetic disorders of acid-base transport, including the RTAs, that allow birth and postnatal development to the point of diagnosis and treatment.

This chapter has described recent progress in understanding the molecular bases of human familial RTA, including pRTA (type 2) caused by mutations in NBCe1/SLC4A4, dRTA (type 1) caused by mutations in AE1/SLC4A1 and by mutations in the B1 and A4 subunits of the vH+-ATPase, and mixed RTA (type 3) caused by mutations in CA2. Some of the mutations result in severe truncation or absence of the encoded polypeptide. The mutations that encode missense substitutions or small deletions or truncations of expressed polypeptide occasionally represent intrinsic loss of function. More often, however, they serve to disrupt polarized trafficking of still functional mutant polypeptide and/or (in the case of dominant mutations) wild-type polypeptide co-expressed from the nonmutant allele. The RTA accompanying mutations in genes that do not encode plasma membrane acid-base transporters, such as those of Dent disease and PHA types 1 and 2 (type 4 RTA), were also briefly mentioned.

Available mouse models of heritable RTA sometimes differ significantly in clinical presentation from the cognate human disease, most often because the tissue specificity of functional genetic redundancy differs between mouse and human. This difference reflects differences in tissue specificity of gene expression and regulation among mammalian species, and emphasizes the importance of studying the same acid-base transporters in different experimental and model systems. Results may vary at the molecular, cellular, genetic, and organismic levels, but data at each level will contribute to our evolving understanding of acid-base disorders.

Not all identified families with heritable RTA have yet received molecular diagnoses. Among the candidate genes to explain RTA in these families are the K<sup>+</sup>/Cl<sup>-</sup> cotransporter KCC4 and the transcription factor Foxi1 whose congenital absences cause dRTA in the mouse. Additional candidate genes for which even mouse genetic evidence remains lacking are the proposed Cl<sup>-</sup>/HCO<sub>3</sub><sup>-</sup> exchanger SLC26A7, the carbonic anhydrases CA IV, CA XII, and CA XIV, the H<sup>+</sup>, K<sup>+</sup>-ATPases, and additional subunits of vH+-ATPase with expression restricted largely to the kidney. Among the Rh class of NH<sub>2</sub>/NH<sub>4</sub><sup>+</sup> channels, the basolateral RhBG protein of intercalated cells is dispensable for upregulation of urinary NH<sub>4</sub><sup>+</sup> excretion during metabolic acidosis in the mouse,<sup>233</sup> whereas the apical RhCG protein of intercalated cells (but not RhBG) is upregulated by metabolic acidosis in the rat.<sup>234</sup> Yet additional candidate genes include the putative Cl<sup>-</sup>/HCO<sub>3</sub><sup>-</sup> exchanger SLC26A11 and the unusual H+-activated aquaporin Cl- channel, AQP6, localized in intracellular vH+-ATPasecontaining vesicles of the type A intercalated cell.<sup>235</sup> Finally, still in its early stages is the study of regulators of acid-base and CO<sub>2</sub> sensing and the regulatory signals that coordinate transport at apical and basolateral membranes of epithelial cells, including general and specific trafficking and docking proteins. Molecular identification of these components may reveal additional RTA-associated genes but will certainly reveal important modifier genes for RTA that might explain the paucity of simple genotype-phenotype correlations among families with different mutations in the same RTA-associated gene. In addition, modifier genes should increase our understanding of clinical variability among family members with the same mutations.

Further progress in understanding dRTA will come with development of the long-elusive stable cell culture model of the type A intercalated cell. Achievement of that goal will be aided by progress in establishing in vivo markers of intercalated cell differentiation and development, and in greater understanding of the intercalated cell's requirements for specialized matrix components, growth factors presented within that matrix, and development of a molecular substitute for the apparently crucial influence of contact with neighboring principal cells in the unique mosaic epithelium of the collecting duct.

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## Chapter 18

# Hereditary Causes of Nephrogenic Diabetes Insipidus

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During the last decade our understanding of the vasopressinmediated renal concentrating mechanisms has increased enormously through the identification of the molecular defects underlying congenital nephrogenic diabetes insipidus (NDI), a hereditary disorder characterized by renal insensitivity to the antidiuretic action of arginine vasopressin (AVP), resulting in a severe concentration defect. NDI was first described more than a century ago by McIlraith and for a long time was of interest for clinicians only. However, since the identification of the genes underlying the different genetic types of this disorder, a renewed interest in the physiologic and pathophysiologic regulation of renal water absorption from pro-urine by molecular biologists, physiologists, cell biologists, and physicians can be noticed.

In addition to providing new and surprising insight into the cellular mechanisms involved in diuresis and antidiuresis, the elucidation of the genetic and cellular defects in NDI has significantly improved the differential diagnosis of the disorder, and has allowed accurate genetic counseling of patients and their family members. Furthermore, new therapies for NDI, based on the understanding of the cellular defects in this disorder, are now being developed and seem promising, although their efficacy and safety still must be examined.

After a short review on the current knowledge of the normal physiology of the renal collecting duct water permeability, we will discuss the diagnosis of NDI and the molecular and cellular defects underlying the different types of NDI. Finally, we will discuss current therapeutic options and some potential new therapies.

## PHYSIOLOGY OF WATER HOMEOSTASIS

The physiologic action of vasopressin in renal collecting duct cells has been one of the most intensively studied processes in the kidney. In response to high serum osmolality or reduced blood pressure, AVP is secreted by the posterior pituitary. In the collecting duct of the kidney, AVP binds to the vasopressin type 2 receptor (V2R) on the basolateral membrane of principal cells (Fig. 18-1). This interaction between AVP and its V2R initiates the activation of adenylate cyclase via the intermediacy of stimulatory G (Gs) protein, resulting in a transient increase in intracellular cyclic adenosine monophosphate (cAMP) concentration. The elevated cAMP levels stimulate protein kinase A (PKA), which in turn initiates a steady-state redistribution of aquaporin 2 (AQP2) water channels from intracellular vesicles to the apical plasma membrane, rendering this membrane water permeable. Phosphorylation by PKA of serine at position 256 in the cytoplasmic C terminus

of AQP2 is essential for this redistribution, because an AQP2 with a substitution at S256A (AQP2-S256A), which mimics nonphosphorylated AQP2, is impaired in its redistribution to the plasma membrane upon stimulation with AVP or the adenylate cyclase activator, forskolin.<sup>1–4</sup> Interesting in this respect is that in an unstimulated steady-state condition, phosphorylated AQP2 could be detected in intracellular vesicles.<sup>5</sup> However, AQP2 is expressed as a homotetramer,<sup>3,6</sup> and recent studies using oocytes as a model system indicated that plasma membrane localization requires that three out of four monomers in an AQP2 tetramer be phosphorylated.<sup>7</sup>

The increased permeability of the apical membrane subsequent to AQP2 insertion allows water to flow in a transcellular fashion from the tubule lumen to the hypertonic medullary interstitium, which is mediated via AQP2 in the apical membrane and AQP3 and AQP4 water channels in the basolateral membrane, ultimately leading to the formation of concentrated urine. As predicted by Wade et al in the "shuttle hypothesis" in the late 1980s,<sup>8</sup> withdrawal of vasopressin reverses this redistribution process of AQP2 and restores the water-impermeable state of the apical side of the cell.<sup>9,10</sup>

The localization of AQP2, however, is not only regulated by phosphorylation by PKA. With elegant osmotic flow measurements on dissected rat inner medullary collecting ducts and, later, immunocytochemical analyses, several hormones have been identified that counteract the action of AVP by reducing the apical membrane expression of AQP2. Some of these hormones (ATP/UTP, dopamine, endothelin) seemed to block the AVP-triggered increase of intracellular cAMP, which was thought to be conferred by activation of a counteracting protein kinase C (PKC; ATP/UTP),<sup>11</sup> coupling of the hormone receptor to an inhibitory G (Gi) protein (dopamine),<sup>12</sup> or both (endothelin).<sup>13</sup> Other hormones, such as carbachol and the epidermal growth factor, inhibited AVP- and cAMP-induced water permeability but did not impair the AVP-induced cAMP production.<sup>14–18</sup> Prostaglandin E<sub>2</sub> (PGE<sub>2</sub>) seems to act in both ways. In the cortical collecting duct, PGE<sub>2</sub> seems to inhibit adenylate cyclase via a E-prostanoid-3 (EP-3) receptorcoupled Gi protein,<sup>19,20</sup> whereas in the inner medullary collecting duct PGE<sub>2</sub> seemed to have no effect on cAMP generation and was therefore suggested to act on the AQP2 shuttling process only.<sup>14,18</sup> This latter finding is supported by work of Zelenina and co-workers, who found that PGE<sub>2</sub> induced the internalization of AQP2 in collecting ducts without changing the level of AQP2 phosphorylation.<sup>2</sup>

In most cases where cAMP generation was not affected, the inhibitory effects were absent upon co-treatment of the ducts with PKC inhibitors.<sup>14,18</sup> Because phorbol myristate acetate, which activates several PKCs, also inhibits AVP-induced water



Figure 18-1 Regulation of the aquaporin-2 (AQP2)-mediated water transport by vasopressin. Vasopressin (AVP), vasopressin V2 receptor (V2), stimulatory guanosine triphosphate binding protein (Gs), adenylate cyclase (AC), adenosine triphosphate (ATP), cyclic adenosine monophosphate (cAMP), and phosphorylated proteins (O-P) are indicated. For details see text.

permeability,<sup>15</sup> these hormones were suggested to activate PKC isotypes that interfere shuttling of AQP2 to the apical membrane.

Recent data indicate that the action of these hormones is independent from AQP2 phosphorylation, because alteration of the three putative casein kinase II amino acid positions (S148, S229, T244) and one PKC position (S231) to alanines or aspartates, which are thought to mimic their constitutively nonphosphorylated or phosphorylated states, respectively, did not alter their subcellular localization, and neither did their translocation to the apical membrane with forskolin.<sup>4</sup> Only phosphorylation of the PKA site seemed to be relevant, because the AQP2-S256A resided in intracellular vesicles and AQP2-S256D was located in the apical membrane, independent of forskolin treatment. Also, activation of PKC enzymes by phorbol myristate acetate in the presence of forskolin resulted in the redistribution to intracellular vesicles of AQP2-S256D and all AQP2 kinase mutants, which were still phosphorylated at S256. These data indicated that, although phosphorylation of S256 is necessary for expression of AQP2 in the apical membrane, hormones that activate PKC enzymes can overcome the AVP-mediated phosphorylation and translocation of AQP2 to the apical membrane.

It is likely that the internalization of even phosphorylated AQP2 with these inhibitory hormones is mediated by the polymerization state of the actin cytoskeleton. In collecting ducts, vasopressin is known to induce a de- and repolymerization of the actin cytoskeleton,<sup>22</sup> and cytochalasins, which disrupt actin filaments, markedly inhibit the vasopressin response in target epithelia.<sup>23</sup> Indeed, Valenti, Rosenthal, and co-workers have shown recently in cultured cells that acti-

vated RhoA kinase, whether activated by PGE2 or other stimuli, induces F-actin polymerization and inhibits AQP2 translocation to the plasma membrane. In contrast, inactivation of RhoA kinase by phosphorylation with forskolin or with a specific RhoA kinase inhibitor allowed translocation of AQP2 to the plasma membrane.<sup>24–27</sup> Besides these general cellular mechanisms, several proteins have been found or suggested to have a role in AVP-induced AQP2 trafficking to and from the plasma membrane. However, the possible involvement of these proteins in AQP2 regulation has been wellcovered in recent reviews<sup>28–31</sup> and will therefore not be further discussed here.

## PATHOPHYSIOLOGY OF WATER HOMEOSTASIS

## **Clinical Phenotype**

In congenital NDI the process above is disturbed, such that in this disease the distal renal nephron is unable to respond to AVP. As a consequence, the kidney loses its ability to concentrate urine, which may lead to severe dehydration and electrolyte imbalance (hypernatremia and hyperchloremia). The manifestations of NDI characteristically appear within the first weeks of life and include irritability, poor feeding, and poor weight gain.<sup>32</sup> Affected infants are eager to suck but may vomit during or shortly after the feeding. Dryness of the skin, loss of normal skin turgor, recessed eyeballs, depression of the anterior fontanelle, and a scaphoid abdomen are clinical signs of dehydration. Intermittent high fever is a frequent

complication of the dehydrated state, particularly in very young patients; body temperature can be normalized by rehydration. Seizures have been reported in NDI patients, but these are rare and most often seen during therapy, particularly if rehydration proceeds too rapidly. Constipation is a common symptom in children with NDI. Nocturia and enuresis are frequent complaints emerging later in childhood.

Untreated, most patients fail to grow normally. In a retrospective study of 30 male NDI patients, most children grew below the 50th percentile and showed no clear catch-up growth after the first year of life. Weight-for-height standard deviation (SD) scores were initially low, followed by global normalization at school age.<sup>33</sup> The exact reasons for the failure to thrive in NDI remain to be explained. Feeding problems, the ingestion of large amounts of low-caloric fluid resulting in decreased appetite, and repeated episodes of dehydration may all play a role.<sup>33–35</sup>

Prolonged, unrecognized, or repeated episodes of hypernatremic dehydration may result in permanent brain damage, developmental delay, and mental retardation.<sup>36,37</sup> Additional evidence underscoring the assumption that NDI has adverse effects on the brain is provided by reports of NDI cases with intracerebral calcifications.<sup>38,39</sup> Such lesions are generally considered to be the result of hemorrhage or necrosis. Most of the patients with cerebral calcifications were mentally retarded. Mental retardation is currently rare because of earlier recognition and treatment of NDI. Exact estimates of the frequency of mental retardation under modern treatment are not available, but in the largest psychometric study of NDI patients ever reported, only 2 of the 17 male patients (aged 3-30 years) tested had a total intelligence quotient more than 2 SD below the norm. Fourteen patients scored within or above the normal range, and one patient had a general index score between -1 and -2 SD.40

As a result of a persistent desire for drinking and the need for frequent voiding, many NDI patients are characterized by hyperactivity, distractibility, short attention span, and restlessness. In the psychometric study mentioned above, the criteria for attention deficit hyperactivity disorder were met in 8 of 17 NDI patients.<sup>40</sup>

Although urologic complications are rare among NDI patients, megacystis, hydroureter, and hydronephrosis resulting from persistent polyuria and mimicking lower urinary tract obstruction, have been reported incidentally.<sup>33,41–43</sup> In order to monitor for these serious complications a renal ultrasound evaluation should be performed annually.

The clinical diagnosis of NDI depends upon demonstration of the inability to concentrate urine despite the presence of the antidiuretic hormone AVP. This is performed by a vasopressin test with 1-desamino-8-D-arginine vasopressin (dDAVP), a synthetic analog of AVP that produces a high and prolonged antidiuretic effect. After intranasal dDAVP administration NDI patients are unable to increase urinary osmolality, which remains below 200 mOsm/kg H<sub>2</sub>O (normal  $\geq$  807 mOsm/kg H<sub>2</sub>O) and cannot reduce urine volume or free-water clearance. Plasma vasopressin levels are normal or slightly increased in NDI patients.

## Acquired and Congenital Forms of NDI

The primary congenital form of NDI must be differentiated from the secondary or acquired forms, which are much more common. A wide range of pathologic conditions and drug treatments can lead to acquired NDI (Table 18-1). In our experience, the urinary osmolality obtained after dDAVP administration in these disorders is always higher than in NDI. All these disease conditions have been shown to coincide with decreased expression of AQP2 in the collecting duct and in its apical membrane.<sup>44</sup>

The prevalence of congenital NDI is not exactly known, but the disease is assumed to be rare. Even in large pediatric and nephrology clinics it is observed infrequently. In the Netherlands population of approximately 16 million, 40 different families are known. Congenital NDI in humans is heterogeneous in that three different inheritance patterns of congenital NDI have been recognized in which two genes are involved. In most cases ( $\approx$ 90%) NDI is transmitted as an X-linked recessive trait (MIM No. 304800) caused by mutations in the *V2R* gene. A minority of patients ( $\approx$ 10%) shows an autosomal recessive (MIM No. 125800) as a result of mutations in the *AQP2* water channel gene.

# Differential Diagnosis between the X-linked and the Autosomal Forms of NDI

The X-linked and autosomal recessive forms of NDI do not differ with respect to the clinical symptoms of the disorder and, with a few exceptions, in the time of onset of the disease. Only in a minority of patients with X-linked NDI, namely in those individuals carrying mutations in the gene encoding V2R resulting in partial insensitivity to AVP, the disease onset is not directly after birth but later in childhood (Table 18-2). In general, the initial symptoms in most autosomal dominant cases also appear later, in some cases not before early adulthood (Table 18-3). Male patients with X-linked NDI can be distinguished from patients with autosomal recessive NDI on the basis of their extrarenal reaction to intravenous administration of dDAVP. Patients with autosomal NDI show normal increases in von Willebrand factor, factor VIII activity, and tissue-type plasminogen activator levels, whereas in patients with X-linked NDI these extrarenal responses are

 Table 18-1
 Common Causes of Acquired Nephrogenic

 Diabetes Insipidus
 Insipidus

•
Amyloidosis
Chronic pyelonephritis
Drug-side effect: Lithium Tetracyclines
Hypercalcemia/nephrocalcinosis
Hypokalemia
Juvenile nephronophthisis
Ureteral obstruction
Renal dysplasia
Sarcoidosis
Sickle-cell anemia

absent as a result of a mutant extrarenal V2R.<sup>42,45–48</sup> In female patients, however, the interpretation of this dDAVP test with measurement of extrarenal responses is more complicated. Absence of extrarenal responses clearly points to the presence of a V2R defect in females. A normal extrarenal response to dDAVP administration, however, cannot be interpreted as indicative of an AQP2 defect.<sup>49</sup> Moses et al, for example, described a symptomatic female V2R mutation carrier with a twofold increase in factor VIII activity after intravenous dDAVP administration.<sup>50</sup> The discrepancy between renal and extrarenal responses to dDAVP in female carriers of a V2R mutation is assumed to be due to variability of the pattern of X-chromosome activation between different tissues.<sup>51</sup>

## **MOLECULAR BASIS OF CONGENITAL NDI**

#### **V2R Gene Mutations**

The majority of NDI patients have mutations in the *AVPR2* gene, which encodes the vasopressin V2 receptor. The *AVPR2* gene maps to the X chromosome, locus q28, and is composed of three exons. The messenger RNA that is transcribed from this gene is 1.8 kb long and encodes a protein of 371 amino acids, with a predicted molecular weight of approximately 40 kDa.<sup>52–54</sup> The V2R is a member of the large superfamily of G protein–coupled receptors (GPCRs), which is characterized by seven transmembrane spanning domains, an extracellular N terminus, and an intracellular C terminus (Fig. 18-2). The N terminus of mature V2R proteins is heavily glycosylated, in

that it is N-glycosylated at Asn22 and O-glycosylated at several serine and threonine residues, modification of which is suggested to be important in the processing, targeting, and stability of the V2R.<sup>55,56</sup> The C terminus is anchored to the plasma membrane by two palmitoyl groups that are covalently coupled to cysteine residues 341 and 342, which are suggested to be important for proper folding of the receptor<sup>57</sup> and in G protein activation.<sup>58</sup>

Besides inducing the translocation of AQP2, binding of AVP also regulates the fate of the V2R itself; upon binding of vasopressin, multiple serine residues in the V2R's C terminus are phosphorylated by a presently unknown GPCR kinase. The phosphorylated C terminus is subsequently bound by  $\beta$ -arrestin,<sup>59</sup> which leads to the internalization of the receptor, via clathrin-coated pits, early and late endosomes, to the lysosomes where it is degraded.<sup>60</sup> AVP binding decreases the half-life of the V2R approximately fourfold<sup>60</sup> and probably represents a cellular negative-feedback mechanism to prevent reabsorption of too much water from pro-urine.

Thus far, more than 180 mutations in the *AVPR2* gene have been described, of which most are documented at the Montreal Diabetes Insipidus Group (McGill University) website (http://www.medicine.mcgill.ca/nephros/), which was originally sponsored by the NDI foundation (http://www.ndif.org/). Several of these mutations are given in Table 18-2. *AVPR2* mutations are scattered throughout the gene.<sup>31</sup> Because a specific treatment of patients depends on the cellular fate of the mutant proteins conferred by the mutation, we recently adapted the classification used for the cystic fibrosis transmembrane conductance regulator (*CFTR*) gene to the cellular



**Figure 18-2** Proposed topology of human vasopressin V2 receptor. The V2R has seven transmembrane domains with its N terminus extracellular and its C terminus intracellular. The transmembrane domains are connected by three extracellular (ECL 1–3) and intracellular (ICL 1–3) loops. The N-glycosylation site (tree at N22) in its N terminus and the palmitoylated cysteines (C141–142) in its C terminus are indicated.

Nucleotide	Amino Acid	References	Function	Conserved/Location	Class	Diagnosis	Treatment (Response)
492T→C	L44P	72, 137–140	F	Y (tmd1)	II	1 wk	dD (NR)
498T→A	I46K	71	F	N (tmd1)	II	5 yr	ndD (NR)
546T→C	L62P	139,141	Ś	Y (tmd1)	ll ś	21,53 mo	dD (NR)
545–553del	∆62-64	62, 69, 72	G	N (tmd1)	II	Ś	Ś
574G→A	W71X	63	-	N (ICL1)	I	Ś	dD (NR)
612C→A	A84D	67	А	N (tmd2)	II	Ś	Ś
614G→A	D85N	71, 132, 142	F	Y (tmd2)	III	32 mo	nD, dD-deh. (>400)
623G→A	V88M	33, 90, 139	Ś	Y (tmd2)	II	16 mo	ndD (NR), deh. (>500)
656T→C	W99R	67	А	Y (ECL1)	II, IV	Ś	Ś
671C→T	R104C	131, 143	F	Y (ECL1)	II	8 days	ndD (NR), dD (>300), thiaz., dD
674T→G	F105V	71	А	Y (ECL1)	IV	Ś	Ś
698C→T	R113W	70, 139	F	Y (tmd3)	II, IV	Ś	dD-deh. (NR)
749A→T	1130F	71,72	F	N (tmd3)	II	1 mo	ndD (NR)
771G→A	R137H	63, 68, 73, 80, 131, 144–148	G	Y (ICL2)	II, III, V	Ś	dD-deh. (NR), dD (>400)
860T→A	S167T	72, 137, 138, 148	F	N (tmd4)	II	1 mo	dD (NR)
861C→T	S167L	72, 137–139, 148, 149	А	N (tmd4)	II	2 wk, 8 mo	ndD, dD (NR)
902C→T	R181C	62, 68, 77, 139, 150	А	N (tmd4)	IV	6 mo	ndD (NR)
914G→T	G185C	33, 53, 151	А	N (ECL3)	IV	7, 9, 108, 128, 204 mo	dD-deh. (>300)
963G→A	G201D	70, 72	F	Y (ECL3)	II, IV	Ś	dD-deh. (>400)
965C→T	R202C	67, 78, 141, 152, 153	А	N (ECL3)	IV	18 mo	Ś
965–967del	$\Delta R202$	75	А	N (ECL3)	IV	Ś	dD (NR)
972C→A	T204N	140, 153, 154	А	N (ECL3)	II	15 mo	dD (NR), ndD* (>200)
975A→G	Y205C	33, 53, 90, 154, 155	А	Y (ECL3)	II	2 wk, 2.5 mo	dD (NR)
978T→A	V206D	72, 139, 154	А	N (tmd5)	II	Ś	dD (NR)
1431C→T	P322S	75, 90	F	Y (tmd7)	III, IV	10 mo, 9 yrs	dD-deh. (>400)
1476C→T	R337X	57, 58, 62, 136,139, 152, 155–157	A	N (C-tail)	I	4 mo	dd (NR)

Table 18-2 V2R Mutations Involved in Nephrotic Diabetes Inspidus

Function: F, functional; A, disturbed AVP binding; G; disturbed Gs protein binding. Conserved (Y) or not (N) between human V1R, V2R, and V3R; tmd, transmembrane domain; ECL, extracellular loop; ICL, intracellular loop. Treatment: dD, infusion with dDAVP; ndD, nasal dDAVP; deh., dehydration. Response: NR, non-responsive; >200, urine > 200 mOsm/kg. \*Upon a double ndD dose.

Nucleotide	Amino Acid	Zygosity	R/D	Ref./Anal.	Function	Cons. (Loc.)	Class	Diagnosis	Treatment (Response)
64C→G	L22V	He3	R	98/86	P (60%)	N (tmd 1)	II	87	dD
83T→C	L28P	Ho	R	97/97	D	N (tmd 1)	Ш	l mo	dD (NR)
140C→T	A47V	Ho	R	97/97	P (40%)	N (tmd 2)	II	Ś	dD-deh. (NR)
170A→C	Q57P	He5	R	92/92	D	N (tmd 2)	II	Ś	dD (NR)
190G→A	G64R	Ho	R	99/88, 89, 99	P (20%)	Y (b-loop)	II	l mo	dD (NR)
293A→G	N68S	Ho	R	93/88	D	Y (b-loop)	II	1.5 mo	e:dD-deh. (>300), dD (NR)
211G→A	V71M	Ho	R	97/97	D	Y (b-loop)	II	Ś	ndD (NR)
253C→T	R85*	Ho	R	90/			I	2 mo	dD (NR)
299C→T	G100*	Ho	R	91/			I	Ś	dD (NR)
299G→T	G100V	He5	R	92/92	D	N (tmd 3)	II	Ś	dD (NR)
369delC	Out of frame	Ho	R	99/			I	Ś	Ś
374C→T	T125M	Ho, he8	R	95, 96/96, 97	P (25%)	N (c-loop)	II	Ś	Ś
377C→T	T126M	Ho	R	88/88, 93, 96	P (20%)	N (c-loop)	II	5 mo	dD-deh. (NR)
439G→A	A147T	Ho	R	93/88, 93	F	Y (d-loop)	II	3 mo	dD-deh. (NR)
450T→A	D150E	He7	R	100/100	D	Y (d-loop)	II	Ś	Ś
502G→A	V168M	He4, ho	R	90, 94/94	P (60%)	N (tmd 5)	II	Ś	He, ndD (NR), ho:dD (<200)
523G→A	G175R	Ho, he8	R	95, 96/96, 97	D	Y (tmd 5)	II	Ś	Ś
543C→G	C181W	He3	R	98/86, 87	D	N (e-loop)	Ш	87	Ś
553C→G	P185A	Ho	R	97/97	D	Y (e-loop)	II	1 wk	ndD (NR)

Table 18-3 AQP2 Mutations Involved in Nephrogenic Diabetes Inspidus

Nucleotide	Amino Acid	Zygosity	R/D	Ref./Anal.	Function	Cons. (Loc.)	Class	Diagnosis	Treatment (Response)
559C→T	R187C	Ho, he1	R	99, 102/88, 99	D	Y (e-loop)	II	2 wk, 5 mo	dD-deh. (NR)
568G→A	A190T	He2	R	85, 95/85, 95	D	N (e-loop)	II	Ś	dD (NR)
606G→T	W202C/splice	Но	R	101/		Y (e-loop)	I	4–8 wk	dD (NR)
c606+1G→A	Splice	He6, all.2	R	97/		C (intr. 3)*	I	2 wk	dD (NR)
646T→C	S216P	Hel, he4	R	102/88, 89	D	N (tmd 6)	II	3 mo	dD (NR)
652delC	Out of frame	He6, all.1	R	97/		N (tmd 6)	I	2 wk	dD (NR)
721delG	Out of frame	He	D	113/113	F	N (C-tail)	IV	12 mo	ndD (NR), deh. (>300)
727delG	Out of frame	He	D	108/108	F	N (C-tail)	IV	Ś	dD (NR)
761G→T	R254L	He	D	109/109	F	N (C-tail)	IV	<12 mo	Ś
763–772del	Out of frame	He	D	113/113	F	N (C-tail)	IV	36 mo	ndD (NR)
772G→A	E258K	He	D	111/111	F	N (C-tail)	IV	Ś	dD (>300)
779–780insA	Out of frame	He	D	112/112	F	N (C-tail)	IV	6-1 mo	dD (NR, 2, >300, 1)
785C→T	P262L	He2	R	85, 95/85, 95	F	N (C-tail)	IV	Ś	dD (NR)
812–818del	Out of frame	He	D	113/113	F	N (C-tail)	IV	16 mo	dD (incr.), deh. (>300)

Table 18-3 AQP2 Mutations Involved in Nephrogenic Diabetes Inspidus-Cont'd

Zygosity: He, heterozygous; ho, homozygous; all., allele; R, recessive; D, dominant. Function: F, functional; D, dead protein; P, partial functional (level in % between brackets). Conserved (Y) or not (N) between AQP proteins; tmd, transmembrane domain. Treatment: dD, infusion with dDAVP; ndD, nasal dDAVP; deh., dehydration; ind, indomethacin; NR, non-responsive; >200, etc., urine > 200 mOsm/kg thiaz, thiazide.

\*C (intr. 3), C terminus, intron 3.

fate of GPCRs in general and the V2R in particular.<sup>61</sup> In the next section, V2R mutations belonging to the five different classes (Fig. 18-3A; see Table 18-2) will be discussed.

**Class I** mutations lead to defects in the synthesis of stable messenger RNA, resulting in absence of protein. Promoter alterations, exon skipping, aberrant splicing, and most frame-shift and nonsense mutations fall into this category. In this class, the main research focus has been on nonsense mutations, which introduce a premature stop codon. Although they fail to produce full-length V2Rs, some stop codons encoded in individuals with NDI are in the C terminus of the receptor and may still lead to functional receptors. The mutations encoding V2R-W71X or -R337X, which have been identified in several related and unrelated NDI families, respectively, are probably the best characterized examples of class I mutations in the V2R gene.<sup>62,63</sup>

**Class II** mutations lead to fully translated proteins, but these mutant proteins are recognized as misfolded and retained by the quality control mechanism of the endoplasmic reticulum (ER). Subsequently, they are transported out of the ER and degraded by proteasomes.<sup>64</sup> Because of the high stringency of the ER quality control, more than 50% of the missense mutations (i.e., those causing the substitution of an amino acid) in the low-density lipoprotein receptor gene in familial hypercholesterolemia fall in this category.<sup>65</sup> Although many V2R mutations have not been functionally tested, this is probably similar for V2R missense mutants, in that L44P, I46K, L59P,  $\Delta$ 62–64, L83Q, A84D, R113W, I130F, R137H, S167T, S167L, G201D, T204N, V206D, and many others are ER retained.<sup>66–72</sup> Also, the marked overexpression of V2R mutants, as is normal in the commonly used technique of transient transfection, will give plasma membrane expression of most V2R mutants, from which it has been deduced that some mutant V2Rs are impaired in their signal transduction (class III) or AVP binding (class IV). However, with stably transfected cells, in which expression levels are lower, plasma membrane expression of such mutants is often not observed.<sup>72</sup> Because GPCRs are usually expressed at low levels in vivo and class II mutants are often prone to extensive degradation, misfolding and degradation probably constitute the most important causal factor for NDI in individuals with V2R mutants that are found to be (partially) retained in the ER in in vitro studies. The extent of ER retention, however, does differ between V2R mutants. For example, the V2R mutants R113W, T204N, I130F, and G201D are ER-retained to a lower extent than other V2R mutants in stably transfected polarized renal cells.<sup>72</sup> Although such V2R mutants are then often also affected in other aspects of their functioning (classes III and IV), the partial expression of functional V2R mutants in the plasma membrane, such as V2R-T204N and anti-G201D, can explain their response to dDAVP in patients.

**Class III** mutants are normally transported to their site of action, where they exhibit a defect in activation or regulation. For the V2R, mutations that interfere with Gs-protein binding, thereby preventing intracellular signaling, fall into this category. The V2R mutants D85N, R137H, and P232S have been reported to fall into this class, although R137H is also a class II mutation.<sup>73</sup> The partial loss of Gs activation seen in these three mutants provides an explanation for the partial NDI phenotype in the affected patients.



Figure 18-3 The cellular fate and rescue of vasopressin V2 receptor mutations in NDI. **A**, V2R mutation classes in NDI. The different classes of the cellular fate of V2R mutants are indicated in roman numbers. For details see text. **B**, Rescue of class II V2R mutants by pharmacologic chaperones. (1) Treatment of cells expressing class II V2R mutants with V1R or V2R cell-permeable antagonists (CPA) stabilize the structure of the V2R mutants in the ER, resulting in their further maturation and transport via the Golgi complex to the basolateral membrane. (2) Replacement of the inverse agonists for endogenous AVP (or exogenous dDAVP) at the basolateral membrane will initiate a V2R mutant-mediated cAMP signaling cascade. ER, endoplasmic reticulum.

Class IV mutations also do not affect protein trafficking but instead impair or decrease the receptor's ability to bind to vasopressin or one of its synthetic analogs. In many GPCRs, the first (P95-R113) and second extracellular (R181-T207) loops are thought to be involved in ligand binding, which is in the V2R stabilized by disulfide-bonded cysteines (C112, C192). V2R proteins with V88M, W99R, F105V, R113W, R181C, G185C, AR202, R202C, T204N, Y205C, or V206D substitutions showed reduced AVP binding, despite being expressed at the cell surface.<sup>67,68,71,74-78</sup> Lack of only AVP binding has been clearly established for V2R- $\Delta$ R202<sup>75</sup> and -R181C.<sup>77</sup> Unfortunately, the relevance of the lack of AVP binding in several of the other mutants as an explanation for their occurrence in NDI is doubtful, in that many of these mutants are also (partially) retained in the ER (e.g., R113W, R181C, T204N, V206D),<sup>72</sup> whereas this has not been studied for several others.

Finally, **class V** mutations lead to proteins that are not disturbed in any of the above, but are mis-sorted to another organelle in the cell. The R137H mutation, which is found in the highly conserved  $D_{136}$ RY/H motif of GPCRs, is a member of this class. As a consequence of this mutation, V2R-R137H proteins are constitutively internalized from the membrane and targeted for degradation, and are therefore only briefly present in the plasma membrane.<sup>79</sup> Because the R137H mutation also causes ER retention and interferes with G-protein binding,<sup>80</sup> it also falls into classes II and III.

## AQP2 Gene Mutations: Autosomal Recessive NDI

Hereditary Causes of Nephrogenic Diabetes Insipidus

Both the autosomal recessive and the autosomal dominant types of NDI are caused by mutations in the AQP2 water channel gene (GenBank accession no. Z29491), which is located on chromosome 12q13.<sup>81,82</sup> The human AQP2 gene is a small gene consisting of four exons, comprising 5 kb of genomic DNA. The 1.5-kb messenger RNA encodes a protein of 271 amino acids that has a predicted molecular weight of 29 kDa. Like other aquaporins, AQP2 is expressed in the membrane as a homotetramer in which each 29-kDa monomer, consisting of six membrane-spanning  $\alpha$ -helical domains and intracellular N and C termini, is a functional water channel.<sup>3,6,83</sup> These six transmembrane domains are connected by five loops (A through E; Fig. 18-4) in which loops B and E fold back into the membrane, interact via their highly conserved motifs asparagine-proline-alanine (NPA boxes), and form the water pore.83,84

Thus far, 27 mutations in *AQP2* have reported in families with autosomal recessive NDI (see Table 18-3). These include 21 missense mutations, 2 nonsense mutations, two 1-bp deletions, and 2 splice site mutations.<sup>85–102</sup> Interestingly, all mutations in autosomal recessive NDI (except P262L; see later) are located in between the first and the last transmembrane domain. Although controversy exists for AQP2-T125M and AQP2-G175R, which were proposed by Goji et al to be



**Figure 18-4** Proposed topology of human aquaporin 2. An AQP2 monomer consists of six transmembrane domains connected by five loops (A–E) and its N and C termini located intracellularly. Loops B and E meet each other with their characteristic NPA boxes in the membrane and form the water-selective pore. The N-glycosylation site (tree at N123) is indicated. NPA, asparagineproline-alanine. (Redrawn from Heymann JB, Engel A: Structural clues in the sequences of the aquaporins. J Mol Biol 295:1039–1053, 2000.)

nonfunctional water channels, unaffected in their routing to the plasma membrane,<sup>96</sup> these and all other AQP2 missense mutants in recessive NDI seemed to be impaired in their export from the ER upon expression in cells (class II defect); as in the V2R, misfolding of these mutants leads to proteasomal degradation through the ER-associated degradation pathway. Indeed, the observed instability of several AQP2 mutants in recessive NDI could be reduced with proteasome inhibitors.<sup>86,103,104</sup> The low stability of these AQP2 mutants is in line with in vivo data, because mutant AQP2 proteins could not be detected in urine of patients suffering from autosomal recessive NDI, in contrast to wild-type AQP2 (wt-AQP2) in urine from healthy individuals.<sup>105,106</sup> Though retained in the ER, at higher expression levels in Xenopus oocytes, in which a proportion of the AQP2 mutant proteins escapes from the ER and is routed to the plasma membrane,<sup>107</sup> seven mutants (L22V, A47V, G64R, T125M, T126M, A147T, and V168M) seemed to be able to confer water permeability.<sup>88,93,94,97,98</sup>

#### AQP2 Gene Mutations: Autosomal Dominant NDI

At present, eight families have been described with autosomal dominant NDI, initially uncovered due to father-to-son transmission of the disease or the absence of mutations in the gene encoding V2R. In these families, subsequent sequencing revealed putative disease-causing mutations in the AOP2 gene of one allele, which were missense mutations resulting in R254L, R254Q, and E258K substitutions, deletions of 1 (721delG, 727delG), 7 (812-818del), or 10 (763-772del) nucleotides resulting in a +1 frameshift, or a one-base insertion (779–780insA), resulting in a +2 frameshift. Interestingly, all of these mutations were found in the coding sequence of the C-terminal tail of AQP2, which is not a part of the water pore-forming segment. Indeed, all AQP2 proteins in dominant NDI were functional water channels. Instead, the C-terminal tail had been shown to be important in the trafficking of AQP2.<sup>2,7</sup> Indeed, whereas forskolin causes the translocation of wt-AQP2 from intracellular vesicles to the apical membrane when expressed in polarized cells, the AQP2 mutants in dominant NDI were mis-sorted to different destinations in the cell.<sup>108–113</sup> Importantly, because none of these mutants was misfolded, they were, in contrast to AQP2 mutants in recessive NDI, able to interact with and form heterotetramers with wt-AQP2.3 In addition, in elegant microscopic studies on cells coexpressing wt and mutant AQP2 proteins, it appeared that, due to the wt-mutant interaction and dominancy of the missorting signals in the mutant proteins, the wt-mutant complexes were also mis-sorted. Extrapolated to principal cells of the patients, this would probably lead to the lack of sufficient amounts of wt-AQP2 in the apical membrane, thereby explaining the dominant trait of NDI. As such, all these AQP2 mutations are of class V.

Interestingly, six AQP2 mutants in dominant NDI are thought to be mis-sorted as a result of a signal introduced with the mutation. In oocytes, deletion of the segment surrounding E258 greatly restored the plasma membrane expression of AQP2-E258K, which indicated that the introduction of a lysine instead of the loss of E258 caused the dominant feature.<sup>110</sup> AQP2-insA, which has a +2 reading frameshift of the AQP2 C-tail, was targeted to the basolateral membrane, because of two introduced basolateral sorting signals in its mutant C-terminal tail.<sup>111</sup> Though starting at different positions, the four mutations (721delG, 727delG, del763-772, and del812-818) that introduce a +1 reading frameshift result in a similar extended C-terminal tail. Studies in polarized cells revealed that AQP2-727delG accumulates in late endosomes/ lysosomes and, to some extent, in the basolateral plasma membrane of polarized Madin-Darby canine kidney cells,<sup>108</sup> whereas the other three mutants have been reported to localize to the basolateral membrane. Because the extended tail in AQP2-del812-818 starts only at the stop codon of wt-AQP2 and the extended tails contain a di-leucine motif, which is a known basolateral membrane-targeting motif,<sup>114</sup> the dominance of these mutants in NDI is suggested to be due to this introduced basolateral sorting signal. Also, although AQP2-R254L cannot be phosphorylated at S256, because the mutation destroys the PKA phosphorylation consensus site in AQP2, the introduced leucine residue also appeared to introduce a basolateral sorting signal, such that AOP2-R254L-S256D, which mimics constitutively phosphorylated AQP2-R254L, was targeted to the apical and basolateral membrane in polarized cells.109

## **EXCEPTION TO THE RULE**

As indicated previously, all mutations in recessive NDI were found in between the first and last transmembrane domains, except P262L (see Table 18-3). This substitution, which was found in two patients heterozygous for an R187C or A190T substitution, was located in the AQP2 C-terminal tail; on the basis of the AQP2 mutants studied above, this mutation would be expected to cause dominant NDI. Cell biologic studies provided the answer to this paradox.85 Like mutants in dominant NDI, AQP2-P262L is a functional water channel, is retained in intracellular compartments different from the ER, and forms heteroligomers with wt-AQP2. Immunocytochemical analysis of cells co-expressing AQP2-P262L with wt-AQP2, however, revealed that, in contrast to mutants in dominant NDI, these wt-AQP2/AQP2-P262L complexes are located in the apical membrane, indicating that the apical sorting of wt-AQP2 is dominant over the mis-sorting signal of AQP2-P262L. On the basis of these data, the recessive inheritance of NDI in these families can be explained as follows: in the two patients involved, AQP2-R187C and AQP2-A190T mutants are retained in the ER, and do not interact with AQP2-P262L. AQP2-P262L is properly folded and assembles into homotetramers, but will be mainly retained in intracellular vesicles. The consequent lack of sufficient AQP2 proteins in the apical membrane of the collecting duct cells of the patients then explains their NDI phenotype. In the parents with alleles encoding wt-AQP2 and AQP2-R187C or -A190T, wt-AQP2 will not interact with either mutant but will form homotetrameric complexes, of which the insertion into the apical membrane in collecting duct cells will be properly regulated by vasopressin. Although the AQP2 expression levels in these humans might be reduced, because all AQP2 is derived from one allele, the apparent absence of an NDI phenotype indicates that AQP2 derived from one allele suffices for a healthy phenotype. In the proband's healthy relatives encoding wt-AQP2 and AQP2-P262L, both proteins are likely to assemble into heterotetramers. However, the dominancy of wt-AQP2 on the localization of AQP2-P262L

will then result in a proper AVP-regulated trafficking of their heterotetrameric complexes to the apical membrane of their collecting duct cells.

## **TREATMENT OF NDI**

#### **Conventional Treatment of NDI**

The most important component of treatment of NDI is replacement of urinary water losses by adequate supply of fluid. Most infants with NDI, however, cannot drink the required amounts of fluid. One approach to reduce urine output is provision of a low-solute diet to reduce the renal osmolar load and decrease obligatory water excretion. Initially, a diet low in sodium (1 mmol/kg/day) as well as protein (2 g/kg/day) was recommended. However, severe limitations of dietary protein may introduce serious nutritional deficiencies, and a dietary restriction of sodium only is therefore preferable.

Diuretics such as hydrochlorothiazide (2–4 mg/kg/day) were the first class of drugs shown to be effective in lowering urine volume in NDI.<sup>115</sup> When combined with low salt intake, hydrochlorothiazide reduces the urine volume by 20% to 50% of baseline values. However, thiazide-induced hypokalemia may cause further impairment or urinary concentrating ability in patients with NDI. Another potential risk associated with hypokalemia is cardiac arrhythmia. Simultaneous administration of potassium salt is therefore necessary in most cases.

The combined administration of hydrochlorothiazide with either a prostaglandin synthesis (or cyclooxygenase, or COX) inhibitor such as indomethacin (2 mg/kg/day), or the potassium-sparing diuretic amiloride, was shown to be much more effective in reducing urine volume than the thiazide diuretic alone.47,116-121 Long-term use of prostaglandinsynthesis inhibitors, however, is often complicated by gastrointestinal and hematopoietic side effects. In addition, renal dysfunction has been described during indomethacin therapy, most often consisting of a reduction in glomerular filtration rate. Because of the known gastrointestinal safety of selective COX-2 inhibitors as compared with nonselective COX inhibitors, a potential role for these drugs in the treatment of NDI has been put forward. In one male infant with NDI, the effectiveness of a specific COX-2 inhibitor (rofecoxib) in decreasing urinary free-water losses was indeed demonstrated.<sup>122</sup> Nevertheless, in view of the recent discovery that prolonged use of that particular COX-2 inhibitor can cause severe cardiac side effects,<sup>123</sup> we believe that COX-2 inhibitors should not be used in the treatment of NDI until it has been strictly determined which of these specific inhibitors are completely safe.

Amiloride counterbalances the potassium loss from prolonged use of thiazides and thus prevents hypokalemia. Because amiloride seems to have only minor long-term side effects, the combination of hydrochlorothiazide (2–4 mg/kg/day) with amiloride (0.3 mg/kg/day) is the first choice of treatment. Our own experience of more than 14 years with the amiloridehydrochlorothiazide combination, however, indicates that amiloride may cause persistent nausea in children younger than 4 to 6 years. For that reason we advise the temporary use of the combination of indomethacin-hydrochlorothiazide in these younger children.

For a long time the following mechanism for the paradoxical effect of thiazides in NDI has been suggested: thiazides decrease sodium reabsorption in the renal distal tubule by inhibition of the Na<sup>+</sup>/Cl<sup>-</sup> cotransporter. This in turn results in increased sodium excretion, extracellular volume contraction, decreased glomerular filtration rate, and increased proximal sodium and water reabsorption. As a consequence, less water and sodium are delivered to the collecting ducts and less water is excreted.<sup>124,125</sup> A few years ago this long-standing hypothesis was challenged by Magaldi's group; by microperfusion of isolated rat inner medullary collecting ducts, they showed that hydrochlorothiazide, when added to the luminal side and in the absence of vasopressin, increased osmotic and diffusional water permeabilities.<sup>126,127</sup> Increased permeability will facilitate water reabsorption in the collecting duct and thus reduce water excretion. When prostaglandins were added, the effect of thiazides decreased. This finding offers one explanation why indomethacin potentiates the effect of thiazides in NDI. Altogether, the results of these microperfusion studies indicate that thiazides act in nephron segments beyond the distal tubule. Because the effect of thiazides was only seen when they were applied to the luminal side of the rat inner medullary collecting ducts, it was concluded that these drugs do not interact with the basolaterally located V2R directly. Magaldi proposed the possibility that these drugs act at some point in the vasopressin cascade inside the cell, based on the observation that an inhibitor of PKA blocked the effect of thiazides.127

A recent study in lithium-induced NDI rats has given additional insight into the antidiuretic action of thiazides in NDI.<sup>128</sup> Using immunocytochemistry and immunoblotting, it was shown that hydrochlorothiazide treatment induces a significant increase in AQP2 abundance in the kidneys of these rats. In addition to the effect on AQP2, an upregulation of the Na<sup>+</sup>/Cl<sup>-</sup> cotransporter and the amiloride-sensitive epithelial sodium channel ENaC was found in these animals upon hydrochlorothiazide administration. The upregulation of sodium transporters and AQP2 was accompanied by a significant decrease in urinary output and an increase in urinary osmolality. Based on these findings, it is hypothesized that the antidiuretic action of hydrochlorothiazide in NDI may be the result of both an increase in sodium transport capacity in distal renal segments, leading to increased medullary tonicity, as well as an increase in the abundance of AQP2 proteins.

## **Tailored and Future Therapies in NDI**

Although the conventional treatment described above generally relieves polyuria and polydipsia to a significant extent, the realization that V2R and AQP2 mutant proteins have different cellular fates suggests that "tailored therapy" might ultimately be successful. In patients carrying "milder" V2R mutations resulting in a partial response to AVP and dDAVP (partial NDI), a possible therapeutic benefit of high doses of dDAVP has been suggested. Indeed, in a 7-year-old boy, who encodes the partially ER-retained, but functional, V2R-R104C mutant and has a partial NDI, high doses of dDAVP resulted in a decrease in urinary volume and the disappearance of nocturia, but only when dDAVP was used in combination with a thiazide.<sup>129,130</sup> Because several patients with dominant NDI or partially functional V2Rs seemed to be able to transiently increase their urinary osmolality to 350 mOsm/kg  $\rm H_2O$  after dDAVP infusion (see Tables 18-1 and 18-2), many of these patients might also benefit from high doses of dDAVP. The response, however, might not necessarily be directly coupled to the V2R or AQP2 substitution, in that two brothers carrying the same V2R-R137H substitution and relatives encoding the same AQP2-insA mutant in dominant NDI responded quite differently to dDAVP infusion,<sup>112,131</sup> indicating that other factors than the V2R or AQP2 substitutions can influence the outcome of the therapy.

Besides these tailored treatments, different approaches have been undertaken to overcome the cellular problems of V2R mutants of the different classes, so as to develop alternative, "class-specific" treatments for NDI. If grown to full maturation, these therapies might best be used in combination with the conventional treatments.

For V2R mutants harboring premature stop codons or frameshift mutations in the C-terminal of the third intracellular loop (class I), it has been shown in vitro that functional rescue can be achieved when the mutant is coexpressed with a C-terminal V2R segment overlapping with the V2R segment containing the various mutations.<sup>132</sup> Because the complementing segment cannot function as a receptor on its own, general expression within cells of our body, mediated by gene therapy, would only lead to expression of functional V2Rs in those cells that express the class I mutant receptor. However, because of the difficulties in developing a safe and sustained gene transfer, the interest for gene therapy in NDI has waned during recent years. An alternative approach to provide a therapy for patients harboring stop codon mutations is based on the notion that, depending on surrounding nucleotides, aminoglycoside antibiotics allow the readthrough of termination signals, resulting in full-length proteins. Indeed, a gentamicin analog caused the readthrough of several stop codon V2R mutants in vitro.133 However, considering the toxic effects of these antibiotics on the kidney, the application of such a therapy for NDI in the future is quite unlikely.

Much more promising are the approaches developed for the ER-retained V2R mutant proteins (class II). This is not only because several of these mutants have shown to be able to initiate a cAMP signaling cascade with AVP when expressed in the plasma membrane, but also because the majority of V2R gene mutations are of this class. An important step forward was the discovery that cell-permeable V2R<sup>134</sup> and V1R<sup>135</sup> inverse agonists are able to stabilize the conformation of several V2R mutants at the ER, which allowed their escape from the ER quality control mechanism, their maturation in the Golgi complex, and their further routing to the basolateral plasma membrane (see Fig. 18-3B([1])).<sup>136</sup> In an ideal situation, the antagonist would then dissociate from the receptor, allowing vasopressin to bind and activate the receptor (see Fig. 18-3B([2])) Because of their role in assisting protein folding, these cell-permeable antagonists are termed 'pharmacologic chaperones." The main advantage of the use of pharmacologic chaperones is their high specificity, which is likely to result in a minimum of side effects. Even though clinical data involving pharmacologic chaperones are lacking at present, usage of this class of compounds is a promising approach in curing a large subset of NDI patients and may offer important insights for the treatment of other conformational diseases, i.e., those caused by protein misfolding.

## **Acknowledgments**

The work of J.H. Robben is financed by the grant PC 104 of the Dutch Kidney Foundation to P.M.T. Deen and N.V.A.M. Knoers.

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## Chapter 19

# Genetic Disorders of Calcium and Phosphate Homeostasis

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Calcium and phosphate homeostasis is essential for bone integrity and involves several different hormonal regulators that act on kidney, intestine, and bone. For example, extracellular calcium ion concentration is tightly regulated through the actions of parathyroid hormone (PTH) on kidney and bone. The intact peptide is secreted by the parathyroid glands at a rate that is appropriate to, and dependent upon, the prevailing extracellular calcium ion concentration. Hypercalcemic or hypocalcemic disorders can be classified according to whether they arise from an excess or deficiency of PTH, a defect in the PTH receptor (i.e., the PTH/PTHrP receptor), or an insensitivity to PTH caused by defects downstream of the PTH/PTHrP receptor. Recent advances in understanding the biologic importance of key proteins involved in the regulation of PTH secretion and the responsiveness to PTH in target tissues have led to the identification of molecular defects in a variety of disorders and thus have permitted the characterization of some of the mechanisms involved in the regulation of parathyroid gland development, parathyroid cell proliferation, PTH secretion, and PTHmediated actions in target tissues. Thus, mutations in the calcium-sensing receptor (CaSR) gene have been reported in patients with familial benign (hypocalciuric) hypercalcemia (FBH or FHH), neonatal severe hyperparathyroidism, and autosomal dominant hypocalcemia. Furthermore, the roles of the oncogene PRAD1, which encodes a novel cyclin, and of the multiple endocrine neoplasia type 1 (MEN1) and the hyperparathyroidism-jaw tumor (HPT-JT) genes, in the pathogenesis of some parathyroid tumors have been revealed (Fig. 19-1). In addition, mutations in the genes encoding PTH or GATA3, and in the mitochondrial genome have been demonstrated to be associated with some forms of hypoparathyroidism, and mutations in the PTH/PTHrP receptor gene have been identified in patients with two rare genetic disorders, Jansen's and Blomstrand's chondrodysplasia. Mutations in the GNAS gene, which encodes the stimulatory G protein (Gsa), have been found in individuals with McCune-Albright syndrome, pseudohypoparathyroidism type Ia (PHP-Ia), pseudopseudohypoparathyroidism (pPHP), and progressive osseous heteroplasia, and mutations in presumably regulatory regions upstream of or within GNAS were identified in pseudohypoparathyroidism type Ib (PHP-Ib). Furthermore, the gene associated with the DiGeorge syndrome (DGS) has been identified, and the chromosomal locations for the susceptibility genes that are responsible for the less frequent variants of FBH and Williams syndrome have been established.

Less is known about the hormonal factors involved in the regulation of extracellular phosphate concentration. However,

considerable advances have recently led to the identification and characterization of several proteins that increase renal phosphate excretion (Fig. 19-2). These include fibroblast growth factor 23 (FGF23), which causes, when mutated, autosomal dominant hypophosphatemic rickets (ADHR) or an autosomal recessive form of tumoral calcinosis. Furthermore, patients with oncogenic osteomalacia (OOM) (also referred to as tumor-induced osteomalacia, TIO) and with X-linked hypophosphatemia (XLH) often have significantly increased plasma FGF23 concentrations, and the application of recombinant FGF23 leads to increased urinary phosphate excretion and hypophosphatemia in vivo, indicating that this protein is likely to be a major physiologic regulator of phosphate homeostasis. Other proteins like soluble frizzled-related protein (sFRP4), matrix extracellular phosphoglycoprotein (MEPE), and FGF7 also affect phosphate handling in vivo or in vitro, but their roles in regulating phosphate homeostasis require further exploration. In addition, mutations in PHEX (phosphate-regulating protein with homologies to endopeptidases encoded by a gene on the X chromosome) cause XLH; heterozygous mutations in SLC34A1, the gene encoding the Na/phosphate cotransporter NPT-2a, result in a form of nephrolithiasis that is associated with osteoporosis and hypophosphatemia; homozygous or compound heterozygous mutations in SLC34A3, the gene encoding the recently identified Na/phosphate cotransporter NPT-2c, seem to be a frequent cause of hereditary hypophosphatemic rickets with hypercalciuria (HHRH); mutations in GALNT3, which encodes a glycosyltransferase responsible for initiating mucin-type Olinked glycosylation, are the cause of the hyperphosphatemic, autosomal recessive form of tumoral calcinosis; and a mutation in the type 1 FGF receptor seems to be the cause of a distinct form of autosomal dominant hypophosphatemic rickets associated with craniofacial dysplasia (CFDH). Molecular genetic studies in particular have thus provided important new insights into the pathogenesis of rare disorders of calcium and phosphate homeostasis. These advances will be reviewed in this chapter.

#### REGULATORS OF CALCIUM AND PHOSPHATE HOMEOSTASIS

#### Parathyroid Hormone and PTH-Related Peptide

The PTH gene is located on chromosome 11p15 and consists of three exons that are separated by two introns.<sup>1</sup> Exon 1 of the PTH gene is 85 base pairs (bp) in length and is



TARGET CELL (e.g., kidney, bone, or cartilage)

**Figure 19-1** Schematic representation of some of the components involved in calcium homeostasis. Alterations in extracellular calcium are detected by the calcium-sensing receptor (CaSR), a G protein-coupled receptor. The PTH/PTHrP receptor, which mediates the actions of PTH and PTHrP, is also a G protein-coupled receptor. Thus, Ca<sup>2+</sup>, PTH, and PTHrP involve G protein-coupled signaling pathways and activation of different  $\alpha$ -subunits (i.e., Gs, Gi, and Gq, respectively). Gso stimulates adenylyl cyclase (AC), which catalyzes the formation of cAMP from ATP. Gi inhibits AC activity. cAMP stimulates protein kinase A which phosphorylates cell-specific substrates. Activation of Gq stimulates phospholipase C, which catalyzes the hydrolysis of the phosphoinositide (PIP<sub>2</sub>) to inositol triphosphate (IP<sub>3</sub>), which increases intracellular calcium, and diacylglycerol (DAG), which activates protein kinase C. These proximal signals modulate downstream pathways, which result in specific physiologic effects. Abnormalities in several genes, which lead to mutations in proteins in these pathways, have been identified in specific disorders of calcium homeostasis (see Table 19-1). (Adapted from Thakker R, Jüppner H: Genetics disorders of calcium homeostasis caused by abnormal regulation of parathyoid hormone secretion or responsiveness. In DeGroot L, Jameson J [eds]: Endocrinology. Philadelphia, WB Saunders, 2000, pp 1062–1074.)



Figure 19-2 Roles of FGF23, PHEX, and other proteins in regulating phosphate homeostasis. Wild-type FGF23, possibly representing a putative "phosphatonin", is produced in abundant amounts by tumors that cause OOM. FGF23 seems to mediate its actions through one of the known FGF receptors, with Klotho being a plausible co-receptor.<sup>34,35,35a</sup> As a result of these actions NPT-2a and NPT-2c expression is diminished, thus reducing tubular phosphate reabsorption and leading to urinary phosphate wasting. Wild-type FGF23 seems to be cleaved into an inactive protein. This process may involve, directly or indirectly, PHEX, an endopeptidase that is most abundantly expressed on osteoblasts and osteocytes. Genetic mutations, rendering FGF23 resistant to cleavage (FGF23<sup>act</sup>) lead to increased phosphaturic activity in renal tubules. Homozygous inactivating mutations in the genes encoding FGF23 or GALNT3, which have been identified in patients with familial forms of tumoral calcinosis and hyperostosis with hyperphosphatemia<sup>264-266,270</sup>; both mutations lead to the generation of excess amounts of C-terminal FGF23 fragments, which are likely to be biologically inactive. Note that other proteins produced by OOM tumors, such as MEPE or sFRP4, have also been implicated in renal phosphate wasting.<sup>45,46</sup> MEPE is a 525-amino acid protein that is a member of the short integrin-binding ligand N-linked glycoprotein (SIBLING) family, which includes also osteopontin and dentin matrix protein 1. Although MEPE administration to mice leads to hypophosphatemia and hyperphosphaturia,<sup>46</sup> it results also in a dose-dependent increase of serum 1,25(OH)<sub>2</sub> vitamin D and a decrease in serum alkaline phosphatase; these features are not observed in patients with XLH and OOM.<sup>350,351</sup> sFRPs, an extracellular inhibitor of Wnt signaling, inhibits sodiumdependent phosphate transport in OK cells, and sFRP4 infusion in rats results in hypophosphatemia and hyperphosphaturia, continued

untranslated (Fig. 19-3), whereas exons 2 and 3 encode the 115-amino acid pre-proPTH peptide. Exon 2 is 90 bp in length and encodes the initiation (ATG) codon, the prehormone sequence, and part of the prohormone sequence. Exon 3 is 612 bp in size and encodes the remainder of the prohormone sequence, the 84 amino acids composing the mature PTH peptide, and the 3' untranslated region.<sup>2</sup> The 5' regulatory sequence of the human PTH gene contains a vitamin D response element 125 bp upstream of the transcription start site, which downregulates PTH messenger RNA (mRNA) transcription in response to vitamin D receptor binding.<sup>3,4</sup> PTH gene transcription (as well as PTH peptide secretion) is also dependent on the extracellular calcium and phosphate<sup>5,6</sup> concentration, although the presence of specific upstream "calcium or phosphate response element(s)" has not yet been demonstrated.<sup>7,8</sup> The secretion of mature PTH from the parathyroid chief cell is regulated through a G proteincoupled CaSR, which is also expressed in renal tubules and in several other tissues, albeit at lower abundance. PTH mRNA is first translated into a pre-proPTH peptide. The "pre" sequence consists of a 25-amino acid signal peptide (leader sequence), which is responsible for directing the nascent peptide into the endoplasmic reticulum to be packaged for secretion from the cell.9 The "pro" sequence is 6 amino acids in length and, although its function is less well defined than that of the "pre" sequence, it is also essential for correct PTH processing and secretion.<sup>9</sup> After the mature PTH peptide containing 84 amino acids is secreted from the parathyroid cell, it is cleared from the circulation with a short half-life of about 2 minutes, via nonsaturable hepatic and renal uptake.<sup>10</sup>

The PTH-related peptide (PTHrP; also known as PTHrH, PTH-related hormone), which was discovered and characterized almost two decades ago, most probably shares an evolutionary origin with PTH.11-13 PTHrP has, at least in mammals, both chemical and functional overlaps with PTH. Thus, when secreted in large concentrations, for example, by certain tumors, PTHrP has PTH-like properties. Typically, however, it functions as an autocrine/paracrine rather than an endocrine factor.<sup>14</sup> PTHrP is a larger, more complex protein than PTH and is synthesized at multiple sites in different organs and tissues.<sup>15,16</sup> One of its most prominent functions is the regulation of chondrocyte proliferation and differentiation and, consequently, bone elongation and growth.<sup>17</sup> The human PTHrP gene is located on chromosome 12p12.1-p11.2, which has a region analogous to that containing the human PTH gene on chromosome 11p15.15,16 Both the PTH and the PTHrP genes have a similar organization, including equivalent positions of the boundaries between some of the coding exons and the adjacent introns (see Fig. 19-3).<sup>10,15,16</sup> Like the PTH gene, the PTHrP gene contains a single exon that encodes most amino acid residues of the prepropeptide sequence, and both genes have an exon that encodes the remainder of the

without altering serum PTH or 1,25(OH)<sub>2</sub> vitamin D concentrations<sup>45</sup>; however, the putative role of sFRP4 in skeletal homeostasis remains to be established. Furthermore, FGF7 was recently shown to regulate phosphate uptake in vitro.<sup>44</sup> (Adapted from Holm IA, Econs MJ, Carpenter TO [eds]: Familial Hypophosphatemia and Related Disorders. San Diego, Academic Press, 2003, pp 603–631.)



Figure 19-3 Schematic representation of the genes encoding PTH (A) and PTHrP (B). The introns are represented by a solid line; the coding and noncoding exons are shown. Met indicates the initiator methionine of the PrePro sequences. Numbers, representing amino acid positions, indicate the first and the last amino acid residue encoded by the different exons.

propeptide sequence, that is, two basic residues (lysine and arginine) that are required for endoproteolytic cleavage of the mature peptide. Overall, however, the PTHrP gene is more complex than the PTH gene, in that it uses at least three different promoters and alternative splice patterns that lead to the synthesis of several different mRNA species encoding peptides with different C-terminal ends.<sup>10,16</sup>

PTH and PTHrP both mediate their actions through a common receptor (see Fig. 19-1).<sup>18,19</sup> This PTH/PTHrP receptor is a member of a subgroup of G protein–coupled receptors; its gene is located on chromosome 3p21.3.<sup>20,21</sup> The PTH/PTHrP receptor is highly expressed in kidney and bone, where it mediates the endocrine actions of PTH. However, the most abundant expression of the PTH/PTHrP receptor occurs in chondrocytes of the metaphyseal growth plate where it mediates predominantly the autocrine/paracrine actions of PTHrP—in other words, it delays the hypertrophic differentiation of growth plate chondrocytes.<sup>10,17,22</sup> Mutations involving the genes that encode PTH, the CaSR, the PTH/PTHrP receptor, and Gs $\alpha$  all affect the regulation of calcium homeostasis and can thus be associated with genetic disorders characterized by hypercalcemia or hypocalcemia; mutations in PTHrP have not yet been described (Table 19-1).

## Vitamin D and Its Metabolites

The biosynthesis, bioactivation, and physiologic actions of vitamin D involve several organs and regulatory steps. Despite fortification of foods with vitamin D, most of the vitamin D in healthy, active individuals is derived via the cutaneous synthesis pathway.<sup>23,24</sup> Thus, in the skin, 7-dehydrocholesterol is converted to cholecalciferol (vitamin D<sub>3</sub>) by ultraviolet light (wavelength 290–310 nm).<sup>23</sup> Ergocalciferol (vitamin  $D_2$ ) is the product of cutaneous ultraviolet irradiation of ergosterol, which is obtained from ingested animal or plant tissues or therapeutic supplements. Vitamin D should be regarded as a steroid hormone, not a nutrient, because it undergoes a series of bioactivation steps and binds to a nuclear receptor as follows. Vitamin D<sub>2</sub> and vitamin D<sub>3</sub> are prohormones that are transported by a high-affinity binding protein in the blood, to muscle or fat for storage, or to the liver and subsequently the kidney for bioactivation.<sup>23,24</sup> Vitamin D is hydroxylated in hepatocyte mitochondria by the enzyme P450c25, to form the

25-hydroxyvitamin D metabolite (25[OH]D), which is also called calcidiol. 25(OH)D is further hydroxylated in renal proximal convoluted tubular cells by the enzyme 25-hydroxyvitamin D,  $1\alpha$ -hydroxylase ( $1\alpha$ -hydroxylase) to yield the potent, biologically active metabolite 1,25-dihydroxyvitamin D (1,25[OH]<sub>2</sub>D), which is also called calcitriol.<sup>23,24</sup> The activity of 1\alpha-hydroxylase is controlled by extracellular concentrations of ionized calcium, inorganic phosphate, PTH, and most likely FGF23. Calcitriol circulates to target organs (e.g., intestine, bones, kidneys, and parathyroids), where it binds to the intracellular vitamin D receptor (VDR).<sup>25</sup> The VDR activates the transcription of downstream genes in bone, kidney, and enterocytes to ensure adequate extracellular concentration of calcium and phosphate by increasing gut absorption of calcium, increased urinary calcium reclamation by the kidneys, increased bone resorption, and suppression of PTH synthesis.<sup>24,25</sup> Mutations in the genes encoding the  $1\alpha$ -hydroxylase and VDR are associated with some forms of rickets.<sup>23,24</sup>

## Fibroblast Growth Factor 23 and Other Proteins with Phosphaturic Properties

FGF23 belongs to a large family of structurally related proteins. It was isolated through several independent approaches, including the homology-based search of genomic databases<sup>26</sup> and the sequence analysis of a large number of complementary DNAs (cDNAs) from tumors responsible for oncogenic osteomalacia.<sup>27</sup> However, it was primarily its identification through a positional cloning approach to determine the cause of ADHR<sup>28</sup> that provided first evidence for its involvement in the regulation of phosphate homeostasis. Consistent with such a biologic role, FGF23 mRNA and protein were found to be markedly overexpressed in tumors that cause oncogenic osteomalacia, suggesting that FGF23 promotes, either directly or indirectly, renal phosphate excretion.<sup>29,30</sup> The human FGF23 gene consists of three exons that span 10 kilobases (kb) of genomic sequence and encodes a 251-amino acid precursor protein comprising a hydrophobic amino acid sequence (residues 1–24), which probably serves as a leader sequence. Unlike most other FGFs, FGF23 thus appears to be efficiently secreted into the circulation.

FGF23 is most closely related to FGF21, FGF19, and FGF15 but shows limited homology also with other fibroblast growth

Primary Metabolic Abnormality	Disease	Inheritance	Gene Product	Chromosomal Location
Hypercalcemia	Multiple endocrine neoplasia type 1	Autosomal dominant	Menin	11q13
, i	Multiple endocrine neoplasia type 2	Autosomal dominant	Ret	10q11.2
	Hereditary hyperparathyroidism and jaw tumors (HPTJT)	Autosomal dominant	Parafibromin	1q31.1
	Hyperparathyroidism	Sporadic	PRAD1/CCND1	11q13
			Retinoblastoma	13q14
			Unknown	1p32-pter
	Parathyroid carcinoma	Sporadic	Parafibromin	1q25
	Familial benign hypercalcemia (FBH)			
	FBH1 FBH <sub>19p</sub>	Autosomal dominant	CaSR	3q21.1
	FBH2	Autosomal dominant	Unknown	19p13
	FBH3 (FBH <sub>OK</sub> )	Autosomal dominant	Unknown	19q13
	Neonatal severe hyperparathyroidism (NSHPT)	Autosomal recessive Autosomal dominant	CaSR	3q21.1
	Jansen's disease	Autosomal dominant	PTHR/PTHrPR	3p21.3
	Williams syndrome	Autosomal dominant	Elastin, LIMK (and other genes)	7q11.23
	McCune-Albright syndrome	Somatic mutations during early embryonic development?	GNAS exon 8, R201	20q13.3
Hypocalcemia	Isolated hypoparathyroidism	Autosomal dominant	PTH	11p15*
71		Autosomal recessive	PTH, GCMB	11p15*, 6p24.2
		X-linked recessive	SOX3	Xq26-27
	Hypocalcemic hypercalciuria	Autosomal dominant	CaSR	3q21.1
	Hypoparathyroidism associated with polyglandular autoimmune syndrome	Autosomal recessive	AIRE1	21q22.3
	Hypoparathyroidism associated with KSS, MELAS, and MTPDS	Maternal	Mitochondrial genome	
	Hypoparathyroidism associated with complex congenital syndromes			
	DiGeorge	Autosomal dominant	TBX1	22g11.2/10p
	HDR syndrome	Autosomal dominant	GATA3	10p14
	Blomstrand lethal chondrodysplasia	Autosomal recessive	PTHR/PTHrPR	3p21.3
	Kenney-Caffey, Sanjad-Sakati	Autosomal dominant/recessive	TBCE	1q42.3
	Barakat	Autosomal recessive <sup>†</sup>	Unknown	Ś
	Lymphedema	Autosomal recessive	Unknown	Ś
	Nephropathy, nerve deafness	Autosomal dominant <sup>†</sup>	Unknown	Ś
	Nerve deafness without renal dysplasia	Autosomal dominant	Unknown	Ś
	Pseudohypoparathyroidism (type la)	Autosomal dominant, parentally imprinted	GNAS exons 1–13	20q13.3
	Pseudohypoparathyroidism (type Ib)	Autosomal dominant,	Deletions within or upstream	20q13.3
		parentally imprinted	of GNAS locus	

## Table 19-1 Diseases of Calcium and Phosphorus Homeostasis and Their Chromosomal Locations

continued

Primary Metabolic Location	Disease	Inheritance	Gene Product	Chromosomal Abnormality
Hyperphosphatemia	Tumoral calcinosis Hyperostosis with hyperphosphatemia	Autosomal recessive Autosomal recessive	GALNT3/FGF23 GALNT3	2q24-q31/12p13 2q24-q31
Hypophosphatemia	X-linked hypophosphatemia Autosomal dominant hypophosphatemic rickets (ADHR) Craniofacial dysplasia with hypophosphatemia (CFDH) Nephrolithiasis with osteoporosis and hypophosphatemia Hereditary hypophosphatemic rickets with hypercalciuria (HHRH) Lowe syndrome Dent disease Vitamin D-independent rickets type I (VDDR I; pseudovitamin D deficiency)	X-linked, dominant Autosomal dominant Autosomal dominant Autosomal recessive Autosomal recessive X-linked, recessive Autosomal recessive	PHEX FGF23 FGF receptor type I NPT-2a NPT-2c OCRL1 CLCN5 1α-hydroxylase, P450c1α	Xp22.1 12p13 8p11.2-p11.1 5q35 9q34 Xq25-q26 Xp11.22 12q13
	Vitamin D–dependent rickets type II (VDDR II)	Autosomal recessive	Vitamin D receptor (VDR)	12q12–q14

## Table 19-1 Diseases of Calcium and Phosphorus Homeostasis and Their Chromosomal Locations—Cont'd

HDR, hypoparathyroidism, deafness, renal dysplasia; KSS, Kearns-Sayre syndrome; MELAS, mitochondrial encephalopathy, strokelike episodes, and lactic acidosis; MTPDS, \*Mutations in the PTH gene identified only in some families with hypoparathyroidism.
 \*Most likely inheritance shown; location not known.

factors.<sup>28,30</sup> FGF23 mRNA could not be detected by Northern blot analysis in normal tissues, but it has been identified by reverse transcriptase–polymerase chain reaction in heart, liver, thymus, small intestine, brain, and osteoblasts, and by in situ hybridizations and through the use of *LacZ* fused to the endogenous mouse *Fgf23* promoter in bone cells.<sup>28,30–32</sup> A mutation in the type 1 FGF receptor (FGFR1) gene was recently shown to be the cause of a rare variant of ADHR associated with craniofacial dysplasia.<sup>33</sup> It furthermore appears that the type C splice variant of FGFR1, one of the other three FGF receptors, interacts with FGF23, possibly in conjunction with the Klotho gene product, which may be a co-receptor for FGF23.<sup>34,35</sup> In remains uncertain, however, whether additional, yet unknown receptors interact with FGF23.

Consistent with the hypothesis that FGF23 has phosphaturic properties (see Fig. 19-2), mice receiving the recombinant protein intraperitoneally and nude mice transplanted with cell lines stably expressing FGF23 develop hypophosphatemia due to increased urinary phosphate excretion. 30,36,37 Furthermore, these animals show increased alkaline phosphatase activity and low serum concentration of 1,25-dihydroxyvitamin D<sub>3</sub> (1,25[OH]<sub>2</sub> vitamin D<sub>3</sub>), a marked increase of unmineralized osteoid, and significant widening of growth plates leading to deformities of weight-bearing bones. Similarly transgenic mice expressing FGF23 under the control of different promoters develop hypophosphatemia, renal phosphate wasting, and abnormal bone development.<sup>38-40</sup> Opposite findings were made in mice homozygous for the ablation of the Fgf23 gene  $(Fgf23^{-/-})$ —that is, these animals develop hyperphosphatemia and elevated serum 1,25(OH)<sub>2</sub> vitamin D<sub>3</sub> concentration, and they die prematurely, partly because of renal failure secondary to calcifications of glomerular capillaries.<sup>32,41</sup> Fgf23<sup>-/-</sup> animals furthermore showed reduced bone turnover, an unexpected increased osteoid, and diminished osteoblast and osteoclast number and activity.41

Based on these findings, FGF23 is likely to be an important endocrine regulator of phosphate homeostasis (see Fig. 19-2). It remains uncertain, however, whether FGF23 acts directly or indirectly on renal tubular cells in vivo, because results from in vitro experiments with recombinant FGF23 using opossum kidney cells are inconsistent. Whereas one group showed an inhibitory effect of recombinant FGF23 on phosphate transport that was abolished by heparin,<sup>42</sup> another group showed that heparin was essential for the inhibitory effect of FGF23,43 and a third group using a different opossum kidney cell subclone and experimental conditions without heparin failed to document an inhibition of phosphate uptake.<sup>30</sup> Furthermore, it remains uncertain whether the severe secondary hyperparathyroidism observed when FGF23 is expressed in transgenic animals contributes significantly to the changes in mineral ion homeostasis.38,40

Besides FGF23, MEPE, sFRP, and FGF7 were also shown to be over-represented in OOM tumor–derived cDNA libraries,<sup>27,44</sup> and all three affect phosphaturic handling in vivo and/or in vitro (see Fig. 19-2).<sup>44–46</sup> However, at least sFRP does not appear to circulate at elevated concentrations in patients with OOM,<sup>45</sup> making it less likely that sFRP has a major role in phosphate regulation. Furthermore, the mammalian homologs of stanniocalcin 1 and stanniocalcin 2 stimulate and inhibit renal phosphate reabsorption, respectively,<sup>47–49</sup> but their biologic importance remains unknown. Besides FGF23, several additional proteins may thus be involved in the regulation of phosphate homeostasis (see Fig. 19-2), but the underlying regulatory pathways remain to be explored.

In summary, protein purification and molecular cloning techniques, and particularly the exploration of rare genetic disorders through positional cloning or candidate gene approaches, have provided important new insights and unique molecular tools, which will help in determining the pathogenesis of common and uncommon disorders associated with an abnormal regulation of calcium and phosphate.

#### HYPERCALCEMIA AND HYPOPHOSPHATEMIA DUE TO INCREASED PARATHYROID GLAND ACTIVITY

Similar to the findings in other tumor syndromes, the abnormal expression of an oncogene or the loss of a tumor suppressor gene can result in an abnormal proliferative activity of parathyroid cells, and the molecular exploration of these genes has provided important novel insights into the pathogenesis of different forms of hyperparathyroidism. Oncogenes are genes whose abnormal expression may transform a normal cell into a tumor cell. The normal form of the gene is referred to as a proto-oncogene, and a single mutant allele may affect the phenotype of the cell; these genes may also be referred to as dominant oncogenes (Fig. 19-4A). The mutant versions (i.e., the oncogenes), which are usually excessively or inappropriately active, may arise because of point mutations, gene amplifications, or chromosomal translocations. Tumor suppressor genes, also referred to as recessive oncogenes or antioncogenes, normally inhibit cell proliferation, whereas their mutant versions in cancer cells have lost their normal function. To transform a normal cell into a tumor cell, both alleles of the tumor suppressor gene must be inactivated. Inactivation arises by point mutations, or, alternatively, by small or larger intragenic deletions that can involve substantial genomic portions or a whole chromosome. Larger deletions may be detected by cytogenetic methods, by Southern blot analysis, or by analysis of polymorphic markers based on polymerase chain reaction. Typically, genomic DNA from the patient's tumor cells lack, in comparison to genomic DNA from other cells (e.g., leukocytes), certain chromosomal regions; this finding is therefore referred to as loss of heterozygosity (LOH) (Fig. 19-4B). Finding LOH therefore suggests an inactivating mutation or deletion in the other allele.

#### **Parathyroid Tumors**

Parathyroid tumors may occur as an isolated and sporadic endocrinopathy, or as part of inherited tumor syndromes<sup>50</sup> such as the multiple endocrine neoplasias (MEN) or hereditary hyperparathyroidism with jaw tumors (HPT-JT),<sup>51</sup> or in response to chronic overstimulation as in uremic hyperparathyroidism.<sup>52</sup> Genetic analyses of kindreds with MEN1 and MEN2A, and of tumor tissue from patients with single parathyroid adenomas have shown that some of the molecular mechanisms known to be involved in tumor genesis can also be responsible for the development of hyperparathyroidism.

Our current understanding indicates that sporadic parathyroid tumors are caused by single somatic mutations that lead



**Figure 19-4** Schematic illustration of the molecular defects that can lead to the development of parathyroid tumors. **A**, A somatic mutation (point mutation or translocation) affecting a proto-oncogene (e.g., *PRAD1* or *RET*) results in a growth advantage of single parathyroid cell and thus its clonal expansion. **B**, An inherited single-point mutation or deletion affecting a tumor suppressor gene (first hit) makes the parathyroid cell susceptible to a second, somatic "hit" (point mutation or deletion, i.e., LOH), which then leads to the clonal expansion of a single cell.

to the activation or overexpression of proto-oncogenes such as *PRAD1* (parathyroid adenoma 1) or *RET* (see Fig. 19-4A). Furthermore, different tumor suppressor genes affecting the parathyroid glands are predicted to be located on several different chromosomes, and in a significant number of patients, LOH has been documented for one of these loci. For all these somatic mutations, a single-point mutation or a deletion provides a growth advantage of a single parathyroid cell and its progeny leading to their clonal expansion.

In hereditary forms of the disease, two distinct, sequentially occurring molecular defects are observed. The first "hit" (point mutation or deletion) is an inherited genetic defect, which affects only one allele that comprises a gene encoding an anti-oncogene (see Fig. 19-4B). Subsequently, a somatic mutation or deletion affecting the second allele occurs in a single parathyroid cell and this mutation leads, because of the resulting growth advantage, to its monoclonal expansion and thus the development of parathyroid tumors. Examples of this latter molecular mechanism in the development of hyperparathyroidism are the inactivation of tumor suppressor genes such as the multiple endocrine neoplasia type 1 (MEN1) gene, the hyperparathyroidism-jaw tumor (HPT-JT) gene, the retinoblastoma (Rb) gene, and a yet unknown gene located on chromosome 1p (see Table 19-1).

#### PRAD1 Gene

Investigations of the PTH gene in sporadic parathyroid adenomas detected abnormally sized restriction fragment length polymorphisms (RFLPs) with a DNA probe for the 5' part of the PTH gene in some adenomas,53 indicating disruption of the gene. Further studies of the tumor DNA demonstrated that the first exon of the PTH gene (see Fig. 19-3) was separated from the fragments containing the second and third exons, and that a rearrangement had occurred juxtaposing the 5' PTH regulatory elements with "new" non-PTH DNA.54 This rearrangement was not found in the DNA from the peripheral leukocytes of the patients, thereby indicating that it represented a somatic event and not an inherited germline mutation. Investigation of this rearranged DNA sequence localized it to chromosome 11q13, and detailed analysis revealed that it was highly conserved in different species and expressed in normal parathyroids and in parathyroid adenomas. The protein expressed as a result of this rearrangement, which was designated PRAD1 (parathyroid adenoma 1), was demonstrated to encode a 295-amino acid member of the cyclin D family of cell cycle regulatory proteins. Cyclins were initially characterized in the dividing cells of budding yeast where they controlled the G<sub>1</sub> to S transition of the cell cycle and in marine mollusks where they regulated the mitotic phase (M phase) of the cell cycle.55 Cyclins have also been identified in humans and have an important role in regulating many stages of cell cycle progression. Thus, PRAD1, which encodes a novel cyclin referred to as cyclin D1 (CCND1), is an important cell cycle regulator, and overexpression of PRAD1 may be an important event in the development of at least 15% of sporadic parathyroid adenomas.56,57

Interestingly, more than 66% of the transgenic mice overexpressing PRAD1 under the control of a mammary tissue– specific promoter were found to develop breast carcinoma in adult life,<sup>58</sup> and expression of this proto-oncogene under the control of the 5' regulatory region of the PTH gene resulted in mild-to-moderate chronic hyperparathyroidism.<sup>56,57</sup> Taken together, these findings in transgenic animals provide further evidence for the conclusion that PRAD1 can be involved in the development of a significant number of parathyroid adenomas.

## The MEN1 Gene

MEN1 is characterized by the combined occurrence of tumors of the parathyroids, pancreatic islet cells, and anterior pituitary (Table 19-2).<sup>59,60</sup> Parathyroid tumors occur in 95% of MEN1 patients, and the resulting hypercalcemia is the first manifestation of MEN1 in about 90% of patients. Pancreatic islet cell tumors occur in 40% of MEN1 patients and gastrinomas, leading to the Zollinger-Ellison syndrome, are the most common type and also the important cause of morbidity and mortality in MEN1 patients. Anterior pituitary tumors occur in 30% of MEN1 patients, with prolactinomas representing the most common type. Associated tumors, which may also occur in MEN1, include adrenal cortical tumors, carcinoid tumors, lipomas, angiofibromas, and collagenomas.<sup>60,61</sup> The gene linked to MEN1 was localized to a region smaller than 300 kb on chromosome 11q13 by genetic

Type (Chromosomal Location)	Tumors
MENI (11q13)	Parathyroids Pancreatic islets Gastrinoma Insulinoma Glucagonoma VIPoma Ppoma Pituitary (anterior) Prolactinoma Somatotrophinoma Corticotrophinoma Nonfunctioning Associated tumors Adrenal cortical Carcinoid Lipoma Angiofibromas Collagenomas
MEN2 (10cen-10q11.2)	
MEN2a	Medullary thyroid carcinoma Pheochromocytoma Parathyroid
MTC-only	Medullary thyroid carcinoma
MEN2b	Pheochromocytoma Medullary thyroid carcinoma Associated abnormalities Mucosal neuromas Marfanoid habitus Medullated corneal nerve fibers Megacolon

\*Autosomal dominant inheritance of the MEN syndromes has been established.

VIPoma, vasoactive intestinal polypeptide tumor; Ppoma, pancreatic polypeptide tumor.

mapping studies that investigated MEN1-associated tumors for LOH and by segregation studies in MEN1 families.<sup>62</sup> The results of these studies, which were consistent with Knudson's model for tumor development, indicated that the MEN1 gene represented a putative tumor suppressor gene (see Fig. 19-4B). Characterization of genes from this region led to the identification of the MEN1 gene, which consists of 10 exons that encode a novel 610-amino acid protein, referred to as "Menin".<sup>63,64</sup> More than 600 germline MEN1 mutations have been identified, and the majority (>80%) are inactivating and are consistent with its role as a tumor suppressor gene.<sup>65</sup> These mutations are diverse in their types; about 25% are nonsense, about 45% are deletions, about 15% are insertions, fewer than 5% are donor-splice mutations, and about 10% are missense mutations.<sup>62,65,66</sup> In addition, the MEN1 mutations are scattered throughout the 1,830-bp coding region of the gene with no evidence for clustering. Correlations between the *MEN1* germline mutations and the clinical manifestations of the disorder seem to be absent.<sup>32,65–67</sup> Tumors from MEN1 patients and non-MEN1 patients have been observed to harbor the germline mutation together with a somatic LOH involving chromosome 11q13, as expected from Knudson's model and the proposed role of the *MEN1* gene as a tumor suppressor.<sup>68–78</sup> The role of the *MEN1* gene in the etiology of familial isolated hyperparathyroidism (FIHP) has also been investigated, and germline *MEN1* mutations have been reported in fewer than 20 families with FIHP.<sup>68,79,80</sup> The sole occurrence of parathyroid tumors in these families is remarkable, and the mechanisms that determine the altered phenotypic expressions of these mutations remain to be authenticated.

Menin seems to have multiple functions in transcriptional regulation, genome stability, and cell division. Menin is predominantly a nuclear protein in nondividing cells, but in dividing cells it is found in the cytoplasm. Menin has been shown to interact with several proteins that are involved in transcriptional regulation, genome stability, and cell division. Thus, in transcriptional regulation, Menin has been shown to interact with: the activating protein-1 (AP1) and the transcription factors JunD and C-Jun to suppress Jun-mediated transcriptional activation<sup>81</sup>; certain members-for example, p50, p52, and p65—of the nuclear factor-κB (NFκB) family of regulators to repress NFkB-mediated transcriptional activation<sup>82</sup>; members of the Smad family, Smad3 and the Smad 1/5 complex, to inhibit the transforming growth factor (TGF $\beta$ ) and the bone morphogenetic protein-2 (BMP-2) signaling pathways, respectively<sup>83,84</sup>; and to inhibit expression of the mouse placental embryonic (Pem) gene (current name *Rhox5*), which encodes a homeobox-containing protein.<sup>85</sup> A role for Menin in controlling genome stability has been proposed because of its interactions with: a subunit of replication protein (RPA2), which is a heterotrimeric protein required for DNA replication, recombination, and repair<sup>86</sup>; and the tumor metastases suppressor NM23-H1/nucleoside diphosphate kinase, which induces guanosine triphosphatase activity.<sup>87</sup> A role for Menin in cell division and the cytoskeleton has been proposed because of its interactions with the nonmuscle heavy chain IIA, the glial fibrillary acidic protein, and vimentin-all of which are involved in the intermediatefilament network.<sup>88</sup> Thus, Menin seems to have a large number of potential functions through its interactions with several different proteins. However, whether these alter cell proliferation mechanisms independently or act via a single pathway remains to be elucidated.

## The MEN2 Gene (c-RET)

MEN2 describes the association of medullary thyroid carcinoma (MTC), pheochromocytomas, and parathyroid tumors.<sup>59,62</sup> Three clinical variants of MEN2 are recognized: MEN2a, MEN2b, and MTC-only (see Table 19-2). MEN2a is the most common variant, and the development of MTC is associated with pheochromocytomas (50% of patients), which may be bilateral, and parathyroid tumors (20% of patients). MEN2b, which represents 5% of all MEN2 cases, is characterized by the occurrence of MTC and pheochromocytoma in association with a Marfanoid habitus, mucosal neuromas, medullated corneal fibers, and intestinal autonomic ganglion dysfunction leading to multiple diverticulae and megacolon. Parathyroid tumors do not usually occur in MEN2b. MTConly is a variant in which medullary thyroid carcinoma is the sole manifestation of the syndrome. The gene causing all three MEN2 variants was mapped to chromosome 10cen-10g11.2, a region containing the RET proto-oncogene, which encodes a tyrosine kinase receptor with cadherin-like and cysteine-rich extracellular domains, and a tyrosine kinase intracellular domain.<sup>89,90</sup> Specific mutations of RET have been identified for each of the three MEN2 variants. Thus in 95% of patients, MEN2a is associated with mutations of the cysteine-rich extracellular domain, and mutations in codon 634 (Cys $\rightarrow$ Arg) account for 85% of MEN2a mutations. However, a search for RET mutations in sporadic non-MEN2a parathyroid adenomas revealed no codon 634 mutations.<sup>91,92</sup> MTC-only is also associated with missense mutations in the cysteine-rich extracellular domain, and most mutations are in codon 618. However, MEN2b is associated with mutations in codon 918  $(Met \rightarrow Thr)$  of the intracellular tyrosine kinase domain in 95% of patients. Interestingly, the RET proto-oncogene is also involved in the etiology of papillary thyroid carcinomas and in Hirschsprung's disease. Mutational analysis of RET to detect mutations in codons 609, 611, 618, 634, 768, and 804 in MEN2a and MTC-only, and codon 918 in MEN2b, has been used in the diagnosis and management of patients and families with these disorders.<sup>90,93</sup>

### The Hyperparathyroidism–Jaw Tumor Syndrome Gene

The HPT-JT syndrome is an autosomal dominant disorder characterized by the development of parathyroid adenomas and carcinomas, and fibro-osseous jaw tumors.<sup>94,95</sup> In addition, some patients may also develop Wilms' tumors, renal cysts, renal hamartomas, renal cortical adenomas, papillary renal cell carcinomas, uterine tumors, pancreatic adenocarcinomas, testicular mixed germ cell tumors with a major seminoma component, and Hurthle cell thyroid adenomas.<sup>51,96</sup> It is important to note that the parathyroid tumors may occur in isolation and without any evidence of jaw tumors, and this may cause confusion with other hereditary hypercalcemic disorders such as MEN1, FBH (also referred to as familial hypocalciuric hypercalcemia, FHH), and FIHP.97 HPT-JT can be distinguished from FBH, in that in FBH serum calcium levels are elevated during the early neonatal or infantile period whereas in HPT-JT such elevations are uncommon in the first decade. In addition, HPT-JT patients, unlike FBH patients, will have associated hypercalciuria. The distinction between HPT-JT patients and MEN1 patients, who have only developed the usual first manifestation of hypercalcemia (>90% of patients), is more difficult and is likely to be influenced by operative and histologic findings, and by the occurrence of other characteristic lesions in each disorder. It is important to note that HPT-JT patients will usually have single adenomas or a carcinoma, whereas MEN1 patients will often have multiglandular parathyroid disease. The distinction between FIHP and HPT-JT in the absence of jaw tumors is difficult but important, because HPT-JT patients may be at a higher risk of developing parathyroid carcinomas.<sup>98–100</sup> These distinctions may be helped by the identification of additional features, and a search for jaw tumors, renal, pancreatic, thyroid, and testicular abnormalities may help to identify HPT-JT patients. The jaw tumors in HPT-JT are different from the brown

tumors observed in some patients with primary hyperparathyroidism, and do not resolve after parathyroidectomy.97 Indeed ossifying fibromas of the jaw are an important distinguishing feature of HPT-IT from FIHP, and the occurrence of these may occasionally precede the development of hypercalcemia in HPT-JT patients by several decades. The gene linked to HPT-JT is located on chromosome 1q31.1, and consists of 17 exons that encode a ubiquitously expressed 531-amino acid protein, designated parafibromin.51,101 This gene is also referred to as HRPT2 (i.e., hyperparathyroidism type 2). Germline mutations that predict truncated forms of parafibromin have been reported in HPT-JT families<sup>101-103</sup> and also in patients with nonfamilial isolated parathyroid carcinomas.<sup>102</sup> In addition, heterozygous somatic mutations have been reported in parathyroid carcinomas or atypical adenomas, and these all predict premature termination and truncated proteins.<sup>102,103</sup> These germline and somatic mutations are scattered throughout the coding region, and a genotype-phenotype correlation has not yet been established. In addition, the role of parafibromin in tumorigenesis and its normal function remain to be elucidated.

## **RB** Gene

The RB gene, which is a tumor suppressor gene<sup>104</sup> located on chromosome 13q14, is involved in the pathogenesis of retinoblastomas, and a variety of common sporadic human malignancies including ductal breast, small-cell lung, and bladder carcinomas. Allelic deletion of the RB gene has been demonstrated in all parathyroid carcinomas and in 10% of parathyroid adenomas,<sup>105,106</sup> and was accompanied by abnormal staining patterns for the RB protein in 50% of the parathyroid carcinomas but in none of the parathyroid adenomas.<sup>105</sup> These results demonstrate an important role for the RB gene in the development of parathyroid carcinomas, and may be of help in the histologic distinction of parathyroid adenoma from carcinoma.<sup>105</sup> However, the findings of extensive deletions of the long arm of chromosome 13 (including the RB locus) in some parathyroid adenomas and carcinomas,<sup>106</sup> and similar findings in pituitary carcinomas<sup>107</sup> suggest that other tumor suppressor genes on chromosome 13q may also have a role in the development of such tumors.

#### Gene on Chromosome 1p

LOH studies have revealed allelic loss of chromosome 1p32pter in 40% of sporadic parathyroid adenomas.<sup>108</sup> This region is estimated to be about 110 cM, equivalent to about 110 million base pairs (Mbp) of DNA, but additional studies have narrowed the interval containing this putative tumor suppressor gene(s) to an approximately 4 cM (~4 Mbp) region.<sup>109</sup>

## Nonsyndromic Familial Isolated Hyperparathyroidism

FIHP may represent an incomplete manifestation of a syndromic form such as MEN1, FHH, or HPT-JT.<sup>80,101,110</sup> However, it is important to note that the genetic etiology of nonsyndromic FIHP in the majority of families remains to be elucidated.<sup>111,112</sup> Thus, studies of 32 kindreds with nonsyndromic FIHP for mutations of the MEN1, CaSR, and HPT-JT genes have revealed that only one family harbored a germline mutation, and this involved the HPT-JT gene that encodes parafibromin.<sup>111,112</sup> Thus, the genes and their underlying abnormalities that lead to nonsyndromic FIHP remain to be identified.

## Hyperparathyroidism in Chronic Renal Failure

Chronic renal failure is often associated with a form of secondary hyperparathyroidism that may subsequently result in the hypercalcemic state of "tertiary" hyperparathyroidism. The parathyroid proliferative response in this condition led to the proposal that the autonomous parathyroid tissue might have undergone hyperplastic change and therefore be polyclonal in origin. However, studies of X-chromosome inactivation in parathyroids from patients on hemodialysis with refractory hyperparathyroidism have revealed at least one monoclonal parathyroid tumor in more than 60% of patients.<sup>52</sup> In addition, LOH involving several loci on chromosome Xp11 was detected in one of these parathyroid tumors, thereby suggesting the involvement of a tumor suppressor gene from this region in the pathogenesis of such tumors.<sup>52</sup> Interestingly, none of the parathyroid tumors from these patients with chronic renal failure had LOH involving loci from chromosome 11q13. This unexpected finding of monoclonal parathyroid tumors in the majority of patients with "tertiary" hyperparathyroidism suggests that an increased turnover of parathyroid cells in secondary hyperparathyroidism may possibly render the parathyroid glands more susceptible to mitotic nondisjunction or other mechanisms of somatic deletions, which may involve loci other than those (MEN1 and PRAD1) located on chromosome 11q13.

#### HYPERCALCEMIC DISORDERS WITH NORMAL PARATHYROID GLAND ACTIVITY

## Disorders of the Calcium-Sensing Receptor

Three hypercalcemic disorders due to mutations of the calcium-sensing receptor (CaSR) have been reported.<sup>110,113–118</sup> These are FBH (also referred to as FHH, or familial hypo-calciuric hypercalcemia) and neonatal severe hyperparathyroidism (NSHPT). Furthermore, an autoimmune hypocalciuric hypercalcemia (AHH) has been described (Table 19-3).

# Familial Benign Hypercalcemia and Neonatal Severe Hyperparathyroidism

Mutational analyses of the human CaSR, which is a G protein– coupled receptor located on chromosome 3q21.1,<sup>119</sup> have revealed different mutations that result in a loss of function of the CaSR in patients with FBH and NSHPT<sup>113–118</sup> (see Fig. 19-1). Many of these mutations cluster around the aspartate- and glutamate-rich regions (codons 39–300) within the extracellular domain of the receptor, and this has been proposed to contain low-affinity calcium-binding sites, based on similarities to that of calsequestrin, in which the ligandbinding pockets also contain negatively charged amino acid

residues.<sup>110,120</sup> Approximately two-thirds of the FBH kindreds investigated have been found to have unique heterozygous mutations of the CaSR, and expression studies of these mutations have demonstrated a loss of CaSR function whereby there is an increase in the calcium ion-dependent set point for PTH release from the parathyroid cell.<sup>113,118,121,122</sup> NSHPT occurring in the offspring of consanguineous FBH families has been shown to be due to homozygous CaSR mutations.<sup>113,114,116,123,124</sup> However, some patients with sporadic neonatal hyperparathyroidism have been reported to be associated with de novo heterozygous CaSR mutations,<sup>115</sup> thereby suggesting that factors other than mutant gene dosage<sup>123</sup> (e.g., the degree of set-point abnormality, the bony sensitivity to PTH, and the maternal extracellular calcium concentration) may also all play a role in the phenotypic expression of a CaSR mutation in the neonate. The remaining one-third of FBH families in whom a mutation within the coding region of the CaSR has not been demonstrated may either have an abnormality in the promoter of the gene or a mutation at one of the two other FBH loci that have been revealed by genetic linkage studies. One of these FBH loci is located on chromosome 19p and is referred to as FBH<sub>19p</sub>.<sup>125</sup> Studies of another FBH kindred from Oklahoma that also suffered from progressive elevations in PTH, hypophosphatemia, and osteomalacia<sup>126,127</sup> demonstrated that this variant, designated FBH<sub>Ok</sub>, was linked to chromosome 19q13.128 The three forms of FBH that are located on chromosomes 3q, 19p, and 19q have also been referred to as FBH (or FHH) types 1, 2, and 3, respectively.<sup>128</sup>

## Autoimmune Hypocalciuric Hypercalcemia

Some patients who have the clinical features of FHH but not CaSR mutations may have autoimmune hypocalciuric hypercalcemia (AHH). Five patients from three unrelated families with AHH, who all had other autoimmune manifestations have been reported.<sup>129,130</sup> Thus, three patients had antibodies to thyroid, one had sprue with anti-gliadin and antiendomyseal antibodies,<sup>129</sup> and one had antibodies to the hemidesmosome, a positive Coombs' test, and most likely immune hypophysitis.<sup>130</sup> All of these patients were shown to have circulating antibodies to the extracellular domain of the CaSR. Some of these antibodies stimulated PTH release from dispersed human parathyroid cells in vitro, probably by inhibiting the activation of the CaSR by extracellular calcium.<sup>129</sup> Antibody titers in another patient correlated well with the hypercalcemia and the elevation in PTH concentration.<sup>130</sup> Thus, AHH is a condition of extracellular calcium sensing that should be considered in FHH patients who do not have CaSR mutations.

## Jansen's Disease

Jansen's disease (Figs. 19-5 and 19-6) is an autosomal dominant disease that is characterized by short-limbed dwarfism caused by an abnormal regulation of chondrocyte proliferation and differentiation in the metaphyseal growth plate, and it is usually associated with severe hypercalcemia and hypophosphatemia, despite normal or undetectable serum levels of PTH or PTHrP.<sup>131</sup> These abnormalities are caused by mutations in the PTH/PTHrP receptor that lead to constitutive,

CaSR Abnormality and Disease	CaSR Gene
Loss-of-function CaSR mutation	
Familial benign hypercalcemia (FBH1)	Heterozygous mutation
Neonatal severe primary hyperparathyroidism (NSHPT)	Homozygous (or heterozygous) mutation
Gain-of-function CaSR mutation	
Autosomal dominant hypocalcemic hypercalciuria (ADHH)	Heterozygous mutation
Bartter syndrome type V	Heterozygous mutation
CaSR autoantibodies	
Autoimmune hypocalciuric hypercalcemia (AHH)	Normal
Acquired hypoparathyroidism (AH)	Normal

Table 19-3 Diseases Associated with Abnormalities of the Extracellular Calcium-Sensing Receptor



**Figure 19-5** Patient with a severe form of Jansen's metaphyseal chondrodysplasia at ages 5 (left) and 22 years (right). (From Frame B, Poznanski AK: Conditions that may be confused with rickets. In DeLuca HF, Anast CS [eds]: Pediatric Diseases Related to Calcium. New York, Elsevier, 1980, pp 269–289.)

PTH- and PTHrP-independent receptor activation.<sup>132–134</sup> Three different, heterozygous mutations of the PTH/PTHrP receptor have been identified in the severe form of Jansen's disease, and these involve codon 223 (His→Arg), codon 410 (Thr→Pro), and codon 458 (Ile→Arg) (Fig. 19-7). Expression of the mutant receptors in COS-7 cells resulted in constitutive, ligand-independent accumulation of cyclic AMP (cAMP), whereas the basal accumulation of inositol phosphates was not measurably increased.<sup>132–134</sup> Because the PTH/PTHrP receptor is most abundantly expressed in kidney and bone and in the metaphyseal growth plate, these findings provide a probable explanation for the abnormalities observed in mineral homeostasis and growth plate development associated with this disorder. This conclusion is supported further by observations in mice that express the human PTH/PTHrP receptor with the His223Arg mutation under the control of the rat collagen type II( $\alpha$ ) promoter.<sup>135</sup> This promoter targeted expression of the mutant receptor to the layer of proliferative chondrocytes, delayed their differentiation into hypertrophic cells, and led, at least in animals with multiple copies of the transgene, to a mild impairment in the growth of long bones. These observations are consistent with the conclusion that expression of a constitutively active human PTH/PTHrP receptor in growth plate chondrocytes causes the characteristic metaphyseal changes in patients with Jansen's disease.

Recently, a novel heterozygous PTH/PTHrP receptor mutation, Thr410Arg, was identified in several members of a small kindred with an apparently mild form of Jansen's disease (see Fig. 19-6).<sup>136</sup> Affected individuals had, as compared with patients carrying the previously identified activating PTH/ PTHrP receptor mutations,<sup>132–134</sup> less severe growth plate abnormalities, relatively normal stature, high-normal plasma calcium concentration, yet significant hypercalciuria and normal or suppressed plasma PTH levels. When tested in vitro, the PTH/PTHrP receptor with the Thr410Arg mutation showed less constitutive activity than that observed with the previously described Thr410Pro mutant.<sup>133,137</sup> This less pronounced agonist-independent cAMP accumulation induced by the Thr410Arg mutation is consistent with the less severe skeletal and laboratory abnormalities observed in this milder form of Jansen's disease.

## Williams Syndrome

Williams syndrome is an autosomal dominant disorder characterized by supravalvular aortic stenosis, elfin-like facies, psychomotor retardation, and infantile hypercalcemia. The underlying abnormality of calcium metabolism remains unknown, but abnormal  $1,25(OH)_2$  vitamin  $D_3$  metabolism or decreased calcitonin production have been implicated, although none have been consistently demonstrated. Studies have demonstrated hemizygosity at the elastin locus on chromosome 7q11.23 in more than 90% of patients with the classical Williams phenotype,<sup>138–140</sup> and only one patient had



with a relatively mild form of Jansen's disease. Anterior-posterior radioaraphs of the knees (A) and hands (B) of one of two affected children showing the splayed and fragmented metaphyses and the mildly broadened diaphyses. Photographs of the right hands, wrist, and forearm of the affected father (C and D), and radiograph of his hand and arm (E) showing bowing of long bones. (From Bastepe M, Raas-Rothschild A, Silver J, et al: A form of Jansen's metaphyseal chondrodysplasia with limited metabolic and skeletal abnormalities is caused by a novel activating PTH/PTHrP receptor mutation. J Clin Endocrinol Metab 89:3595-3600, 2004.)

a cytogenetically identifiable deletion, thereby indicating that the syndrome is usually due to a microdeletion of 7q11.23.140 Interestingly, ablation of the elastin gene in mice results in vascular abnormalities similar to those observed in patients with Williams syndrome.<sup>141</sup> However, the microdeletions that have been reported involve also another gene, designated LIM-kinase, that is expressed in the central nervous system.<sup>142</sup> The calcitonin receptor gene, which is located on chromosome 7q21, is not involved in the deletions found in Williams syndrome and is therefore unlikely to be implicated in the hypercalcemia of such children.<sup>143</sup> Whereas deletion of the elastin and LIM-kinase genes can explain the respective cardiovascular and neurologic features of Williams syndrome, it seems likely that another, as yet uncharacterized gene that is within this contiguously deleted region, is involved in this

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disorder and could explain the abnormalities of calcium metabolism.

## HYPOCALCEMIA AND HYPERPHOSPHATEMIA DUE TO **REDUCED PARATHYROID GLAND** ACTIVITY

## **Hypoparathyroidism**

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Hypoparathyroidism may occur as part of a pluriglandular autoimmune disorder or as a complex congenital defect, as for example in DGS. In addition, hypoparathyroidism may develop as a solitary endocrinopathy, and this has been called



**Figure 19-7** Schematic representation of the human PTH/ PTHrP receptor. The approximate locations of heterozygous missense mutations, which lead in patients with Jansen's disease to constitutive receptor activation, are indicated by open circles. Loss-of-function mutations that were identified in patients with Blomstrand's disease are indicated by gray circles or stippled boxes (see text for details).

isolated or idiopathic hypoparathyroidism. Familial occurrences of isolated hypoparathyroidism with autosomal dominant, autosomal recessive, and X-linked recessive inheritances have been established.

### Parathyroid Hormone Gene Abnormalities

DNA sequence analysis of the PTH gene (see Fig. 19-3) from one patient with autosomal dominant isolated hypoparathyroidism has revealed a single-base substitution  $(T \rightarrow C)$  in exon 2,<sup>144</sup> which resulted in the substitution of arginine (CGT) for cysteine (TGT) in the signal peptide. The presence of this charged amino acid in the midst of the hydrophobic core of the signal peptide impeded the processing of the mutant preproPTH, as demonstrated by in vitro studies. These revealed that the mutation impaired the interaction with the nascent protein and the translocation machinery, and that cleavage of the mutant signal sequence by solubilized signal peptidase was ineffective.<sup>144,145</sup> In another family, with autosomal recessive hypoparathyroidism, a single-base substitution  $(T \rightarrow C)$  involving codon 23 of exon 2 was detected. This resulted in the substitution of proline (CCG) for the normal serine (TCG) in the signal peptide.<sup>146</sup> This mutation alters the -3 position of the preproPTH protein cleavage site. Indeed, amino acid residues at the -3 and -1 positions of the signal peptidase recognition site have to conform to certain criteria for correct processing through the rough endoplasmic reticulum, and one of these is an absence of proline in the region -3 and +1 of the site. Thus, the presence of a proline, which is a strong helix-breaking residue, at the -3 position is likely to disrupt cleavage of the mutant preproPTH that would be subsequently degraded in the rough endoplasmic reticulum, and PTH would not be available.146 Another abnormality of the PTH gene, involving a donor splice site at the exon 2/intron 2 boundary, has been identified in one family with autosomal recessive isolated hypoparathyroidism.<sup>147</sup> This mutation involved a single-base transition  $(g\rightarrow c)$  at position 1 of intron 2, and an assessment of the effects of this alteration in the invariant gt dinucleotide of the 5' donor splice site consensus on mRNA processing revealed that the mutation resulted in exon skipping, in which exon 2 of the PTH gene was lost and exon 1 was spliced to exon 3.

The lack of exon 2 would lead to a loss of the initiation codon (ATG) and the signal peptide sequence (see Fig. 19-3), which are required, respectively, for the commencement of PTH mRNA translation and for the translocation of the PTH peptide.

## **GCMB** Abnormalities

GCMB (glial cells missing B), which is the human homolog of the Drosophilia gene Gcm and of the mouse Gcm2 gene, is expressed exclusively in the parathyroid glands, suggesting that it may be a specific regulator of parathyroid gland development.<sup>148</sup> Mice that were homozygous for deletion of Gcm2 lacked parathyroid glands and developed the hypocalcemia and hyperphosphatemia as observed in hypoparathyroidism.<sup>148</sup> However, despite their lack of parathyroid glands, Gcm2-/- mice did not have undetectable serum PTH levels but instead had levels indistinguishable from those of normal ( $Gcm2^{+/+}$ , wild type) and heterozygous ( $Gcm2^{+/-}$ ) mice. This endogenous level of PTH in the Gcm2-/- mice was too low to correct the hypocalcemia, but exogenous continuous PTH infusion could correct the hypocalcemia.148 Interestingly, there were no compensatory increases in PTHrP or 1,25(OH)<sub>2</sub> vitamin D<sub>3</sub>. These findings indicate that Gcm2 mice have a normal response (no resistance) to PTH, and that the PTH in the serum of Gcm2-deficient mice was active. The auxiliary source of PTH was identified to be a cluster of PTHexpressing cells under the thymic capsule. These thymic PTHproducing cells also expressed the CaSR, and long-term treatment of the Gcm2-deficient mice with 1,25(OH)<sub>2</sub> vitamin D<sub>3</sub> restored the serum calcium concentrations to normal and reduced the serum PTH levels, thereby indicating that the thymic production of PTH can be downregulated. Studies of a patient with isolated hypoparathyroidism have shown that a homozygous intragenic deletion of GCMB is associated with this apparently autosomal recessive disorder.345

## X-Linked Recessive Hypoparathyroidism

X-linked recessive hypoparathyroidism has been reported in two multigenerational kindreds from the state of Missouri.<sup>149,150</sup> In this disorder only males are affected, and they suffer from infantile onset of epilepsy and hypocalcemia, which is due to an isolated defect in parathyroid gland development.<sup>151</sup> Relatedness of the two kindreds has been established by demonstrating an identical mitochondrial DNA sequence, which is inherited via the maternal lineage, in affected males from the two families.<sup>152</sup> Studies utilizing X-linked polymorphic markers in these families localized the mutant gene to chromosome Xq26-q27,153 and a molecular deletional-insertion that involves chromosome 2p25 and Xq27 has been identified.<sup>154</sup> This complex deletion-insertion [del(X) (q27.1) inv ins (X;2) (q27.1; p25.3)] represents a novel abnormality causing hypoparathyroidism, and its location 67 kb downstream of the SOX3 gene, which encodes a transcription factor with a role in vertebrate embryonic development, indicates that it may have a position effect on SOX3 expression. Indeed, SOX expression has been demonstrated to occur in the developing parathyroid tissue of mouse embryos, and these findings add SOX3 to the growing list of transcription factors, including GCMB, GATA3, TBX1, HOXA3, PAX1, and PAX9, that operate in parathyroid development.<sup>154</sup>

## Pluriglandular Autoimmune Hypoparathyroidism

Hypoparathyroidism may occur in association with candidiasis and autoimmune Addison's disease, and the disorder has been referred to as either the autoimmune polyendocrinopathy-candidiasis-ectodermal dystrophy (APECED) syndrome or the autoimmune polyglandular syndrome type 1.<sup>155</sup> This disorder has a high incidence in Finland, and a genetic analysis of Finnish families indicated autosomal recessive inheritance of the disorder.<sup>156</sup> In addition, the disorder has been reported to have a high incidence among Iranian Jews, although the occurrence of candidiasis was less common in this population.<sup>157</sup> Linkage studies of Finnish families mapped the APECED gene to chromosome 21q22.3.<sup>158</sup> Further positional cloning approaches led to the isolation of a novel gene from chromosome 21q22.3. This gene, referred to as AIRE1 (autoimmune regulator type 1), encodes a 545-amino acid protein that contains motifs suggestive of a transcriptional factor and includes two zinc-finger motifs, a proline-rich region, and three LXXLL motifs.<sup>159,160</sup> Four AIRE1 mutations are commonly found in APECED families: Arg257Stop in Finnish, German, Swiss, British, and northern Italian families; Arg139Stop in Sardinian families; Tyr85Cys in Iranian Jewish families; and a 13-bp deletion in exon 8 in British, Dutch, German, and Finnish families.<sup>159–164</sup> AIRE1 has been shown to regulate the elimination of organ-specific T cells in the thymus, and thus APECED is likely to be caused by a failure of this specialized mechanism for deleting forbidden T cells and establishing immunologic tolerance.165

## **DiGeorge Syndrome**

Patients with the DiGeorge syndrome (DGS) typically suffer from hypoparathyroidism, immunodeficiency, congenital heart defects, and deformities of the ear, nose, and mouth. The disorder arises from a congenital failure in the development of the derivatives of the third and fourth pharyngeal pouches with resulting absence or hypoplasia of the parathyroids and thymus. Most cases of DGS are sporadic, but an autosomal dominant inheritance of DGS has been observed and an association between the syndrome and an unbalanced translocation and deletions involving 22q11.2 have also been reported<sup>166</sup>; this is referred to as DGS type 1 (DGS1). In some patients, deletions of another locus on chromosome 10p have been observed in association with DGS,<sup>167</sup> and this is referred to as DGS type 2 (DGS2). Mapping studies of the DGS1 deleted region on chromosome 22q11.2 have defined a 250- to 3,000-kb critical region<sup>168,169</sup> that contains approximately 30 genes. Studies of DGS1 patients have reported deletions of several of the genes (e.g., rnex40 nex2.2-nex3, UDFIL, and TBX1) from the critical region, 166,170–172 and studies of transgenic mice deleted for such genes (e.g., *Udf1l*, *Hira*, and *Tbx1*) have revealed developmental abnormalities of the pharyngeal arches.<sup>173-175</sup> However, point mutations in DGS1 patients have only been detected in the TBX1 gene,<sup>176</sup> and TBX1 is now considered to be the gene linked to DGS1.<sup>177</sup> TBX1 is a DNAbinding transcriptional factor of the T-box family that is known to have an important role in vertebrate and invertebrate organogenesis and pattern formation. The TBX1 gene is deleted in about 96% of all DGS1 patients. Moreover, DNA sequence analysis of unrelated DGS1 patients who did not have deletions of chromosome 22q11.2 revealed the

occurrence of three heterozygous point mutations.<sup>176</sup> One of these mutations resulted in a frameshift with a premature truncation, whereas the other two were missense mutations (Phe148Tyr and Gly310Ser). All of these patients had the complete pharyngeal phenotype but did not have mental retardation or learning difficulties. Interestingly, transgenic mice with deletion of *Tbx1* have a phenotype that is similar to that of DGS1 patients.<sup>175</sup> Thus, *Tbx1*-null mutant mice had all the developmental anomalies of DGS1 (i.e., thymic and parathyroid hypoplasia; abnormal facial structures and cleft palate; skeletal defects; and cardiac outflow tract abnormalities), whereas Tbx1 haploinsufficiency in mutant mice (i.e., heterozygous) was associated only with defects of the fourth branchial pouch (i.e., cardiac outflow tract abnormalities). The basis of the phenotypic differences between DGS1 patients, who are heterozygous, and the transgenic heterozygous mice remain to be elucidated. It is plausible that Tbx1 dosage, together with the downstream genes that are regulated by Tbx1, could provide an explanation, but the roles of these putative genes in DGS1 remains to be elucidated.

Some patients may have a late-onset DGS1, and these develop symptomatic hypocalcemia in childhood or during adolescence with only subtle phenotypic abnormalities.<sup>178,179</sup> These late-onset DGS1 patients have similar microdeletions in the 22q11 region. It is of interest to note that the age of diagnosis in the families of the three DGS1 patients with inactivating *TBX1* mutations ranged from 7 to 46 years, which is in keeping with late-onset DGS1.<sup>176</sup>

## Hypoparathyroidism, Deafness, and Renal Anomalies Syndrome

The combined inheritance of hypoparathyroidism, deafness, and renal dysplasia (HDR) as an autosomal dominant trait was reported in one family in 1992.<sup>180</sup> Patients had asymptomatic hypocalcemia with undetectable or inappropriately normal serum concentrations of PTH, and normal brisk increases in plasma cAMP in response to the infusion of PTH. The patients also had bilateral, symmetrical, sensorineural deafness involving all frequencies. The renal abnormalities consisted mainly of bilateral cysts that compressed the glomeruli and tubules, and led to renal impairment in some patients. Cytogenetic abnormalities were not detected, and abnormalities of the PTH gene were excluded.<sup>180</sup> However, cytogenetic abnormalities involving chromosome 10p14-10pter were identified in two unrelated patients with features that were consistent with HDR. These two patients suffered from hypoparathyroidism, deafness, and growth and mental retardation; one patient also had a solitary dysplastic kidney with vesicoureteric reflux and a uterus bicornis unicollis; the other patient, who had a complex reciprocal, insertional translocation of chromosomes 10p and 8q, had cartilaginous exostoses.<sup>181</sup> Neither of these patients had immunodeficiency or heart defects, which are key features of DGS2 (see above), and further studies defined two nonoverlapping regions; thus, the DGS2 region was located on 10p13-p14 and HDR on 10p14–10pter. Deletion mapping studies in two other HDR patients further defined a critical 200-kb region that contained GATA3,181 which belongs to a family of zinc-finger transcription factors that are involved in vertebrae embryonic development. DNA sequence analysis in other HDR patients identified mutations that resulted in a haploinsufficiency and loss of *GATA3* function.<sup>181–183</sup> GATA3 has two zinc-fingers, and the C-terminal finger (ZnF2) binds DNA, whereas the N-terminal finger (ZnF1) stabilizes this DNA binding and interacts with other zinc-finger proteins, such as the "friends of GATA" (FOG).<sup>184</sup> HDR-associated mutations involving GATA3 ZnF2 or the adjacent basic amino acids were found to result in a loss of DNA binding, whereas those involving ZnF1 either lead to a loss of interaction with FOG2 ZnFs or altered DNA-binding affinity.<sup>183</sup> These findings are consistent with the proposed 3-dimensional model of GATA3 ZnF1, which has separate DNA- and protein-binding surfaces.<sup>183,185</sup>

The HDR phenotype is consistent with the expression pattern of GATA3 during human and mouse embryogenesis in the developing kidney, otic vesicle, and parathyroids. However, GATA3 is also expressed in the developing central nervous system and the hematopoietic organs in humans and mice, and this suggests that GATA3 may have a more complex role. Indeed, homozygous GATA3-knockout mice have defects of the central nervous system and a lack of T-cell development, although the heterozygous GATA3-knockout mice appear to have no abnormalities.<sup>186</sup> It is important to note that HDR patients with GATA3 haploinsufficiency do not have immune deficiency, and this suggests that the immune abnormalities observed in some patients with 10p deletions are most likely to be caused by other genes on 10p. Similarly, the facial dysmorphism and growth and developmental delay, commonly seen in patients with larger 10p deletions, were absent in the HDR patients with GATA3 mutations, further indicating that these features were probably due to other genes on 10p.<sup>181</sup> These studies of HDR patients clearly indicate an important role for GATA3 in parathyroid development and in the etiology of hypoparathyroidism.

# Mitochondrial Disorders Associated with Hypoparathyroidism

Hypoparathyroidism has been reported to occur in three disorders associated with mitochondrial dysfunction: the Kearns-Sayre syndrome (KSS), the MELAS syndrome, and a mitochondrial trifunctional protein deficiency syndrome (MTPDS) (see below and Table 19-1). KSS is characterized by progressive external ophthalmoplegia and pigmentary retinopathy before the age of 20 years, and is often associated with heart block or cardiomyopathy. The MELAS syndrome consists of a childhood onset of mitochondrial encephalopathy, lactic acidosis, and stroke-like episodes. In addition, varying degrees of proximal myopathy can be seen in both conditions. Both the KSS and MELAS syndromes have been reported to occur with insulin-dependent diabetes mellitus and hypoparathyroidism.<sup>187,188</sup> A point mutation in the mitochondrial gene transfer RNA leucine (UUR) has been reported in one patient with the MELAS syndrome who also suffered from hypoparathyroidism and diabetes mellitus. Large deletions, consisting of 6,741 and 6,903 bp and involving more than 38% of the mitochondrial genome, have been reported in other patients who suffered from KSS, hypoparathyroidism, and sensorineural deafness.<sup>189</sup> Rearrangements and duplication of mitochondrial DNA have also been reported in KSS. MTPDS is a disorder of fatty-acid oxidation that is associated with peripheral neuropathy, pigmentary retinopathy, and acute fatty liver degeneration in pregnant women who carry an affected fetus. Hypoparathyroidism has been observed in one patient with MTPDS.<sup>190</sup> The role of these mitochondrial

mutations in the etiology of hypoparathyroidism remains to be further elucidated.

## Kenny-Caffey and Sanjad-Sakati Syndromes

Hypoparathyroidism has been reported to occur in more than 50% of patients with the Kenny-Caffey syndrome, which is associated with short stature, osteosclerosis, and cortical thickening of the long bones, delayed closure of the anterior fontanel, basal ganglia calcification, nanophthalmos, and hyperopia.<sup>191</sup> Parathyroid tissue could not be found in a detailed postmortem examination of one patient,<sup>192</sup> and this suggests that hypoparathyroidism may be due to an embryologic defect of parathyroid development. In the Sanjad-Sakati syndrome hypoparathyroidism is associated with severe growth failure and dysmorphic features, and this has been reported in 12 patients from Saudi Arabia.<sup>193</sup> Consanguinity was noted in 11 of the 12 patients' families, the majority of which originated from the western province of Saudi Arabia. This syndrome, which is inherited as an autosomal recessive disorder, has also been identified in families of Bedouin origin, and homozygosity and linkage disequilibrium studies located this gene to chromosome 1q42-q43.<sup>194</sup> Molecular genetic investigations have identified that mutations of the tubulin-specific chaperone (TBCE) are associated with the Kenny-Caffey and Sanjad-Sakati syndromes.<sup>195</sup> TBCE encodes one of several chaperone proteins required for the proper folding of  $\alpha$ -tubulin subunits and the formation of  $\alpha/\beta$ tubulin heterodimers (see Fig. 19-1).<sup>195</sup>

## Additional Familial Syndromes

Single familial syndromes in which hypoparathyroidism is a component have been reported (see Table 19-1). The inheritance of the disorder in some instances has been established, and molecular genetic analysis of the PTH gene has revealed no abnormalities. Thus, an association of hypoparathyroidism, renal insufficiency, and developmental delay has been reported in one Asian family in whom autosomal recessive inheritance of the disorder was established.<sup>196</sup> An analysis of the PTH gene in this family revealed no abnormalities.<sup>196</sup> The occurrence of hypoparathyroidism, nerve deafness, and a steroid-resistant nephrosis leading to renal failure, which has been referred to as the Barakat syndrome, 197 has been reported in four brothers from one family, and an association of hypoparathyroidism with congenital lymphedema, nephropathy, mitral valve prolapse, and brachytelephalangy has been observed in two brothers from another family.<sup>198</sup> Molecular genetic studies have not been reported from these two families.

## **Calcium-Sensing Receptor Abnormalities**

CaSR abnormalities are associated with three hypocalcemic disorders. These include autosomal dominant hypocalcemic hypercalciuria (ADHH), Bartter syndrome type V (i.e., ADHH with a Bartter-like syndrome), and a form of autoimmune hypoparathyroidism due to CaSR autoantibodies (see Table 19-3).

## Autosomal Dominant Hypocalcemic Hypercalciuria

*CASR* mutations that result in a loss of function are associated with familial benign (hypocalciuric) hypercalcemia.<sup>110,113–118</sup>
It was therefore postulated that gain-of-function mutations in *CASR* lead to hypocalcemia with hypercalciuria, and the investigation of kindreds with autosomal dominant forms of hypocalcemia have indeed identified such *CASR* mutations.<sup>110,199–203</sup> The hypocalcemic individuals generally had normal serum intact PTH concentrations and hypomagnesemia, and treatment with vitamin D or its active metabolites to correct the hypocalcemia resulted in marked hypercalciuria, nephrocalcinosis, nephrolithiasis, and renal impairment. The majority (>80%) of *CASR* mutations that result in a functional gain are located within the extracellular domain,<sup>110,199–203</sup> which is different from the findings in other disorders that are the result of activating mutations in G protein–coupled receptors.

### Bartter Syndrome Type V

Bartter syndrome is a heterozygous group of autosomal recessive disorders of electrolyte homeostasis characterized by hypokalemic alkalosis, renal salt wasting that may lead to hypotension, hyper-reninemic hyperaldosteronism, increased urinary prostaglandin excretion, and hypercalciuria with nephrocalcinosis.<sup>204,205</sup> Mutations of several ion transporters and channels have been associated with Bartter syndrome, and five types are now recognized.<sup>205</sup> Thus, type I is due to mutations involving the bumetamide-sensitive Na<sup>+</sup>/K<sup>+</sup>/Cl<sup>-</sup> cotransporter (NKCC2 or SLC12A2), type II is due to mutations affecting the outwardly rectifying renal potassium channel (ROMK), type III is due to mutations in the gene encoding the voltage-gated chloride channel (CLC-Kb), type IV is due to mutations affecting Barttin, which is a  $\beta$ -subunit that is required for trafficking of CLC-Kb and CLC-Ka (this form is also associated with deafness because Barttin, CLC-Ka, and CLC-Kb are also expressed in the marginal cells of the scala media of the inner ear that secrete potassium ion-rich endolymph), and type V is due to activating mutations of the CASR. Patients with Bartter syndrome type V have the classical features of the syndrome (i.e., hypokalemic metabolic alkalosis, hyper-reninemia, and hyperaldosteronism).<sup>206,207</sup> In addition, they develop hypocalcemia, which may be symptomatic and lead to carpo-pedal spasm, and an elevated fractional excretion of calcium that may be associated with nephrocalcinosis.<sup>206,207</sup> Such patients have been reported to have heterozygous gain-of-function CASR mutations, and in vitro functional expression of these mutations has revealed a more severe set-point abnormality for the receptor than that found in patients with ADHH.<sup>206,207</sup> This suggests that the additional features occurring in Bartter syndrome type V, but not in ADHH, are due to severe gain-of-function mutations of CASR. 205

#### Autoimmune Acquired Hypoparathyroidism

Among patients who had acquired hypoparathyroidism (AH) in association with autoimmune hypothyroidism, 20% were found to have autoantibodies to the extracellular domain of the CaSR.<sup>129,130,208</sup> The CaSR autoantibodies did not persist for long; 72% of patients who had AH for less than 5 years had detectable CaSR autoantibodies, whereas only 14% of patients with AH for more than 5 years had such autoantibodies.<sup>208</sup> The majority of the patients who had CaSR autoantibodies

were females, a finding that is similar to that found in other autoantibody-mediated diseases. Indeed, a few AH patients have also had features of autoimmune polyglandular syndrome type I. These findings establish that the CaSR is an autoantigen in AH.<sup>129,208</sup>

### Pseudohypoparathyroidism

The term pseudohypoparathyroidism (PHP) was first introduced to describe patients with hypocalcemia and hyperphosphatemia due to PTH resistance rather than PTH deficiency.<sup>209</sup> Affected individuals show partial or complete resistance to biologically active, exogenous PTH as demonstrated by a lack of increase in urinary cAMP and urinary phosphate excretion; this condition is now referred to as PHP type I.<sup>210-212</sup> If associated with other endocrine deficiencies and characteristic physical stigmata, now collectively termed Albright's hereditary osteodystrophy (AHO), the condition is referred to as PHP type Ia. This latter syndrome is caused by heterozygous inactivating mutations within exons 1 through 13 of GNAS located on chromosome 20q13.3, which encode the stimulatory G protein (Gs $\alpha$ ). These mutations were shown to lead to a 50% reduction in the activity of Gsa per protein in readily accessible tissues, like erythrocytes and fibroblasts, and explain at least partially the resistance against PTH and other hormones that mediate their actions through G proteincoupled receptors.<sup>210–212</sup> However, a similar reduction in Gs $\alpha$ activity is also found in patients with pseudopseudohypoparathyroidism (pPHP), who show the same physical appearance as individuals with PHP-Ia but lack endocrine abnormalities. Mutations in GNAS were thus thought to be necessary but not sufficient to fully explain either PHP-Ia or pPHP.<sup>210,213-218</sup>

Subsequent studies indicated that patients affected by PHP-Ia or pPHP are typically found within the same kindred, but not within the same sibship, and that hormonal resistance is parentally imprinted; in other words, PHP-Ia occurs only if the defective gene is inherited from a female affected by either PHP-Ia or pPHP, and pPHP occurs only if the defective gene is inherited from a male affected by either form of the two disorders.<sup>219,220</sup> Observations consistent with these findings in humans were made in mice that are heterozygous for the ablation of exon 2 of the Gnas gene. Animals that had inherited the mutant allele from a female showed undetectable Gsa protein in the renal cortex and decreased blood calcium concentration due to resistance against PTH. In contrast, offspring that had obtained the mutant allele lacking exon 2 from a male showed no evidence for endocrine abnormalities.<sup>221</sup> Tissue- or cell-specific Gsa expression is thus almost certainly involved in the pathogenesis of PHP-Ia and pPHP, and this provides also a reasonable explanation for the finding that heterozygous GNAS mutations result in a dominant phenotype.

Progressive osseous heteroplasia was recently shown to be caused also by heterozygous inactivating mutations in the *GNAS* exons encoding Gs $\alpha$ .<sup>222–224</sup> Interestingly, progressive osseous heteroplasia became only apparent when the Gs $\alpha$ mutation was inherited from a male, whereas inheritance from a female seems to have resulted in AHO, that is, pPHP. This aspect of the findings was surprising, because maternal inheritance of inactivating Gs $\alpha$  mutations usually leads to PHP-Ia, that is, AHO with hormonal resistance. However, PTH and thyroid-stimulating hormone levels were not reported,<sup>224</sup> and it is therefore conceivable that mild hormonal resistance may have been present in patients with maternally inherited  $Gs\alpha$  mutations.

The GNAS locus is considerably more complex than previously thought. For example, alternative splicing results in several different mRNAs that are transcribed in the sense direction, and at least one mRNA is transcribed in the antisense direction.<sup>210–212,225–227</sup> Furthermore, some of these splice variants are derived only from either the paternal or the maternal allele, and it seems likely that this complexity of the GNAS locus contributes to the unique phenotypic abnormalities in patients with one of the different forms of PHP (Fig. 19-8). Gs $\alpha$ , which is encoded by exons 1 through 13 of GNAS, mediates the biologic functions of a large variety of G protein-coupled receptors, including the PTH/PTHrP receptor. A second transcript, XLas, comprises a novel first exon (XL), which is spliced onto exons 2 through 13. The encoded protein (~92 kD) shares in the C-terminal portion complete amino acid sequence identity with  $Gs\alpha$ ,<sup>228</sup> and it was recently shown that XLos can function as a stimulatory G protein, at least in the fibroblast-like cells that were used for these studies.<sup>229</sup> The mRNA encoding XLas is found at numerous sites; particularly high concentrations were identified in endocrine and neuroendocrine cells,<sup>228</sup> and it is transcribed in all investigated tissues only from the paternal allele.<sup>225-227</sup> A third transcript, NESP55,<sup>230</sup> is transcribed only from the maternal allele<sup>226,227</sup> using yet another exon of the GNAS locus that is located upstream of exon XL and exons 1 through 13. NESP55, which is thought to act as a neuroendocrine secretory protein,<sup>230</sup> shares no amino acid sequence homology with either XL\alphas or Gs\alpha, but its mRNA contains in its 3' noncoding region a nucleotide sequence that is identical to that of the Gsa message. Additional recently identified transcripts include A/B (also referred to as exon 1A or 1') and AS, which are both thought to be noncoding.<sup>225-227,231,232</sup> Because of the complexity of the GNAS locus and because of the use of different, allele- and strand-specific promoters, it seems plausible that mutations in the GNAS exons encoding Gs $\alpha$  (i.e., exons 1–13)<sup>210,213–218</sup> can affect not only the functional properties of Gsa but also those of XLas, NESP55, A/B, and the antisense transcript AS.

Mutations in the gene encoding Gsα have not been detected in most patients with PHP-Ib, a disorder in which affected individuals show PTH-resistant hypocalcemia and hyperphosphatemia, but lack developmental defects. PHP-Ib patients seem also to have an increased incidence of thyroid-stimulating hormone resistance.<sup>210–213,233,234</sup> Furthermore, individuals with PHP-Ib frequently show a normal osseous response to



**Figure 19-8** Intron/exon organization of the GNAS locus and depiction of different mRNAs that are derived from alternative splicing. The mRNA encoding Gsα is thought to be expressed in most tissues from both parental alleles; however, in the renal cortex transcripts seem to be derived predominantly or exclusively from the maternal allele; the mRNAs encoding the splice variants XLαs and NESP55 are derived from the paternal and maternal allele, respectively. A/B and AS, two presumably nontranslated messages, are transcribed from the paternal allele (see text for details).

PTH, or even biochemical and radiologic evidence for increased bone turnover and osteoclastic bone resorption, indicating that the PTH-dependent actions on osteoblasts are not impaired,<sup>211–213,235–237</sup> Moreover, PHP-Ib patients usually show no abnormalities in growth plate development and thus show normal longitudinal growth, indicating that the PTHrPdependent regulation of chondrocyte growth and differentiation is normal. Particularly these latter findings made it unlikely that defects in the PTH/PTHrP receptor were the cause of PHP-Ib. Indeed studies of the PTH/PTHrP receptor gene and mRNA in PHP-Ib patients failed to identify diseasecausing mutations.<sup>238–241</sup> A genome-wide search to identify the location of the "PHP-Ib gene" was therefore undertaken in four unrelated kindreds, and this mapped the PHP-Ib locus to chromosome 20q13.3, which contains the GNAS locus.<sup>242</sup> In this study it was furthermore shown that the genetic defect is parentally imprinted; in other words, it is inherited in the same mode as the PTH-resistant hypocalcemia in kindreds with PHP-Ia and/or pPHP.<sup>219,220</sup> Subsequently, it was shown that patients affected by PHP-Ib show a loss of methylation on the maternal allele, which is usually restricted to GNAS exon A/B.<sup>243,244</sup> Very recent studies revealed that most families with the autosomal dominant form of PHP-Ib with parental imprinting (AD-PHP-Ib) have a 3-kb deletion located between two 391-bp repeats about 220 kb upstream of exon A/B (Fig. 19-9).<sup>237,245-247</sup> Affected members of one additional AD-PHP-Ib kindred with loss of A/B methylation alone were recently shown to have a 4.4-kb deletion, which overlaps with the 3-kb deletion by 1286 bp.<sup>248</sup> In affected individuals with either mutation, the deletion is always found on the maternal allele, whereas it occurs on the paternal allele in unaffected healthy carriers. The affected members of two small families with broader methylation changes within the GNAS locus were recently shown to carry two distinct ~4-kb deletions on the maternal allele that remove NESP55 and the antisense exons 3 and 4.249 Although indistinguishable broad methylation changes were observed in most patients with sporadic PHP-Ib, no deletions or point mutations have yet been identified in these individuals. Taken together these findings suggest that several different deletions upstream or within the GNAS locus lead to indistinguishable clinical and laboratory findings. However, it remains uncertain how the deletion affecting STX16 results in a loss of exon A/B methylation alone, whereas deletion of NESP55 results in a broader loss of methylation. Furthermore, it remains uncertain how the different deletions affect signaling through the PTH/PTHrP receptor in the proximal renal tubules, but not in most other tissues. Mice lacking the murine homolog of exon A/B were recently shown to have biallelic and thus increased Gsa transcription.<sup>250</sup> Loss of exon A/B methylation on the maternal allele, allowing active transcription from this promoter, seems therefore to have a prominent role in suppressing  $Gs\alpha$ expression (see Fig. 19-9).

### **Blomstrand's Disease**

Blomstrand's chondrodysplasia is an autosomal recessive human disorder characterized by early lethality, markedly advanced bone maturation, and accelerated chondrocyte differentiation.<sup>251</sup> Affected infants are typically born to consanguineous healthy parents (only in one instance did unrelated healthy parents have two affected offspring),<sup>252–256</sup> show pronounced hyperdensity of the entire skeleton (Fig. 19-10) and markedly advanced ossification, and particularly the long bones are extremely short and poorly modeled. Recently, PTH/PTHrP receptor mutations that impair its functional properties were identified as the most likely cause of Blomstrand's disease (see Fig. 19-7). One of these defects is caused by a nucleotide exchange in exon M5 of the maternal PTH/PTHrP receptor allele, which introduces a novel splice acceptor site and thus leads to the synthesis of a receptor mutant that does not mediate, despite seemingly normal cell surface expression, the actions of PTH or PTHrP; the patient's paternal PTH/PTHrP receptor allele is, for yet unknown reasons, only poorly expressed.<sup>257</sup> In a second patient with Blomstrand's disease, the product of a consanguineous marriage, a nucleotide exchange was identified that changes proline at position 132 to leucine.<sup>258,259</sup> The resulting PTH/PTHrP receptor mutant showed, despite reasonable cell surface expression, severely impaired binding of radiolabeled PTH and PTHrP analogs, greatly reduced agonist-stimulated cAMP accumulation, and no measurable inositol phosphate response. Additional loss-of-function mutations involving the PTH/PTHrP receptor have recently been identified in three unrelated patients with Blomstrand's disease. Two of these mutations led to a frameshift and a truncated protein due either to a homozygous single-nucleotide deletion in exon EL2<sup>260</sup> or a 27-bp insertion between exon M4 and EL2.<sup>261</sup> The other defect was a nonsense mutation at residue 104 that resulted in a truncated receptor protein.<sup>261</sup> As in Jansen's disease, the identification of mutant PTH/PTHrP receptors provided a plausible explanation for the severe abnormalities in endochondral bone formation in patients with Blomstrand's disease. The disease is lethal and it is likely that affected infants show (in addition to the striking skeletal defects) abnormalities in other organs, including secondary hyperplasia of the parathyroid glands, presumably due to hypocalcemia. Indeed, analysis of fetuses with Blomstrand's disease have revealed abnormal breast development and tooth impaction, highlighting the involvement of the PTH/PTHrP receptor in the normal development of breast and tooth.<sup>262</sup>

#### HYPERPHOSPHATEMIC DISORDERS WITH NORMAL PARATHYROID GLAND ACTIVITY

#### Tumoral Calcinosis with/without Hyperphosphatemia

At least three variants of tumoral calcinosis have been described: an autosomal dominant form<sup>263</sup> and two apparently more common autosomal recessive forms that are caused by mutations in two different genes.<sup>264</sup> Patients affected by the autosomal dominant form usually have elevated serum  $1,25(OH)_2$  vitamin D levels, but classic findings of tumoral calcinosis may not always be present. The teeth are hypoplastic with short, bulbous roots and almost complete obliteration of pulp cavities, but they have fully developed enamel of normal color. The molecular defect of this autosomal dominant form of the disorder is not known.

The autosomal recessive forms of tumoral calcinosis are severe, sometimes fatal disorders, characterized by hyperphosphatemia and often massive calcium deposits in the skin and



Figure 19-9 Location of microdeletions leading to autosomal dominant pseudohypoparathyroidism type Ib (AD-PHP-Ib) and possible molecular mechanism underlying the marked reduction of  $Gs\alpha$  expression in the renal proximal tubules. GNAS gives rise to multiple transcripts, some of which show allele-specific methylation in their promoters (asterisks) and are expressed exclusively from the nonmethylated allele (arrows). The Gsα-specific promoter (exon 1) does not show differential methylation, and transcripts encoding the stimulatory G protein are therefore biallelically expressed in most tissues. However, Gsa expression seems to occur predominantly from the maternal (m) GNAS allele in the renal proximal tubules and a few other tissues. Exon A/B methylation is established in the female germline and maintained on the maternal allele through pre- and post-implantation development and is thus considered as an "imprint mark". In AD-PHP-lb kindreds, maternal inheritance of microdeletions affecting STX16 is associated with loss of methylation at exon A/B alone, whereas deletions affecting NESP55 and two of the antisense exons lead to the loss of all maternal methylation imprints; paternal (p) inheritance of either deletion is not associated with imprinting defects, and individuals carrying these deletions on the paternal allele are healthy. It has been suggested that in the renal proximal tubules a lack of exon A/B methylation and thus active transcription of A/B mRNA, both of which are normally seen on the paternal GNAS allele, mediate, in cis, the silencing of Gsa transcription. The maternal loss of exon A/B methylation in AD-PHP-Ib is therefore predicted to cause a marked reduction in Gsa expression and consequently resistance to PTH (and perhaps to few other hormones). Black and gray boxes depict exons of STX16 and GNAS that are transcribed in the sense or antisense direction, respectively. Asterisk, CpG methylation; arrow, active transcription.

subcutaneous tissues. Recently, Topaz and colleagues mapped the gene causing one form of the disease to 2q24-q31 and revealed homozygous or compound heterozygous mutations in GALNT3,<sup>264</sup> which encodes a glycosyltransferase responsible for initiating mucin-type O-glycosylation. Interestingly, the concentrations of C-terminal FGF23 were significantly elevated in affected individuals. These findings implied that defective post-translational modifications of FGF23 could be responsible for the abnormal regulation of phosphate homeostasis (see Fig. 19-2). Another form of tumoral calcinosis was shown to be caused by homozygous mutations in FGF23, and patients affected by this disorder also showed markedly elevated circulating concentration of C-terminal FGF23, whereas the concentration of the intact protein was within normal limits.<sup>265–267</sup> It remains uncertain whether forms of tumoral calcinosis without hyperphosphatemia are also caused by GALNT3 or FGF23 mutations.

### Hyperostosis with Hyperphosphatemia

The combination of hyperostosis with hyperphosphatemia was first described in 1970.<sup>268</sup> Besides recurrent painful swelling of long bones, which can have features of tumoral calcinosis, affected patients present with elevated blood phosphate levels, yet normal renal function and usually normal serum calcium,  $1,25(OH)_2$  vitamin D, and PTH concentrations.<sup>269</sup> Most cases seem to be sporadic, but consanguineous parents were described for some patients, implying that the disease can be recessive; the underlying molecular defect is not yet known. Recently, *GALNT3* mutations were identified in the recessive form of this disease, indicating that one of the two forms of tumoral calcinosis and hyperostosis with hyperphosphatemia are allelic variants.<sup>270</sup> As in patients with the autosomal recessive forms of tumoral calcinosis, C-terminal FGF23 concentrations seem to be significantly elevated.<sup>271</sup>



**Figure 19-10** Radiologic findings in a patient with Blomstrand's disease. Note the markedly advanced ossification of all skeletal elements, and the extremely short limbs, despite the comparatively normal size and shape of hands and feet. Furthermore, note that the clavicles are relatively long and abnormally shaped. (From Leroy JG, Keersmaeckers G, Cooper M, et al: Blomstrand lethal chrondrodysplasia. Am Med Genet 63:84–89, 1996.)

#### HYPOPHOSPHATEMIC DISORDERS WITH NORMAL PARATHYROID GLAND ACTIVITY

The different forms of hypophosphatemia represent the commonest causes of hereditary rickets, which can be divided into two main groups according to the predominant metabolic abnormality.<sup>272,273</sup> In the first group, hypophosphatemia is the result of a renal tubular defect, which may consist of either a single (isolated) defect in renal phosphate handling, in that it occurs in the X-linked and autosomal dominant forms of hypophosphatemic rickets (XLH and ADHR, respectively), or of multiple tubular defects affecting phosphate, amino acids, glucose, bicarbonate, and potassium handling as occurs in the Fanconi syndromes of Lowe syndrome and Dent's disease. In the second group vitamin D metabolism is abnormal, either because of a defect in the  $1\alpha$ -hydroxylase enzyme or because of defects in the 1,25(OH)<sub>2</sub> vitamin D<sub>3</sub> receptor (VDR) leading to end-organ resistance. The application of molecular genetic approaches has helped to elucidate some of the mechanisms underlying these disorders of hereditary hypophosphatemic rickets. Thus, XLH has been shown to be due to inactivating mutations of PHEX (phosphate-regulating gene with homologies to endopeptidases on the X chromosome);<sup>274,275</sup> Lowe syndrome (oculocerebrorenal syndrome; X-linked recessive) is caused by mutations that result in a deficiency of a lipid phosphatase, which most likely controls cellular levels of the metabolite, phosphatidylinositol 4,5bisphosphate (PIP<sub>2</sub>) 5-phosphatase<sup>276,277</sup>; Dent's disease (Xlinked recessive) results from loss-of-function mutations affecting a member of the voltage-gated chloride channel family, CLC-5278; vitamin D-dependent rickets type (VDDR type I; autosomal recessive) results from a deficiency of the renal 1 $\alpha$ -hydroxylase enzyme,<sup>279,280</sup> which is a cytochrome P-450 enzyme that forms part of the superfamily of hemecontaining proteins that are bound to the membranes of microsomes and mitochondria and serve as oxidationreduction components of the mixed-function oxidase system; and VDDR type II (autosomal recessive) is caused by mutations involving the VDR, which is closely related to the thyroid hormone receptors and represents another member of the trans-acting transcriptional factors that include the family of steroid hormone receptors. Recent studies have furthermore identified the molecular basis of ADHR<sup>28</sup> and elucidated a role for this novel member of the FGF family in normal phosphate homeostasis (see Fig. 19-2), and acquired and inherited disorders of affecting the regulation of blood phosphate concentration.30,36,281

#### Autosomal Dominant Hypophosphatemic Rickets

ADHR is characterized by low serum phosphate concentrations, bone pain, rickets that can result in deformities of the legs, osteomalacia, and dental caries (clinical and laboratory findings can be variable). ADHR and XLH (see below) thus have marked clinical similarities but differ in their modes of inheritance. Genetic linkage studies mapped the ADHR locus to chromosome 12p13.3<sup>282</sup> and defined a 1.5-Mb critical region that contained 12 genes. Mutational analyses of 6 of these 12 genes revealed the occurrence of missense mutations involving a new member of the FGF family.<sup>28</sup> Three missense mutations of FGF23 (see Fig. 19-2) have been identified in four unrelated ADHR families affecting codons 176 and 179. Two unrelated ADHR families have an identical mutation involving codon 176, in which the normal positively charged arginine residue is replaced by a polar but uncharged glutamine residue (Arg176Gln). The other two mutations involve codon 179, and in one ADHR family the normal arginine residue is replaced by a nonpolar tryptophan (Trp) residue (Arg179Trp) and in the other ADHR family, it is replaced by a glutamine residue (Arg179Gln). The clustering of these ADHR missense mutations that alter arginine residues has led to the speculation that they may cause a gain of function. Mutational analysis of FGF23 in 18 patients, who had hypophosphatemic rickets but did not have PHEX mutations, revealed no abnormalities, suggesting a role(s) for other genes in these hereditary disorders of hypophosphatemic rickets.

### **Oncogenic Osteomalacia**

OOM (also referred to as tumor-induced osteomalacia, TIO) is a rare disorder characterized by hypophosphatemia, hyperphosphaturia, a low circulating 1,25(OH)<sub>2</sub> vitamin D<sub>3</sub> concentration, and osteomalacia that develops in previously unaffected individuals.<sup>283</sup> Thus, there are considerable similarities among OOM, XLH, and ADHR. OOM is caused by usually small, often difficult to locate tumors, most frequently hemangiopericytomas. The clinical and biochemical abnormalities resolve rapidly after the removal of the tumor, whereas in XLH and ADHR these abnormalities are lifelong. However, the similarities among OOM, ADHR, and XLH suggest that they may involve the same phosphate-regulating pathway, and it is important to note that OOM tumors do express PHEX,<sup>284,285</sup> which is mutated in XLH (see below). The possibility that FGF23, which is mutated in ADHR, may also be expressed in OOM tumors and that FGF23 may be a secreted protein was therefore explored.<sup>27,29,286,287</sup> Indeed, OOM tumors were found by Northern blot analysis to contain high levels of FGF23 mRNA and protein. Consistent with this finding, FGF23 plasma concentrations can be increased considerably in OOM patients, until the tumors are successfully removed.<sup>287,288</sup>

Tumors responsible for oncogenic osteomalacia produce two molecular forms of FGF23 (~32 and ~12 kD), and both variants were also observed when assessing conditioned medium from cell lines, such as OK-E, COS-7, and HEK 293 cells, expressing full-length, wild-type FGF23.29 Thus, OOM tumors abundantly express FGF23, and these findings suggest that FGF23 may be the phosphaturic factor referred to as "phosphatonin" (see Fig. 19-2). When conditioned medium from cells expressing R176Q-FGF23 or R179Q-FGF23 was investigated by Western blot analysis, only the larger protein band was observed.<sup>29,36,37</sup> This implies that the known mutations in ADHR, which affect a consensus cleavage site for furin-type enzymes, impair FGF23 degradation thus enhancing and/or prolonging its biologic activity. In addition to furin-type enzymes, one recent in vitro study indicates that wild-type FGF23, but not the R179Q mutant, may also represent a substrate for PHEX<sup>42</sup> (see below).

# X-Linked Hypophosphatemia

XLH is the most frequently inherited phosphate-wasting disorder. Just like ADHR, it is characterized by hypophosphatemia, hyperphosphaturia, low circulating  $1,25(OH)_2$  vitamin  $D_3$ concentration, and osteomalacia (Table 19-4). This disorder is caused by inactivating mutations in *PHEX*, a gene located on Xp22.1.<sup>274,275</sup> PHEX, which is expressed in kidney, bone, and other tissues, shows significant amino acid sequence homology to the M13 family of zinc metallopeptidases, which include neutral endopeptidase neprilysin, endothelin-converting

#### Table 19-4 Biochemical Features of Some Disorders Associated with Hypophosphatemic Rickets

	Serum			Urine					
Туре	Ca <sup>2+</sup>	PO <sub>4</sub> <sup>3-</sup>	1,25(OH) <sub>2</sub> D <sub>3</sub>	PTH	PO4 3-	Ca <sup>2+</sup>	Aminoaciduria	Glucose	pH <5.5
Renal Tubular Defect									
Hypophosphatemic rickets	Ν	$\downarrow$	↓/N	Ν	$\uparrow$	↓/N	-	-	Yes
Lowe syndrome	N/↓	$\downarrow$	↓/N	N/↑	$\uparrow$	1∕N	+	+	Yes
Dent's disease	Ν	$\downarrow$	↑/N	Ν	$\uparrow$	$\uparrow$	+	+	Yes
Vitamin D Metabolism Defect									
Vitamin D–dependent rickets type I (VDDR I)	$\downarrow$	↓/N	$\downarrow$	↑	$\uparrow$	$\downarrow$	+	_	Yes
Vitamin D–dependent rickets type II (VDDR II)	$\downarrow$	↓/N	$\uparrow\uparrow$	$\uparrow$	Ŷ	$\downarrow$	+	_	Yes

N, normal;  $\downarrow$  decreased;  $\uparrow$  increased; + present; – absent. In these disorders, the serum 25-hydroxyvitamin D<sub>3</sub> concentrations are normal and the serum alkaline phosphatase is usually elevated. Urine pH <5.5 refers to that found during a severe metabolic acidosis or an ammonium chloride loading test.

enzyme 1, and 2, and the Kell antigen. All of these are type II integral membrane glycoproteins that have endopeptidase activity and consist of a short N-terminal cytoplasmic domain, a single transmembrane hydrophobic region, and a large extracellular domain. Thus, neutral endopeptidase neprilysin functions as a membrane-bound ectoenzyme that proteolytically inactivates a number of peptides that include atrial natruretic peptide, enkephalin, substance P, and bradykinin, whereas endothelin-converting enzyme proteolytically activates endothelin. The substrate(s) for PHEX remains to be established, but three possible candidates (see Fig. 19-2) can be considered. These are FGF23 (see above), matrix extracellular phosphoglycoprotein (MEPE),46,289 and secreted frizzled related protein 4 (sFRP4).<sup>27,45</sup> Among these proteins, FGF23 seems the most likely substrate for PHEX and hence the candidate for being phosphatonin. Consistent with this conclusion, serum FGF23 concentrations are elevated in about two-thirds of patients with XLH,234,288 and they are unequivocally elevated in all *Hyp* mice (the murine homolog of XLH).290,291

However, PHEX-dependent cleavage of FGF23 could not yet be demonstrated in vivo, and FGF23 cleavage in vitro has been documented thus far only in one study. If FGF23 cleavage by PHEX can be confirmed, it is conceivable that the different inactivating PHEX mutations identified in patients with XLH and the large *Phex* deletions in *Hyp* and *Gy* mice adversely affect not only osteoblast-specific functions but may result also in impaired degradation of FGF23. Different molecular mechanisms (e.g., overexpression/production of FGF23 by the tumors responsible for oncogenic osteomalacia, generation of a mutant FGF23 that is resistant to cleavage in patients with ADHR, and failure of FGF23 degradation due to loss or reduction in PHEX activity in patients with XLH) may thus lead to elevated FGF23 concentrations, and consequently to renal phosphate wasting and possibly impaired functional properties of osteoblasts. In OOM, on the other hand, overproduction of FGF23 may exceed the capacity of PHEX to inactivate FGF23, thus leading to an excess of phosphatonin. Attractive as this hypothesis may be, one still needs to prove that FGF23 is really a substrate for PHEX. Interestingly, preliminary studies revealed that Hyp mice, which were injected with inactivating antibodies to FGF23, normalized their blood phosphate concentration and furthermore healed their rachitic changes, thus supporting the conclusion that Phex is directly or indirectly involved in the metabolism of FGF23.290 Furthermore, genetic ablation of *Fgf23* in male *Hyp* mice (i.e., animals that are null for Fgf23 and Phex) leads to blood phosphate levels that are indistinguishable from those in mice lacking Fgf23 alone,<sup>32,292</sup> thereby suggesting that Fgf23 resides genetically upstream of Phex.

#### Nephrolithasis or Osteoporosis Associated with Hypophosphatemia

Two different heterozygous mutations (A48P and V147M) in *NPT2a*, the gene encoding a sodium-dependent phosphate transporter, have been reported in patients with urolithiasis or osteoporosis and persistent idiopathic hypophosphatemia due to decreased tubular phosphate reabsorption.<sup>293</sup> When expressed in *Xenopus laevis* oocytes, the mutant NPT2a showed impaired function and, when co-injected, dominant negative properties. However, these in vitro findings were not confirmed in another study using oocytes and OK cells,

# Hereditary Hypophosphatemic Rickets with Hypercalciuria

The homozygous ablation of *Npt2a* in mice (*Npt2a<sup>-/-</sup>*) results, as expected, in increased urinary phosphate excretion leading to hypophosphatemia. As a result of the hypophosphatemia, Npt2a<sup>-/-</sup> mice show an appropriate elevation in the serum levels of 1,25(OH)<sub>2</sub> vitamin D leading to hypercalcemia, hypercalciuria, and decreased serum PTH levels, and increased serum alkaline phosphatase activity.295 These biochemical features are typically observed in patients with hereditary hypophosphatemic rickets with hypercalciuria (HHRH), a presumably autosomal recessive disorder affecting renal tubular phosphate reabsorption.<sup>296</sup> HHRH patients develop rickets, have short stature, and increased renal phosphate clearance (tubular maximum for phosphate corrected for glomerular filtration rate [TmP/GFR] is usually 2-4 standard deviations below the age-related normal range), hypercalciuria despite normal serum calcium levels, increased gastrointestinal absorption of calcium and phosphorus due to an elevated serum concentration of 1,25(OH)<sub>2</sub> vitamin D, suppressed parathyroid function, and normal urinary cAMP excretion. Long-term phosphate supplementation as the sole therapy leads, with the exception of persistently decreased TmP/GFR, to reversal of the clinical and biochemical abnormalities.<sup>296</sup> Unlike HHRH patients, Npt2a<sup>-/-</sup> mice do not have rickets or osteomalacia. Instead, they have poorly developed trabecular bone and retarded secondary ossification, and in older animals there is a marked reversal and eventual overcompensation of the skeletal phenotype. Consistent with these phenotypic differences, mutations in SLC34A1, the gene encoding the Na/phosphate cotransporter NPT2a were excluded in several kindreds, including the one in whom this syndrome was first described.<sup>296,297</sup> However, recent studies have led to the identification of homozygous or compound heterozygous mutations in SLC34A3, the gene encoding the Na/phosphate cotransporter NPT2c, in patients affected by HHRH.<sup>298,299</sup> These findings indicate that NPT2c has a more important role in phosphate homeostasis than previously thought.300

### **Dent Disease**

Dent disease is an X-linked recessive renal tubular disorder characterized by a low-molecular-weight proteinuria, hypercalciuria, nephrocalcinosis, nephrolithiasis, and eventual renal failure.<sup>278</sup> Dent's disease is also associated with the other multiple proximal tubular defects of the renal Fanconi syndrome, which include aminoaciduria, phosphaturia, glycosuria, kaliuresis, uricosuria, and impaired urinary acidification (see Table 19-4). With the exception of rickets, which occurs in a minority of patients, there appear to be no extrarenal manifestations in Dent disease. The gene linked to Dent disease, *CLCN5*, encodes the voltage-gated chloride channel, CIC-5.<sup>301</sup> Members of the voltage-gated chloride channel (CLC) family conduct chloride currents that are outwardly rectifying and with a conductivity sequence that prefers chloride to iodide. These CLCs have important diverse functions that include the control of membrane excitability, transepithelial transport, and regulation of cell volume.<sup>302</sup> CLC-5, which is predominantly expressed in the kidney, particularly the proximal tubule, thick ascending limb of Henle, and the  $\alpha$ -intercalated cells of the collecting duct, has been reported to be critical for acidification in the endosomes that participate in solute reabsorption and membrane recycling in the proximal tubule.<sup>303,304</sup> CLC-5 is also known to alter membrane trafficking via the receptor-mediated endocytic pathway that involves megalin and cubulin.305 CLCN5 mutations associated with Dent disease impair chloride flow through ClC-5 and probably lead to impaired acidification of the endosomal lumen, and thereby also disrupt trafficking of endosomes back to the apical surface.<sup>303–306</sup> This will result in impairment of solute reabsorption by the renal tubule and in the defects observed in Dent disease. Mice that are deficient in Clc5 develop the phenotypic abnormalities associated with Dent disease.<sup>304,306</sup> Mutations of the gene encoding PIP<sub>2</sub> 5-phosphatase, which are linked to Lowe syndrome (see below), also may be associated with Dent disease.307

# The Oculo-Cerebro-Renal Syndrome of Lowe

The oculo-cerebro-renal syndrome of Lowe (OCRL) is an Xlinked recessive disorder that is characterized by congenital cataracts, mental retardation, muscular hypotonia, rickets, and defective proximal tubular reabsorption of bicarbonate, phosphate, and amino acids (see Table 19-4).<sup>308</sup> The disease is nearly always confined to males, who develop renal dysfunction in the first year of life, have delayed bone age and reduced height, and may die in childhood. Female carriers who have normal neurologic and renal function, can be identified in 80% of cases by micropunctate cortical lens opacities.<sup>309</sup> The Lowe syndrome gene, OCRL1, is located on Xq25-q26.310 Point mutations and deletions were demonstrated in affected individuals, thereby providing conclusive evidence that the OCRL1 gene is the cause of Lowe syndrome.<sup>311</sup> OCRL1 consists of 23 exons that encode a member of the type II family of inositol polyphosphate-5-phosphatases.<sup>312</sup> These enzymes hydrolyze the 5-phosphate of 1,4,5-inositol triphosphate and of 1,3,4,5-inositol tetrakisphosphate, phosphatidylinositol-4,5,-bisphosphonate, and phosphatidylinositol-3,4,5 -trisphosphate, thereby presumably inactivating them as second messengers in the phosphatidylinositol signaling pathway. The preferred substrate of OCRL1 is phosphatidylinositol-4,5bisphosphate, and this lipid accumulates in renal proximal tubule cells from patients with Lowe syndrome.<sup>277</sup> OCRL1 has been localized to lysosomes in renal proximal tubular cells and to the trans-Golgi network in fibroblasts.<sup>276,313</sup> This localization is consistent with the role for OCRL1 in lysosomal enzyme trafficking from the trans-Golgi network to lysosomes, and the activities of several lysosomal hydrolases are found to be elevated in plasma from affected patients. OCRL1 has also been shown to interact with clathrin and indeed colocalizes with clathrin on endosomal membranes that contain transferrin and mannose 6-phosphate receptors.<sup>314</sup> Mannose 6-phosphate receptor-bound lysosomal enymes are recruited by appendage subunits and Golgi-localized binding proteins into clathrin-coated vesicles that transport them from the trans-Golgi network to endosomes.<sup>314</sup> Thus, it seems likely the OCRL1 mutations in Lowe syndrome patients result in OCRL1 protein deficiency, which leads to disruptions in lysosomal trafficking and endosomal sorting.<sup>314</sup> This abnormality is similar to that observed in Dent disease, and it is of interest to note that some patients with the latter disease, who had no demonstrable *CLCN5* mutations, were found instead to have *OCRL1* mutations.<sup>307</sup> The absence of cataracts in patients with Dent disease due to *OCRL1* mutations was the major phenotypic difference when compared with patients with Lowe syndrome.<sup>307</sup> The molecular and cellular basis of these phenotypic differences still remains to be elucidated.

### Vitamin D-Dependent Rickets

Patients with VDDR type I show clinical and laboratory findings that are similar to those observed in patients with vitamin D-deficient rickets. However, unlike in vitamin D deficiency, patients with VDDR type I do not respond to treatment with vitamin D and instead require treatment with 1,25(OH)<sub>2</sub> vitamin D. VDDR type I was therefore named pseudovitamin D deficiency rickets.315 Clarification of the abnormal vitamin D metabolism<sup>316</sup> led to the recognition that VDDR type I was due to a defect in the renal  $1\alpha$ -hydroxylase enzyme; consequently serum 1,25(OH)<sub>2</sub> vitamin D concentration is low. Subsequently another condition was recognized and called VDDR type II. In this condition, which is due to end-organ resistance to 1,25(OH)<sub>2</sub> vitamin D, the serum 1,25(OH)<sub>2</sub> vitamin D concentration is markedly elevated. This condition does not respond to vitamin D therapy, including calcitriol, and so the term vitamin D dependency is not satisfactory and it may be better to refer to this condition as "end organ-resistant rickets".

### 1α-Hydroxylase Deficiency

Patients affected by VDDR type I (autosomal recessive) show almost all the clinical and biochemical features of VDDR. Typically, the child is well at birth and within the next 2 years develops hypotonia, muscle weakness, an inability to stand or walk, growth retardation, convulsions, frontal bossing, and the clinical and radiographic signs of rickets: rachitic rosary, thickened wrists and ankles, bowed legs, and fractures. A history of an adequate intake of vitamin D is usually obtained. Trousseau's and Chvostek's signs may be present. The permanent teeth show marked enamel hypoplasia, a feature not seen in X-linked hypophosphatemic rickets.<sup>317</sup> Laboratory investigations (see Table 19-4) reveal hypocalcemia with secondary hyperparathyroidism and associated increased urinary cAMP, phosphate, and amino acid excretion, elevated serum alkaline phosphatase activity, either normal or low serum phosphate levels, a low urinary calcium excretion, and decreased intestinal absorption of calcium. VDDR type I is an autosomal recessive disorder.

The pathogenesis of VDDR type I was first elucidated by studying vitamin D metabolism in affected patients, and it was shown that massive doses of vitamin  $D_3$  and high doses of 25(OH) vitamin  $D_3$ , but only small doses of 1,25(OH)<sub>2</sub> vitamin  $D_3$  were required to correct the clinical and biochemical abnormalities.<sup>316</sup> This provided indirect evidence that the condition was due to an inborn error of vitamin D metabolism, that is, a defect in the renal 1 $\alpha$ -hydroxylase enzyme, the enzyme that converts 25(OH) vitamin D<sub>3</sub> to 1,25(OH)<sub>2</sub> vitamin D<sub>3</sub>. Studies of circulating vitamin D

metabolites in patients provided further support for this hypothesis. The serum 25(OH) vitamin D<sub>3</sub> concentration was normal in untreated patients and high in patients treated with vitamin D, whereas the serum concentration of 1,25(OH)<sub>2</sub> vitamin D3 was low in untreated patients318 and remained low or low-normal in patients treated with vitamin D<sub>3</sub>.<sup>319</sup> The low serum concentrations of 1,25(OH)<sub>2</sub> vitamin D<sub>3</sub> despite the normal or high serum 25(OH) vitamin D<sub>2</sub> can be explained by a deficiency in the renal  $1\alpha$ -hydroxylase<sup>319–321</sup> and molecular genetic studies have later confirmed this conclusion. Indeed, genetic linkage studies in affected French-Canadian families mapped VDDR type I to a region on chromosome 12q13.3<sup>322</sup> which comprises the gene encoding the 25(OH) vitamin D 1\alpha-hydroxylase. DNA sequence analysis of patients affected by VDDR type I have identified more than 20 different mutations of the 25(OH) 1\alpha-hydroxylase gene<sup>279,323-326</sup> in 26 kindreds. All patients with VDDR type I were found to carry homozygous or compound heterozygous mutations, whereas the obligate carriers are heterozygous in having the mutant and normal alleles.

# End-Organ Resistance (VDR Mutations, VDDR Type II)

Vitamin D-dependent rickets type II (VDDR type II; autosomal recessive) is an autosomal recessive disorder caused by end-organ resistance to 1,25(OH)<sub>2</sub> vitamin D<sub>3</sub>.<sup>327-329</sup> The laboratory and radiographic features of VDDR type II are similar to those found in VDDR type I (see Table 19-4), with one major exception; patients with VDDR type II have markedly elevated circulating concentrations of 1,25(OH)<sub>2</sub> vitamin D<sub>3</sub>. The disease varies in its clinical and biochemical manifestations, which suggested heterogeneity in the underlying molecular defects.<sup>329</sup> Most of the patients have earlyonset rickets, but the first reported patient was a 22-year-old woman who had skeletal pain for 7 years,<sup>327</sup> and another patient presented at the age of 50 years after being symptomatic for 5 years.<sup>330</sup> Alopecia totalis occurs in some patients, and it was suggested that the therapeutic response to the  $1\alpha$ hydroxylated derivative of vitamin D<sub>3</sub> in patients with normal hair growth was better than in those with alopecia totalis,<sup>331</sup> but this was not found to be a constant predictive sign.<sup>332</sup> The severity of resistance to 1,25(OH)<sub>2</sub> vitamin D<sub>3</sub> is variable, and some patients have improved following therapy with very large doses of vitamin D<sup>333</sup> or 1,25(OH)<sub>2</sub> vitamin D<sub>3</sub>.<sup>334–336</sup> In patients who are refractory to vitamin D therapy, alternative treatments with oral calcium supplements have been tried with limited success. However, long-term nocturnal intravenous calcium infusions followed by oral calcium supplementation have successfully healed rickets and promoted bone mineralization in VDDR II patients,<sup>337</sup> although there are considerable practical difficulties with this therapy.

The elevated serum concentrations of  $1,25(OH)_2$  vitamin  $D_3$  in patients with VDDR II suggested an abnormality in the mode of action of  $1,25(OH)_2$  vitamin  $D_3$  within target tissues. The functions of  $1,25(OH)_2$  vitamin  $D_3$  are mediated by an intracellular receptor that binds DNA and concentrates the hormone in the nucleus,<sup>338</sup> analogous to the classical steroid hormones.<sup>339</sup> The interactions between  $1,25(OH)_2$  vitamin  $D_3$  and its intracellular receptor have been studied using cultured skin fibroblasts from control subjects and patients with VDDR II.<sup>340,341</sup> Several defects were identified, including

absent receptors, a decreased number of receptors with normal affinity, a normal receptor-hormone binding but a subsequent failure to translocate the hormone to the nucleus, and a post-receptor defect, in which normal receptors are present but there is a deficiency in the induction of the 25(OH) vitamin D-24 hydroxylase enzyme in response to 1,25(OH)<sub>2</sub> vitamin D. Thus, the heterogeneity suggested from clinical observations in VDDR II patients could be demonstrated at the cellular level with various combinations of defective receptor-hormone binding and expression. The 1,25(OH)<sub>2</sub> vitamin D<sub>3</sub> receptor (VDR), which is closely related to the thyroid hormone receptors and represents another member of the *trans*-acting transcriptional factors including the steroid and thyroid hormone receptors, is an intracellular protein with a molecular weight of 60,000 daltons. The binding site for 1,25(OH)<sub>2</sub> vitamin D<sub>3</sub> resides in the C-terminal part of the protein whereas the N-terminal part of the molecule possesses the DNA-binding domain.<sup>342</sup> Zinc and other divalent cations are important in maintaining the DNA-binding function of the receptor, possibly by determining the conformation of the protein and giving rise to processes that can interdigitate between the helices of DNA. This hormone-receptor complex binds to a DNA region, which is located upstream of the promoter of genes encoding calcium-binding proteins and other proteins.

The availability of cDNAs encoding the avian and human VDR<sup>343</sup> helped to clarify the molecular basis of VDDR type II.<sup>344</sup> Nucleotide sequence analysis of genomic DNA revealed that the human VDR gene consists of 9 exons; exons 2 and 3 encode the DNA-binding domain, whereas exons 7, 8, and 9 encode the vitamin D-binding domain. The gene is located on chromosome 12q12-q14 in humans,<sup>322</sup> that is, in a region that comprises the gene encoding the  $1\alpha$ -hydroxylase. Mutational analysis of the VDR gene in VDDR II patients demonstrated the presence of nonsense and missense mutations affecting different parts of the receptor. Expression of these mutations in COS-1 monkey kidney cells demonstrated that these mutations result in a reduction or a loss of VDR function similar to the heterogeneous effects observed in cultured fibroblasts from VDDR II patients. Furthermore, VDR-null mutant (knockout) mice produced by targeted gene disruption<sup>345,346</sup> were found to have growth retardation, skeletal deformities, and an earlier mortality, and adult mice developed alopecia. In addition, biochemical investigations revealed that the VDRknockout mice were hypocalcemic and hypophosphatemic, with markedly elevated serum 1,25(OH)<sub>2</sub> vitamin D<sub>3</sub> concentrations. Thus, the VDR-null mutant mice have the features consistent with those observed in patients with VDDR type II.

### Hypophosphatasia

Hypophosphatasia is a rare inherited form of rickets<sup>347</sup> that is characterized by a deficient activity of the tissue-nonspecific (i.e., liver, bone, and kidney) isoenzyme of alkaline phosphosphatase (TNSALP). Although TNSALP is ubiquitous in tissues and especially abundant in liver, kidney, cartilage, and bone, hypophosphatasia seems to affect only hard tissues. Moreover, the severity of hypophosphatasia is remarkably variable, ranging from premature loss of teeth, to intrauterine death because of profound skeletal hypomineralization. Thus, six clinical types of hypophosphatasia are recognized depending on the age of onset and the extent of the skeletal disease.<sup>347</sup> Perinatal, infantile, childhood, and adult hypophosphatasia cause skeletal and dental disease. Odontohypophosphatasia, which refers to the occurrence of premature tooth loss without skeletal disease, may also occur in childhood or adulthood. The major biochemical abnormality is a serum alkaline phosphatase activity that is subnormal for age and sex (i.e., hypophosphatasemia).<sup>347</sup> Serum calcium and phosphate are not low, and hypercalcemia with hypercalciuria often accompany the infantile form. Serum levels of PTH, 25(OH) vitamin D, and 1,25(OH)<sub>2</sub> vitamin D are usually normal. Three phosphocompounds accumulate endogenously in hypophatasia. These are phosphoethanolamine, inorganic pyrophosphate, and pyridoxal 5'-phosphate. Urinary phosphoethanolamine and plasma pyridoxal 5'-phosphate may be measured, and an increased plasma pyridoxal 5'-phosphate concentration is a sensitive and specific marker for hypophosphatasia.<sup>347</sup> Defective skeletal mineralization occurs in all clinical forms of hypophosphatasia but not odontohypophosphatasia. The radiologic and histologic findings are similar to those of other forms of rickets or osteomalacia without secondary hyperparathyroidism.<sup>347</sup> The perinatal and infantile forms are inherited as autosomal recessive traits. The inheritance of the childhood, adult, and odonto-forms of hypophosphatasia is often not clear, although some patients seem to show autosomal recessive inheritance. The gene encoding TNSALP is located on chromosome 1p36.1-p34 and consists of 12 exons.<sup>347</sup> Patients with perinatal and infantile hypophosphatasia are either homozygotes or compound heterozygotes for the mutations. Conventional treatments for rickets or osteomalacia are best avoided in patients with hypophosphatasia, because this may provoke or exacerbate hypercalcemia and hypercalciuria. Enzyme replacement by intravenous infusion of various forms of alkaline phosphatase has been disappointing, but improvement following bone marrow cell transplantation has been reported to result in an improvement.<sup>347,348</sup>

### CONCLUSION

Remarkable advances have been made in identifying key proteins that are involved, either directly or indirectly, in the regulation of calcium and phosphate homeostasis, the hormones that are involved in these mechanisms, and receptors that mediate these hormonal actions in the different target tissues. Furthermore, the identification of mutations in several of these proteins provided a plausible molecular explanation for a variety of familial and sporadic disorders of mineral ion homeostasis and/or bone development. In addition to advances in further defining the biologic role(s) of known proteins, genetic loci and/or candidate genes have been identified for many of the inherited disorders associated with an abnormal regulation of calcium and phosphate homeostasis. It is likely that the definition of these familial disorders at the molecular level, which is greatly aided by the rapid progress in the Human Genome Project, and the exploration of the underlying cellular mechanisms will provide further important insights into the regulation of calcium and phosphate.

### **Acknowledgments**

R.V.T. is grateful to the Medical Research Council (UK) and Wellcome Trust for support, and H.J. is supported by grants

from the National Institutes of Health, NIDDK (DK-46718 and DK-50708). The authors would like to thank Mrs. Tracey Walker and Mrs. Latanya Turner for typing the manuscript and expert secretarial assistance.

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# Chapter 20

# Genetics and Renal Pathophysiology of Essential Hypertension

Pedro A. Jose, John E. Jones, Scott M. Williams, Gilbert M. Eisner and Robin A. Felder

Hypertension is a major contributor to the number one cause of death, cardiovascular disease.<sup>1</sup> In the United States, from 1999 to 2000, the death rate from high blood pressure increased almost 4% over the previous study (NHANES 1988–1991). Overall, 28.7% of Americans have hypertension, but the prevalence is higher in those 60 years and older (65.4%), and in non-Hispanic Blacks (33.5%). An increasing number of women has developed hypertension (30.1%) after 1999.<sup>2</sup>

#### HERITABILITY OF BLOOD PRESSURE

Blood pressure is distributed continuously; however, the distribution is skewed to the higher end of the curve.<sup>3</sup> There is a direct and quantitative relationship between higher blood pressure and mortality. The lack of a definable bimodal distribution of blood pressure suggests that it is regulated by a complex group of interacting genes. This is reinforced by the lack of a clear-cut mode of inheritance; in other words, there is no evidence that essential hypertension is a dominant or a recessive trait. About half of blood pressure variability is thought to be genetically determined, but the variation of blood pressure is the result of interactions among genes and environmental factors.<sup>4-7</sup> Genetic studies of essential hypertension have used two approaches: family-based linkage studies and the study of the association of candidate genes in a population-based design. Several loci have been linked to hypertension, and variants of many genes have been reported to be associated with hypertension, albeit inconsistently.<sup>7</sup> Harrap has suggested that, instead of searching for every allele that controls blood pressure, an effort should be made to search for molecular clues to the common physiologic mechanisms underlying disease.<sup>4</sup>

# PATHOPHYSIOLOGY OF ESSENTIAL HYPERTENSION

#### Primary Role of the Kidney

Because the kidney is important in the long-term regulation of blood pressure, many studies have focused on abnormal renal handling of sodium in the pathogenesis of essential hypertension.<sup>8–10</sup> Both direct and indirect measurements (e.g., lithium clearance) have shown increased sodium and fluid transport in the renal proximal tubule and thick ascending limb of Henle in rodent models of essential hypertension (e.g., spontaneously hypertensive rat [SHR], Dahl salt-sensitive rat, Milan hypertensive rat, Sabra hypertensive rat).<sup>11–13</sup> Essential hypertension in humans is also associated with increased sodium transport in the renal proximal tubule and medullary thick ascending limb, although increased distal tubular transport has also been reported.<sup>11,12,14–16</sup> In contrast, monogenic hypertension is caused by increased sodium transport that is limited to the distal nephron.<sup>11,12,17</sup>

The impaired renal sodium handling in essential hypertension<sup>8–10</sup> may be the result of abnormal regulation of natriuretic and antinatriuretic pathways.<sup>18–28</sup> The sympathetic nervous system<sup>29–34</sup> and the renin-angiotensin system<sup>8–10,34–41</sup> are antinatriuretic pathways. Products of arachidonic acid metabolism, dopamine, and nitric oxide (NO), among others, provide important counter-regulatory natriuretic pathways (Table 20-1).<sup>22–28,42–46</sup>

Essential hypertension has been attributed to increased extracellular fluid (ECF) volume, caused by a failure of the kidneys to eliminate sodium chloride and water. However, in cross-sectional studies an increased ECF volume has not been as consistently detected in hypertensive individuals as one might expect. In fact, total blood volume is usually normal in mild hypertension and tends to be decreased in more severe forms.<sup>4</sup> This apparent paradox can be explained by the temporal development of hypertension. Initially, hypertension develops slowly and there is a relatively brief stage in which ECF volume is elevated. This stage is followed by an elevation of total peripheral resistance.<sup>9</sup> This can be demonstrated in rodent models where ECF volume is greater in the SHRs as compared with their normotensive control, the Wistar-Kyoto (WKY) rat, but only in the young.<sup>47,48</sup>

# Evidence from Rodent Models of Essential Hypertension

Direct proof of the importance of the kidney in the long-term regulation of blood pressure comes from renal transplantation studies. Several studies have shown that the transplantation of WKY kidneys into first-generation (F1) offspring from a cross of WKY rats and SHRs reduces or prevents the increase in blood pressure with age; conversely, transplantation of SHR kidneys results in an increase in blood pressure. Cross-transplantation experiments in Dahl salt-sensitive rats have also demonstrated the importance of the kidney and the contribution of extrarenal factors to the long-term regulation of blood pressure.<sup>49–52</sup> The high blood pressure is associated with sodium retention,<sup>53</sup> and an elevated sensitivity of the

Antinatrivretic Agents (Receptors)	Natriuretic Agents (Receptors)
Adenosine (A1)	Adenosine (A <sub>2</sub> )
Angiotensin II (AT <sub>1</sub> )	Angiotensin II (AT <sub>2</sub> , AT <sub>4</sub> )
Carbon monoxide	Angiotensin 1–7
Endothelin (ETA)	Arachidonic acid metabolites (e.g., 20- hydroxyeicosatetraenoic acid)
Insulin	Calcium-sensing receptor
Norepinephrine ( $\alpha_1$ , $\alpha_2$ , $\beta_2$ )	Dopamine (D <sub>1</sub> , D <sub>3</sub> )
Neuropeptide Y	Endothelin (ETB)
Serotonin (5-HT <sub>1A</sub> , 5-HT <sub>2</sub> )	Natriuretic peptides (NPRA, NPRB)
Vasopressin (V <sub>2</sub> )—thick ascending limb	Nitric oxide Parathyroid hormone
Reactive oxygen species—thick ascending limb	Reactive oxygen species (e.g., hydrogen peroxide)—proximal tubule Uroguanylin and guanylin

Table 20-1Natriuretic and Antinatriuretic Humoral/Hormonal Agents and Receptors Acting in the Proximal Tubule and/or ThickAscending Limb of Henle

blood pressure of SHRs to sodium intake has been previously documented.<sup>54,55</sup> However, that transplantation of WKY kidneys into SHRs does not always normalize blood pressure supports the notion that extrarenal mechanisms may also contribute to the long-term regulation of blood pressure.<sup>56</sup> Subsequent experiments have shown that the contribution of the kidneys to hypertension, even in SHRs, is modified by extrarenal factors.<sup>57</sup> One example of extrarenal contribution to blood pressure regulation is the reduction of the sensitivity of blood pressure to sodium intake with neonatal sympathectomy.<sup>58</sup>

# Evidence from Humans with Essential Hypertension

The importance of the kidney in the long-term regulation of blood pressure in humans was first supported by the report of Curtis et al.<sup>59</sup> They reported the normalization of blood pressure in six African Americans with malignant hypertension after renal transplantation. Subsequently, Guidi et al reported that the ability of a transplanted (hypertensive) kidney to transmit hypertension is found only in those recipients without a family history of hypertension.<sup>60</sup> Surprisingly, this did not occur in renal transplant recipients with a positive family history of hypertension. The authors suggested that recipients with a family history of hypertension may have developed extrarenal mechanisms that counteract the renal pressor effect of the transplanted kidney.

# Nonrenal Mechanisms: Secondary Role of the Kidney

Several reports have documented a primary role of neuroendocrine and cardiac mechanisms in the pathogenesis of hypertension, whereas a secondary role is played by vasoconstrictor and vasodilatory agents from the endothelial cells.<sup>22,29–34,61–65</sup> For example, there is an increase in activity of Na<sup>+</sup>Ca<sup>2+</sup>-exchanger type 1 in vascular smooth muscles in saltsensitive hypertension, but only during renally mediated sodium retention.<sup>66</sup>

The renin-angiotensin system also regulates blood pressure by renal and nonrenal mechanisms. Disrupting or silencing genes in the renin-angiotensin system, such as angiotensinogen, angiotensin-converting enzyme type I (ACEI), and angiotensin type 1A  $(AT_{1A})$  receptor, in mice and rats decreases blood pressure.<sup>67–72</sup> However, the contribution of renal and extrarenal mechanisms could not be determined from these reports. To determine the contribution of renal AT<sub>1</sub> receptors in the regulation of blood pressure, Crowley et al studied the effect of transplanting kidneys from  $AT_{1A}^{-/-}$  mice into bilaterally nephrectomized wild-type nontransgenic mice, and vice versa. These investigators estimated that under basal conditions, 42% of blood pressure control resides in AT<sub>1</sub> receptors in the kidney and 58% outside the kidney.73 However, the hypertension induced by angiotensin II infusion requires only the presence of renal AT1A receptors.

The sympathetic nervous system,<sup>29–32,34,57,58</sup> like the reninangiotensin system, <sup>5,8,10,28,33–40,67–74</sup> is important in the pathogenesis of essential hypertension and may regulate blood pressure by extrarenal mechanisms. Humans with essential hypertension<sup>75,76</sup> and rodent models of genetic hypertension (e.g., SHR)<sup>29–32,34,57,58,77–79</sup> have increased sympathetic nervous activity outside the kidney. However, the high blood pressure that develops in the F1 generation of WKY and SHR recipients of SHR kidneys is apparently not caused by abnormalities of the renin-angiotensin or sympathetic nervous system. Sodium and fluid retention, or renal interlobar and mesenteric vascular smooth muscle reactivity (vasoconstrictor response to vasopressin and norepinephrine, vasodilator response to acetylcholine and nitroprusside) could not be demonstrated in these hypertensive rats, either.<sup>80</sup> Therefore, other vasoactive agents, especially those that exert their effects independent of the vascular endothelium, must play a role in the development of hypertension. One such vasodepressor agent is dopamine. In the SHR (and in some subjects with essential hypertension), the renal and mesenteric vasodilator response to D<sub>1</sub>-like receptor stimulation is impaired, independently of the endothelium.<sup>81–84</sup>

# Role of Reactive Oxygen and Nitrogen Species

Reactive oxygen species (ROS) were first discovered in phagocytes; the stimulated production of ROS is named after the respiratory burst due to the transient consumption of oxygen.<sup>85,86</sup> ROS encompass a series of oxygen intermediates, including superoxide anion  $(O_2^-)$ , hydrogen peroxide  $(H_2O_2)$ , hydroxyl radical (OH), and hypochlorous acid.85-87 The mitochondria are a major site of generation of ROS, whereas nonmitochondrial, membrane-associated NADH/NADPH oxidase seems to be the most important source of O<sub>2</sub><sup>-</sup> in nonphagocytic cells, including vascular smooth muscle and renal epithelial cells.<sup>88,89</sup> In nonphagocytic cells, ROS, acting as intracellular and extracellular second messengers, play a physiologic role in vascular tone and cell growth, and a pathophysiologic role in inflammation, ischemia, hypertension, and other diseases.<sup>20,87–96</sup> Oxidative stress may be an important mechanism that contributes to the maintenance of the high blood pressure initiated by other primary processes. In the SHR, the increase in ROS activity occurs after the development of hypertension.97 Thus, whether increased ROS production is causal or secondary to hypertension or to activation of receptors that increase blood pressure remains to be determined.

ROS can regulate the expression and/or activity of G protein–coupled receptors (which have been associated with hypertension) and vice versa. For example, oxytocin, which has been implicated in the regulation of blood pressure,<sup>98</sup> increases NADPH-dependent  $H_2O_2$  generation,<sup>99</sup> and  $H_2O_2$  can increase the activity of oxytocin.<sup>100</sup> Vasopressin, which has also been implicated in hypertension,<sup>101,102</sup> can also increase  $O_2^-$  production.<sup>103</sup> The ability of angiotensin II to increase ROS production is well known,<sup>20,22,65,87–96</sup> but ROS have also been reported to decrease AT<sub>1</sub> receptor expression.<sup>104</sup> In contrast to the pro-oxidant properties of many G protein–coupled receptors, physiologic concentrations of dopamine, via all five dopamine receptor subtypes, have antioxidant properties.<sup>105–111</sup>

Reactive nitrogen species, including nitrosonium cation (NO<sup>+</sup>), nitroxyl anion (NO<sup>-</sup>), and peroxynitrite (ONOO<sup>-</sup>), are generated by reaction of ROS with NO or NO-related products.<sup>112,113</sup> NO can increase sodium excretion by increasing renal blood flow and by inhibition of sodium transport in many segments (proximal tubule, thick ascending limb of Henle, cortical collecting duct, inner medullary collecting duct) of the kidney<sup>24,112–120</sup>; NO and ONOO<sup>-</sup> can decrease ion transport by inhibition of Na<sup>+</sup>/K<sup>+</sup>-ATPase activity<sup>116</sup> and by increasing paracellular permeability.<sup>117</sup> O<sub>2</sub><sup>-</sup> has also been reported to increase ion transport in the thick ascending limb of Henle.<sup>42</sup> ROS can also increase sodium transport by decreasing the availability of NO.<sup>113</sup>

# SUBTYPES OF ESSENTIAL HYPERTENSION

# Salt-Sensitive and Salt-Resistant Hypertension

Salt sensitivity is defined as an increase in blood pressure (>10%) following an increase or decrease in sodium load,

respectively.<sup>121–125</sup> The most reliable diagnostic method necessitates putting the patient on a diet with normal sodium intake for 5 to 7 days, followed by a reduction of sodium intake for 5 to 7 days, and then followed by a high-sodium diet intake for 5 to 7 days.<sup>121,123,125</sup> Although a shorter 2-week protocol has been suggested, there is only a 0.69 correlation coefficient between blood pressure obtained in the shorter protocol<sup>126</sup> and the definitive method of strict dietary regimen.<sup>121,123,125</sup>

The incidence of salt sensitivity with or without hypertension varies among ethnic groups.<sup>2,127</sup> Salt sensitivity, independent of hypertension, is a risk factor for cardiovascular morbidity and mortality.<sup>128–133</sup> Indeed, the morbidity and mortality of salt-sensitive normotensive subjects are the same as that of hypertensive subjects.<sup>128</sup>

Salt sensitivity depends on the intake of sodium as halide salts.<sup>134</sup> Sodium bicarbonate and other nonchloride salts of sodium do not elevate blood pressure in humans<sup>134–136</sup> and rodents.<sup>137–139</sup> The differential effect of chloride and non-chloride salts of sodium may<sup>140</sup> be related to differences in sodium balance or body weight.<sup>137–139</sup>

#### Low-Renin, Normal-Renin, and High-Renin Essential Hypertension

High plasma renin has been considered as an independent risk factor in hypertension.<sup>141,142</sup> Low-renin hypertension has been defined as an impaired response ( $\leq 2.4$  ng/mL/hr) to upright posture.<sup>143,144</sup> Low-renin hypertension has also been defined as plasma renin activity less than 1 ng/mL/hr in the recumbent position while on a normal sodium diet.<sup>145</sup> Low plasma renin activity is associated with salt-sensitive hypertension and has been used as a surrogate marker for salt sensitivity.<sup>143,144,146</sup> However, plasma renin activity may not predict the salt-sensitive phenotype and therefore cannot be used to diagnose salt sensitivity.<sup>147,148</sup> especially in those individuals who are normotensive.

#### Modulating and Nonmodulating Hypertension

The expected response to a low-salt diet is an increase in plasma aldosterone levels and a decrease in the renal vascular response to infused angiotensin II. During a high salt intake, the expected response is a decrease in plasma aldosterone and an increase in renal vascular response to infused angiotensin II. Those who fail to respond in this way are termed non-modulators and represent 40% to 50% of patients with normal- and high-renin essential hypertension.<sup>146</sup> Non-modulators do not excrete a salt load appropriately. Plasma renin activity has been reported to be higher in nonmodulating than modulating hypertension.<sup>148–150</sup> Interestingly, nonmodulators do not increase urinary dopamine in response to a high sodium intake.<sup>151</sup> Impaired renal production of dopamine in response to a sodium load has also been reported in essential hypertension.<sup>152–155</sup>

### **Dipper and Nondipper Hypertension**

Ambulatory blood pressure monitoring has made possible the characterization of the circadian patterns of blood pressure. Nocturnal blood pressure is usually 10% lower than daytime blood pressure. The absence of a decline in blood pressure at night or less than a 10% decline from the daytime value (nondipper) in both hypertensive and normotensive subjects has been associated with increased cardiovascular morbidity and mortality and renal insufficiency.<sup>156,157</sup> The reproducibility of nondipping has been questioned.<sup>157</sup> Nevertheless, some investigators have suggested that nondippers may have abnormalities in NO<sup>158</sup> and melatonin production,<sup>159</sup> and sympathetic/parasympathetic regulation of blood pressure.<sup>160</sup> Nondipping does not seem to be related to salt sensitivity,<sup>161</sup> or to deficient suppression of the renin-angiotensin system at night.<sup>162,163</sup>

# GENETIC CAUSE(S) OF ESSENTIAL HYPERTENSION

Several chromosomal loci have been linked to hypertension, and many gene variants have been reported to be associated with increased risk for essential hypertension (Table 20-2). In addition to gene variants, telomere dysfunction has also been suggested to be important in the pathogenesis of hypertension and its complications.<sup>164–166</sup>

# Determination of the Gene or Groups of Genes Responsible for Hypertension

Glazier et al have suggested criteria that should be used in determining whether a gene or groups of genes are responsible for the causation of complex diseases (Table 20-3).<sup>167</sup>

#### Linkage of Gene Loci and Association of Gene Variants with Essential Hypertension

The first required criterion for evidence of a genetic basis of a complex disease (see Table 20-3) is the demonstration of a (statistically sound genome-wide association in a single or multiple studies.<sup>167</sup> Genome-wide mapping studies have linked loci in several chromosomes to essential hypertension,<sup>168–171</sup> but these studies have not yet led to the identification of specific gene variants that may be causal. Although linkage studies have been successful in the identification of rare and highly penetrant alleles,<sup>172</sup> as in the case of monogenic hypertension,<sup>17</sup> such studies lack power to detect alleles conferring moderate risks that are likely to be the norm in complex disease states,<sup>172</sup> such as essential hypertension. Even if a disease risk locus is identified by linkage, the power of linkage disequilibrium to detect an association with a specific variant may be limited if multiple variants at each locus confer disease susceptibility. As can be gleaned from Table 20-2, many loci and/or alleles, by themselves or in combination, have been associated with essential hypertension.

Association studies have made use of case control design (population-based) and/or transmission disequilibrium tests (family-based).<sup>172,173</sup> The former tests for different frequencies of a variant in cases and controls, whereas the latter tests for a nonrandom transmission of a specific allele to affected individuals. The success of association studies of hypertension has been limited, with many association findings replicating

in some but not all reports.<sup>7</sup> The failures to replicate include studies that use the same ethnic group, but especially those using different ethnic groups. One possible reason for these inconsistent results is that some sample sizes are not large enough to detect and confirm genetic variants that confer small risks. False-positive and false-negative results can occur with small sample sizes and when minor allele frequency is low.<sup>172</sup> It has been suggested that success can be improved by increasing the sample size and by careful selection of candidate genes and gene variants. However, large sample sizes may result in the samples representing stratified populations, which can lead to spurious associations.<sup>172,174</sup> One way to avoid the potential confounder of population stratification is to study populations that have had recent founders and have been relatively isolated from their source populations. The use of founder populations has been quite successful for mapping monogenic diseases and rare variants of recent origin but may not confer any advantage over outbred populations in the study of complex disease.<sup>172</sup> An alternative reason for the lack of replication is that risk is dependent on other, unmeasured variants that interact with the variants being studied.<sup>7</sup> If the interacting loci differ in frequency in the different populations, then detectable effects will be variable, leading to an inability to replicate single site associations.

After the identification of disease susceptibility alleles in complex diseases such as hypertension, gene-gene interactions have to be assessed. Parametric tests to determine gene-gene interaction, such as logistic regression, can be confounded by large numbers of disease loci, significantly decreasing the power to detect effects. Multivariate effects may be identified in relatively small samples with nonparametric methods (cluster analysis, linear discriminant analysis, recursive partitioning, and multifactorial dimensionality reduction [MDR]). The MDR approach uses data reduction to collapse genotypes from multiple loci into just two phenotypic classes that best define high risk and low risk of disease.<sup>175,176,176a</sup> A single best genetic model is selected from different combinations of loci using 10-fold cross-validation and permutation testing. The advantages of MDR are that it: (1) is capable of identifying specific multilocus genotypes that predict high and low risk of disease in relatively small case-control data sets; (2) has reduced sensitivity to multiple testing issues due to the cross-validation and permutation approach used; (3) is free of an assumed genetic model (i.e., model-free); (4) is nonparametric (i.e., no parameters are estimated); and (5) has the ability to estimate the prediction error of disease risk. Using MDR, the G protein-coupled receptor kinase 4 (GRK4) variant R65L, which impairs the inhibitory effect of dopamine receptors on sodium transport in the renal proximal tubule and thick ascending limb of Henle<sup>177–179</sup> and insertion/deletion variants of the angiotensin-converting enzyme, ACEI/D, have been shown to associate with essential hypertension with a predictive accuracy of 70% in a Ghanaian population,<sup>180</sup> confirming an earlier study, using a multilocus disequilibrium analysis.<sup>181</sup> Another GRK4 gene variant, GRK4 A486V, has also shown association with salt-sensitive hypertension.<sup>182</sup> Interestingly, both α-adducin, whose gene variant G460W is associated with salt-sensitive essential hypertension and abnormal renal proximal sodium transport<sup>183-185</sup> and GRK4 are mapped to chromosome 4p16.3, less than 50 kilobases apart.<sup>186,187</sup>

Gene	Allele or Amino Acid Substitution	Chromosomal Location	Association with Essential Hypertension	Population	Reference
Acyl-CoA dehydrogenase, short/branched chain (ACADSB)	rs2277249, G-512A rs2277250, G-254A	10q25–q26	Yes Yes	Japanese females Japanese females	Kamide et al (2007) Kamide et al (2007)
Adenosine A <sub>2</sub> receptor (ADORA2, RDC8)	T1083C	22q11.2	No	Japanese	Soma et al (1998)
α-adducin	A386G	10q24.2–q24.3	No	Hani & Yi Chinese	Zhou et al (2006)
α-adducin Linkage analysis	Gly460Trp Gly460Trp Gly460Trp Gly460Trp Gly460Trp Gly460Trp D4S432 Gly460Trp	4p16.3	Yes No No No No No	Caucasian Japanese Caucasian Caucasian Chinese (northern) Chinese Chinese Caucasian (Hispanic)	Cusi et al (1997) Ishikawa et al (1998) Wang et al (1999) Clark et al (2000) Ju et al (2003) Niu et al (1999) Chu et al (2002) Castejon et al (2003)
β-adducin	C1797T	2p14-p13	Yes	Caucasian females	Wang et al (2002)
α <sub>2B</sub> -adrenergic receptor (ADRA2B); α <sub>2</sub> -adrenergic receptor-like 1 (ADRA2L1)	Indel polymorphism	Chr. 2	Yes	Caucasian	von Wowern et al (2004)
β <sub>2</sub> -adrenergic receptor (ADRB2)	Arg16Gly Gln27Glu Thr164lle Arg16Gly Gln27Glu	5q32–q34	No No No No	Caucasian Caucasian Caucasian Caucasian Caucasian	Tomaszewski et al (2002) Tomaszewski et al (2002) Tomaszewski et al (2002) Galletti et al (2004) Galletti et al (2004)
Aldosterone synthase (CYP11B2)	T-344C T-344C 344C T4986C A6547G	8q21	Yes Yes No Yes	Japanese African, Asian, Caucasian Caucasian Caucasian Caucasian	Tsukada et al (2002) Barbato et al (2004) Kumar et al (2003) Kumar et al (2003) Kumar et al (2003)
Angiotensinogen (AGT)	G-217A G-217A G-152A A-20C	1q42–q43	Yes No No Yes Yes, via haplotype Yes, via haplotype	African-American Chinese African-American European American Chinese Chinese Chinese	Jain et al (2002) Liu et al (2004) Zhu et al (2003) Wu et al (2004) Liu et al (2004) Liu et al (2004)

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Gene	Allele or Amino Acid Substitution	Chromosomal Location	Association with Essential Hypertension	Population	Reference
	G-6A		Yes, via haplotype	Chinese	Liu et al (2004)
	G-6A		Yes	Caucasian	Inoue et al (1997)
	G-6A		Yes	Japanese	Ishigami et al (1999)
	G-6A		Yes, Tibetan females	Chinese	Liu et al (2001)
	C-532T, G-217A, G-6A		No, European and/or African American		Zhu et al (2003)
	T31A		Yes, paternal family history	Chinese	Ishikawa et al (2001)
	T68C		Yes	Japanese	Ishigami et al (1999)
	T174M		No	Chinese	Liu et al (2004)
	M235T		Yes, via haplotype	Chinese	Liu et al (2004)
	M235T		No	Caucasian	Hingorani et al (1995)
	Several		No	African American	Wu et al (2003)
Angiotensin II type 1	A1166C	3q21–q25	Yes	Caucasian	Hingorani et al (1995)
(AT1, AGTR1)	A1166C		No	Tibetans	Qiu et al (2000)
	A1166C		No	Japanese	Ono et al (2003b)
	A1166C		Yes	Caucasian males	Stankovic et al (2003)
	CA-repeat		Yes	Tibetans	Qiu et al (2000)
	A44221G		Yes	European American, African Americans	Zhu et al (2003)
Angiotensin-converting	Indel polymorphism	17q23	Yes	Caucasian	Zee et al (1992)
enzyme (ACE)	Indel polymorphism		Yes	African-American	Duru et al (1994)
	Indel polymorphism		Yes	Caucasian	Hingorani et al (1995)
	Indel polymorphism		Yes	Afro-Caribbean	Barley et al (1996)
			No	Caucasian	Barley et al (1996)
	Indel polymorphism		Yes	Chinese	Jeng et al (1997)
	Indel polymorphism		Yes	Sikh	Mastana and Nunn (1997)
	Indel polymorphism		No	Caucasian	Mondorf et al (1998)
	Indel polymorphism (high salt association)		Yes	Caucasian	Poch et al (2001)
	Indel polymorphism		Yes	Caucasian males	Stankovic et al (2002)
	Indel polymorphism		Yes	Tibetan females	Gesang et al (2002)
	C8342T		Yes	European American, African Americans	Zhu et al (2003)
	A12292G		Yes	European American, African Americans	Zhu et al (2003)
	A15990G		Yes	European American, African Americans	Zhu et al (2003)

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Gene	Allele or Amino Acid Substitution	Chromosomal Location	Association with Essential Hypertension	Population	Reference
Angiotensin-converting enzyme 2 ( <i>ACE2</i> )	A1075G G8790A C28330G G36787C	Хр22	No No No	Caucasian Caucasian Caucasian Caucasian	Benjafield et al (2004) Benjafield et al (2004) Benjafield et al (2004) Benjafield et al (2004)
Apolipoprotein E	G-219T Cys112Arg Arg158Cys	19q13.2	No No No	Japanese Japanese Japanese	Izawa et al (2003) Izawa et al (2003) Izawa et al (2003)
ATPase, Na/K transporting α ( <i>ATP1A3</i> ) Linkage analysis	D19S420	19q13.3	No	Chinese	Chu et al (2002)
ATPase, Na <sup>+</sup> /K <sup>+</sup> transporting, β-1	rs2000321	1q22–q25	Yes	European American & African American	Chang et al (2007)
polypepilde (AIFTET)	rs12079745		Yes	African American European American	Chang et al (2007)
Atrial natriuretic peptide (ANP, NPPA)	G1837A T2238C	1p36.2	No No	Japanese Japanese	Rahmutula et al (2001) Rahmutula et al (2001)
Bradykinin receptor B <sub>1</sub> (BDKRB1, BKR1)	G-699C A1098G	14q32.1–q32.2	No No	African Americans African Americans	Gainer et al (2000) Gainer et al (2000)
Bradykinin receptor B <sub>2</sub> (BDKRB2, BKR2)	C-58T C181T	14q32.1–q32.2	Yes No	African Americans African Americans	Gainer et al (2000) Gainer et al (2000)
Calpastatin (CAST)	rs754615, C408S	5q15-q21	Yes	Japanese females	Kokubo et al (2006)
Catecholamine- O-methyltransferase (COMT)	rs165656, G-1187C rs4633, H62H	22q11.2	Yes Yes	Japanese males Japanese males	Kamide et al (2007) Kamide et al (2007)
Chloride bicarbonate exchanger 3 ( <i>SLC4A3</i> ) Linkage analysis	D2S163	2q36	No	Chinese	Chu et al (2002)
Chloride channel, kidney (CLCNKB)	T481S T481S T481S	1p36	Yes No No	Caucasian Japanese Caucasian	Jeck et al (2004) Kokubo et al (2005) Speirs et al (2005)
Chloride channel, kidney B (CLCNKB, ClC-Kb)	Thr481Ser	1p36	Yes	Caucasian	Jeck et al (2004)
CC-Chemokine receptor 2 (CCR2)	Val64lle CCR264l	3p21	Yes Yes	Japanese Caucasian	Izawa et al (2003) Mettimano et al (2003)
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Gene	Allele or Amino Acid Substitution	Chromosomal Location	Association with Essential Hypertension	Population	Reference
CC-Chemokine receptor 5 (CCR5)	CCR5∆32	3p21	Yes	Caucasian	Mettimano et al (2003)
Cold-induced auto-inflammatory syndrome 1 (CIAS1)	VNTR 12x Intron 4	1q44	Yes	Japanese	Omi et al (2006)
Connexin 37 (gap junction protein)	C1019T, Pro319Ser	1p35.1	No	Japanese	Izawa et al (2003)
Connexin 40, gap junction protein (CX40)	A-44G A+71G	1q21.1	Yes Yes	Caucasian males Caucasian males	Firouzi et al (2006) Firouzi et al (2006)
CYP2J2*7 CYP2C8*3 (CYP4A11) 20-HETE synthase	G-50 T R139K, K399R T8590C	1p31.3-p31.2 10q23.33 1p33	Yes No Yes Yes	Caucasian males Caucasian males Caucasians, None in Blacks Germans	King et al (2005) King et al (2005) Gainer et al (2005) Mayer B et al (2005)
Cytochrome b(-245), α subunit (CYBA), p22 <sup>phox</sup>	G-930A G-930A H72Y	16q24	Yes Yes Yes	Caucasian Japanese males Caucasian	Moreno et al (2003) Kokubo et al (2005) Moreno et al (2006)
Cytotoxic T lymphocyte- associated 4 (CTLA4)	rs231775, A17T	2q33	Yes	Japanese males	Kokubo et al (2006)
Dopamine D <sub>1</sub> receptor Dopamine D <sub>2</sub> receptor	Taql RFLP A-48G	11q23 5q35.2	Yes Yes	Chinese Japanese	Fang et al (2005) Sato et al (2000)
Dopamine D <sub>3</sub> receptor	Ser9Gly	3q13.3	No	Japanese	Soma et al (2002)
E-selectin	Ser128Arg	1q23-q25	No	Japanese	Izawa et al (2003)
Epithelial sodium channel, $\beta$ and $\gamma$ (ENaC)	β-, γENaC D16S403 β-, γENaC D16S420 β-, γENaC D16S420 β-, γENaC D16S420 β-, γENaC D16S420 β-, γENaC D16S403	16p13-p12	Yes Yes No No No	Caucasian Caucasian Black Caribbean Chinese Chinese	Wong et al (1999) Wong et al (1999) Munroe et al (1998) Niu et al (1999) Niu et al (1999)
Endothelial nitric oxide synthase (eNOS)	T-786C Intron 4b/a G894T G894T	7q36	No No No	Han Chinese (northern) Han Chinese (northern) Han Chinese (northern) Pakistani Pathans	Zhao et al (2006) Zhao et al (2006) Zhao et al (2006 Khawaja et al (2007)
Endothelin 1 (EDN1)	K198N K198N	6p24–p23	Yes (interaction with BMI) Yes (interaction with BMI)	Caucasian Japanese	Tiret et al (1999) Jin et al (2003)

Gene	Allele or Amino Acid Substitution	Chromosomal Location	Association with Essential Hypertension	Population	Reference
Endothelin 2 ( <i>EDN2</i> )	A985G	1p34	Yes	Caucasian	Sharma et al (1999)
Endothelin receptor type A ( <i>EDNRA</i> )	5′ UTR G→A Exon 8 C→T	Chr. 4	Yes Yes (weak)	Caucasian Caucasian	Benjafield et al (2003) Benjafield et al (2003)
Factor V deficiency (F5)	rs6020, K513R	1q23	Yes	Japanese females	Kokubo et al (2006)
FK506-binding protein 1B ( <i>FKBP1B</i> )	Exon 4 T→C	Chr. 2	No	Caucasian	Benjafield et al (2003)
Gα subunit 2 (GNAI2)	C-318G	3p21	Yes	Caucasian	Menzaghi et al (2006)
G protein–dependent receptor kinase 4 (GRK4)	Arg65Leu Arg65Leu	4p16.3	No Yes (Strongly when associated with ACE polymorphism)	Caucasian African (Ghana)	Bengra et al (2002) Williams et al (2004)
	Ala142Val		Yes	Caucasian	Speirs et al (2004)
	Ala486Val		Yes	Caucasian	Bengra et al (2002)
Glucocorticoid receptor	Asn363Ser	5q31	No	Caucasian	Lin et al (2003)
Glucagon receptor (GCGR)	Gly40Ser Gly40Ser Gly40Ser	17q25	Yes No Yes	Caucasian Caucasian Caucasian (male only study)	Morris and Chambers (1996) Brand et al (1999) Strazzullo et al (2001)
Glycogen synthase 1 (GYS1)	Xbal RFLP Xbal RFLP	19q13.3	Yes Yes	Caucasian Caucasian	Gharavi et al (1996) Schalin-Jantti et al (1996)
Group-specific component, vitamin D-binding protein (GC)	rs9016, R445C	4q12	Yes	Japanese females	Kokubo et al (2006)
Growth hormone receptor (GHR)	rs6180, L5441	5p13-p12	Yes	Japanese females	Kokubo et al (2006)
Guanine nucleotide binding protein, β3 subunit (GNB3)	C825T (splice variant)	12p13	Yes	Japanese	Izawa et al (2003)
Heme oxygenase-1 (HMOX-1)	T-413A	22q12	Yes	Japanese females (association not observed in males)	Ono et al (2003c)
Hepatic lipase (LIPC)	rs6078, V95M	15q21–q23	Yes	Japanese males	Kokubo et al (2006)

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### Table 20-2 Essential Hypertension-Related Genes – Cont'd

Gene	Allele or Amino Acid Substitution	Chromosomal Location	Association with Essential Hypertension	Population	Reference
11β-Hydroxysteroid dehydrogenase type 2 (HSD11B2, 11βHSD2) Linkage analysis	D16S503 G534A (high-salt association)	16q22	No Yes	Chinese Caucasian	Chu et al (2002) Poch et al (2001)
Hypertension-associated SA Linkage analysis	Pst RFLP D16S412	16p13.11	Yes No	Japanese Chinese	lwai et al (1994) Chu et al (2002)
Insulin receptor ( <i>INSR</i> )	Bg/I RFLP R1 (Rsal) RFLP Insertion polymorphism CA repeat (intron 9) Rsal RFLP Sstl RFLP GAG-1040-GAA (SSCP) Nsil RFLP (exon 8)	19p13.2	No Yes Yes No No Yes Yes	Caucasian Caucasian Caucasian Caucasian Caucasian Caucasian Chinese Caucasian	Ying et al (1991) Ying et al (1991) Morris et al (1993) Zee et al (1994) Munroe et al (1995) Munroe et al (1995) Qiu et al (1995) Schrader et al (1996)
Interleukin 6 ( <i>IL-6</i> )	G-174C C-634G	1q21.3	No No	Caucasian Japanese	Pola et al (2002) Izawa et al (2003)
Interleukin 10 ( <i>IL-10</i> )	T-819C A-592C	1q31_q32	No No	Japanese Japanese	Izawa et al (2003) Izawa et al (2003)
Lamin A/C	Arg482Gln	1q21.2	Yes	Caucasian	Hegele et al (2000)
Lipoprotein lipase (LPL)	IVS4-214C→T 7754C→A S447X	8p22	Yes, via haplotype	Chinese	Li et al (2004)
12-lipoxygenase (12-LOX, ALOX12)	rs4987104, K259E rs1126667, R261Q rs434473, S322N rs11571342, H430R rs2070589, C1559T (Intron 2) rs438444, G12185C (Intron 12)	17p13.1	ND (not determined) Yes ND ND No	Caucasian Caucasian Caucasian Caucasian Caucasian Caucasian	Quintana et al (2006) Quintana et al (2006)
Methylentetrahydrofolate reductase (MTHFR)	C677T	1p36.3	Yes	Caucasian	Heux et al (2004)
Mineralocorticoid receptor ( <i>MLR</i> ) Linkage analysis	D4S1604	4q31	No	Chinese	Chu et al (2002)

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Gene	Allele or Amino Acid Substitution	Chromosomal Location	Association with Essential Hypertension	Population	Reference
Mineralocorticoid receptor, aldosterone receptor (MCR)	F826Y	4q31.1	Yes	Japanese	Kamide et al (2005)
NADH/NADPH oxidase p22 phox (CYBA)	C242T His72Tyr	16q24	No	Japanese	Izawa et al (2003)
Natriuretic peptide receptor, type A ( <i>NPRA</i> , atrial natriuretic peptide receptor, type A)	Met3411le Indel 15,129 (3' UTR)	1q21–q22	No Yes	Japanese Caucasian	Nakayama et al (2002a) Lucarelli et al (2002)
Natriuretic peptide, type C ( <i>CNP</i> )	G733A G1612C G2347T G2628A	2q24–qter	No No Yes	Japanese Japanese Japanese Japanese	Ono et al (2002) Ono et al (2002) Ono et al (2002) Ono et al (2002)
Nitric oxide synthase 3 ( <i>NOS3</i> ), Endothelial NO ( <i>eNOS</i> )	(CA) <sub>n</sub> repeat, intron 13 A27C, intron 18 G10T, intron 23 G10T, intron 23 T-786C T-786C G894T G894T	7q36	No No Yes (low BP) Yes No Yes (low BP) No	Caucasian Caucasian Caucasian/African American Caucasian Japanese Caucasian/African American Chinese	Bonnardeaux et al (1995) Bonnardeaux et al (1995) Bonnardeaux et al (1995) Chen et al (2004) Hyndman et al (2002) Izawa et al (2003) Chen et al (2004) Tan et al (2004)
Paraoxonase (PON1)	G584A, Gln192Arg	7q21.3	No	Japanese	Izawa et al (2003)
Phenylethanolamine N-methyltransferase (PNMT)	G-148A G-353A G-353A	17q21	No Yes No	Caucasian/African American African American Caucasian	Cui et al (2003) Cui et al (2003) Cui et al (2003)
Phospholipase A2, group VII (PLA2G7)	rs1805018, 1198T	6p21.2–p12	Yes	Japanese females	Kokubo et al (2006)
Plasma membrane calcium ATPase 2 ( <i>ATP2B2</i> ) Linkage analysis	D3S1263	3p24	No	Chinese	Chu et al (2002)
Plasminogen-activator inhibitor 1	-668/4G → 5G	7q21.3–q22	No	Japanese	Izawa et al (2003)

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Gene	Allele or Amino Acid Substitution	Chromosomal Location	Association with Essential Hypertension	Population	Reference
Platelet-activating factor acetyl hydrolase phospholipase A <sub>2</sub> , Group VII ( <i>PLA2G7</i> )	G994T, Val279Phe G994T, Val279Phe	6p21.2–p12	No No	Japanese Japanese	Yoshida et al (1998) Izawa et al (2003)
Potassium channel, calcium activated, large conductance, subfamily M, Beta 1 (KCNMB1)	E65K E65K	5q34	Yes No	Caucasian Japanese	Fernández-Fernández et al (2004 ) Kokubo et al (2005)
Prostacyclin synthase, Prostaglandin 12 synthase (PTGIS), CYP8A1	T-192G	20q13.11-q13.13	Yes	Japanese	Nakayama et al (2002b)
Protein kinase, lysine- deficient 4 (WNK4)	G1662A G/A intron 10, bp 1156666 G/A intron 10, bp 1156666 Exon 8 A589S Exon 10 R665W Exon 14 P813L Exon 14 T879M Exon 10 tetranucleotide repeat	17q21–q22	Yes Yes No No No No	Chinese Caucasian Caucasian African American African American African American African American Caucasian	Sun et al (2003) Erlich et al (2003) Speirs and Morris (2004) Erlich et al (2003) Erlich et al (2003) Erlich et al (2003) Erlich et al (2003) Benjafield et al (2003)
Protein tyrosine phosphatase (PTP1B)	1484insG	20q13.1-q13.2	No	Caucasian	Speirs et al (2004)
Regulator of G protein signaling 2 (RGS2)	A-638G T1026A 1891-1892 del.TC	1q31	No Yes Yes	Japanese Japanese females Japanese females	Yang et al (2005) Yang et al (2005) Yang et al (2005)
Regulator of G protein signalling 5 (RGS5)	rs1056514 rs3806366 rs15049 rs1354939 rs2255642 rs2815287 rs2456899 rs4620514 rs4657247 rs4657251 rs7513108 rs2999967 rs1553695	1q23	Yes Yes (strongest) Yes Yes Yes Yes Yes Yes Yes Yes Yes Yes	African American African American African American African American African American African American African American African American European American European American European American European American	Chang et al (2007) Chang et al (2007)

Gene	Allele or Amino Acid Substitution	Chromosomal Location	Association with Essential Hypertension	Population	Reference
Renin	HindIII RFLP HindIII RFLP Mbol RFLP Bg/I RFLP Intron 4 T+17int4G Intron 7 VNTR Exon 9 G1051A C-4021T	1q32	No Yes Yes No No Yes Yes	Caucasian Chinese Caucasian Caucasian Japanese Japanese Japanese European American, African American	Zee et al (1991) Chiang et al (1997) Frossard et al (1998) Frossard et al (2001) Hasimu et al (2003b) Hasimu et al (2003a) Hasimu et al (2003b) Zhu et al (2003)
Resistin (RETN, RSTN)	G+62A 3'-UTR	19p13.2	Yes	Caucasian	Gouni-Berthold et al (2005)
RGS2	Insertion/deletion		Yes	African American	Riddle et al (2006)
Selectin E (SELE)	rs2076059 rs5359, rs5368, rs2076059 (haplotype)	1q23–q25	Yes Yes (strongest)	African American African American	Chang et al (2007) Chang et al (2007)
Sodium channel, nonvoltage-gated-1 α-subunit (SCNN1A)	A2139G D12S889	12p13.3	Yes No	Japanese Caribbean Africans	lwai et al (2002) Munroe et al (1998)
Sodium channel, nonvoltage-gated-1 β-subunit ( <i>SCNN1B</i> )	Gly589Ser Thr594Met Arg597His Arg624Cys Glu632Gly Gly442Val Val434Met G589S and a novel intronic i12–17CT substitution	16p13-p12	No No No No No Yes (but no effect on Finnish ENaC function)	Caucasians/Afro-Caribbeans Caucasians/Afro-Caribbeans Caucasians/Afro-Caribbeans Caucasians/Afro-Caribbeans Caucasians/Afro-Caribbeans Caucasians/Afro-Caribbeans Caucasians/Afro-Caribbeans Chinese Hannila-Handelberg et al (2005)	Persu et al (1998) Persu et al (1998) Niu et al (1999)
Sodium channel, nonvoltage-gated-1 γ-subunit (SCNN1G) Linkaae analysis	594ins Pro Arg631His G–173A C649G D16S412	16p13–p12	No No Yes No (salt sensitive) No	Caucasians/Afro-Caribbeans Caucasians/Afro-Caribbeans Japanese Caucasian Chinese	Persu et al (1999) Persu et al (1999) Iwai et al (2001) Poch et al (2000) Chu et al (2002)
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	Gene	Allele or Amino Acid Substitution	Chromosomal Location	Association with Essential Hypertension	Population	Reference
	Serum- and Glucocorticoid- regulated kinase (SGK1)	Intron 6 TT/CT Exon 8 CT/CC Intron 6 and Exon 8	6q23	Yes Yes No Yes	Caucasians Caucasians Caucasians (97%) Swedish	Busjahn et al (2002) Busjahn et al (2002) Trochen et al (2004) von Wowern et al (2005)
	Sodium bicarbonate exchanger ( <i>SLC4A5</i> )	hcv1137534	Chr. 2	Yes		Hunt et al (2006)
	Sodium calcium exchanger 1 ( <i>SLC8A1</i> ) Linkage analysis	D2S119	2p21-p23	No	Chinese	Chu et al (2002)
	Sodium hydrogen	D5S392	5p15.3	No	Chinese	Chu et al (2002)
	exchanger 3, NHE3, ( <i>SLC9A3</i> )	G1579A	·	No	Caucasian/African/ Afro-Caribbean	Zhu et al (2004)
	Linkage analysis	G1709A		No	Caucasian/African/ Afro-Caribbean	Zhu et al (2004)
		G1867A		No	Caucasian/African/ Afro-Caribbean	Zhu et al (2004)
		C1945T		No	Caucasian/African/ Afro-Caribbean	Zhu et al (2004)
		A2041G		No	Caucasian/African/ Afro-Caribbean	Zhu et al (2004)
		C2405T		No	Caucasian/African/ Afro-Caribbean	Zhu et al (2004)
	Sodium hydrogen exchanger 5(SLC9A5) Linkage analysis	D16S512	16q21–16q22.1	No	Chinese	Chu et al (2002)
	Solute carrier family 4, member 1 (SLC4A1)	rs5036, K56E	17q21-q22	Yes	Japanese males	Kokubo et al (2006)
	Solute carrier family 6, member 2 ( <i>SLC6A2</i> )	Promoter 3 $A \rightarrow G$	16q12.2	Yes	Japanese	Ono et al (2003a)
	Solute carrier family 6, member 18 (SLC6A18)	Y319X rs1390938, 1136T	5p15.33	No Yes	Japanese Japanese females	Eslami et al (2006) Kokubo et al (2006)
	Solute carrier family 8, member A1 sodium calcium exchanger 1 (SLC8A1, NCX1)	T-23200C T-23181C T-23181C	2p23–p22	Yes Yes Yes	Japanese males Japanese males Japanese females	Kokubo et al (2004) Kokubo et al (2004) Kokubo et al (2004)
	Stromelysin 1	-1171/5A→6A	22q13.3	No	Japanese	Izawa et al (2003)
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#### Allele or Amino Acid Association with Reference Gene Chromosomal Population Location Substitution **Essential Hypertension** Sulfonvlureg receptor rs12366938 Sato et al (2006) 12p12.1 No Japanese regulatory subunit (Sur2) rs704217 Japanese No Sato et al (2006) rs4148666 No rs3782668 No rs704187 No rs2307024 No rs704178 No Yes, via haplotype Thrombomodulin (THBD) C2136T. Ala455Val 20p11.2 No Japanese Izawa et al (2003) Thrombopoietin (THPO) A5713G 3q26.3-q27 No Japanese Izawa et al (2003) G1186C Ala378Pro Thrombospondin 4 (THBS4) Chr. 5 No Japanese Izawa et al (2003) Thyrotropin-releasing rs5658, V8L 3q13.3-q21 Yes Japanese females Kokubo et al (2006) hormone (TRH) T869C Leu10Pro Transforming growth 19q13.1 No Japanese Izawa et al (2003) factor $\beta$ 1 (TGFB1) Tumor necrosis C-863A 6p21.3 No Japanese Izawa et al (2003) factor- $\alpha$ (TNF $\alpha$ ) C-850T Yes Japanese females Izawa et al (2003) G-238A No Japanese Izawa et al (2003) Tumor necrosis factor T-1710A 1p36.3-p36.2 No Caucasian Speirs et al (2005) receptor 2 (TNFRSF1B) A257G No Caucasian Speirs et al (2005) Exon 4 CA repeat No Caucasian Speirs et al (2005) E232K No Caucasian Speirs et al (2005) M196R Caucasian Speirs et al (2005) No T1668G 3' No Caucasian Speirs et al (2005) Untranslated T1690C 3' Caucasian Speirs et al (2005) No Untranslated Uncoupling protein 2 11a13 G-866A Yes Japanese Ji et al (2004) (UCP2) Vasopressin receptor 1A 12q14-q15 Yes Chinese Chu et al (2002) D12S398 (V1AR)Linkage analysis Von Willebrand Factor rs1063856, T769A 12p13.3 Yes Japanese females Kokubo et al (2006) (vWF) WNK4 (See Protein kinase, lysine-deficient 4)

 Table 20-2
 Essential Hypertension-Related Genes – Cont'd

ACE, anajotensin-converting enzyme: BMI, body mass index: BP, blood pressure: ENaC, epithelial sodium channel: RFLP, restriction fragment length polymorphism: UTR, untranslated region; VNTR, variable number tandem repeated.

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Table 20-3 Criteria for the Determination of Genetic Cause(s) of Heritable Complex Disease\*

Linkage or Association Study		
Demonstrate a statistically sound genome-wide association in a single or multiple studies.		
Quantitative trait locus should map to < 30 cM.		
Fine mapping		
Demonstrate linkage disequilibrium to < 1 cM.		
Sequence analysis		
Perform sequence analysis to identify specific single-nucleotide polymorphisms.		
Circumstantial Evidence		
Comparison of wild-type and variant biochemistry and physiology		
Tissue location		
Engineered mutations		
Transfected cell models		
Regulatory Elements		
3'–Untranslated regions can have a profound influence on gene expression and function.		
Promoter or intergenic variants can influence protein expression.		
Complicating factors		
Functional Tests for Candidate Genes (Definitive Test)		
Knockout		
Transgenic complementation		

\*Criteria are among those suggested by Glazier et al<sup>167</sup> for use in determining whether a gene or group of genes is responsible for the causation of complex diseases.

#### CIRCUMSTANTIAL EVIDENCE OF THE INVOLVEMENT OF GENE VARIANTS IN ESSENTIAL HYPERTENSION

Organ/tissue expression of the putative gene(s) and their concomitant function(s) should make physiologic sense. Because the kidney is responsible for at least 50% of the increase in blood pressure in essential hypertension, and because the increase in sodium reabsorption in essential hypertension occurs mainly in the proximal tubule and thick ascending limb of Henle, genes that regulate transport in these nephron segments are prime candidates to play a significant role in the pathogenesis of this disease (see Table 20-1). Because the distal nephron can contribute to sodium retention in essential hypertension, genes that regulate transport in the cortical collecting duct, such as those encoding aldosterone synthase and 11 $\beta$ -hydroxysteroid dehydrogenase, can be accessory genes.

The mechanism by which sodium chloride transport is regulated is an important consideration in the determination of candidate genes in hypertension. Gene products that increase sodium transport primarily by increasing Na<sup>+</sup>/K<sup>+</sup>-ATPase activity is prohypertensive. However, the stimulatory effect on sodium transport may not be confined to the proximal tubule and thick ascending limb of Henle, the nephron segments of increased sodium transport in essential hypertension.<sup>11,12,14–16</sup> So far, only the dopaminergic system has been implicated in the increased Cl<sup>-</sup>/HCO<sub>3</sub><sup>-</sup> exchanger activity in renal proximal tubules in the SHR.<sup>188</sup> Increased Na<sup>+</sup>/K<sup>+</sup>-ATPase activity does not explain the important role of chloride in the pathogenesis of hypertension.<sup>188</sup> Further, increased Na<sup>+</sup>/K<sup>+</sup>-ATPase activity in resistance vessels would be vasodilatory.

A generalized inhibition of Na<sup>+</sup>/K<sup>+</sup>-ATPase activity is antihypertensive by increasing sodium excretion but would cause increased vascular smooth muscle cell reactivity, unless other mechanisms exist to inhibit smooth muscle cell contraction, for example, protein kinase A, inhibition of calcium channels or sodium/calcium exchanger, or activation of potassium channels.<sup>19,66,188a,189</sup> In addition to being able to alter renal sodium and/or chloride transport, such genes should also be able to regulate or modulate the formation of ROS (e.g., renin angiotensin II genes, dopamine receptor genes). These genes should also be able to influence the neurogenic control of blood pressure.

Renal blood flow is decreased and renal vascular resistance is increased in subjects with established hypertension. However, genes with products that regulate vascular resistance by themselves are probably not good candidate genes, because resting renal blood flow is not decreased in borderline hypertension and normotensive offspring of hypertensive parents.<sup>190</sup> In contrast, prehypertensive subjects<sup>191</sup> and offspring of hypertensive parents may have a higher proximal tubule

sodium reabsorption than offspring of normotensive parents.<sup>192,193</sup> Simultaneous homozygosities for AGT M235, AT1R A1166, and CYP11B2 C-344, in the presence of the D allele at ACE D were associated with increased proximal tubule sodium transport in male hypertensive Italians.<sup>194</sup> The  $\alpha$ -adducin G460W allele<sup>6,183,184,195</sup> and *GRK4 A486V*<sup>182</sup> with AGT M235T or ACEI/D have also been linked to salt sensitivity among Italians. Salt-sensitive hypertension is associated with the presence of GRK4 R65L, A142V, and A486V in Japanese, with a 94% predictive accuracy.<sup>196</sup> As mentioned above, both  $\alpha$ -adducin and *GRK4* are located on chromosome 4p16.3.<sup>186,187</sup> Genes that have widespread expression are also not good candidates for hypertension because vascular resistance should be similarly increased in all vascular beds. However, borderline essential hypertension is not associated with an increase in vascular resistance in skeletal muscle or hepatic bed.190

#### Conclusive Evidence That Gene Variants Cause Hypertension

According to Glazier et al, the most conclusive evidence that gene variants are causal of complex disease is the demonstration that replacement of the variant nucleotide results in switching one phenotype for another.<sup>167</sup> The polymorphism of  $\alpha$ -adducin has been found in the Milan hypertensive rat,<sup>197</sup> and expression of α-adducin G460W increases Na<sup>+</sup>/K<sup>+</sup>-ATPase activity.<sup>198</sup> However, this polymorphism has not been shown to cause hypertension in transgenic mice, although disruption of β-adducin gene in mice increases blood pressure.<sup>199</sup> Expression of GRK4-y variants (R65L, A142V, or A486V) in Chinese hamster ovary cells impairs D<sub>1</sub> receptor function and produces hypertension in transgenic mice.<sup>177</sup> Inhibition of the expression of GRK4 in renal proximal tubule cells from hypertensive subjects normalizes D<sub>1</sub> receptor function,<sup>177</sup> and renal inhibition of GRK4 expression ameliorates the hypertension in spontaneously hypertensive rats.<sup>200</sup>

In summary, a characteristic of essential hypertension is a failure of the kidneys to eliminate sodium chloride and water. This defect can be primary to the kidney or to neural, endocrine, vascular, and cardiac mechanisms, with the kidney serving a secondary role. The proximal tubule and thick ascending limb of Henle are the nephron segments with enhanced sodium chloride transport in essential hypertension, whereas more distal nephron segments are involved in monogenic hypertension. Because hypertension is a complex disease, interactions of variants in a single gene or several genes are probably needed. The putative gene variants in essential hypertension should make physiologic sense. However, the definitive proof of causality in transgenic studies is the demonstration that overexpression in mice of the wildtype gene is associated with normal blood pressure, whereas expression of the variant gene results in hypertension.

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# **SECTION 4**

# Acquired and Polygenic Renal Disease

# Chapter 21

# **Molecular Mechanisms of Proteinuria**

J. Ashley Jefferson and Stuart J Shankland

Proteinuria is one of the signature markers of kidney disease and a key determinant of progressive renal injury. The degree of proteinuria is the best predictor of end-stage renal disease in both diabetic<sup>1</sup> and nondiabetic glomerular disease.<sup>2</sup>

In this chapter we will review the physiologic processes limiting urine protein excretion and describe some of the cellular and molecular abnormalities that occur in proteinuric states.

# NORMAL URINE PROTEIN EXCRETION

Although around 20% (180 L) of renal plasma flow is filtered at the glomerulus each day, only small amounts of protein are found in the urine (40–80 mg/day). The upper limit for normal is usually considered 150 mg/day, although children and adolescents may have slightly higher values (<250 mg/day). Of note, transient increases in protein excretion may occur with fever, marked exercise, or exacerbations of congestive heart failure or hypertension.

The composition of urine protein is mostly albumin (30%-40%) and Tamm Horsfall protein (50%) with smaller contributions from immunoglobulins (5%-10%) and light chains (5%). It is generally accepted that any protein that is filtered at the glomerulus is taken up and degraded in proximal tubular cells. However, recent evidence has suggested that there may be large amounts of peptide fragments present in human urine (2-3 g/day) that are not detected by standard protein assays.<sup>3,4</sup>

# **RENAL HANDLING OF ALBUMIN**

# **Glomerular Filtration of Albumin**

Albumin accounts for approximately 60% of all plasma proteins, and it is generally considered that only small amounts of albumin are filtered at the glomerulus.<sup>5</sup> Early electron microscopy studies suggested that albumin (molecular weight [MW] 69 kD) was mostly excluded from the glomerular capillary wall.<sup>6</sup> Although micropuncture studies are technically challenging, estimates of albumin concentration in glomerular filtrate are generally low (~22–32 mg/L), in keeping with a daily filtered albumin load of 4 to 5 g per day.<sup>7–9</sup>

An alternative view has suggested that large amounts of albumin may be filtered at the glomerulus each day with a glomerular sieving coefficient of approximately 7.5%.<sup>10</sup> Russo and colleagues estimate a daily filtered load of albumin of approximately 400 g per day and a high-efficiency albumin retrieval system returning tubular albumin to the renal vein. Criticism of this hypothesis has included (1) sheer amount, (2) studies employing toxins to impair tubular uptake may also cause glomerular injury, (3) cooling (8°C) to inhibit tubular protein reabsorption does not markedly increase urine protein excretion,<sup>11</sup> and (4) slit-diaphragm abnormalities lead to massive proteinuria.

# **Tubular Reabsorption of Albumin**

Albumin that is filtered by the glomerulus is reabsorbed throughout the proximal tubule (predominantly S1 and S2 segments)<sup>7</sup> (Fig. 21-1). Albumin is not cleaved in the tubular lumen, but binds to a megalin-cubulin receptor complex in clathrin-coated pits and undergoes receptor-mediated endo-cytosis.<sup>12</sup> Inhibitors of 3-hydroxy-3 methylglutaryl-CoA reductase (statins) have recently been shown to inhibit this endocytosis.<sup>13</sup> Endocytic vesicles detach from the apical membrane and deliver the albumin-megalin-cubulin complex to a sorting endosomal compartment, where the albumin dissociates (due to the low pH) and megalin/cubulin are recycled to plasma membrane. Albumin subsequently reaches the lyzosomal compartment, where it is cleaved and the amino acids reabsorbed.

Interruption of this retrieval mechanism by antimegalin antibodies,<sup>14</sup> in megalin-knockout mice,<sup>15</sup> or in cubulin-deficient dogs<sup>16</sup> results in tubular proteinuria. Of note, cubulin is the intestinal vitamin  $B_{12}$  receptor, and humans with cubulin deficiency (Imerslund-Grasbeck disease) have hereditary megaloblastic anemia associated with tubular proteinuria.<sup>17</sup>



Figure 21-1 Renal handling of albumin. Small amounts of albumin are normally filtered at the glomerulus (4–5 g/day) and are reabsorbed in the proximal by megalin-cubulin tubule receptor-mediated endocytosis. Albumin is digested in lyzosomes, with amino acids being reabsorbed across the basolateral membrane. Small amounts of digested albumin fragments may return to the tubular lumen and be excreted in urine (depicted as black lines).

# **CLASSIFICATION OF PROTEINURIA**

Proteinuria may be classified according to pathophysiologic mechanisms. The identification of the degree and type of proteinuria is vital in determining the underlying etiology. Thus, proteinuria is classified as follows:

- 1. *Glomerular proteinuria*—This typically causes the greatest degree of proteinuria, and when greater than 3.5 g/day is termed nephrotic range proteinuria. This consists mostly of albumin (70%) and other high-molecular-weight proteins (transferrin, immunoglobulin G) (30%).
- 2. *Tubular proteinuria*—This is usually more modest (<2 g/day) and consists of low-molecular-weight (LMW) proteins ( $\alpha_1$ -microglobulin, retinol binding protein) with albumin accounting for smaller amounts (<30%). Importantly, there is some evidence that this LMW proteinuria may correlate better with degree of interstitial damage than urine total protein (see review<sup>18</sup>).
- 3. Overflow proteinuria—Increased filtration of LMW proteins may overload tubular reabsorptive mechanisms. Examples include light chains in multiple myeloma, myoglobinuria, hemoglobinuria, or lysozymuria in leukemia.
- 4. Urinary tract proteinuria (<500 mg)—This may occur with infections or neoplastic lesions in the urinary tract and resembles glomerular proteinuria but may be identified by the additional presence of  $\alpha_2$ -macroglobulin.

# **Selective Proteinuria**

This occurs when permeability to albumin is increased, but without a marked increase in the filtration of other higher-molecular-weight proteins (immunoglobulins,  $\alpha_2$ macroglobulin). This typically occurs in minimal change disease, and has been used to differentiate minimal change disease from focal segmental glomerulosclerosis (FSGS). However, selective proteinuria may also be found at early stages of FSGS, membranous nephropathy (MN), and diabetic nephropathy (DN). Most believe that selective proteinuria is secondary to deficits in the glomerular charge barrier.

# CLINICAL SIGNIFICANCE OF PROTEINURIA

Proteinuria is the best predictor of end-stage renal disease in both diabetic<sup>1,19</sup> and nondiabetic glomerular disease.<sup>20,21</sup> It has also been shown that therapies that reduce proteinuria slow the progression of diabetic<sup>22–24</sup> and nondiabetic glomerular disease.<sup>2,25</sup> It should also be recognized that proteinuria is a strong risk factor for cardiovascular disease.<sup>26,27</sup>

Proteinuria is clearly a predictor of renal progression, but is it only a marker of disease severity or is proteinuria harmful per se? The progression of renal disease correlates better with the degree of tubulo-interstitial injury than glomerular injury, and there is now convincing evidence that proteinuria may have pro-inflammatory, profibrogenic, and direct toxic effects on renal tubular cells (see review<sup>28</sup>).

Large amounts of filtered proteins may be taken up by proximal tubular cells and activate inflammatory pathways via nuclear factor- $\kappa$ B (NF- $\kappa$ B)<sup>29,30</sup> or complement-mediated pathways.<sup>31</sup> The lack of disease amelioration in Nagase analbuminemic rats with puromycin aminonucleoside nephritis or anti–glomerular basement membrane models of injury suggests that filtered albumin may not be the most critical portion of filtered protein.<sup>32</sup> Other pathogenic factors in proteinuric conditions may include the filtration of transferrin and iron-mediated oxidant injury,<sup>33</sup> filtration of toxic fatty acids bound to albumin,<sup>34</sup> filtration of cytokines and growth factors, luminal protein cast obstruction,<sup>35</sup> and increased proximal tubular cell apoptosis.<sup>36</sup> Proximal tubular cells that are injured by filtered proteins may release chemokines such as RANTES and monocyte chemoattractant protein-1 (MCP-1), leading to influx of interstitial macrophages contributing to interstitial fibrosis.<sup>37</sup> Inhibition of chemokine receptors,<sup>38</sup> irradiation,<sup>39</sup> or immunosuppression with mycophenolate mofetil<sup>40</sup> have been shown to improve renal function in predominantly nonimmune forms of proteinuric renal disease.

### **GLOMERULAR FILTRATION BARRIER**

The glomerular capillary wall is composed of three layers: a glomerular basement membrane (GBM), which is lined on the blood side by the fenestrated glomerular endothelium and on the urinary space side by a specialized epithelial cell, the podocyte (Table 21-1 and Fig. 21-2). These three layers compose the glomerular filtration barrier, but the relative contribution of each of the layers to the filtration barrier remains debated. The focus has recently moved to the podocyte slit-diaphragm as being predominant, but each layer may provide significant contributions as discussed below.

#### **Functional Studies**

Ultrafiltration occurs across the glomerulus at a high rate (~180 L/day) with a relatively low ultrafiltration pressure (estimated at <20 mmHg). Despite these large volumes of filtrate, the kidney is very efficient at ensuring that minimal protein is lost in the urine. Functional studies have used the concept of a glomerular sieving coefficient (GSC) to define the characteristics of the filtration barrier (see review<sup>41</sup>). The GSC is the ratio of the concentration of a substance in Bowman's space to its plasma concentration, and can be assessed measuring the fractional clearance of nonprotein polymers (dextrans, Ficoll, polyvinylpyrrolidone), which are neither secreted nor reabsorbed in the tubule. It should be recognized that estimating the GSC from urine collections may be challenging and that direct measurement by micropuncture techniques is also very difficult.

On the basis of early classical studies by Brenner and others, studying dextran sieving, a size, charge, and conformational barrier was postulated.<sup>42–44</sup> Technical concerns regarding the use of dextrans have led to some aspects of this model being questioned.<sup>45</sup>

#### Table 21-1 Disorders of the Glomerular Filtration Barrier

А

	Inherited Diseases	Acquired Diseases
Endothelial cell	Familial hemolytic-uremic syndrome (factor H)	Thrombotic microangiopathy Small vessel vasculitis Postinfectious glomerulonephritis Lupus nephritis
Glomerular basement membrane	Alport syndrome (type IV collagen) Thin basement membrane disease (type IV collagen) Nail patella syndrome (LMx1b)	Anti-GBM disease
Podocyte	Congenital nephrotic syndrome of the Finnish type (nephrin) Focal segmental glomerulosclerosis (podocin, CD2-AP, α-actinin-4)	Minimal change disease Focal segmental glomerulosclerosis Membranous nephropathy Diabetic nephropathy



Figure 21-2 Glomerular filtration barrier: transmission electron micrograph (A) and illustration (B). The filtration barrier comprises the glomerular endothelial cell with fenestrations and an overlying negatively charged glycocalyx, glomerular basement membrane (GBM), and podocyte. The specialized cell junction between podocytes, the slit-diaphragm (SD), serves as the major size barrier to protein.

В

#### **Size Barrier**

There is little debate about the role of molecular size on glomerular permeability. Early sieving studies using charged and uncharged dextrans showed that as molecular size increases, barrier permeability decreases.<sup>43</sup> LMW proteins (MW <40 kD, radius <30 Å) are essentially freely filtered<sup>7</sup> (see review<sup>18</sup>), whereas high-molecular-weight proteins (MW >100 kD, radius >55 Å) are almost completely restricted.<sup>18,46</sup>

In microalbuminuria, no change in size selectivity (radius of pores, spread distribution of small pores, or magnitude of large pores) has been reported.<sup>47,48</sup> Early changes in diabetic nephropathy, by dextran sieving, show a small increase in large pores, but a marked increase in albumin passage through small selective pores.<sup>47–49</sup> An increase in large pores becomes more evident at higher degrees of proteinuria (3 g/g creatinine).<sup>48</sup>

#### **Charge Barrier**

The significance of a glomerular charge barrier is debated. It was first proposed on the basis of tracer studies showing that anionic ferritin was excluded from entering the GBM; however, cationic ferritin was able to reach the podocyte slit-diaphragm.<sup>50</sup> Additional studies comparing negatively charged dextrans (dextran sulfate) with either neutral dextran or positively charged dextrans showed increased permeability to cationic but restricted permeability to anionic dextrans.<sup>42,43,51</sup>

Technical issues with dextran sieving experiments include the binding of dextrans to plasma proteins,<sup>51</sup> the cellular uptake and intracellular desulfation of dextrans, and the binding of cationic dextrans to anionic sites on the capillary wall, damaging the filtration barrier.45 This has led to the use of Ficolls, nonprotein polymers, for more recent glomerular sieving experiments. The results of these studies have been conflicting. Some have suggested a reduction in fractional clearances of anionic charged Ficolls compared with neutral,<sup>11,52,53</sup> but others have not noted a difference.<sup>54,55</sup> In nephrotic syndrome, some experiments have suggested a loss of charge barrier as the predominant functional abnormality accounting for the proteinuria.<sup>46,51</sup> Recently, clearance studies using albumin and uncharged Ficoll in the puromycin aminonucleoside model of nephrotic syndrome showed an increased clearance of Ficoll, and by estimating the ratio of albumin to Ficoll suggested only a small decrease in charge density.<sup>56</sup>

#### **Steric Configuration**

The use of uncharged polymers eliminates any charge barrier effect and has demonstrated that as molecular size increases the glomerular sieving coefficient decreases. In solution, dextrans uncoil, and this conformational change may reduce their effective molecular radius and overestimate the sieving coefficient. Recent data using Ficoll (which behaves as a nonconforming sphere) has demonstrated the importance of molecular shape on filtration.<sup>46,57</sup>

#### Hemodynamic Factors

Proteinuria may be increased by Starling type forces across the glomerulus due to increased renal plasma flow or increased

glomerular capillary hydrostatic pressure (mediated by angiotensin II). This may account for the transient proteinuria associated with exercise, fever, uncontrolled hypertension, and congestive heart failure.

### **Theoretical Models of Pore Size**

Using the sieving studies described above, several theoretical models of macromolecular transport across the glomerular filtration barrier have been proposed. A bimodal pore size model seems to most accurately fit the polymer sieving data.<sup>46,58</sup> According to this model, numerous restrictive pores exist (diameter 37–48 Å), as well as a small number of large pores (60–80 Å), which may act as a shunt pathway. Charge and size selectivity may be changed independently of each other, suggesting different sites or mechanisms. A gel membrane model has also recently been suggested.<sup>9</sup>

# **Structural Correlations**

Studies have recently sought to explain these functional studies at a structural and molecular level. As described above, the glomerular filtration barrier consists of three elements in series within the glomerular capillary wall. Filtration initially occurs across the fenestrated glomerular endothelium, followed by the glomerular basement membrane and finally across the podocyte slit-diaphragms into the urinary space of Bowman's capsule (see Fig. 21-2). Early studies suggested that the GBM was the principal site of the filtration barrier, but more recently the critical role of the cellular elements has been recognized. We will discuss each of these in turn.

# **GLOMERULAR ENDOTHELIUM**

The glomerular endothelium lines the capillary loops in the glomerulus and is in direct contact with the circulating blood. Unlike most other endothelial beds, the glomerular endothelium has characteristic fenestrations (60–100 nm in diameter), which facilitate ultrafiltration. Although caveolin-1 is expressed by the glomerular endothelial cell, these fenestrae are not dependent on caveolae for formation, as caveolin-1-deficient mice are able to form fenestrae.<sup>59</sup>

The glomerular endothelium is covered by a cell surface coat called the endothelial glycocalyx.<sup>60</sup> Due to standard tissue fixation techniques for electron microscopy, which degrade glycocalyx, this layer is commonly not recognized. Recently it has been reported to be much thicker than previously reported (300–400 nm).<sup>61</sup> It has been described in other capillary beds,<sup>62</sup> but in the glomerular endothelium it covers the entire luminal surface, including the fenestrae.<sup>63</sup> It consists primarily of sulfated proteoglycans and plasma proteins bound to the glycosaminoglycan side chains, which form an extracellular matrix. Glomerular endothelial cells express numerous proteoglycans, but only syndecan, perlecan, and versican are exported to the cell surface.<sup>64</sup>

#### **Filtration Barrier**

The heavily fenestrated endothelium has not previously been considered to have prominent restrictive properties. Experiments using ferritin as a tracer molecule have suggested that the fenestrated endothelium is mostly porous to proteins that accumulate under the GBM.<sup>65,66</sup> However, if the glomerular endothelium does not play a prominent role in the filtration barrier, the issue of clogging of the filtration barrier and the question of how large amounts of albumin are returned to the circulation remain unanswered.

Recent data have suggested a more significant role for the endothelium and overlying endothelial glycocalyx (Fig. 21-3). In the puromycin aminonucleoside model of proteinuria, a decrease in both the number and size of endothelial fenestrations has been described.<sup>67</sup> Puromycin downregulates the expression of versican, which may inhibit the formation of the endothelial glycocalyx, and downregulates the transferases required for glycosaminoglycan (GAG) side chain biosynthesis, resulting in a decreased net negative charge.<sup>64,68</sup> Enzymatic cleavage of hyaluronic acid and chondroitin sulfate (hvaluronidase, heparanase) in mice decreases the negative charge barrier and increases the fractional clearance of albumin.53 Importantly, electron microscopy shows no alteration in the glomerular cell structures or basement membrane, although an effect on the charge characteristics of these structures cannot be excluded.

Other supportive evidence for the endothelial glycocalyx as a site for the glomerular charge barrier includes the beneficial effect of serum orsomucoid<sup>69</sup>; alterations in glomerular charge density associated with changes in perfusate ionic strength<sup>52,70</sup>; and the presence of an exclusion zone for anionic molecules from the glomerular endothelium visible by electron microscopy.<sup>71</sup>

The exact role of the endothelial glycocalyx in the glomerular filtration barrier is still being determined. Endothelial fenestrae may permit high hydraulic permeability with the overlying glycocalyx, helping to restrict filtration of macro-molecules<sup>41,68</sup> (see Fig. 21-3). Some of the conflicting views on the glomerular charge barrier may be partly due to the effects of different experimental protocols on the integrity of the endothelial cell glycocalyx.

# Role of Vascular Endothelial Growth Factor in Proteinuria

Vascular endothelial growth factor (VEGF) is highly expressed in podocytes and acts as a growth and survival factor for vascular endothelium via VEGF receptors (VEGFR1 and VEGFR2). VEGF may also signal within the podocyte in an autocrine fashion (via VEGFR1).<sup>72,73</sup> VEGF exists as several splice variants, with VEGF<sub>165</sub> and VEGF<sub>121</sub> the main circulating forms in humans. VEGF is a critical growth factor during development, as deletion of even one allele results in embryonic lethality.<sup>74</sup> Selective VEGF<sub>164</sub>/VEGF<sub>188</sub>-knockout mice (i.e., only expressing VEGF<sub>120</sub>) develop glomerulosclerosis with loss endothelial cells, but with normal podocytes.<sup>75</sup> Angiopoietin 1, another factor produced by the podocyte, acts on endothelial cells and may counterbalance the effects of VEGF.

VEGF may also play a role in maintaining glomerular endothelial fenestration. It induces fenestra in vitro<sup>76,77</sup> and has been linked to fusion of caveolin-1-containing vesicles.<sup>78</sup> However, this growth factor is not an absolute requirement, as fenestrations still develop in mice with inactivated VEGF.<sup>79</sup> This is reviewed in more detail in Chapter 25. Recent studies have shown that the inhibition of VEGF activity may lead to proteinuria. Anti-VEGF antibody or VEGF receptor antagonists used in cancer trials have been associated with proteinuria.<sup>80,81</sup> Soluble VEGFR1 (sFlt-1) receptor has been identified in patients with preeclampsia and proteinuria.<sup>82</sup>

Using recombinant technology with a Cre-loxP system, podocyte-specific, VEGF-deficient mice fail to form a glomerular barrier, resulting in embryonic lethality.<sup>83</sup> By contrast, loss of one VEGF-A allele leads to glomerular endothelial cell swelling (endotheliosis), nephrotic syndrome, and renal failure by 9 to 12 weeks.<sup>83</sup> The mechanism of proteinuria secondary to VEGF deficiency remains unclear. The absence of WT1, nephrin, and VEGF-A suggests a loss of podocytes in the majority of glomeruli at ESRD.<sup>83</sup> However, anti-VEGF antibodies or injection of soluble VEGF receptor



Figure 21-3 Glomerular endothelial cell and mechanisms of proteinuria. Normal glomerular endothelial cell integrity and function requires vascular endothelial growth factor (VEGF), which binds to its receptor. Under normal conditions, the anionic glycocalyx covering the endothelial cell prevents albumin from crossing the filtration barrier. In disease states, lack of VEGF and loss of glycocalyx promote proteinuria. (sFlt-1) into rats or mice leads to proteinuria within a few hours of injection associated with endothelial cell hyper-trophy and detachment, and downregulation of nephrin with the loss of occasional slit-diaphragms.<sup>81</sup>

It should be recognized that overexpression of VEGF may also produce proteinuria. Overexpression of VEGF-A in the podocyte may be injurious leading to a form of collapsing FSGS with proteinuria.<sup>83</sup>

# **GLOMERULAR BASEMENT MEMBRANE**

#### Composition

The GBM is a 300- to 400-nm thick gel-like structure (90% water) that arises during development from the fusion of two distinct basement membranes (one derived from glomerular endothelium and one from the glomerular epithelium). It consists predominantly of type IV collagen, laminin-521 ( $\alpha$ 5 $\beta$ 2 $\gamma$ 1), nidogen, and heparan sulfate proteoglycans.

Type IV collagen forms a highly cross-linked network, creating the basic structure of the GBM. Mammals express six different chains of type IV collagen ( $\alpha$ 1– $\alpha$ 6 chains) encoded by separate genes, which associate into trimers, the predominant building blocks of the type IV collagen network. Embryonic kidney and young children have predominantly fetal type IV collagen consisting of  $\alpha$ 1 and  $\alpha$ 2 chains, which only later is replaced by adult type containing  $\alpha$ 3,  $\alpha$ 4, and  $\alpha$ 5 chains. Persistence of the embryonic form of type IV collagen, due to mutations in the genes encoding  $\alpha$ 5 (X-linked) or  $\alpha$ 3 or  $\alpha$ 4 (autosomal) chains, leads to hereditary nephritis (Alport syndrome) (see Chapter 10).

Laminins are extracellular matrix proteins, ubiquitous in basement membranes, which are formed by cruciform heterotrimers. Laminin  $\alpha 5\beta 2\gamma 1$  (LM-521) is the predominant laminin in the GBM, but analogous to type IV collagen, a fetal form, laminin  $\alpha 1\beta 1\gamma 1$  (LM-111), is initially laid down and replaced postnatally by laminin-11.<sup>84</sup> LM-521 interacts with other GBM components (nidogen, agrin, perlecan) and with cell surface receptors (integrins,  $\alpha$ -dystroglycan). Mesangial cells maintain folded capillary loops by binding to LM-521 ( $\alpha 5$ ) via integrin  $\alpha 3\beta 1$  and the Lutheran blood group glycoprotein.<sup>85</sup> Other functions include cell differentiation, adhesion, migration, and survival.

Heparan sulfate proteoglycan (HSPG) accounts for approximately 1% of the GBM dry weight.<sup>86</sup> Proteoglycans consist of a central core protein with GAG side chains. These GAG side chains contain multiple negative charges (sulfate and carboxyl groups). Agrin is the main HSPG core protein in the GBM (along with perlecan and collagen type XVIII, although these are more abundant in mesangial matrix).<sup>87,88</sup> HSPGs are synthesized both by podocytes<sup>89</sup> and endothelial cells.<sup>68</sup>

HSPGs have multiple roles within the GBM. They stabilize the GBM (by binding to laminin, type IV collagen, and nidogen); they act as adhesion contact points for podocytes and endothelial cells; and they can bind and sequester cytokines, anti-thrombin III, and growth factors (fibroblast growth factor-2 [FGF2], VEGF, FGF1), but critically, they are strongly negatively charged and may contribute to the chargeselective properties of the GBM.

# Mechanisms of Proteinuria from GBM Injury

Early studies concluded that proteinuria was primarily due to a loss of charge and size selectivity of the GBM. This was based on early tracer studies showing that cationic ferritin readily passed through the glomerular endothelium, but became trapped by the GBM.<sup>90,91</sup> Electron microscopy using cationic probes demonstrated anionic sites on GBM, and anionic probes were mostly excluded by the GBM, implying that this was the site of glomerular charge selectivity.<sup>50</sup> The contribution of the GBM to the glomerular filtration barrier has recently been questioned.

# Loss of Heparan Sulfate Proteoglycan Charge Barrier

HSPGs, with multiple sulfate and carboxyl groups on their glycosaminoglycan side chains, are strongly negatively charged. The loss of HSPG integrity may reduce the charge barrier imparted by the GBM and increase glomerular permselectivity (Fig. 21-4). Injury to HSPG may also impair podocyte and glomerular endothelial cell binding, and may release HSPG-bound growth factors (FGF, VEGF, heparin-binding epidermal growth factor–like growth factor).<sup>92</sup> Importantly, agents that injure HSPG in the GBM may also affect HSPG in the endothelial glycocalyx.

A reduction in GBM anionic sites or decreased heparan sulfate staining have been described in several animal models of glomerulonephritis.<sup>93–98</sup> It has also been described in human glomerular disease.<sup>99,100</sup> In some, the degree of heparan sulfate staining has been inversely correlated with albuminuria.<sup>97,99–101</sup> In diabetic nephropathy, functional studies have demonstrated an increase in pore size and decrease in charge barrier.<sup>47,49,102</sup> These functional changes have been correlated with a decrease in heparan sulfate staining, mostly without a reduction in HSPG core protein.<sup>99,101</sup> Both decreased synthesis of heparan sulfate (35S-sulfate incorporation)<sup>103,104</sup> and structural modification (undersulfation) have been described.<sup>104</sup>

Injury to the heparan sulfate components of the GBM may be caused by several mechanisms, including oxidative stress and enzymatic digestion (neutral serine proteases, heparanases). Reactive oxygen species (ROS) may be formed in the kidney from resident or infiltrating cells<sup>105</sup> and play a central pathogenic role in several models of proteinuria, including passive Heymann nephritis,106 adriamycin nephropathy,<sup>107,108</sup> and puromycin aminonucleoside nephropathy.<sup>109,110</sup> Although ROS may directly cause podocyte injury, or can be derived from podocytes that are injured, there is also evidence that ROS may promote degradation of heparan sulfate. In vitro, heparan sulfate side chains of agrin may be depolymerized by ROS.<sup>100</sup> Peroxynitrite, derived from nitric oxide, can degrade hyaluronic acid and possibly other glycosaminoglycans.<sup>111</sup> In adriamycin nephropathy, albuminuria correlates with the decreased heparan sulfate staining, and both are ameliorated by the antioxidant dimethylthiourea.<sup>100</sup>

Enzymatic cleavage of HS side chains may also be an important factor in the development of proteinuria.<sup>93,112</sup> Neutral serine proteinases (elastase, cathepsin G) are released by activated polymorphonuclear cells. In vitro, cationic elastase binds to anionic heparan sulfate.<sup>113</sup> Renal infusion of these cationic enzymes results in the degradation of heparan



**Figure 21-4** Glomerular basement membrane (GBM) and mechanisms of proteinuria. The normal GBM comprises several extracellular matrix proteins, including type IV collagen, nidogen, laminin-11, and heparan sulfate proteoglycans. In disease states, loss of heparan sulfate may diminish the net negative charge and impair the charge barrier to filtration. Other mechanisms of proteinuria due to GBM injury include structural abnormalities in type IV and impaired attachment of overlying podocytes.

sulfate and is associated with marked proteinuria, notably without foot process fusion seen on electron microscopy.<sup>114</sup> There was also no change in the other structural GBM components (type IV collagen, laminin, fibronectin).<sup>115</sup> In murine anti-GBM nephritis, proteinuria is ameliorated by elastase inhibitors<sup>116</sup> or abrogated in beige mice, which are deficient in elastase and cathepsin G.<sup>117</sup>

Heparanase, an endoglycosidase specific for heparan sulfate, is released from activated polymorphonuclear cells and platelets, and has been shown to degrade heparan sulfate in extracellular matrix.<sup>118</sup> Transgenic animals that overexpress heparanase develop spontaneous proteinuria associated with foot process effacement and renal impairment.<sup>119</sup> Heparanase expression in the podocyte (and glomerular endothelial cell) is upregulated in several models of proteinuria.<sup>120,121</sup> Blocking heparanase activity with an anti-heparanase antibody<sup>121</sup> or synthetic inhibitor PI-88<sup>122</sup> has been shown to ameliorate proteinuria in passive Heymann nephritis without changing the binding of antibody or C5b-9.

By contrast, although perlecan knockout mice die during embryonic development, mice with mutant perlecan leading to the loss of heparan sulfate side chains do not develop hematuria or proteinuria and do not have GBM structural abnormalities.<sup>123</sup> However, other proteoglycans such as agrin compensate for this loss. Agrin-deficient mice develop abnormalities at the neuromuscular junction, but GBM abnormalities have not been studied.<sup>124</sup>

#### Injury to GBM Structural Components

In the past few years, major insights into the significance of the GBM in the filtration barrier have been gained by studies of genetically engineered mice. Alport's syndrome is a hereditary nephritis due to mutations in genes encoding the  $\alpha$ chains of type IV collagen, which result in abnormalities of GBM structure, proteinuria, and progressive renal impair-

ment (see Chapter 10). COL4A3-knockout mice, an animal model of autosomal-recessive Alport syndrome, are unable to form the  $\alpha 3/\alpha 4/\alpha 5$  protomers of type IV collagen and develop GBM abnormalities with alterations in GBM type IV collagen and laminin.<sup>125</sup> These mice do not develop immediate proteinuria; rather, this is delayed until the 5th postnatal week. It should be noted that during the first 4 weeks, the podocyte slit-diaphragms are ultrastructurally normal, with normal expression of podocyte and slit-diaphragm proteins. Associated with the onset of proteinuria, these mice develop morphologic abnormalities in the slit-diaphragm, foot process effacement, and altered nephrin expression,<sup>126</sup> implying that it is the slit-diaphragm proteins and not the GBM structural components that are the critical regulators of proteinuria. The mechanism of slit-diaphragm abnormalities in this condition is unclear but may relate to alterations in podocyte foot process attachment. The Alport GBM contains no LM-521, but retains the expression of fetal laminins ( $\alpha 2$ and  $\beta$ 1), which may provide abnormal signals or adhesion to podocyte foot processes.<sup>127</sup>

LMX1B-deficient mice, a model of nail-patella syndrome, develop similar GBM changes and die shortly after birth with massive proteinuria.<sup>128</sup> LMX1B is a transcription factor for the  $\alpha$ 3 and  $\alpha$ 4 chains of type IV collagen, explaining the GBM abnormalities. At birth these mice also have absent slitdiaphragms, with foot process effacement and decreased expression of nephrin, podocin, and CD2AP.<sup>126</sup> The promoter region of these genes also contains an Lmx1b binding site. Nidogen-deficient mice demonstrate only minor structural GBM abnormalities without proteinuria.<sup>129,130</sup>

By contrast, laminin  $\beta$ 2 knockout mice, which have absent LM-521 and persistent fetal laminins (LM-111, LM-511), develop congenital nephrosis with profound neuromuscular disorders.<sup>131</sup> Remarkably, these mice develop severe proteinuria that precedes changes in the expression of slit-diaphragm proteins and prior to the development of foot process

effacement.<sup>115</sup> This argues for a primary role for the GBM in the glomerular filtration barrier independent of podocyte abnormalities. LAMB2 mutations have been detected in human congenital nephrotic syndrome (Pierson syndrome).<sup>132</sup>

#### How Does the GBM Compare to the Cellular Elements as the Primary Filtration Barrier?

Studies looking at the isolated GBM (removing cellular components by digestion) have suggested that the charge barrier resides predominantly in cellular components of the filtration barrier. The isolated GBM shows size selectivity, but not charge selectivity in vitro<sup>133</sup>; however, preparation of the isolated GBM may alter its filtration properties. Glomerular sieving coefficients for albumin and large dextrans are much lower in the intact rat glomerulus in vivo than from the isolated GBM in vitro.<sup>134</sup> Treating the isolated GBM with heparatinase, adding protamine (to neutralize anionic polyanions), or reducing pH had little effect on sieving coefficient of albumin, whereas in the isolated intact glomerulus, albumin permselectivity increased, implying that the charge selectivity of glomerular barrier requires the presence of glomerular cells.<sup>135</sup>

As described above, inherited disorders of the GBM structural components such as Alport's syndrome are associated with proteinuria, but this is often relatively low grade (<3 g/day). By contrast, genetic disorders affecting the proteins of the podocyte slit-diaphragm such as congenital nephrotic syndrome of the Finnish type lead to massive proteinuria despite apparently normal GBM morphology.

### GLOMERULAR VISCERAL EPITHELIAL CELL (PODOCYTE)

The podocyte is a highly specialized terminally differentiated cell lying on the outside (in the urinary space) of the

glomerular capillary, providing structural support to the capillary loop and forming the outermost layer of the glomerular filtration barrier. The podocyte consists of a primary cell body with secondary processes, which further branch to form interdigitating foot processes interacting with the glomerular basement membrane. Foot processes are connected by the slit-diaphragm, which may be the main size barrier to protein filtration. As will be discussed below, podocytes prevent proteinuria due to size (slit-diaphragm) and charge (podocalyxin) barriers.

# Podocyte Slit-diaphragm

The slit-diaphragm is considered a type of gap junction. It is a thin membrane (40 nm) bridging the filtration pore close to the GBM<sup>136,137</sup> (Fig. 21-5). The structure was first described by transmission electron micrography as having a central pole with lateral rodlike structures producing a zipper-like appearance.<sup>138</sup> More recent imaging studies have supported and refined this configuration<sup>139</sup> (see Chapter 9).

Molecular studies have localized a number of specific proteins to the slit-diaphragm complex, including nephrin,<sup>140</sup> Neph1,<sup>141</sup> P-cadherin,<sup>142</sup> and FAT<sup>143</sup> (see review<sup>144</sup> and Fig. 21-5). Nephrin appears to be the major structural component of the slit-diaphragm. The extracellular domains of this transmembrane protein form dimers across the slitdiaphragm,<sup>145,146</sup> producing pores with a predicted diameter slightly smaller than the radius of albumin.<sup>139</sup> The slitdiaphragm also contains Neph1, a homolog of nephrin, and forms homo- and heterodimers with it.<sup>146</sup>

The slit-diaphragm proteins bind to the actin cytoskeleton of the podocyte via adaptor proteins (podocin, CD2AP, ZO-1, and catenins).<sup>147</sup> The intracellular domains of nephrin interact with CD2AP and podocin,<sup>145,148,149</sup> whereas Neph1 interacts with ZO-1 and podocin.<sup>150</sup>

It should also be recognized that the slit-diaphragm not only has structural functions, but more recently has been



Figure 21-5 Podocyte slit-diaphragm.

recognized to perform signaling roles. The plasma membrane around the slit-diaphragm contains lipid raft microdomains,<sup>151</sup> and podocin and nephrin have been shown to localize here.<sup>148,151</sup> The slit-diaphragm complex, in association with TRPC6 (an epithelial calcium channel),<sup>152,153</sup> signals through a phosphoinositide 3-OH kinase–dependent AKT pathway to modulate cellular processes such as organization of the actin cytoskeleton, maintenance of cell polarity, and cell survival.<sup>154</sup>

#### MECHANISMS OF PROTEINURIA FOLLOWING PODOCYTE INJURY

Multiple factors may produce podocyte injury, including immune complexes, toxins, circulating factors, raised intraglomerular pressures, and mechanical stretch, hyperglycemia, and infections. The result of these insults often follows a common pathway leading to proteinuria and nephrotic syndrome. Over time, the inability of podocytes to proliferate and repair is pivotal in progressive glomerular scarring.<sup>155</sup> We will describe potential molecular mechanisms by which podocyte injury may lead to proteinuria (Fig. 21-6 and Table 21-2).

# Loss of Podocyte Slit-diaphragm Integrity Congenital and Hereditary Disorders

The slit-diaphragm is predicted to contain pores smaller than the radius of albumin (36 Å), inhibiting glomerular permeability to macromolecules.<sup>139</sup> Defects in slit-diaphragm proteins lead to massive proteinuria, suggesting that the slitdiaphragm is critical to maintaining the glomerular filtration barrier. Nephrin-deficient mice, a model of congenital nephrotic syndrome of the Finnish type, display massive proteinuria at birth associated with the absence slit-diaphragms and foot process effacement. They have normal expression of GBM structural components, suggesting that the GBM is not the principal site of permselectivity.<sup>126</sup> Antibodies to nephrin lead to severe proteinuria within hours, with only focal foot process effacement.<sup>156,157</sup> Neph1 knockout mice also present with nephrotic syndrome at birth.<sup>158</sup>

The slit-diaphragm is anchored to the podocyte by adaptor proteins (CD2-AP, podocin,  $\alpha$ -actinin-4). Recombinant mice deficient in CD2-AP,<sup>159</sup> podocin,<sup>160</sup> or  $\alpha$ -actinin-4<sup>161</sup> all develop significant proteinuria, although typically less severe than in nephrin-deficient mice.

A number of inherited human proteinuric diseases have been described and attributed to defects in proteins of the slitdiaphragm complex, including congenital nephrotic syndrome of the Finnish type (nephrin,<sup>162</sup> WT1,<sup>163</sup> PLCe1<sup>164</sup>) and familial focal and segmental glomerulosclerosis (podocin,<sup>165</sup> TRPC6,<sup>152,153</sup> CD2-AP,<sup>166</sup>  $\alpha$ -actinin-4<sup>167</sup>). These diseases are reviewed in Chapter 9.

# **Acquired Disorders**

In acquired human proteinuric disease, a decreased density of slit-diaphragms has been described morphometrically in patients with nephrotic syndrome.<sup>168</sup> Studies have also sought to examine the expression of individual slit-diaphragm proteins in these conditions.<sup>169,170</sup> Abnormalities of nephrin expression have been described in minimal change disease,<sup>171</sup> diabetic nephropathy,<sup>172</sup> and membranous nephropathy.<sup>173</sup> Redistribution of nephrin and other slit-diaphragm components to intracellular locations has been described.<sup>174–176</sup> Interestingly, angiotensin II blockade prevents the decrease in nephrin in experimental glomerular disease.<sup>177</sup>



Figure 21-6 Podocyte injury and mechanisms of proteinuria. Injury to podocytes in disease states leads to proteinuria by several mechanisms, which are shown in this illustration.

Mechanism	Specific Podocyte Defect
Loss of slit-diaphragm integrity	Inherited (mutations in nephrin <sup>162</sup> , podocin <sup>165</sup> , CD2-AP <sup>166</sup> , FAT-1, Neph-1) Acquired (altered expression or position of slit-diaphragm proteins <sup>171–176</sup> )
Detachment of foot processes	Abnormalities in $\alpha 3\beta 1$ integrin <sup>181,193–195</sup> or $\alpha$ - $\beta$ dystroglycan <sup>183</sup>
Reduced podocyte number <sup>217</sup>	Detachment Apoptosis Failure to proliferate
Podocyte effacement (?)	Changes in slit-diaphragm proteins <sup>178</sup> Abnormal podocyte-GBM interaction <sup>131,181,182,184</sup> Actin cytoskeleton reorganization (synaptopodin, α-actinin-4 <sup>167,180</sup> , CDK5 <sup>185</sup> ) Altered negative charge Disturbances in transcriptional regulation
Loss of podocyte negative charge	Decreased levels podocalyxin <sup>228</sup> , GLEPP-1
Altered GBM permeability	Production of proteases or oxidants <sup>106</sup> leading to GBM injury or loss of heparan sulfate proteoglycan <sup>231</sup> GBM thickening due to matrix accumulation <sup>232</sup>
Altered glomerular endothelial cell function	Decreased VEGF production by podocyte <sup>83</sup>

Table 21-2 Mechanisms of Proteinuria Secondary to Podocyte Injury

GBM, glomerular basement membrane; GLEPP-1, glomerular epithelial protein-1; VEGF, vascular endothelial growth factor.

### **Foot Process Effacement**

Effacement of podocyte foot processes is typically seen in proteinuric conditions, and, indeed, may be the only histologic abnormality (minimal change disease). Effacement is an active process resulting from retraction of the foot processes into the cell body, resulting in large areas of flattened epithelium covering the capillary loop. The exact molecular mechanisms resulting in effacement remain unclear. Podocytes have an abundant actin cytoskeleton, which is likely central to the abnormal shape changes that characterize effacement. Loss of slit-diaphragms may partly explain this abnormality,<sup>178</sup> although open slit pores with absent slit-diaphragms may be seen in congenital nephrotic syndrome of the Finnish type and minimal change disease without complete effacement.<sup>179</sup> Disruption of the podocyte actin cytoskeleton<sup>167,180</sup> or the podocyte-GBM interaction have also been proposed.131,181-184 More recently, a role for cyclin-dependent kinase 5 was shown to be important in the maintenance of normal podocyte morphology.185

It is not clear, however, that foot process effacement alone can explain proteinuria, and indeed may be simply a consequence of podocyte injury. The podocyte flattening covers wide expanses of the GBM, and the total area for ultrafiltration is probably much decreased. Effacement may result in focal epithelial defects, allowing increased plasma flux across these denuded areas. There are also several experimental models where proteinuria occurs in the absence of foot process effacement, including anti-nephrin<sup>156</sup> or antineph1 antibodies<sup>141</sup>; aging male MWF rats<sup>186</sup>; and infusion of elastase<sup>187</sup> or  $H_2O_2^{188}$  into rat renal arteries. Human data suggest a poor correlation between the degree of proteinuria and foot process effacement in glomerulonephritis,<sup>189</sup> and a familial form of nephrotic syndrome has been described without foot process effacement.<sup>190</sup>

### **Detachment of Foot Processes**

Podocyte foot processes are connected to the GBM via several receptors, including  $\alpha 3\beta 1$  integrin and  $\alpha$ - $\beta$  dystroglycan.  $\alpha 3\beta 1$  binds to the type IV collagen  $\alpha 3$ ,  $\alpha 4$ , and  $\alpha 5$  chains, as well as fibronectin, laminin-11, and nidogen, all constituents of the normal GBM.<sup>191</sup> However, the role of  $\alpha 3$  integrin in attachment has recently been questioned since, in vitro,  $\alpha 3$  knockout podocytes are less likely to detach.<sup>192</sup> By contrast, anti- $\beta 1$  integrin antibody or blocking RGD peptides lead to foot process effacement, proteinuria, and podocyte detachment from the GBM.<sup>193–195</sup>

Detachment of foot processes may lead to focal gaps in epithelial covering of the capillary loop, leading to proteinuria (see review<sup>184</sup>). The  $\alpha$ 3 integrin knockout mouse develops congenital nephrotic syndrome associated with an immature GBM and foot process fusion.<sup>181</sup> Cross-linking of  $\beta$ 1 integrin receptors on podocytes in vitro leads to decreased podocyte adhesion and increased glomerular permeability to macromolecules.<sup>196</sup>

Detachment of foot processes from the GBM has been correlated with the onset of proteinuria in animal models,<sup>197–199</sup> and focal defects or tunnels have been described in human disease.<sup>200,201</sup> Live podocytes can also be recovered from the urine of patients with glomerular disease<sup>202</sup> and from animals with membranous<sup>203</sup> or diabetic nephropathy.<sup>204</sup>

By contrast, focal areas of detachment have been described in normal human kidneys.<sup>201,205</sup> In kidneys from patients with congenital nephrotic syndrome of the Finnish type and minimal change disease, denuded areas of GBM were rarely detected using scanning and transmission electron microscopy.<sup>179</sup> Also in proteinuric diseases, integrin expression is generally well preserved (despite cytoskeletal alterations), implying a stable interaction between podocytes and GBM.<sup>206,207</sup>

#### Decrease in Podocyte Number (Podocytopenia)

A decrease in podocyte number may result in denuded areas of GBM without epithelial covering. Experimental and human studies have shown a correlation between decreased podocyte number and the onset of proteinuria and glomerulo-sclerosis.<sup>208–210</sup> The mechanisms underlying podocytopenia include detachment (discussed above), apoptosis, and lack of proliferation.

# **Apoptosis**

Increased apoptosis (programmed cell death) is a leading cause of reduced podocyte number. Apoptosis reflects a balance between signals/factors that favor survival versus death. Pro-apoptotic factors in the podocyte include transforming growth factor- $\beta$  (TGF- $\beta$ ), angiotensin II, ROS, mechanical stretch, and detachment. TGF- $\beta$  is increased in diabetic and nondiabetic proteinuric renal diseases, and podocyte apoptosis is increased in TGF- $\beta$  transgenic mice, which correlates with glomerulosclerosis.<sup>211</sup> Angiotensin II can directly cause podocyte apoptosis, an effect mediated through the AT1 receptor.<sup>212</sup> Therapeutic angiotensin II blockade may therefore have additional glomeruloprotective effects independent of its hemodynamic actions.

Podocyte survival factors include nephrin, CD2-AP, VEGF collagen IV, and possibly insulin-like growth factor.<sup>213</sup> Thus, slit-diaphragm proteins not only provide a structural role, they also have a pro-survival function. Nephrin and CD2-AP facilitate the phosphorylation of Akt, a critical survival factor.<sup>214</sup> VEGF has been shown to protect podocytes from apoptosis induced by serum withdrawal by phosphorylating nephrin.<sup>215</sup> Dexamethasone protects podocytes from apoptosis by reducing levels of p53 and may partly explain why steroids can reduce proteinuria.<sup>216</sup>

# Lack of Podocyte Proliferation

Podocytes are terminally differentiated cells and do not typically proliferate in response to injury. Indeed, the lack of adequate proliferation by these cells to replace those lost by detachment or apoptosis leads to reduced podocyte number. It should be noted that podocytes do proliferate in certain conditions, including human immunodeficiency virus (HIV)associated nephropathy, cellular/collapsing FSGS, and crescentic glomerulonephritis. Altered levels of specific inhibitors of the cell cycle, called cyclin-dependent kinase inhibitors, have been shown to govern the inability of podocytes to proliferate. Levels of p21 and p27 increase in podocytes following experimental and human injury.<sup>217</sup> Proof of principle for their role derives from inducing experimental podocyte injury in p21- and p27-null mice, where the podocyte phenotype switches from a quiescent to a proliferative one that is associated with increased proteinuria.218-220 More recent studies have shown that not only is DNA synthesis limited in podocytes due to increased cell cycle inhibitors, but there is also reduced mitosis, which may be due to the induction of DNA damage.221

# Loss of Podocyte Charge

The podocyte slit-diaphragm and foot processes are covered with negatively charged glycocalyx consisting of sialoglycoproteins, including podocalyxin and podoendin.<sup>222,223</sup> Podocalyxin is synthesized by the podocyte and inserted into the apical membrane close to foot processes and filtration slits,<sup>224</sup> where it may act as an anti-adhesive molecule maintaining open filtration slits.<sup>225,226</sup> Podocalyxin-deficient mice have impaired glomerular development and fail to develop podocyte foot processes or slit-diaphragms.<sup>227</sup> Defective sialylation of podocalyxin has been described in the puromycin aminonucleoside model of nephrotic syndrome.<sup>228</sup> Thus, although the notion that podocalyxin and possibly podoendin reduce proteinuria based on negative charge, the verdict remains inconclusive.

# **Podocyte Endocytosis of Proteins**

Could large amounts of protein pass through podocytes by active endocytosis? On electron microscopy, large amounts of pinocytic vesicles, phagosomes, and lyzosomes may be found in human proteinuric kidneys,<sup>91,229,230</sup> and defects in podocyte endocytosis have been proposed to cause glomerular injury.<sup>166</sup> Nevertheless, no difference in pinocytic invaginations in the cell membrane (apical or basal surfaces) was detected in minimal change disease between patients with proteinuria and those in remission.<sup>179</sup>

#### Podocyte Injury May Alter GBM Permeability or Glomerular Endothelial Cell Function

We should also recognize that podocyte injury may not only have local effects on the slit-diaphragm or podocyte structure, but may have secondary effects on the GBM or glomerular endothelial cell. The podocyte is partly responsible for the synthesis and turnover of the GBM, and podocyte-derived growth factors, particularly VEGF, are critical to the endothelial function. Despite this, the absence of obvious morphological features in the GBM and endothelial cell, and the very rapid onset of proteinuria following slit-diaphragm injury (e.g., anti-nephrin antibodies<sup>156</sup>) suggests that these factors may be less important.

# CONCLUSION

The glomerular filtration barrier consists of the glomerular endothelial cell, the glomerular basement membrane, and the podocyte. Although there are data supporting a contribution from each of these layers to glomerular permselectivity, recent evidence suggests that the podocyte slit-diaphragm may be the major impediment to glomerular filtration of protein.

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# Chapter 22

# **Diabetic Nephropathy**

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In the industrialized world, diabetes mellitus represents the leading cause of end-stage renal disease (ESRD). Both the incidence and prevalence of ESRD secondary to diabetes are on the rise. In the Unites States, over 30% of patients receiving either dialytic therapy or renal transplantation have ESRD as a result of diabetic nephropathy, and 40% of the new (incident) cases of ESRD are attributable to diabetes.

In the United States, Europe, and Japan, approximately 92% of diabetes represents type II rather than type I (insulinopenic). Correspondingly, over 80% of the ESRD secondary to diabetes is also seen in patients with type II diabetes. Although it was previously supposed that ESRD secondary to type II diabetes was less common than with type I diabetes, when cohorts of patients with type I and type II diabetes are followed for an extended period, the incidence of renal disease is similar. The demographics for ESRD due to type II diabetes mirror the prevalence of type II diabetes in the U.S. population, with a higher incidence in females and in African Americans, Hispanics, Native Americans, and Asian Americans, and with a peak incidence in the fifth to seventh decades of life.

#### PROPOSED MECHANISMS UNDERLYING DEVELOPMENT OF DIABETIC NEPHROPATHY

# Hyperglycemia

Results from the Diabetes Control and Complications Trial (DCCT) and United Kingdom Prospective Diabetes Study (UKPDS) clinical trials in patients with type I and type II diabetes provide conclusive evidence that improved glucose control with lower hemoglobin A1C (HbA1C) is associated with reduced microvascular complications, including retinopathy, neuropathy, and the development of microalbuminuria.1-5 Conversely, increased hyperglycemia results in several deleterious cellular events, including generation of reactive oxygen species (ROS), depletion of NAD(P)H, and production of advanced glycosylation end products.<sup>6</sup> These changes will be discussed in greater detail below. Nevertheless, it is humbling to acknowledge that while we can identify several pathogenic biochemical pathways, we still don't know which ones are most important and/or which of these disordered pathways distinguish the 40% of diabetics prone to developing nephropathy from the majority who fail to develop nephropathy despite comparable levels of glycemia.<sup>7–9</sup> The diversity of the biochemical pathways proposed to contribute to diabetic complications hints that diabetic nephropathy could be the result of lesions in any one of several distinct biochemical pathways, with some pathways being more important in one individual than another, yet leading to a similar phenotype. Regardless of the importance of these unanswered questions, it is at least comforting to have firmly established the primacy of hyperglycemia as an initiating factor in the genesis of diabetic complications.

### **Hemodynamics**

Patients with type I and, to a lesser extent, type II diabetes exhibit an increased glomerular filtration rate (GFR), the socalled "hyperfiltration" that has been suggested to be mediated by proportionately greater relaxation of the afferent arteriole than the efferent arteriole, leading to increased glomerular blood flow and elevated glomerular capillary pressure.<sup>10</sup> There is also glomerular hypertrophy, with increased glomerular capillary surface area. It has been suggested that these intraglomerular hemodynamic alterations may contribute to development and/or progression of diabetic renal injury. Since angiotensin-converting enzyme (ACE) inhibitors and decreased dietary protein reduce this increased intraglomerular capillary pressure in experimental animals, the hyperfiltration hypothesis provides one rationale for the success of these interventions in the progression of diabetic nephropathy.

#### **Cellular Glucose Uptake**

Increased cellular glucose has been implicated in the pathogenesis of diabetic nephropathy from studies of primary dysregulation of cellular glucose uptake<sup>11,12</sup> (Fig. 22-1). Primary increases in cellular glucose levels have been achieved in mesangial cells by overexpressing the facilitative glucose transporter 1 (GLUT1). This causes similar intracellular consequences as hyperglycemia, including increased aldose reductase, myo-inositol, lactate production, cell sorbitol content,<sup>12</sup> and increased net collagen accumulation.<sup>13,14</sup> Mesangial sclerosis is also observed in transgenic mice overexpressing GLUT1 driven by a modified human  $\beta$ -actin promoter, which is predominantly expressed in glomerular mesangial cells.<sup>11</sup> Another glucose transporter, the insulin-sensitive glucose transporter GLUT4, is most highly expressed in renal microvessels.<sup>15</sup> Dysregulation of glucose uptake in different tissues by these transporters is suggested by studies showing differential regulation of glucose uptake by vascular smooth muscle versus endothelial cells. Glucose transport activity in vascular smooth muscle cells is inversely and reversibly regulated by glucose, but glucose uptake in endothelial cells is not allosterically regulated by glucose levels.<sup>16</sup> The distinct regulation of glucose regulation in different tissues likely contributes to different susceptibility of nerve and endothelial cells to diabetic complications versus other tissues.



Figure 22-1 In response to hyperglycemia, the mitochondria is a major source of free radical production.

# Generation of Reactive Oxygen Species from Intracellular Hyperglycemia

A major consequence of elevated cellular glucose is the inefficient utilization of this excess substrate, resulting in the formation of excess reactive free radicals. Hyperglycemia thus increases ROS production in cultured bovine aortic endothelial cells. A major mechanism by which intracellular hyperglycemia drives production of electron donors derives from their mitochondrial synthesis (see Fig. 22-1). This increase in reducing equivalents is a consequence of increased glucose flux through the tricarboxylic acid (TCA) cycle (NADH and FADH<sub>2</sub>) generating a high mitochondrial membrane potential  $(\mu H^+)$ , resulting from increased pumping of protons across the mitochondrial inner membrane. The high µH<sup>+</sup> impairs electron transport at complex III and increases the half-life of free-radical intermediates of coenzyme Q (ubiquinone), thus facilitating the reduction of O<sub>2</sub> to superoxide.<sup>6,17</sup> The possible contribution of increased oxidative stress to the complications of diabetes mellitus is supported by findings in diabetic humans of increased urinary isoprostanes, which are endogenous markers of oxidant formation.<sup>18–21</sup>

Other potential enzymatic sources of ROS include the arachidonic acid pathway enzymes lipoxygenase and cyclooxygenase, cytochrome p450s, xanthine oxidase, NADH/ NADPH oxidases, NO synthase, peroxidases, and other hemoproteins.<sup>22</sup> The NADH/NADPH oxidases are a major contributor to renal vascular superoxide production in diabetes. This enzyme activity is mediated by the interaction of multiple protein subunits, including gp91phox/NOX, p22phox, rac, and p67phox<sup>23</sup> (Fig. 22-2). Together, gp91phox and p22phox form an integral membrane complex termed cytochrome *b*558, located in cytoplasmic vesicles and the plasma membrane. The catalytic subunit gp91phox binds three prosthetic groups, one FAD and two heme molecules.<sup>24</sup> Expression of these subunits is regulated by a variety of biologic factors, including growth factors and hormones.

NOX4 is one of several newly recognized gp91phox homologs.<sup>25</sup> NOX4 has been proposed to produce  $O_2^-$  preferentially using NADH, for its electron donor, as opposed to



Figure 22-2 Subunit composition of the NADH/NADPH oxidases, a major contributor to renal vascular superoxide production in diabetes.

NADPH.<sup>24</sup> Aortas from old OLETF rats with hyperglycemia exhibit enhanced NADH oxidase activity in association with upregulated expression of p22phox and gp91phox.<sup>26</sup> Further studies of homogenates from endothelial and vascular smooth muscle cells indicate that the predominant substrate capable of driving O<sub>2</sub><sup>-</sup> production is NADH and, to a lesser extent, NADPH,<sup>23,27–29</sup> so NOX4 may play a key role in this circumstance. Expression of the NAD(P)H oxidase subunits p22phox and NOX4 are increased in kidneys of diabetic rats, and immunostains show that expression of NOX4 and p22phox is increased in both distal tubular cells and glomeruli.<sup>26</sup> These findings are consistent with a contribution of increased renal NAD(P)H oxidase expression to the generation of ROS in the setting of diabetes.

Following their formation, ROS may be oxidized to inert species by diverse enzymatic systems, including catalase, glutathione peroxidase, Cu/Zn superoxide dismutase, and heme oxygenase-1 (HO-1), providing a defense system against oxidative injury. In diabetic glomeruli, heme oxygenase-1 is dramatically increased and could therefore play a major protective role.<sup>30</sup> Two weeks after the induction of diabetes in

rats, expression of catalase, glutathione peroxidase, and Cu/Zn superoxide dismutase messenger RNAs (mRNAs) was not significantly different from that in control rats; however, it has been reported by others<sup>30</sup> that mRNA and protein expression of HO-1 was induced by 16-fold in glomeruli after the induction of,<sup>31</sup> and similar upregulation of, HO-1 in diabetic rat glomeruli.

Other antioxidant systems may also play roles in diabetes. In cultured mesangial cells exposed to high glucose, several thiolreactive antioxidative genes (glutathione peroxidase 1, peroxiredoxin 6, and thioredoxin 2) were found to be increased by microarray and were confirmed to be upregulated by realtime polymerase chain reaction (PCR).<sup>32</sup> Impaired induction of catalase and glutathione peroxidase 1 has also been identified in fibroblasts harvested from patients with diabetic nephropathy.<sup>33</sup> In high-glucose conditions, Cu/Zn superoxidedismutase mRNA and activity increased in fibroblasts from diabetics with or without nephropathy, while Mn superoxide dismutase did not change. In contrast, catalase mRNA and activity as well as glutathione-peroxidase mRNA and activity are increased in fibroblasts from type I diabetic patients without nephropathy, and in fibroblasts from nondiabetic nephropathic patients, but not in fibroblasts from type I diabetic patients with nephropathy,<sup>33</sup> implicating systemic impairment of these antioxidant pathways in patients with diabetic nephropathy.

# Consequences of Increased Reactive Oxygen Species

Increased superoxide production can damage DNA, activate aldose reductase, induce diacylglycerol (DAG) production, activate protein kinase C (PKC), induce advanced glycation end product (AGE) formation, and activate the pleiotropic transcription factor nuclear factor- $\kappa B$  (NF- $\kappa B$ )<sup>6,34</sup> (Fig. 22-3). Increased ROS leads to single-strand DNA breaks,<sup>35–37</sup> providing an obligatory stimulus for the activation of the nuclear enzyme poly(ADP-ribose) polymerase (PARP). Poly(ADPribose) polymerase transfers ADP-ribose from NAD+ to nuclear proteins through an energy-consuming process.38,39 PARP activation in turn depletes the intracellular concentration of its substrate NAD<sup>+</sup>, slowing the rate of glycolysis, electron transport, and adenosine triphosphate (ATP) formation, possibly as result of ADP-ribosylation of GAPDH.<sup>40-42</sup> The inhibition of GAPDH leads to increased glyceraldehyde-3 phosphate levels and its degradation products, yielding methylglyoxal-associated intracellular AGE production.43,44

PARP protein expression also appears to be involved in NF- $\kappa$ B-mediated activation of inflammatory gene transcription. Tissue obtained from PARP knockout mice does not exhibit NF- $\kappa$ B activation following endotoxin.<sup>45</sup> Other studies indicate that activation of NF- $\kappa$ B is dependent on the presence of PARP but is unaffected by inhibition of PARP activity,<sup>46</sup>



Figure 22-3 High glucose flux through constitutive glucose transporters present in endothelial cells overwhelms the mitochondrial electron transport system. Excess mitochondrial substrate flux results in the generation of reactive oxygen species that cause DNA strand breaks and activation of poly(ADPribose) polymerase (PARP). PARP ribosylates and inactivates GAPDH, thereby disrupting normal alucose metabolism. Inactivation of GAPDH effectively shunts glucose into the polyol pathway and leads to activation of protein kinase C (PKC) and accumulation of advanced glycosylation end products (AGEs) and glucosamine. DAG, diacylglycerol. (From Reusch, JEB: Diabetes, microvascular complications, and cardiovascular complications: What is it about glucose? J Clin Invest 112:986-988, 2003.)

suggesting that PARP protein but not its enzymatic activity is the relevant variable. PARP levels may also be upregulated in tissues during increased oxidative injury,<sup>47</sup> so it is conceivable that altered PARP expression might contribute to NF- $\kappa$ B activation in diabetic tissues.

ROS has been implicated in activating the mitogenactivated protein kinase (MAPK) signal transduction cascade.<sup>48</sup> Studies demonstrate that antioxidants, including *N*-acetyl-cysteine, vitamin E, and diphenyliodonium, blunt the activation of the MAPK pathway in cells chronically cultured in high glucose.<sup>49–51</sup> Similarly, studies show extracellular signal-regulated kinase 1 (ERK-1) and ERK-2 are activated by angiotensin and endothelin by a superoxide-dependent mechanism in vascular smooth muscle cells.<sup>52,53</sup> The molecular mechanisms whereby ROS activate these pathways remain uncertain.

Changes in the cellular redox state may also exert diverse effects on cell protein structure. A recent study shows that the cellular redox state can affect calcium flux via the type I inositol trisphosphate (IP3) receptor through its physical association with a thioredoxin protein (Erp44), and this association is dependent on the number of free cysteinyl sulfhydryl groups in IP3r1,<sup>54</sup> suggesting that reduction of protein S-S bonds plays an important role in intracellular calcium regulation. Whether this or some other mechanism plays a direct role in the activation of MAPKs by hyperglycemia remains undetermined.

### **Hexosamine Pathway**

Under normal circumstances, 2% to 5% of glucose taken up into the cell is converted by the hexosamine pathway to UDP-GlcNAc.<sup>55,56</sup> Glutamine fructose-6-P amidotransferase (GFAT, also called transaminase or synthase) is the first and rate-limiting step in hexosamine biosynthesis, catalyzing an essentially irreversible reaction wherein L-glutamine donates an amino group to D-fructose-6-P, producing one molecule of glutamate and one molecule of aminated product, glucosamine-6-phosphate. Subsequent *N*-acetylation and reaction with UTP form UDP-*N*-acetylglucosamine (UDP-GlcNAc) (Fig. 22-4). The initial enzyme (glutamine fructose-6-P amidotransferase) is subject to feedback inhibition by the hexosamine UDP-*N*-acetylglucosamine and can be experimentally inhibited by glutamine analogs.

 Figure 22-4 Hexosamine pathway. (From Bouché C, Serdy S, Kohn CR, Goldine AB: The cellular fate of glucose and its relevance in type 2 diabetes. Endocr Rev 25:830, 2004.)

The final step in hexosamine biosynthesis is the formation of UDP-*N*-acetyl-glucosamine and other nucleotide hexosamines, which are major substrates for glycosylation of proteins. Many cytoplasmic and nuclear proteins are glycosylated on their serine and/or threonine residues by the addition of a single molecule of O-linked  $\beta$ -*N*-acetylglucosamine.<sup>57,58</sup> O-linked glycosylation can alter the activity and stability of several transcription factors, including Sp1, p53, and myc.<sup>57,59</sup> It has been suggested that these modifications may underlie glucotoxicity,<sup>43,60,61</sup> glucose-induced changes in cell growth,<sup>57</sup> and glucose-induced insulin resistance.<sup>55</sup>

Alterations in the hexosamine pathway flux rate may induce defects involved in insulin secretion and action.<sup>62</sup> When rodents are infused with glucosamine, there is reduced glucose uptake as assessed by euglycemic-hyperinsulinemic clamping.63 However, recent studies in patients with type II diabetes and Zucker diabetic fatty rats have not confirmed alteration in tissue UDP-GlcNAc levels,64,65 so whether it is the flux through this pathway rather than the absolute levels of hexosamines that is relevant remains unclear. Glycosylation modifies activity of nuclear and cytoplasmic proteins,61,66 including endothelial nitric oxide synthase,<sup>60</sup> and pathways involved in PKC and protein kinase A<sup>67</sup> or insulin signaling,<sup>68</sup> contributing to endothelial dysfunction.43,60 In glomerular epithelial and mesangial cells from diabetic kidneys and in atherosclerotic plaques of diabetic patients, there is increased glycosylation and GFAT expression.<sup>61,66</sup> Activation of the hexosamine pathway may therefore contribute, in part, to the increased risk for vascular disease in diabetic patients.

# **Aldose Reductase**

Increased intracellular glucose provides substrate for increased flux through the polyol pathway, metabolizing glucose to sorbitol via aldose reductase and then to fructose via sorbitol dehydrogenase (Fig. 22-5). The Km for glucose is relatively high and therefore dependent on intracellular glucose levels. Increased expression and activity of aldose reductase has been demonstrated in experimental diabetes and diabetic human tissues, including the diabetic kidney.<sup>69</sup> In the diabetic eye, increased sorbitol accumulation contributes to osmotic stress and cataract development<sup>70</sup>; however, osmotic stress does not appear to contribute to the development of neuropathy,<sup>71</sup> although an associated increase in oxidative stress may play a role.<sup>72</sup>

Conversion of glucose to sorbitol competes with GSSH for the availability of NADPH, blunting the formation of GSH



Figure 22-5 Aldose reductase and increased oxidant stress.

from GSSH and thereby the antioxidant capacity of the cell. Sorbitol is subsequently metabolized by sorbitol dehydrogenase to fructose accompanied by NAD<sup>+</sup> conversion to NADH. The consequent increased NADH:NAD<sup>+</sup> ratio resulting from the conversion of sorbitol to fructose can lead to de novo synthesis of PKC-activating DAGs<sup>73,74</sup> (see Protein Kinase C, following). Increased NADH also provides substrate for NADH oxidase (NOX),<sup>75–77</sup> enhancing ROS formation via NOX. Increased fructose also efficiently contributes to enhanced formation of AGEs,<sup>78–81</sup> which by activating RAGE (receptor for advanced glycosylation end products) increases ROS formation.<sup>82,83</sup> Through these multiple mechanisms, increased glucose flux via the polyol pathway contributes to increased oxidative injury in the diabetic milieu.

### Advanced Glycosylation End Products

In the setting of diabetes mellitus and long-term hyperglycemia, nonenzymatic modification of proteins (or lipids) by glucose, or its metabolic products, results in their stable modification and altered function. This process is thought to underlie a major pathogenic pathway leading to tissue injury in diabetes. A major pathway for AGE formation involves triose phosphate intermediaries derived from metabolism of glucose (Fig. 22-6). Triose phosphates build up as intracellular glucose increases and can nonenzymatically form the early glycosylation product methyglyoxal by spontaneous decomposition.<sup>84,85</sup> Amine-catalyzed sugar fragmentation reactions then modify protein lysine residues directly, forming N-(epsilon)-(carboxymethyl)lysine (CML), a major product of oxidative modification of glycated proteins.<sup>85</sup> Alternatively, reaction of terminal amino groups (e.g., on lysine) with glucose itself may form from early glycation products (i.e., Amadori products),<sup>86,87</sup> which rearrange to produce stable moieties that possess distinctive chemical cross-linking and biologic properties, designated AGEs.<sup>88</sup> Other glucose-derived Amadori products and fructose are thought to be potential precursors of 3-deoxyglucosone (3-DG) in vivo. Fructose generated by the aldose reductase pathway is converted into fructose-3-phosphate by the action of 3-phosphokinase (3-PK). This leads to the generation of 3-deoxyglucosone, a central precursor in the generation of an array of AGEs, in particular, CML-adducts and others.<sup>89</sup> 3-DG can further react with proteins to form pyrrolines or pentosidine.

AGEs have been suggested to represent a general marker of oxidative stress and long-term damage to proteins in aging, atherosclerosis, and diabetes.<sup>84,85</sup> Renal CML-AGE is increased in diabetes.<sup>90,91</sup> Immunolocalization of CML in skin, lung, heart, kidney, intestine, intervertebral discs, and particularly in arteries provide evidence for age-dependent increases in CML accumulation in distinct locations, and acceleration of this process in diabetes.<sup>92</sup> Immunostaining and immunoblots of diabetic human kidneys show increased CML in diabetic glomeruli, especially in the mesangial matrix and capillary walls.<sup>1</sup>

#### **AGE Receptors**

The consequences of increased tissue AGE expression includes activation of pro-inflammatory pathways, in large part due to receptor-mediated interaction of these products with specific cell surface receptors (Fig. 22-7). These receptors include Rights were not granted to include this figure in electronic media Please refer to the printed publication.

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#### В

Figure 22-6 A, Amadori reaction. B, Maillard reaction pathway for formation of N-(epsilon)-(carboxymethyl)lysine (CML), pentosidine, and pyrroline. Fructoselysine is the Amadori compound, the first intermediate in the Maillard reaction occurring between glucose and protein. Pentosidine and CML are formed by sequential glycation and oxidation reactions. CML can form in two pathways: oxidative cleavage of fructoselysine, and reaction of protein with glyoxal, which is an autoxidation product of glucose or Schiff base adduct. Pentosidine is a cross-link between lysine and arginine residues resulting from glycoxidation of Amadori products or the reaction of arabinose, which is an autoxidative product of glucose. Pyrraline is formed by the reaction of protein with 3-deoxyglucosone. (B from Horie K, Miyata T, Maeda K, et al: Immunohistochemical colocalization of glycoxidation products and lipid peroxidation products in diabetic renal glomerular lesions. Implication for glycoxidative stress in the pathogenesis of diabetic nephropathy. J Clin Invest 100:2995-3004, 1997.)



Figure 22-7 Advanced glycosylation end product (AGE) interaction with the receptor for AGE (RAGE) and subsequent activation of cell signaling.

CD36, macrophage scavenger receptor (MSR) type II, OST-48, 80K-H,<sup>79,93,94</sup> and a signal-transducing receptor designated RAGE.<sup>91</sup> RAGE activation appears to be a key to the development of diabetic complications, since blocking RAGE reduces diabetic atherosclerosis and nephropathy.79,90,95 RAGE was first identified as a "receptor" for AGE using membranes from cultured mesangial cells and bovine lung extract and an iodine 125-radiolabeled probe of in vitro-prepared AGE albumin.96,97 Molecular cloning analyses reveal that RAGE is a member of the immunoglobulin superfamily of cell surface molecules<sup>98</sup> and is composed of a large N-terminal extracellular portion of 332 amino acid residues consisting of a distal V-type immunoglobulin domain, followed by two C-type immunoglobulin domains (see Fig. 22-7). A series of studies of truncations of the extracellular region demonstrated that its ligands interacted with the V-domain of the receptor.<sup>91,99,100</sup> CML adducts as well as endogenous ligands including S100/calgranulin amyloid-β peptide and amphoterin proteins are major ligands for RAGE, binding the V domain.101,102 Following ligation of the extracellular region, transmembrane signaling is thought to be transduced in part by a single hydrophobic transmembrane spanning domain followed by a short, highly charged cytosolic tail at the carboxyl-terminus. The intracellular portion of the molecule is essential for RAGE-triggered signaling and activates NF-KB-coupled signaling101,102 as well as Cdc42-Rac-1-MAPK kinase 6-p38 MAPK pathways.<sup>103,104</sup> RAGE is upregulated in kidneys of diabetic animals, and nephropathy is ameliorated by blocking RAGE activation.<sup>91</sup> Binding of AGEs to RAGE activates cellsignaling mechanisms coupled to increased transforming growth factor- $\beta$  (TGF- $\beta$ ) and vascular endothelial growth factor (VEGF) expression that are thought to contribute to the pathogenesis of diabetic complications.<sup>90,91</sup>

Conversely, other AGE receptors, designated AGE1 and AGE3 (galectin-3), appear to counteract the pro-inflammatory effects of RAGE and macrophage scavenger receptors.

Overexpression of AGE1 in mesangial cells markedly suppressed AGE-stimulated NF- $\kappa$ B activity and MAPK (p44/42) phosphorylation and activation.<sup>105</sup> Genetic disruption of galectin-3 exacerbates renal injury in diabetic mice.<sup>106,107</sup> These results support the possibility of utilizing selective AGE1 and AGE3 agonists to ameliorate diabetic complications.

# **Protein Kinase C**

The PKC family is a group of structurally related serine and threonine kinases that are typically activated by certain lipids, particularly DAG, together with phosphatidylserine.<sup>108</sup> Activation of the cPKC subfamily (PKC $\alpha$ ,  $\beta$ 1,  $\beta$ 2, and  $\gamma$ ) also requires increased cell calcium, typically initiated by accompanying generation of IP3 as a result of phospholipase C (PLC)-mediated hydrolysis of phosphatidylinositol trisphosphate (PIP3) to IP3 and DAG.<sup>109,110</sup> The nPKCs ( $\delta$ ,  $\epsilon$ ,  $\eta$ ,  $\mu$ ,  $\alpha v \delta$ , and  $\theta$ ) are also activated by DAG but do not require calcium as a co-factor.<sup>111</sup> Finally, atypical PKCs like PKC $\zeta$  are activated by neither Ca<sup>2+</sup> nor DAG, but by other atypical pathways. Agonist-induced production of DAG occurs through multiple mechanisms, including receptor tyrosine kinases and receptors linked to nonreceptor tyrosine kinases recruiting phosphatidylinositol-4,5-bisphosphate (PtdIns4,52)specific PLC1/2 through their Src-homology-2 domains. Seven transmembrane receptors, such as AT1 and ET1 receptors,

couple to the PIP3 active phospholipase C $\beta$  family members through Gq-GTP and G $\beta$  family member interactions.<sup>108</sup>

PKC isoforms exhibit differential tissue expression.<sup>112,113</sup> In the kidney there is segmental expression of several of the PKC isoforms along the nephron. Glomeruli predominantly express PKC- $\alpha$ , - $\beta$ I (which, as discussed further below, has been particularly implicated in the pathogenesis of diabetic complications), and PKC- $\in$ .<sup>114</sup> High glucose has been shown to activate several PKC isoforms in cultured mesangial cells, including PKC- $\alpha$ , - $\beta$ , - $\delta$ , and - $\epsilon$ .<sup>115,116</sup> PKC- $\theta$  is primarily expressed in skeletal muscle, and its action has been implicated in the development of insulin resistance.<sup>117</sup> Altered adipocyte PKC- $\epsilon$  activity has been implicated in obesity.<sup>118</sup> This differential tissue distribution has important implications for therapies designed to inhibit PKC in target tissues of diabetic complications.

PKC activation has been implicated in the pathogenesis of microvascular diabetic complications in both the eye and kidney<sup>2</sup> (Fig. 22-8). Increased intracellular glucose contributes to PKC activation, in part via increased synthesis of DAG.<sup>73,119,120</sup> DAG can be formed de novo from the glycolytic intermediates dihydroxyacetone phosphate and glycerol 3-phosphate after stepwise acylation to lysophosphatidic acid and phosphatidic acid. Increased PKC activity has been detected in tissues from diabetic humans, dogs, and rats.<sup>121,122</sup> After elevating either in vivo or in vitro (medium) glucose

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**Figure 22-8** Pathogenic contribution of protein kinase C (PKC) activation to vascular complications of diabetes mellitus. (From He Z, King GL: Protein kinase Cbeta isoform inhibitors: A new treatment for diabetic cardiovascular diseases. Circulation 110:7–9, 2004.)

concentrations, total cellular DAG content in retinal capillary endothelial cells or macrophage increases.<sup>119,121</sup> Glucosemediated activation of the calcium-insensitive PKC- $\in$  and - $\delta$  in mesangial cells has also been described<sup>123</sup> and contributes to increased mesangial synthesis of collagen  $\alpha$ IV.<sup>124</sup> Other mechanisms of PKC activation in diabetes may involve receptor-mediated pathways including activation of AGEs via RAGE.<sup>125–127</sup>

The cellular consequences of PKC activation are diverse, and those specific effects proposed to contribute to diabetic complications are manifold. PKC has been proposed to increase ROS formation, impair insulin receptor signaling,<sup>128</sup> and enhance vascular damage.<sup>2</sup> Because phosphorylation of the p47phox subunit by PKC is required for activation of the neutrophil oxidase, several studies have investigated the role of PKC in activation of this vascular oxidase. Thus, treatment of vascular smooth muscle cells with PKC inhibitors reduced ROS production after stimulation by platelet-derived growth factor (PDGF) or ANG II.<sup>129,130</sup>

Recent work has focused on the protective effect of isoformselective PKC- $\beta$  inhibitors.<sup>2,131,132</sup> PKC- $\beta$  type II is the major isoform in endothelial cells and vascular tissues.<sup>2</sup> In transgenic mice, cardiac-specific overexpression of the PKC- $\beta$ type II isoform led to left ventricular hypertrophy, multifocal fibrosis, and decreased left ventricular performance without vascular lesions. Importantly, treatment with a PKC-specific inhibitor resulted in functional and histologic improvement.<sup>133</sup> Protection from diabetic complications can be achieved by blocking PKC- $\beta$  with the specific inhibitor ruboxistaurin (LY-333531, Eli Lilly, Indianapolis, IN).<sup>134</sup> LY-333531 has been shown to forestall the development of both nephropathy and retinopathy.<sup>2,135,136</sup>

# **Hormones and Cytokines**

Studies in experimental animals have implicated a number of cytokines, hormones, and intracellular signaling pathways in either the development or progression of diabetic nephropathy, notably TGF- $\beta$ , angiotensin II, endothelin, and nitric oxide. Since these factors have also been implicated in a variety of nondiabetic kidney diseases, it is likely that they will not prove to be specific for diabetic nephropathy.

#### Transforming Growth Factor-B

TGF- $\beta$  types I to III are members of a superfamily also consisting of activins, bone morphogenetic proteins (BMPs), and inhibins. TGF- $\beta$  is secreted as a latent complex, consisting of a homodimer of mature TGF-B, a TGF-B latency-associated peptide, and a latent TGF- $\beta$  binding protein. Cleavage of the latter two proteins is necessary for TGF-B activation, and thrombospondin has been shown to be at least one important mediator of the cleavage.<sup>137,138</sup> The active TGF- $\beta$  binds to the type II TGF- $\beta$  receptor, with the binding facilitated by the type III TGF- $\beta$  receptor (Fig. 22-9). Ligand binding leads to recruitment and serine phosphorylation of the type I TGF-β receptor and activation of intracellular signaling cascades.<sup>139</sup> TGF- $\beta$  signaling is mediated in large part by successive phosphorylation of three classes of DNA binding proteins known as SMADs, receptor-activated SMADs, common partner and inhibitory SMADs, and by the TGF-β-activated kinase 1/APK pathway.<sup>137,140</sup> As discussed below, TGF-B also activates



**Figure 22-9** Schematic depiction of signaling through the TGF- $\beta$  receptors. TGF- $\beta$  initially binds to the type II receptor and the TGF- $\beta$ -TGF- $\beta$  type II receptor complex then binds to and initiates phosphorylation of the type I receptor, initiating intracellular signaling.

connective tissue growth factor (CTGF), which mediates some of the cellular responses attributed to TGF- $\beta$ .<sup>141</sup>

In normal kidney glomerulus and proximal tubule, TGF-B mRNA and protein are weakly expressed, with an isoform distribution of 1 > 3 > 2.<sup>142</sup> All three isoforms are significantly upregulated in both the mesangium and the tubulointerstitium in diabetes.<sup>143,144</sup> In vitro, a number of factors implicated in the development and/or exacerbation of diabetic nephropathy have been shown to increase TGF- $\beta$  expression. Elevated extracellular glucose increases TGF-B expression in cultured renal cells, including mesangial and proximal tubule cells.<sup>145,146</sup> Protein kinase C-B (PKC-B),<sup>132</sup> AGEs,<sup>147,148</sup> and glucosamine<sup>149</sup> also increase TGF-B expression, as do ROS<sup>6</sup> and exposing mesangial cells to repeated cycles of stretch/ relaxation.<sup>150,151</sup> Furthermore, vasoactive agonists implicated in diabetic nephropathy, including angiotensin II, thromboxane A2, and endothelin, all have been shown to increase TGF- $\beta$  expression in vitro.<sup>152,153</sup> Expression of both the type I and type II TGF-B receptors also increases in experimental models of diabetes as well as with hyperglycemia in vitro,<sup>154</sup> and in vivo the SMAD signaling pathway is activated in diabetic kidneys.155,156

TGF- $\beta$  has been shown to increase in both streptozotocininduced<sup>157,158</sup> and genetic models of both type I and type II diabetes (BB, NOD, *db/db*).<sup>144,159,160</sup> Patients with diabetes manifest increases in glomerular TGF $\beta$ -1 mRNA, glomerular and tubulointerstitial TGF- $\beta$  immunostaining, decreased serum TGF- $\beta$ , increased urinary TGF- $\beta$  type I, and increased venous-to-arterial TGF- $\beta$  gradients, indicating increased intrarenal TGF- $\beta$  expression.<sup>143,161,162</sup> Furthermore, in diabetic patients treated with ACE inhibitors, which are known to decrease intrarenal TGF- $\beta$  expression, a decrease in circulating TGF- $\beta$  levels correlated with, and predicted preservation of, GFR.<sup>163</sup>

In diabetes, there is abundant evidence that TGF- $\beta$  is an important mediator of the net matrix accumulation in the glomerular and tubulointerstitial compartments. It increases production of extracellular matrix (ECM), including collagens normally expressed in the glomerular ECM, such as type IV collagen, fibronectin, and laminin, as well as "scar" collagens (types I and III).<sup>164,165</sup> TGF- $\beta$  also inhibits ECM turnover, by downregulating matrix metalloproteinase (MMP)

expression<sup>166</sup> and stimulating the endogenous MMP inhibitors, the tissue inhibitors of metalloproteinase (TIMPs),<sup>167,168</sup> and protease inhibitors, especially plasminogen activator inhibitor-I (PAI-I).<sup>42,169</sup> TGF- $\beta$  has also been implicated in both glomerular and tubular hypertrophy.<sup>170–172</sup>

In vitro, studies in mesangial cells exposed to hyperglycemia have shown that increased type IV collagen synthesis is prevented by neutralizing TGF- $\beta$  antibodies. The importance of TGF- $\beta$  in the development and exacerbation of diabetic nephropathy is further demonstrated by in vivo studies that have interrupted production or action of TGF- $\beta$ . Interruption of the renin-angiotensin system with either ACE inhibitors or angiotensin receptor blockers (ARBs) inhibits TGF- $\beta$  expression in diabetes as well as possibly expression of type I and II TGF- $\beta$  receptors.<sup>173–175</sup> Hyperglycemia activates the PKC- $\beta$ isoform.<sup>176</sup> A specific inhibitor of PKC- $\beta$  (LY-333531) decreased TGF- $\beta$  expression and glomerular alterations in streptozotocin-induced diabetes in rats and in *db/db* mice.<sup>136,177</sup>

The most direct studies implicating TGF- $\beta$  in diabetic nephropathy are those that have utilized neutralizing anti-TGF-β antibodies. Studies in mice with streptozotocininduced diabetes have indicated that short-term treatment with neutralizing anti-TGF- $\beta$  antibodies decreased both hypertrophy and fibronectin, type IV collagen, and TGF-B expression,<sup>178</sup> while long-term treatment of *db/db* mice (a model of type II diabetes) prevented the fall in creatinine clearance and the increased glomerulosclerosis but did not alter development of proteinuria.179 Treatment with anti-TGF-β antibodies also partially reversed glomerular basement membrane thickening and mesangial expansion in established diabetic renal disease.<sup>180</sup> Administration of TGF-B type I antisense oligonucleotides have also been reported to prevent renal hypertrophy and matrix accumulation in a mouse model of diabetes.181

# **Connective Tissue Growth Factor**

CTGF is a fibrogenic growth factor implicated in the pathogenesis of diabetic nephropathy<sup>182</sup> whose renal expression is mediated at least in part by TGF- $\beta$  via a TGF- $\beta$  response element in the promoter.<sup>183</sup> As with TGF- $\beta$ , in vitro studies in cultured mesangial cells have indicated that hyperglycemia, mechanical stretch, and ROS can directly induce CTGF expression.<sup>141,184</sup> CTGF is a known inducer of ECM production and also modulates cell proliferation, survival, and adhesion. In this regard, recent studies have indicated that CTGF can bind directly to molecules within the ECM and serve as an adhesion molecule.<sup>182,185,186</sup> CTGF also induces thrombospondin 1 secretion, which cleaves the latent TGF- $\beta$ precursor to release the active molecule, thereby activating a positive feedback loop.

#### **Bone Morphogenetic Proteins**

BMPs are TGF- $\beta$  superfamily members that interact with specific cell surface receptors and activate signaling pathways similar to but distinct from those of TGF- $\beta$ . BMP-7 has been implicated in nephrogenesis and is expressed in adult mammalian kidney in the outer medulla, renal artery adventitia, and renal pelvis. BMP-2 expression has also been reported in kidney tubules.<sup>187–190</sup> BMP-7 has been reported to be a

cytoprotective and antifibrotic factor that may antagonize the profibrotic effects of TGF- $\beta$ . BMPs are secreted in an active form, and regulation occurs in large part by interaction with a variety of specific extracellular antagonists, such as chordin, noggin, gremlin, and DAN. Of these, gremlin has been found to be expressed in diabetic kidneys in vivo, as well as in mesangial cells exposed to high glucose,<sup>191</sup> while BMP-7 expression actually decreases in diabetic kidneys.<sup>192</sup>

# Vascular Endothelial Growth Factor

VEGF is thought to play a key role in both vasculogenesis (growth of new blood vessels) and angiogenesis (growth of existing blood vessels) in the developing kidney,<sup>193</sup> and there is increasing evidence for an important role in development of diabetic nephropathy.<sup>194</sup> VEGF elicits biologic activity through two cell surface receptors (FLK-1 and FLT). Both receptors, as well as VEGF itself, are expressed in normal kidneys. VEGF expression is a well known hypoxia-regulated gene (through a HIF-1 $\alpha$  promoter response element), but both angiotensin II and TGF-B also can induce VEGF expression. VEGF expression has been identified in podocytes, distal tubules, and collecting ducts. Glomerular VEGF expression increases as an early response to diabetes, especially within podocytes, and has been implicated in both glomerular and total kidney hypertrophy, as well as hyperfiltration.<sup>195</sup> In addition to effects on vascular permeability, VEGF also mediates recruitment and activation of macrophages.<sup>196</sup> Increased podocyte VEGF expression is mediated at least in part by AGE activation of RAGE, since RAGE blockade inhibited podocyte VEGF expression and glomerular macrophage infiltration, with a longterm effect to decrease proteinuria and glomerulosclerosis in *db/db* mice.<sup>91</sup> With the onset of nephropathic changes, there is increased tubular expression, as well as increased glomerular expression. Of interest, TGF-β directly stimulates VEGF production.197

# Endothelin

The endothelins comprise a family of three structurally similar peptides, ET-1, ET-2, and ET-3, which activate two receptors, ET<sub>A</sub> and ET<sub>B</sub>. The endothelin precursors, pre-pro-endothelins, are cleaved by one of two endopeptidases to give active ligand. ET-1 in particular is the most potent vasoconstrictor yet described. ET<sub>A</sub> receptors mediate this vasoconstriction, as well as cell proliferation, while ET<sub>B</sub> receptors mediate NO release and vasodilation. In the kidney, endothelins function as paracrine and autocrine factors to mediate renal hemodynamics, tubule salt and water handling, cell proliferation, and matrix formation.<sup>198</sup> All three endothelins and both receptor subtypes are expressed in the normal mammalian kidney. ET-1 has been shown to be produced by cultured cells of all three glomerular cell types as well as proximal tubule<sup>199-202</sup> and is found throughout the nephron. Both receptor subtypes are found in glomerulus and in both kidney cortex and medulla, with ET<sub>B</sub> more predominantly expressed.

In streptozotocin-induced diabetes, there is evidence for increased glomerular ET-1 expression,<sup>4</sup> although other investigators have reported increased,<sup>203</sup> reduced,<sup>204</sup> or unchanged<sup>205</sup> total renal endothelin expression. It is possible that differences in the duration and degree of hyperglycemia may underlie some of the discrepant results. The evidence for an important role for endothelin in the development of diabetic nephropathy has been predominantly based on the response to agents that inhibit endothelin action. In this regard, endothelin receptor antagonists now include both nonselective antagonists (bosentan, PD/142893, TAJ-044, LU/224332) and those that are selective for ET<sub>A</sub> (BQ-123, BMS-193884, LU/125252, PD/156707). In the streptozotocin model of type I diabetes in rats, long-term treatment with either nonselective or ET<sub>A</sub>-specific ET receptor antagonists markedly reduced ECM production but only partially decreased proteinuria.<sup>206-209</sup> In addition, in mesangial cells in culture, neutralizing anti-endothelin antibodies increased active MMP-2, a metalloproteinase involved in matrix turnover.<sup>210</sup>

#### Nitric Oxide

NO, originally identified as "endothelial derived relaxing factor," is a ubiquitously utilized signaling molecule that regulates a wide variety of organ and cellular functions, including renal hemodynamics and salt and water regulation. NO is generated enzymatically from the amino acid L-arginine by one of three specific nitric oxide synthases: "neuronal" (NOS1 or nNOS), "endothelial" (NOS3 or eNOS), or "inducible" (NOS2 or iNOS). Many, but not all, of the intracellular signaling pathways activated by NO are mediated by activation of guanylate cyclase, which increases intracellular levels of cyclic guanosine monophosphate. All three NOS isoforms are present in the mammalian kidney, with both distinct and overlapping patterns of distribution. In normal kidney, NOS1 is highly expressed in the macula densa and glomerular parietal epithelium, as well as in the medulla in the collecting ducts and thin ascending limb. NOS2 is expressed in the endothelium of glomerular capillaries and afferent and efferent arterioles, renal arteries, and descending vasa recta, as well as in proximal tubule and medullary thick ascending limb. NOS3 is also expressed in tubules, including S3 segments of the proximal tubule, medullary thick ascending limb, and collecting duct, in addition to arcuate arteries and vasa recta bundles.<sup>211</sup>

Both in vivo and in vitro studies have provided conflicting results regarding NOS expression and NO production in diabetes. Most but not all studies in cultured renal cells have determined decreased NO production in response to hyperglycemia.<sup>212,213</sup> In experimental diabetes, a number of studies that have examined early diabetes have suggested increased NO production, while later in the course of the disease decreased production may predominate. However, not all studies have shown this pattern, and the reader is referred to an extensive recent review of the subject.<sup>212</sup> In some studies, administration of nonspecific NOS inhibitors induced more severe renal vasoconstriction and decreases in GFR in early diabetic rats than control rats,<sup>214,215</sup> suggesting that NO may be a mediator of the hyperfiltration seen at this point in the disease; however, other studies have failed to detect such differences.<sup>216</sup> Specific inhibitors of NOS1 normalized GFR in hyperfiltering diabetic rats.<sup>217</sup>

### Renin-Angiotensin-Aldosterone System

There is increasing evidence that in addition to the systemic renin-angiotensin-aldosterone system (RAAS), there are locally regulated RAAS in a number of organs, notably the kidney. For the systemic RAAS, renin, produced in the kidney juxtaglomerular cells, cleaves hepatically derived angiotensinogen to form the decapeptide angiotensin I, which is then further metabolized by endothelial ACE to the active octapeptide, angiotensin II. Angiotensin II's actions are mediated through two subtypes of seven-transmembrane G proteincoupled receptors, AT1 and AT2. AT1 activation can increase phospholipase C and PKC activity and inhibit adenylate cvclase and leads to vasoconstriction and increased renal tubule solute transport. In addition, angiotensin II, acting through AT1 receptors, stimulates the adrenal glomerulosa cells to synthesize and secrete aldosterone, which stimulates salt reabsorption in the distal nephron. AT2 receptor signaling is mediated at least in part by activation of guanylate cyclase. tyrosine phosphatases, and potassium channels. Although the biologic import of AT2 receptor signaling is still an area of active investigation, the AT2-mediated responses largely serve to antagonize or counteract those mediated by AT1.

In addition to the systemic RAAS, the kidney contains all components of a local organ RAAS, notably renin production not only in the juxtaglomerular cells but also in proximal tubules and connecting segment/collecting duct epithelial cells, with angiotensinogen production in proximal tubule, ACE expression in glomeruli and tubules, and AT1 and AT2 distribution in glomeruli and along the nephron. There is overwhelming experimental and clinical evidence linking the RAAS with development and progression of diabetic nephropathy.

Inhibition of RAAS with either ACE inhibitors or ARBs decreases proteinuria and retards progression of glomerular and tubulointerstitial injury in both experimental animals and patients with type I or type II diabetes.<sup>218–222</sup> Although the initial experimental studies suggested that these drugs produced their ameliorative effects predominantly through decreasing the increased intraglomerular capillary pressures induced by the hyperfiltration of diabetes,<sup>218</sup> numerous studies have subsequently indicated that angiotensin II also exerts direct proinflammatory and profibrotic effects in addition to its hemodynamic effects.

In cultured mesangial cells, hyperglycemia induces increased renin expression and angiotensin II production in mesangial cells<sup>120,223</sup> as well as AT2 expression and increased renin and angiotensinogen expression in proximal tubule cells.<sup>224,225</sup> In experimental models of diabetes, there are conflicting reports about alterations of intrarenal expression of components of the RAAS.<sup>226</sup> Although systemic renin is generally normal or suppressed, circulating levels of angiotensin II may be elevated.<sup>225</sup> Some studies showed increases in local glomerular RAAS,<sup>226</sup> while other studies have shown only increased proximal tubule renin expression.<sup>225</sup> Both glomerular and proximal tubule AT1 receptor expression are decreased in streptozotocin-induced diabetes in rats,<sup>227,228</sup> and AT2 receptor expression is decreased in kidney homogenates.<sup>229</sup>

Although evidence for alterations in intrarenal RAAS remains controversial, there is clear-cut evidence in numerous experimental and clinical studies that interruption of the RAAS can limit development and progression of diabetic nephropathy. Both ACEs <sup>219,230–234</sup> and AT1 receptor blockers (ARBs)<sup>221,222,231,232,235,236</sup> have been shown to be effective. ACE inhibitors inhibit the major enzyme involved in converting angiotensin I to angiotensin II; however, there are other, non-ACE-mediated pathways for angiotensin II production, so ACE inhibitors may not completely prevent angiotensin II
production. In addition to inhibition of angiotensin II, ACE inhibitors also inhibit degradation of the vasodilator bradykinin, which may contribute to the observed antihypertensive and renoprotective effects. Because ARBs block the AT1 receptor specifically, AT2-mediated responses are unaffected. Given that AT2 may activate nitric oxide synthase and bradykinin production in the kidney,<sup>237</sup> a component of ARB renoprotection may be mediated through AT2-responsive pathways.

#### Insulin-like Growth Factor-1

The biologic effects of insulin-like growth factor-1 (IGF-1) are mediated by the IGF-1 receptor, which is a membraneassociated receptor with intrinsic tyrosine kinase activity that is highly homologous to the insulin receptor. In addition, IGF-1 activity is modulated by its binding to specific IGF binding proteins (IGFBPs), with six high-affinity binding proteins (IGFBP-1 through IGFBP-6) and several low-affinity IGFBPrelated peptides (IGFBPrP). IGFBPs prolong the half-life of IGF-1 in the circulation and have been shown to exert both stimulatory and inhibitory actions at the tissue sites of action. IGF-1, its receptor, and all six IGFBPs, as well as growth hormone receptor and binding protein and IGF-II and its receptor (the mannose 6-phosphate receptor), are all expressed in mammalian kidney. In the normal adult kidney, IGF-1 expression has been localized to the distal nephron and collecting duct.238

In experimental diabetic nephropathy, increased intrarenal IGF-1 expression has been proposed to be a mediator of both the hypertrophic response and increased glomerular matrix production. Poor diabetic control has been shown to decrease IGF-1 synthesis by the liver, and the resulting decrease in serum IGF-1 secondarily induces growth hormone hypersecretion, which in turn stimulates local IGF-1 synthesis in nonhepatic tissues, including the kidney. The long-acting somatostatin analogs octreotid and lanreotide have been shown to inhibit renal IGF-1 accumulation and early diabetic renal hypertrophy. Studies of GFR/GHB knockout mice, as well as transgenic mice overexpressing growth hormone antagonists (single amino acid alterations in the third  $\alpha$  helix of GH), have indicated that with induction of experimental diabetes, these mice are protected against diabetes-related renal changes, with no alterations in glycemic control from wild-type littermates. There has also been a recent suggestion that IGF-1 may increase glomerular hypertrophy by stimulating VEGF production.<sup>239,240</sup>

## **Epidermal Growth Factor**

There is increased epidermal growth factor (EGF) receptor expression in the diabetic kidney.<sup>241</sup> Although alterations in EGF expression during renal hypertrophy have been controversial, its localized distal expression makes it a less likely candidate.<sup>242</sup> However, heparin-binding EGF-like growth factor (HB-EGF) is expressed in the glomerulus (mesangial cells and podocytes), proximal tubules, thick ascending limb, and collecting system, and HB-EGF expression increases in the diabetic kidney.<sup>243</sup> Furthermore, HB-EGF is an immediate early gene that increases in response to cell stress, including ROS.<sup>244</sup> It also remains possible that other EGFR ligands, especially TGF- $\alpha$ , may participate in renal hypertrophy.

## **Genetic Factors**

At present, it is impossible to predict which patients will develop diabetic nephropathy. Although poor glycemic and blood pressure control undoubtedly contribute, an individual patient may or may not develop nephropathy even after many years of hypertension and hyperglycemia. With type I diabetes, a cumulative incidence of 40% to 50% of patients will have evidence of renal involvement, which plateaus at around 15 years' duration of diabetes. The timing is often less precise in type II diabetes, since onset of diabetes is usually less accurately noted and the patient population is older, with other comorbid conditions that may predispose to renal disease. Another indication that other, presumably genetic factors are involved in predisposition to nephropathy is that the risk for other microvascular complications, notably retinopathy, continues to rise with increasing duration of diabetes.<sup>245</sup>

There is evidence for familial clustering of both type I and type II diabetes. A number of studies have indicated that there is a familial aggregation in type I diabetes.<sup>246–248</sup> Type I diabetics with siblings with diabetic nephropathy have a greater than 70% lifetime risk for developing diabetic nephropathy. There also appears to be a hereditary predisposition to development of diabetic nephropathy in patients with type II diabetes. In a defined population of patients with type II diabetes, the Pima Indians, there is evidence of genetic factors, since proteinuria develops in 14% of offspring of nonproteinuric parents, while it develops in 46% of diabetics whose parents were both diabetic.<sup>249</sup> Similar findings have also been reported in other defined populations of type II diabetics.<sup>250–253</sup>

Parental hypertension is known to increase the risk for nephropathy in both type I and type II diabetic offspring.<sup>254,255</sup> There is no definite correlation between intrauterine growth or birth weight and ultimate development of diabetic nephropathy.<sup>256</sup> However, to date no studies have examined glomerular number and predisposition to diabetic nephropathy, as has recently been reported for predisposition to hypertension.<sup>257</sup> It is also possible that there are separate genes predisposing to diabetes and nephropathy, since nondiabetic renal disease also displays familial aggregation.<sup>258</sup>

Modifier genes have been proposed to be important for the development of diabetic nephropathy, the rate of progression of the injury (from microalbuminuria to ESRD), or both. Diabetic nephropathy is likely to be a polygenic disease. It is almost certain that the predisposition to development and/or progression of diabetic nephropathy represents a polygenic condition, and a variety of candidate genes have been suggested based on experimental models and/or gene linkage analysis.

A number of candidate genes have been tested for association with development of diabetic nephropathy. Numerous studies have examined possible association with polymorphisms of components of the renin-angiotensin system. A problem with all of these studies is that several genes are most likely involved in predisposition to development of diabetic nephropathy so the assumptions of simple mendelian genetics do not apply. The complexity is illustrated by examination of various genetic linkage analyses that have provided conflicting results, which may be explained in part by genetic heterogeneity and relatively small number of cases examined in an individual study. In this regard, an association with ACE insertion/deletion (D) polymorphism has been reported with increased incidence with the D allele, which predisposes to increased levels of ACE. This polymorphism has been associated with development or severity of a variety of nondiabetic renal diseases,<sup>259–269</sup> although negative studies have also been reported.<sup>270–274</sup>

The angiotensinogen polymorphism M235T, associated with hypertension, has also been associated with diabetic nephropathy in some,<sup>262,270,275–277</sup> but not all,<sup>267,272,273,276</sup> studies. There have also been studies for<sup>272,278,279</sup> and against<sup>263,270,273</sup> association with the angiotensin AT1 receptor. There is also a report of a polymorphism in the prorenin gene that is associated with development of diabetic nephropathy.<sup>280</sup>

Other candidate genes have also been suggested based on their suggested role in pathogenesis of diabetic nephropathy and/or by linkage studies. Aldose reductase is the first and rate-limiting enzyme of the polyol pathway and converts glucose to sorbitol in an NADPH-dependent reaction. There is increased aldose reductase expression in patients with diabetic nephropathy and other microvascular complications,<sup>69</sup> and some, but not all, studies have indicated increased propensity for development of diabetic nephropathy in patients with polymorphisms of the aldose reductase gene that predispose to increased expression.<sup>281,282</sup>

Endothelial dysfunction is present in diabetes and is associated with impaired vascular NO synthesis. Linkage studies suggesting an association of polymorphisms in eNOS with nephropathy has been reported in Pima Indians with type II diabetes.<sup>283</sup> There have subsequently been some studies in other populations that have implicated eNOS polymorphisms that may lead to decreased expression and/or activity, although not all studies have been confirmatory.<sup>284–289</sup>

It has also been suggested that genetic abnormalities in lipid metabolism may underlie a predisposition to development of diabetic nephropathy. ApoE plays an important role in the metabolism of lipids and lipoproteins. It is found in chylomicrons, chylomicron remnants, very-low-density lipoproteins (VLDLs), VLDL remnants, and a subfraction of the highdensity lipoproteins (HDLs). It acts as a ligand for their receptor-mediated catabolism through the low-density lipoprotein (LDL) receptor (ApoB100/E) and the ApoE receptor. Polymorphisms have been associated with altered plasma lipid values and have been associated with development of diabetic nephropathy in some patients as well as development and progression of atherosclerosis.<sup>290,291</sup>

A variety of other genes, including RAGE, Glut1, PAI-1, TGF- $\beta$ , B2 bradykinin receptor, paroxonase, the homocyteine metabolism related enzyme methylenetetrahydrofolate reductase, atrial natriuretic peptide, RANTES, manganese SOD, lipoprotein lipase, decorin, VEGF, and PPAR $\gamma$ , have also been linked in some but not all studies. Suffice it to say, the identity of the predisposing genes continues to be the subject of intensive current research, and our understanding of the involved genetic interactions will very likely increase dramatically in the next few years.

Genome-wide scans have recently been employed in the study of the genetic basis of diabetic nephropathy. These scans allow a comprehensive survey of the entire genome for chromosome regions that are linked to diabetic nephropathy. Recent scans in Pima Indians and in African Americans have identified susceptibility loci on chromosome 3q, 7,18q, and 20p.<sup>292–294</sup> In addition, there is recent evidence for a

susceptibility locus on chromosome 10 in both whites and African Americans for development of ESRD from diabetic nephropathy.<sup>9</sup>

### CELLULAR SITES OF INJURY IN DIABETIC NEPHROPATHY

### **Endothelial Cells**

Endothelial damage has been proposed as a common denominator of diabetic tissue injury in all target organs, including kidney, eye, nerve, and other micro- and macrovascular beds.<sup>295,296</sup> Endothelial cells may be particularly susceptible to the injurious effects of increased blood glucose because GLUT1, the major glucose transporter in endothelial cells,<sup>297</sup> provides a high-affinity uptake mechanism contributing to unregulated glucose uptake and intracellular hyperglycemia.<sup>298</sup> The precise mechanisms by which cellular hyperglycemia leads to endothelial damage are likely to involve increased mitochondrial ROS generation, by overwhelming the electron transport chain with substrate.<sup>299,300</sup>

Altered endothelial function contributes to enhanced atherosclerosis in large vessels. The factors contributing to accelerated atherosclerosis in diabetes mellitus undoubtedly include the associated dyslipidemia; however, other mechanisms also contribute to endothelial dysfunction. The endothelium is a major site of COX2 expression,<sup>301</sup> and normal endothelium primarily produces prostacyclin (PGI<sub>2</sub>), an inhibitor of platelet aggregation. Although hyperglycemia increases cyclooxygenase-2 (COX2) though PKC- $\beta$  activation, it shifts endothelial prostanoid production from PGI<sub>2</sub> to the prothombotic product thromboxane A<sub>2</sub>.<sup>302</sup> Reduced PGI<sub>2</sub> receptor (IP) activation results in enhanced atherosclerosis in mice<sup>303</sup>; however, it remains to be determined whether reduced IP receptor activation contributes to atherosclerosis in the setting of diabetes.

Hyperglycemia and PKC-B activation also increase eNOS expression<sup>302</sup>; however, in the diabetic this is accompanied not by increased NO production, but rather ROS production.<sup>304</sup> The contribution of eNOS to atherosclerosis is complex, but appears to depend on the tissue levels of tetrahydrobiopterin (BH4).<sup>304</sup> It has been hypothesized that BH4 serves as an intermediary for electron donation to the eNOS heme-bound oxygen coupled to NO production.<sup>305,306</sup> In the absence of BH4, electrons associated with the eNOS flavin moiety preferentially react with uncoupled oxygen, thereby contributing to ROS formation, promoting atherosclerosis.<sup>307</sup> The complexities of eNOS expression level with respect to atherosclerosis may underlie the apparent conflicting results obtained regarding increased atheroma formation seen in double transgenic ApoE-null mice either overexpressing eNOS308 or with genetic disruption of eNOS - independent of effects on blood pressure.<sup>309</sup> In the former studies, treatment of eNOS transgenic mice with BH4 reduced atherosclerotic plaque size,<sup>308</sup> consistent with a role for superoxide derived from eNOS. In pathologic circumstances, including diabetes, endothelial BH4 levels are reduced,<sup>310</sup> resulting in a switch of eNOS product from NO to the production of ROS, consistent with an increase in eNOS-derived oxidative injury in diabetes.

Dysfunctional endothelium in arterial resistance vessels also contributes to the development of hypertension.<sup>311–315</sup>

Impaired eNOS-dependent acetylcholine vasodilation has been demonstrated in patients with type II diabetes and is correlated with dyslipidemia and increased LDL levels.<sup>314,315</sup> Insulin resistance may contribute to endothelial dysfunction by downregulation of the phosphoinositide-3 kinase (PI3K)/ Akt pathway, reducing phosphorylation and impairing eNOSdependent NO production.<sup>316,317</sup> Hyperglycemia occurring in either type I or type II diabetes mellitus may also impair eNOS activity via enhanced O-linked glycosylation of this enzyme.<sup>68</sup> Increased endothelial-derived ROS formation in diabetes<sup>299</sup> also appears to contribute to increased blood pressure.<sup>52</sup>

Altered capillary endothelial function also occurs in diabetics. Hyperglycemia impairs both endothelial proliferation and angiogenesis in the chick chorioallantoic membrane model.<sup>318</sup> Vasodilator NOS activity is suppressed in the renal glomerular capillary endothelial cells by both type I and type II diabetes.<sup>319</sup> Increased ambient glucose concentration decreases generation of NO production in freshly isolated glomeruli.<sup>319</sup> Conversely, in early diabetes induced by streptozotocin in rats, glomerular capillary eNOS immunoreactivity is increased.<sup>320</sup> Taken together, these findings are consistent with the possibility that increased glomerular eNOS might serve as a source for superoxide production rather than NO.<sup>304,321,322</sup> Indeed, antioxidant treatment of afferent arterioles isolated from diabetic rabbits restores defective endothelium-dependent vasodilation.<sup>323</sup>

### **Mesangial Cells**

Mesangial expansion and nodular sclerosis are major histopathologic features of diabetic nephropathy.<sup>324,325</sup> This observation has focused attention on the mesangial cell as a target of injury in diabetes mellitus. The major collagen produced in diabetic nephropathy is type IV.<sup>326</sup> Diverse mechanisms contribute to increased mesangial production of collagen in diabetes.

In mesangial cells, hyperglycemia exerts similar intracellular events as described in endothelial and other vascular cells. GLUT1 is the major facilitative glucose transporter present in mesangial cells,<sup>31,327</sup> and mesangial cells express only low levels of the insulin-sensitive glucose transporter GLUT4. Overexpression of GLUT1 in cultured mesangial cells increases collagen synthesis in normal glucose medium,<sup>12</sup> consistent with an important role of increased intracellular glucose entry in the genesis of mesangial sclerosis.

Increased cell glucose provides substrate for the enzymes of the polyol pathway, including aldose reductase and sorbitol dehydrogenase, both of which have been identified in mesangial cells.<sup>328–330</sup> Administration of the aldose reductase inhibitor epalrestat to streptozotocin-induced diabetic rats prevented the development of renal dysfunction and mesangial expansion without affecting levels of blood glucose.<sup>74</sup> Similarly, administration of tolrestat to streptozotocininduced diabetic rats prevented glomerular hyperfiltration, mesangial cell hypocontractility, and reduced albuminuria.<sup>331</sup>

Advanced AGEs stimulate the production of collagen by mesangial cells by binding to their receptors, including RAGE and CD36. Mesangial cells exhibit specific binding of AGE-modified proteins, including albumin and collagen.<sup>96</sup> Binding of AGE to its mesangial receptors, including RAGE, stimulates cellular production of ROS<sup>332</sup> and STAT5-p21, which may lead to mesangial cell proliferation,<sup>333</sup> thereby contributing to

mesangial expansion in diabetic nephropathy. AGEs also activate pro-inflammatory pathways, including NF-κB and p42/44 MAPK in cultured mesangial cells<sup>105</sup> as well as podocytes.<sup>91</sup> Consequences of this include the induction of TGF-β and TGF-β type II receptor expression<sup>179</sup> with attendant increase in collagen synthesis by mesangial cells.<sup>154,334,335</sup> Treatment of cultured mesangial cells with AGE also induces the expression of ALK1, one of the type I receptor members for TGF-β family proteins.<sup>336</sup> Although ALK1 was originally described as an orphan receptor, recent reports show that ALK1 transduces TGF-β signals via Smad1.<sup>337</sup> Recent studies demonstrate Smad1 signaling activates transcription of the Col4a gene.<sup>336</sup> Importantly, induction of ALK1 is also demonstrated by immunostaining in glomeruli from diabetic kidneys.<sup>336</sup>

Diabetes is associated with increased expression and activity of mesangial extracellular response kinases, including ERK-5 (BMK1) and ERK-1/2, but not p38 in the glomeruli of OLETF rats at 52 weeks of age, which exhibit diabetic nephropathy.<sup>338</sup> In vitro, both glucose and raffinose caused rapid and significant activation of ERK-5 in cultured rat mesangial cells, suggesting that in tissue culture this may be a consequence of hypertonic stress rather than high glucose per se. The specific role of chronic hypertonicity imposed by hyperglycemia as a contributor to the cardiovascular complications of diabetes has not been rigorously explored.

## **Podocytes**

The glomerular epithelial cell, or podocyte, is increasingly recognized as a primary target for glomerular injury, including diabetic nephropathy. The podocyte has a cell body with numerous foot processes that interdigitate with adjacent foot processes arising from different podocyte cell bodies. The surface of the podocyte, like the basement membrane, is covered in negatively charged molecules, including the transmembrane molecule podocalyxin, which is attached to the cytoskeleton via adaptor molecules. The slit-diaphragms between the podocyte foot processes contain nephrin, among other molecules, that form a zipper-like structure. In both experimental and human diabetes, podocytes are abnormal, with broadened and effaced foot processes and abnormalities in expression of many of the podocyte-specific proteins, including nephrin.<sup>339–344</sup>

Since the remaining podocytes have minimal ability to proliferate after podocyte loss, it is thought that podocyte protein abnormalities and progressive cell loss345-348 may contribute to the development of proteinuria and ultimate glomerulosclerosis in both type I and type II diabetes. Podocytes are also a source of VEGF, which has been postulated to induce increased permeability and proteinuria.91 In a study of 16 Pima Indians with type II diabetes for an average duration of 13.3 years, the subsequent progression of microalbuminuria or conversion to microalbuminuria in the next 4 years was strongly correlated with the number of podocytes.<sup>345</sup> Whether this represented destruction due to diabetes or was genetically determined could not be determined, although a previous cross-sectional analysis in the same population had demonstrated decreased podocyte number with overt nephropathy.<sup>349</sup> Although similar findings have recently been observed in cross-sectional studies of whites with type II diabetes,348,346 proteinuria may correlate best with podocyte

density, which may reflect some combination of decrease in podocyte number and glomerular hypertrophy.<sup>346</sup> Decreased numbers of podocytes have also been reported in cross-sectional studies of type I diabetes,<sup>347,350</sup> and a longitudinal study in normotensive type I diabetics also found a correlation between podocyte loss and increase in proteinuria<sup>350</sup>

There is evidence that nephrin expression decreases with increased proteinuria in diabetic nephropathy,<sup>340</sup> but this observation may be an effect rather than a cause of podocyte dysfunction, since decreased nephrin expression is also observed in other nondiabetic proteinuric conditions. In experimental animal models, ROS, angiotensin II, PKC, hypertension, and AGEs have all been proposed to mediate the podocyte injury and alterations in podocyte nephrin expression.<sup>340</sup>

## **Hypertrophy**

In poorly controlled diabetes, there is increased renal mass, with both glomerular and tubular components increasing in size.<sup>351</sup> This growth is predominantly the result of hypertrophy, an increase in the size of existing cells, rather than hyperplasia, and an increase in cell number. Studies in cultured cells indicate that either high glucose or angiotensin II will induce hypertrophy of both glomerular and tubular cells, and TGF- $\beta$  has been suggested to mediate both angiotensin II and hyperglycemia-induced hypertrophy.<sup>170,178</sup>

When cells proliferate, they must progress from a quiescent state  $(G_0)$  through the cell cycle  $(G_1, S, G_2, M)$ . The cell cycle is regulated by cell cycle regulatory proteins (cyclins) that bind to and activate cyclin-dependent kinases (CDKs). Counterregulation of this cell cycle activation is provided by CDK inhibitors. There are two families of CDK inhibitors, the p21(Cip1) (p21)/p27(Kip1) (p27) family and the p15(Ink4B)/ 16(Ink4A) family.<sup>172,352</sup> Cells undergoing hypertrophy demonstrate cell cycle arrest in late G1 phase and fail to progress into the S phase. The G<sub>1</sub>-phase cyclins D and E activate CDK4/6 and CDK2, respectively, which leads to phosphorylation of the retinoblastoma protein (Rb). Although p16 interacts only with CDK4/6, p21 and p27 families inhibit almost all cyclin-CDK complexes, and both p21 and p27 have been implicated in renal hypertrophy in diabetes.<sup>353–357</sup> Although still an area of uncertainty, there is some experimental and clinical evidence linking renal hypertrophy with the subsequent development of diabetic nephropathy.358,359

## **Tubulo-interstitial Injury**

To the accumulated knowledge concerning mechanisms of glomerular injury must also be added the seminal insights of Risdon and colleagues<sup>360</sup> concerning the role of tubulointerstitial injury in the ultimate outcome of chronic renal injury, which was subsequently confirmed by others.<sup>361–365</sup> It has long been recognized that in general glomerular injury with greater proteinuria progresses faster to end-stage disease. In the MDRD study, patients with proteinuria greater than 3 g/24 hr had a mean decrease in GFR of 10 mL/min/yr, while those with less than 1 g/24 hr had a decrease of 3 mL/min/yr, and reduction in proteinuria independent of blood pressure reduction decreased the rate of decline of GFR.<sup>366</sup> Although diabetic nephropathy was traditionally considered a primarily glomerular disease, it is now widely accepted that the rate of deterioration of function correlates best with the degree of renal tubulo-interstitial fibrosis.367,368 There is increasing interest in the role of tubule epithelial cells, especially the proximal tubule, and interstitial myofibroblasts, in the initiation of fibrosis and ultimate development of end-stage disease. An intriguing hypothesis to explain progressive tubulointerstitial injury that accompanies glomerular damage is that the ensuing proteinuria is not only a marker of glomerular injury but is itself an active participant in the tubular destruction. This hypothesis has been stated most explicitly by Remuzzi and colleagues.<sup>369,370</sup> Studies utilizing proximal tubule cells in culture indicate that albumin activates tubular expression of chemokines, including MCP-1 and RANTES, through an NF-KB-dependent mechanism.<sup>371-373</sup> MCP-1 has been proposed to induce tubulo-interstitial fibrosis through recruitment and activation of macrophages, which then synthesize TGF-B. In this regard, studies have indicated that blocking MCP-1 attenuates interstitial nephritis.<sup>374</sup> Macrophages can also induce tubular apoptosis. It is also important to note that in addition to intrinsic renal cells, both tubular cells and glomerular mesangial cells, MCP-1 is also produced by macrophages, such that initiating tubulo-interstitial damage can lead to self-perpetuating propagation of the injury. In vivo studies with the puromycin model of proteinuria have also demonstrated increased tubular expression of osteopontin, which can also mediate macrophage recruitment.375 In addition to increased chemokine expression, proteinuria has also been shown to increase tubule expression of ET-1.202

Recent studies have suggested that in the normal kidney there may be 1-2 g or more of albumin filtered by the glomerulus.<sup>376</sup> With the recent elucidation of the mechanisms of proximal tubule endocytosis, involving megalin and cubulin,<sup>377,378</sup> there is increasing awareness that the proximal tubule's capacity for protein reabsorption is so great that urinary protein levels may significantly underestimate the filtered protein load. Therefore, proteinuria may induce direct injury to intracellular lysomal pathways, and lysosomal rupture may produced direct tubule damage.<sup>379</sup> Normally albumin acts as an antioxidant, but it has been suggested that it may bring along tubule-activating substances such as LPS, as well as free fatty acids, prostaglandins, heavy metals, and steroid-based hormones.<sup>380</sup> It has also been suggested that oxidative injury may occur secondary to increased reabsorption of complexed iron and transferrin, with intracellular dissociation and activation-increased production of free radicals through the Fenton reaction.<sup>381</sup> Finally there may be increased presentation of lipid-bound chemotactic factors<sup>382</sup> and growth factors such as IGF-1, TGF-B, and hepatic growth factor (HGF).<sup>383,384</sup> Cell culture studies have usually utilized delipidated albumin to demonstrate proximal tubule cell injury and activation, although similar observations have been made with transferrin or IgG. However, the observation that conditions that induce relatively selective albuminuria, such as is seen with minimal change disease, rarely induce significant tubulo-interstitial injury and progressive decline in renal function, even in the face of significant proteinuria. Therefore, in vivo, it may be filtered proteins other than or in addition to albumin that induce the observed tubule dysfunction. One potential filtered protein is complement. In nonselective proteinuria, there is C3 and C5b-9 staining in the proximal tubule brush border membranes and intratubular complement activation, leading to sublytic attack and subsequent activation of proximal tubules.<sup>369,375,385,386</sup> It should also be noted that once tubule cells are activated, they can also activate the alternative pathway of complement activation.<sup>387</sup>

#### **CLINICAL MANIFESTATIONS**

Although a minority of patients presenting with diabetic nephropathy have type I diabetes, the natural history of the disease is best exemplified in this population; the onset of diabetes is more clearly definable in type I diabetes, and patients do not usually present initially with other co-morbid conditions commonly associated with type II diabetes (including essential hypertension, atherosclerotic cardiovascular disease, and obesity) that may independently produce chronic renal injury. Furthermore, the relatively advanced age of onset of type II diabetes and the increased cardiovascular mortality in this population may preclude development of all manifestations of diabetic nephropathy. In this regard, definitive descriptions of diabetic nephropathy in type II diabetes have been obtained in studies of Pima Indians, who exhibit a strong genetic predisposition to development of type II diabetes around the fourth decade of life, and who develop diabetic nephropathy that progresses in a similar pattern as is seen in type I diabetics.

In type I diabetes, it is possible to characterize the progression of diabetic nephropathy as occurring in four relatively distinct stages. In stage I, which commences soon after the overt manifestations of diabetes, the kidney undergoes hypertrophy compared to age- and weight-matched normal controls. Both the glomeruli and tubules are hypertrophied. In addition, there is up to 50% increase in renal blood flow and glomerular filtration rate in the initial phase of diabetic nephropathy. There is no detectable macroalbuminuria, but transient microalbuminuria (measurable by radioimmunoassay, enzyme-linked immunosorbent assay, or special dipsticks) is occasionally evident, especially induced by stress, physical exertion, intercurrent illness, or poor glycemic control. In type I diabetes, hypertension is usually absent in the early stages of the disease but is often present in type II diabetes at the time of presentation.

Approximately 30% of type I diabetic patients progress to stage II, characterized by fixed microalbuminuria of at least 30 mg/24 hr. The median duration of diabetes for progression to this clinically silent stage is 10 years. Although GFR either remains elevated or is within the normal range, abnormal renal histology is present, characterized by glomerular and tubular basement membrane thickening and inception of mesangial matrix expansion. The risk for developing microalbuminuria is greatly increased if other microvascular insults co-exist, and in particular, the presence of proliferative retinopathy increases the likelihood that detected microalbuminuria reflects the presence of diabetic nephropathy. In this regard, the predictive value of microalbuminuria for diabetic nephropathy is greater in type I than type II diabetics because of the high incidence in the latter population of hypertension, which may itself lead to microalbuminuria.

The great majority of patients who present with fixed microalbuminuria progress to overt nephropathy (stage III) within 5–7 years. In this stage, patients manifest overt proteinuria (>500 mg of total protein/24 hr) and macro-

albuminuria (>200 mg/24 hr), which is detectable by a routine urinary protein dipstick. Blood pressure begins to rise in type I patients with stage III nephropathy, and in type II patients, who frequently have preexistent hypertension, blood pressure control becomes more problematic.

Diabetic nephropathy is characterized by progressive glomerular basement membrane thickening and mesangial matrix expansion, which progresses to glomerular sclerosis. Renal biopsy reveals diffuse or nodular (Kimmelsteil-Wilson) glomerulosclerosis. Although the Kimmelsteil-Wilson lesion is considered pathognomonic for advanced diabetic nephropathy, only approximately 25% of patients manifest this lesion. A nodular pattern of glomerulopathy mimicking Kimmelsteil-Wilson lesions may also be seen in light-chain nephropathy. Older descriptions of "diabetic nephropathy without overt hyperglycemia" that were based solely on light microscopic analysis may have actually represented light-chain disease. Nodular glomerular lesions can also be observed in amyloidosis and membranoproliferative glomerulonephritis type II. An additional pathognomonic finding of diabetic nephropathy is the co-existence of both afferent and efferent arteriolar hyalinosis, unlike the arteriolar lesion of essential hypertension, which is restricted to the afferent arteriole. In overt diabetic nephropathy, there is also progressive tubulointerstitial fibrosis, which correlates most closely with the decline in renal function in a number of progressive renal diseases, including diabetic nephropathy. The GFR begins to decline from the normal range, but serum creatinine may remain in the normal range.

In stage IV, or advanced diabetic nephropathy, there is relentless decline in renal function to end-stage disease. The patients have nephrotic range proteinuria (>3.5 g/24 hr) and systemic hypertension, but no evidence of inflammatory glomerular (red blood cell casts) or tubulointerstitial (white blood cells [WBCs], WBC casts) lesions. The kidneys may be inappropriately large for the observed degree of renal insufficiency.

Although patients with type II diabetes also tend to have elevated GFR during their early presentation, the GFR increases are usually not as pronounced as are seen with insulin-dependent diabetes mellitus. In addition, there is a greater incidence of hypertension and microalbuminuria present at the time of detection of diabetes, with as many as 10% to 25% of patients presenting with these abnormalities. It is still unclear whether this difference in presentation represents a fundamental difference in the pathophysiology of the two conditions or, more likely, is due to the fact that type II patients may have unrecognized diabetes for many years because they are not ketosis prone and may have other associated conditions predisposing to renal abnormalities.

## **OTHER RENAL COMPLICATIONS**

In addition to the clinical presentation of diabetic nephropathy described above, there are other kidney and genitourinary abnormalities that can ensue in the diabetic patient. Type IV (hyporeninemic, hypoaldosteronemic) metabolic acidosis with hyperkalemia is commonly encountered in patients with diabetes and mild to moderate renal insufficiency. These patients should be carefully monitored for development of severe hyperkalemia in response to volume depletion or after inception of drugs that interfere with the renin-angiotensin system, such as ACE inhibitors, AT1 receptor blockers,  $\beta$ -adrenergic blockers, nonsteroidal anti-inflammatory agents, heparin, or potassium-sparing diuretics.

Patients with diabetes have an increased incidence of bacterial and fungal infections of the genitourinary tract. In addition to lower urinary tract infections, there is an increased risk for pyelonephritis and intrarenal and perinephric abscess formation.

Unilateral or bilateral renal artery stenosis is more frequent in the type II diabetic population than in age-matched nondiabetic individuals and should be considered if a diabetic patient manifests intractable hypertension or a rapidly rising serum creatinine immediately after initiation of therapy with an ACE inhibitor or AT1 receptor blockers. Other causes of acute deterioration of renal function include papillary necrosis, with ureteral obstruction secondary to sloughing a papilla, obstructive uropathy due to bladder dysfunction resulting from autonomic neuropathy, and contrast mediainduced acute tubular necrosis. In addition, diabetics may develop prerenal azotemia or acute tubular necrosis as a result of congestive heart failure or of volume depletion secondary to vomiting induced by gastroparesis or diarrhea due to autonomic neuropathy.

#### PREVENTION OF DIABETIC NEPHROPATHY

As mentioned above, studies have convincingly demonstrated that tight glycemic control significantly lessens but does not completely eliminate the incidence of diabetic nephropathy. Furthermore, in the DCCT, the incidence of clinically significant hypoglycemic episodes was increased threefold in the patients receiving intensive insulin therapy. Although the role of systemic hypertension as a pathogenic factor in development of diabetic nephropathy remains unresolved, it is well established to be the most important single risk factor in its progression, and blood pressure levels should be lowered to levels below what is considered to be the upper levels of normal pressure in the nondiabetic population. There is also evidence that smoking and elevated cholesterol may be predisposing factors in the development of diabetic nephropathy in type II diabetics.

#### TREATMENT OF LATENT (STAGE II) AND OVERT (STAGE III) DIABETIC NEPHROPATHY

Although the Stockholm Diabetes Intervention Study and the DCCT demonstrated that strict glycemic control was effective in preventing development of fixed microalbuminuria, subgroup analysis of the DCCT patients who initially presented with microalbuminuria, as well as a subsequent study by the Microalbuminuria Collaborative Study Group, has determined that tight control of type I patients may not prevent progression to macroalbuminuria, although it does prevent other microvascular complications, such as retinopathy and peripheral neuropathy. There is increasing evidence that better glycemic control will slow the progression of nephropathy in type II diabetics. It is clear that optimal blood pressure control will retard progression of diabetic nephropathy. Studies have determined that interfering with the renin-angiotensin system by administration of either ACE inhibitors or AT1 receptor blockers has additional benefit beyond lowering systemic blood pressure to retard progression in both type I and type II patients. Conversely, there is evidence that dihydropyridine calcium channel blockers may be less effective or even detrimental in preventing progression of diabetic nephropathy. The underlying pathophysiologic explanation may relate to the ability of ACE inhibitors and AT1 receptor blockers to lower intraglomerular capillary pressure by decreasing efferent arteriolar pressure while dihydropyridine calcium channel blockers increase intraglomerular capillary pressure by inducing selective afferent arteriolar vasodilatation.

When administering ACE inhibitors or AT1 receptor blockers to patients with diabetic nephropathy, both serum potassium and creatinine should be monitored closely in the first week after initiation, given the associated co-morbid conditions of type IV RTA and renal artery stenosis. If blood pressure control is not achieved with these agents, addition of diuretics and other antihypertensive agents, including cardioselective  $\beta$  blockers,  $\alpha$  blockers, and nonhydropyridine calcium channel blockers can be added. As mentioned previously, smoking cessation and antihyperlipidemic medication to patients with documented lipid abnormalities should be encouraged. Judicious restriction of dietary protein (to 0.8 g/kg of ideal body weight/day) is recommended by the American Diabetes Association. Although there are some data suggesting that further dietary protein restriction may retard progression of diabetic nephropathy, such an intervention must also take into account the individual nutritional carbohydrate and lipid requirements of the patient.

Efficacy of treatment can be determined by monitoring albuminuria and/or total proteinuria. For patients with deterioration of renal function, GFR determined by creatinine clearance and/or plots of 1/sCr are effective indicators of whether interventions are affecting the rate of progression of the nephropathy.

## **Renal Replacement Therapy**

Currently, over 80% of the patients with end-stage diabetic nephropathy receive dialysis as their modality of renal replacement therapy, with 5.7 times as many of these patients receiving hemodialysis compared with peritoneal dialysis. Because of the associated macrovascular complications (cardiovascular, cerebrovascular, peripheral vascular) and the increased risk for infection, the survival of diabetic patients who receive either type of dialysis is lower than that of the nondiabetic dialysis population, with a mortality rate that is 1.5 to 2.0 times that of nondiabetic patients, and the 5-year survival rate of diabetic patients on maintenance dialysis is less than 20%. The survival rate of diabetic patients is slightly worse on peritoneal dialysis than on hemodialysis. It is not established whether this difference is a consequence of the therapy itself (dialytic adequacy may not be as easily obtained in larger patients, and systemic absorption of the high glucose solutions used in peritoneal dialysis may lead to poorer glycemic control and accelerate microvascular and/or macrovascular complications), or whether it is a reflection of the patients who may be more likely to be initiated on peritoneal dialysis (i.e., those patients whose associated vascular

complications preclude hemodialysis). In general, the treatment of a diabetic patient nearing ESRD is similar to that of the nondiabetic patient. The patient should be under the care of a nephrologist and planning initiated for the modality of dialysis. Although dialysis is usually initiated when GFR declines to approximately 10 to 15 mL/min, in diabetic patients, early initiation of dialysis is sometimes necessary when either volume-dependent hypertension or hyperkalemia are not manageable by nondialytic therapy or when uremia, combined with gastroparesis, leads to anorexia and malnutrition and/or uncontrollable recurrent emesis.

Approximately 25% of the renal transplantations performed in the United States are in diabetic patients. The vast majority (>90%) are type I diabetics, due to their younger age and decreased associated macrovascular co-morbidity compared with type II patients. The long-term survival and quality of life after transplantation is generally superior to that seen with dialytic therapy. However, the other microvascular complications (retinopathy, neuropathy) are not improved by renal transplantation alone. The advent of pancreas and combined kidney-pancreas transplantation has been shown to improve significantly the quality of life of patients with diabetic nephropathy, by improving autonomic neuropathy, either retarding or possibly correcting retinopathy, and avoiding the potential complications of insulin administration. However, all transplantation options remain limited by organ availability.

Hopefully, through the identification of those molecular disturbances most relevant to the genesis of diabetic nephropathy, more specific targeted pharmaceutical interventions can forestall the progressive decline to ESRD in the future.

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## Chapter 23

## Pathogenesis of HIV-Associated Nephropathy

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In 1984, 3 years after the first reported cases of acquired immunodeficiency syndrome (AIDS), clinicians reported the occurrence of a renal syndrome characterized by proteinuria and progressive renal failure. The most common pathologic abnormality in these patients was focal segmental glomerulosclerosis.<sup>1</sup> Since this original description of human immunodeficiency virus (HIV)-associated nephropathy (HIVAN), this disease has become recognized as the most common cause of chronic renal failure in HIV-1 seropositive patients and has become the third most common cause of end-stage renal disease (ESRD) in blacks aged 20 through 64. Despite advances in the treatment of patients with HIV/ AIDS,<sup>2</sup> the incidence of HIVAN has not decreased even with the advent of highly active antiretroviral treatment (HAART).<sup>3</sup> However, major advances have been made in our understanding of the pathogenesis of HIVAN.

### HISTOPATHOLOGIC ABNORMALITIES IN HIVAN

Because nearly 40% of HIV-seropositive patients suspected to have HIVAN by clinical criteria will have other renal diseases found at biopsy, renal biopsy remains necessary to establish the diagnosis of HIVAN. Histopathologic abnormalities in HIVAN affect nearly every region of the kidney, involving glomeruli, tubules, and interstitium. Typical glomerular histopathologic findings in HIVAN include collapsing focal glomerulosclerosis, which may be segmental (FSGS) or global in distribution. Most commonly, the glomerulosclerosis is the collapsing variant, with retraction of the glomerular basement membrane resulting in occlusion of capillary tufts. The podocytes overlying the collapsing glomerulus are usually proliferative and may form a "pseudocrescent" surrounding the glomerulus that may fill the Bowman space (Fig. 23-1A).<sup>4-6</sup> Within glomeruli, endothelial tubuloreticular inclusions (TRIs) had previously been reported to be present in more than 90% of glomeruli. However, since the advent of HAART, the proportion of HIVAN biopsy specimens containing TRIs has decreased. Because the presence of TRIs is thought to be induced by high systemic levels of interferon, it is likely that the decreased prevalence of TRIs in HIVAN reflects lower levels of interferon when HIV-1 replication is suppressed by HAART.7 Immunofluorescence microscopy in HIVAN is generally nonspecific, with varying degrees of positivity for IgG, IgM, and IgA as well as complement in glomeruli.<sup>5</sup>

Severe tubulointerstitial disease is also present in HIVAN, and the severity is often out of proportion to the degree of glomerulosclerosis. Tubules develop microcystic dilatation, as well as simplification and atrophy of the tubular epithelial cells (Fig. 23-1B). Microcysts are tubules that are dilated at least threefold as compared with normal tubules<sup>5</sup> and may form in any segment of the nephron in HIVAN.<sup>8</sup> Microcyst formation is probably responsible for the marked echogenicity and the increase in renal size that is often noted during ultrasonographic evaluation of patients with HIVAN. Tubular



Figure 23-1 Typical histopathologic findings in HIVAN. A, Periodic acid–Schiff staining demonstrates focal glomerulosclerosis with collapse of the glomerular tuft (*arrow*) and overlying proliferative podocytes (*arrowheads*). B, Hematoxylin and eosin staining reveals tubular microcystic disease, interstitial leukocytic infiltration, and interstitial fibrosis.

epithelial changes include cellular simplification, loss of brush border, atrophy, and intracytoplasmic protein and lipid resorption droplets.<sup>5</sup>

The interstitial infiltrate present in HIVAN is pleomorphic. However, the predominant type of leukocyte present in the interstitium in HIVAN is controversial. One group reported a predominance of interstitial CD4<sup>+</sup> T lymphocytes,<sup>6</sup> another demonstrated lymphocyte predominance with similar proportions of CD4 and CD8 T lymphocytes,<sup>9</sup> and a third group found macrophages to be the most prominent cell type in the renal interstitium in HIVAN.<sup>10</sup> This interstitial infiltate is accompanied by marked fibrosis and edema.<sup>4–6</sup>

In summary, the diagnosis of HIVAN is made by the combination of focal glomerulosclerosis, usually of the collapsing variant, with significant tubulointerstitial abnormalities in a patient who is HIV-1 seropositive. Although collapsing focal glomerulosclerosis can occur as an idiopathic disease, HIV-1 infection should be ruled out first, particularly in the presence of marked tubulointerstitial disease.

### ABNORMALITIES IN EPITHELIAL CELL PHENOTYPE IN HIVAN

In addition to the gross histopathologic abnormalities present in HIVAN, there is cellular evidence of disease as well, including changes in differentiation state, apoptosis, and cell cycle. In HIV-1 transgenic mice and in HIVAN biopsy specimens, podocytes demonstrate decreased expression of several proteins including WT-1 (Fig. 23-2D), podocalyxin (Fig. 23-2F), synaptopodin (Fig. 23-2H), common acute lymphoblastic leukemia antigen (CALLA), glomerular epithelial protein-1, and the C3b receptor. Loss of expression of these proteins is accompanied by increased expression of the proliferation marker Ki-67 (Fig. 23-2B) and the presence of apoptotic cells.<sup>11,12</sup> The pronounced proliferation of podocytes found in HIVAN is also present in idiopathic collapsing FSGS. This is in contrast to classic idiopathic FSGS and most other forms of glomerular disease involving a decrease in podocyte number.13

Dysregulated proliferation, apoptosis, and differentiation also occur in tubular epithelial cells in HIVAN. For example, there is increased expression of Ki-67 and increased apoptosis in renal tubular epithelial cells (RTECs) in HIV-transgenic mice and HIVAN tissue.<sup>11,14–16</sup> Moreover, in HIV-transgenic mice, the Na<sup>+</sup>/K<sup>+</sup>-adenosine triphosphatase, normally located on the basolateral membrane, becomes mislocalized to the lateral membrane, and total expression is also reduced.<sup>11</sup>

## **HIVAN PATHOGENESIS**

### **HIV-1 Infects Renal Epithelial Cells**

Until recently, it was unknown whether HIVAN was caused by a direct effect of HIV-1 infection of renal parenchymal cells or was an indirect result of HIV-1 infection, with systemic immune dysregulation. During the late 1980s and 1990s, attempts by investigators using a variety of methods to detect HIV-1 in renal parenchymal cells were reported. Results were conflicting, with some authors concluding that HIV-1 was present in renal epithelial cells,<sup>17,18</sup> whereas others were unable to detect virus.<sup>19,20</sup> The results of these studies are difficult to interpret, because the positive studies used methods that may have been prone to contamination by HIV nucleic acids from nonrenal cells, and the negative studies used archival tissues and/or methods with insufficient sensitivity to rule out low levels of HIV-1 expression in renal parenchymal cells.

Although the issue of whether HIV-1 infects renal epithelial cells remained unresolved, data from animal models of HIVAN provided important insight into HIVAN pathogenesis. In 1991, Dickie et al reported an HIV-1 transgenic mouse model that expresses a *gag/pol* deleted proviral construct under control of the HIV-1 long terminal repeat (LTR)



**Figure 23-2** Expression of markers of proliferation and differentiation in minimal change disease (MCD) and HIVAN. In MCD, no Ki-67<sup>+</sup> cell cycle–engaged cells are seen in MCD (**A**), and podocytes express WT-1 (**C**), podocalyxin (**E**), and synaptopodin (**G**) at levels comparable to normal adult kidney. In HIVAN, however, proliferation of cells in the urinary space is revealed by Ki-67 immunoreactivity (**B**), and podocytes lose expression of WT-1 (**D**), podocalyxin (**F**), and synaptopodin (**H**). (Adapted from Barisoni L, Kriz W, Mundel P, D'Agati V: The dysregulated podocyte phenotype: A novel concept in the pathogenesis of collapsing idiopathic focal segmental glomerulosclerosis and HIV-associated nephropathy. J Am Soc Nephrol 10:51, 1999.) promoter.<sup>21</sup> Heterozygous HIV-transgenic mice develop proteinuria, progressive renal failure, and histologic disease that is identical to HIVAN (Fig. 23-3).<sup>21,22</sup> Recently, a rat transgenic model expressing this same HIV-1 construct has also been shown to develop the HIVAN phenotype.<sup>23</sup>

The transgenic mouse model of HIVAN was instrumental in showing that expression of HIV-1 genes in the renal parenchymal cells is necessary for the production of the HIVAN phenotype. In reciprocal renal transplantation studies, normal kidneys transplanted into transgenic mice remained normal, whereas transgenic kidneys transplanted into normal mice developed the HIVAN phenotype (Fig. 23-4).<sup>14</sup>

Data from primate models also suggested a role for direct infection of renal parenchymal cells in the pathogenesis of HIVAN.<sup>24–27</sup> Following infection with a chimeric simianhuman immunodeficiency virus (SHIV), macaques develop renal disease that closely resembles HIVAN. The frequency with which these animals develop renal disease is dependent on the strain of SHIV used, suggesting that there are viral determinants of renal disease progression.<sup>27</sup> The investigators were able to detect SHIV in the glomeruli of diseased animals. However, it was not clear that renal parenchymal cells were infected, because the isolated virus may have originated from infiltrating leukocytes.

Definitive evidence that HIV-1 infects renal epithelial cells was finally reported in 2000 by Bruggeman et al, who published a series of 20 HIV-seropositive patients with renal disease who had undergone diagnostic renal biopsy.<sup>28</sup> Importantly, renal tissue was collected prospectively at the time of biopsy and immediately processed to optimize RNA preservation. In 11 of the 15 patients who were diagnosed with HIVAN, HIV-1 RNA was detected by RNA in situ hybridization. Viral RNA was detected using probes against *nef* and *gag*, and proviral DNA was detected by in situ DNA polymerase chain reaction (PCR). As in the HIV-1 transgenic mouse model of HIVAN, HIV-1 infection and gene expression was detected primarily in renal epithelial cells and in interstitial leukocytes. A subsequent study detected HIV-1 infection in epithelial cells from multiple segments of the nephron, including the glomerulus (both podocytes and parietal epithelial cells), the proximal



**Figure 23-3** Histopathologic disease in HIV-transgenic mouse model of HIVAN. Heterozygous mice histologic disease that is identical to HIVAN including focal collapsing glomerulosclerosis (*arrowhead*), tubular microcystic dilatation, and interstitial infiltration by leukocytes.

tubule, thick ascending limb of Henle, and collecting duct.<sup>8,28</sup> Examples of infected renal glomerular and tubular epithelium are depicted in Figure 23-5.<sup>29</sup> Microcystic dilatation of the renal tubules also occurs in these nephron segments in the HIV-transgenic mouse model of HIVAN and in patients with HIVAN,<sup>8</sup> suggesting a direct role of HIV-1 infection in the pathogenesis of tubular disease.

Although nonepithelial renal parenchymal cells such as mesangial cells have been shown to be susceptible to infection in vitro,<sup>30</sup> such infection by HIV-1 has not been conclusively demonstrated in clinical samples from patients with HIVAN.

### Mechanism of HIV-1 Infection of Renal Epithelial Cells

The mechanism by which HIV-1 enters renal epithelial cells is unknown. HIV-1 typically binds to target cells via an interaction between its envelope protein gp120 and the cellular CD4 receptor and a co-receptor, most commonly the chemokine receptors CCR5 or CXCL4. Although some investigators have demonstrated CD4, CXCR4, and CCR5 expression in subsets of cultured renal epithelial cells,<sup>15</sup> the presence of these receptors has not been demonstrated in vivo.<sup>19,31</sup> Rare HIV-1 isolates can infect target cells via CD4-independent mechanisms<sup>32</sup> and may also use alternate co-receptors to mediate cell entry.<sup>33</sup> These less commonly used receptors are not known to be expressed in renal epithelial cells, but a comprehensive study has not been done. Ray et al found that primary HIV-1 isolates and HIV-infected macrophages from children with HIVAN were able to mediate infection of



Figure 23-4 Renal expression of HIV-1 transgene is necessary for HIVAN phenotype in HIV-1 transgenic model of HIVAN. Kidneys transplanted from normal mice into HIV-transgenic mice do not develop HIVAN phenotype (**A** and **B**). However, kidneys transplanted from HIV-transgenic mice into normal mice develop typical findings of HIVAN, including collapsing glomerulosclerosis and tubular microcystic disease (**C** and **D**). (Adapted from Bruggeman LA, Dikman S, Meng C, et al: Nephropathy in human immunodeficiency virus-1 transgenic mice is due to renal transgene expression. J Clin Invest 100:84, 1997.)



**Figure 23-5** Detection of HIV-1 proviral DNA in renal biopsies by in situ DNA PCR in podocytes (**A**, *arrows*) and tubular epithelial cells (**B**, *arrowheads*). (Adapted from Marras D, Bruggeman LA, Gao F, et al: Replication and compartmentalization of HIV-1 in kidney epithelium of patients with HIV-associated nephropathy. Nat Med 8:522, 2002.)

primary RTECs in vitro. They also reported that infection was not inhibited by an anti-CD4 antibody, indicating that viral entry was not CD4 dependent, and they found no evidence that infection was a result of fusion of macrophages with renal epithelial cells.<sup>34</sup>

The distribution of HIV-1 infection in RTECs is striking, with focal tubular involvement. Within infected tubules, however, nearly every cell harbors virus (see Fig. 23-5). Thus, the initial viral infection of a cell within a tubule is a rare event, whereas subsequent spread of the viral infection to other epithelial cells in that tubule is very efficient. It is unclear whether propagation of the virus along the nephron occurs via direct cell-to-cell passage or by release of infectious virus from epithelial cells with subsequent receptor-mediated infection of neighboring cells.

Although HIV-1 can generally be detected in renal epithelial cells in patients with HIVAN, the prevalence of HIV-1 infection in the renal epithelium of seropositive patients without HIVAN has not been well studied.

### THE KIDNEY IS A RESERVOIR FOR HIV-1

HIV-1 infection of renal epithelial cells has ramifications beyond the role of the virus in promoting the development of HIVAN. Renal epithelial cells are a reservoir wherein HIV-1 may persist in patients who have no detectable HIV-1 in plasma. In the series reported by Bruggeman et al,<sup>28</sup> 4 of the 21 HIV-1 seropositive patients with renal disease who underwent diagnostic renal biopsy had undetectable HIV-1 in plasma. In each of these patients, HIV-1 RNA was detected in renal epithelial cells. Winston et al reported a patient who developed HIVAN in the setting of acute seroconversion.<sup>35</sup> After treatment with HAART, the patient had clinical and histologic resolution of his renal disease. Despite the dramatic response of the clinical and histopathologic abnormalities of the patient's HIVAN to HAART (Fig. 23-6), HIV-1 RNA expression in the kidney remained unchanged (Fig. 23-7). To further explore this epithelial compartment, Marras et al used lasercapture microdissection followed by PCR to clone HIV-1 gp120 sequences from infected RTECs taken from HIVAN biopsy samples.<sup>29</sup> These gp120 clones were sequenced, along

with those derived from peripheral blood mononuclear cells (PBMCs) from the same patients. These studies resulted in two major findings. First, there was divergence in the gp120 sequences cloned from the renal epithelial cells. Because such sequence divergence occurs as a result of mutations in the viral genome introduced by reverse transcriptase during viral replication, these data indicate that renal epithelial cells are able to support full viral replication in vivo (which had not been shown previously). Second, phylogenetic analysis revealed that the gp120 sequences from kidney clustered separately within the radiation of gp120 sequences from the same patients' PBMCs (Fig. 23-8). These data suggest that the renal epithelium is a reservoir for HIV-1 that is not in equilibrium with the blood compartment and supports the evolution of divergent viral quasispecies.

Thus, the renal epithelium is a reservoir where HIV-1 persists despite "optimal" treatment with HAART and a lack of detectable virus in the plasma. Whether virus from the renal epithelium contributes to rebound of plasma viral loads in patients who had previously been well controlled is unknown. There is also very little known about the ability of antiretroviral medications to achieve therapeutic levels in renal epithelial cells and whether the effects of these medications on the HIV-1 life cycle in renal epithelial cells is similar to that in leukocytes. Future therapeutic strategies that aim to completely eradicate HIV-1 virus from patients will therefore need to address the renal epithelial compartment.

# HIV-1 INFECTION INDUCES DISEASE IN THE RENAL EPITHELIUM

Many of the characteristic epithelial phenotypic changes found in HIVAN in vivo are recapitulated by expression of HIV-1 proteins in epithelial cells in vitro. Podocytes from HIV-1 transgenic mice demonstrate increased levels of proliferation and anchorage-independent growth as compared with podocytes from normal mice (Fig. 23-9A). Moreover, the proliferative phenotype is recapitulated by infection of wild-type podocytes with the same HIV-1 construct used to generate the HIV-1 transgenic HIVAN model (Fig. 23-9B).<sup>36</sup> Podocytes that express HIV-1 also become dedifferentiated and have



**Figure 23-6** HIVAN kidney biopsy specimens before and after the initiation of highly active antiretroviral therapy. Biopsy specimen before treatment (**A**) shows one of three glomeruli with collapsing sclerosis and marked hyperplasia of podocytes. The tubules are separated by edema, mild fibrosis, and patchy interstitial inflammatory infiltrates. Many proximal tubules show degenerative changes, and there are focal tubular microcysts containing large casts. Biopsy specimen after HAART treatment (**B**) shows normal glomeruli and mild focal interstitial fibrosis, with restoration of normal tubular architecture. No tubular microcysts or interstitial inflammation is apparent. In the pretreatment biopsy specimen (**C**) many nuclei in the renal tubular epithelial cells stain for Ki-67, whereas in the biopsy specimen obtained after the initiation of antiretroviral therapy (**D**), a representative field shows no staining for Ki-67. Immunostaining for synaptopodin in the pretreatment biopsy specimen shows weak staining or no staining in the podocytes of a collapsed glomerulus (**E**). There is strong, global positivity for synaptopodin in the podocytes of a representative glomerulus from the biopsy specimen obtained after 3 months of highly active antiretroviral therapy (**F**). (Adapted from Winston JA, Bruggeman LA, Ross MD, et al: Nephropathy and establishment of a renal reservoir of HIV type 1 during primary infection. N Engl J Med 344:1979, 2001.)

reduced expression of the podocyte markers synaptopodin, CALLA, and WT-1.<sup>37</sup> Remarkably, in some patients with HIVAN, viral inhibition by treatment with HAART is able to reverse many of these phenotypic changes (see Fig. 23-6).<sup>35</sup>

RTECs isolated from HIV-1 transgenic mice also demonstrate increased proliferation compared to wild-type RTECs.<sup>38</sup> In contrast to podocytes, in which HIV-1 infection leads to a primarily proliferative response, the RTEC response to HIV-1 expression is more complex. HIV infection of RTECs induces not only the expression of proliferative markers (see Fig. 23-6C) but apoptosis as well (Fig. 23-10).<sup>14,15,34</sup>

## WHICH HIV-1 GENES CONTRIBUTE TO HIVAN PATHOGENESIS?

## **The HIV-1 Viral Genome**

The HIV-1 genome encodes 9 genes (Fig. 23-11). Proteolytic processing of polypeptides encoded by these genes results in the generation of 15 proteins during the viral life cycle. Two of the major structural genes, *gag* (encodes matrix, capsid, nucleocapsid, and p6 proteins) and *pol* (encodes reverse transcriptase, protease, RNase H, and integrase) encode 8 of the 15 HIV-1 proteins.<sup>39</sup>



Figure 23-7 HIV-1 RNA in situ hybridization of kidney biopsy specimens obtained before and after the initiation of HAART. The use of an antisense probe generated from the gag region demonstrates the presence of HIV-1 RNA in tubular cells and podocytes as well as in interstitial inflammatory cells before (A) and after (B) the initiation of HAART. (Adapted from Winston JA, Bruggeman LA, Ross MD, et al: Nephropathy and establishment of a renal reservoir of HIV type 1 during primary infection. N Engl J Med 344:1979, 2001.)

The HIV-1 transgenic mouse<sup>21</sup> and rat<sup>23</sup> models of HIVAN express a *gag/pol*-deleted HIV-1 transgene under control of the native viral LTR promoter. Thus, in these rodent models of HIVAN, the *gag* and *pol* gene products are not required for development of the HIVAN phenotype. The major viral determinants of HIVAN pathogenesis are therefore likely to be mediated via the remaining viral genes. These genes are the envelope gene (*env*), the regulatory genes *tat, rev*, and *nef*, or the accessory genes, *vif, vpr*, and *vpu*. Animal models and in vitro studies have been used to map the HIV-1 genes that are important for HIVAN pathogenesis.

## Nef

Nef is a 206–amino acid N-terminally myristoylated protein that has pleiotropic effects on the HIV-1 life cycle and host cell function. Functions ascribed to *nef* include immune evasion via reduction in cell surface expression of CD4 and major



**Figure 23-8** HIV-1 quasispecies complexity of kidney and PBMC-derived gp120 from two patients with HIVAN. gp120 sequence tree constructed from deduced amino acid sequences. Newly derived kidney (*highlighted*) and PBMC sequences from two patients, RB5 and RB23, are shown, along with 20 subtype B reference sequences from the Los Alamos Sequence Database. (Adapted from Marras D, Bruggeman LA, Gao F, et al: Replication and compartmentalization of HIV-1 in kidney epithelium of patients with HIV-associated nephropathy. Nat Med 8:522, 2002.)

histocompatibility complex class I molecules, increased viral production and infectivity, and prevention of apoptosis.<sup>40</sup>

Several studies have implicated a role for *nef* in HIVAN pathogenesis. To determine the HIV-1 genes necessary for the development of an AIDS-like phenotype, Hanna et al created 18 transgenic mouse lines expressing five different HIV-1 mutant constructs, each under control of the human CD4 regulatory sequences.<sup>41</sup> Each of these constructs contained different combinations of HIV-1 genes. In these transgenic



**Figure 23-9** HIV-1 gene expression induces proliferation and loss of contact inhibition in podocytes. (A) Total number of nontransgenic (O) and HIV-1 transgenic ( $\lambda$ ) cells after 14 days' culture. The *arrow* marks the time point at which cells became confluent (day 8). Murine podocytes infected with vesicular stomatitis virus G-pseudotyped HIV-1 transgenic construct proliferate in soft agar (**B**), whereas podocytes infected with control virus do not (**C**). (Adapted from Schwartz EJ, Cara A, Snoeck H, et al: Human immunodeficiency virus-1 induces loss of contact inhibition in podocytes. J Am Soc Nephrol 12:1677, 2001.)

mice, expression of HIV-1 nef was both necessary and sufficient to induce an AIDS-like phenotype and renal disease. The transgene was expressed under control of the CD4 promoter so that *nef* was primarily expressed in leukocytes. Because RTECs have been shown to express CD4 in vitro,<sup>15</sup> however, minimal expression in RTECs was possible. The relevance of this study to HIVAN pathogenesis is limited in that the renal phenotype was primarily interstitial nephritis, with little or no focal glomerulosclerosis or tubular microcystic disease. Since the P72XXP75 SH3-binding domain of Nef is important for mediating adhesion of important effector proteins including the Src-family tyrosine kinases Hck, Lck, and Fyn, the same group of investigators generated transgenic mice that express a *nef* transgene with a mutated SH3 binding domain, under control of the human CD4 promoter. These transgenic mice did not develop the AIDS phenotype or renal disease, suggesting that the ability of Nef to bind cellular proteins via the SH3 binding domain is necessary for pathogenesis.42 To investigate the role of Hck as an effector of Nefmediated renal pathogenesis, the same group bred wild-type *nef*-transgenic mice with *hck*-mutant mice. The progeny had a delay in development of disease but there was otherwise no difference in renal pathology. Therefore, Hck is not crucial for Nef activity in the CD4-*nef* disease model.

These studies by Hanna et al did not recreate the most definitive phenotype of HIVAN, glomerular podocyte proliferation. To determine the HIV-1 gene(s) that are responsible for inducing the proliferative phenotype of podocytes in HIVAN, Husain et al transduced murine podocytes with scanning mutant constructs in which stop codon mutations were introduced sequentially into each of the HIV-1 open reading frames. In addition, individual HIV-1 gene expression constructs were generated and introduced into podocytes using HIV-1 retroviral vectors.<sup>43</sup> The multigenic vectors contained the same parental backbone pNL4-3 that was used in the generation of the HIV-1 transgenic model of HIVAN. Using this approach, they found that expression of *nef* alone, without other HIV-1 genes, resulted in increased proliferation and

#### Unstained frozen section



control

Transgenic

Figure 23-10 Identification of apoptotic cells in HIV-transgenic mouse kidneys by terminal transferase dUTP nick end labeling (TUNEL) assay. TUNEL assay was performed on adult, diseased transgenic, and nontransgenic mice. (A) Unstained frozen section. G, glomerulus. (B) TUNEL fluorescence of a nontransgenic control in region of tubules. (C) TUNEL fluorescence in transgenic kidney corresponding to the boxed region of **A** exhibiting tubular dilatation. (Adapted from Bruggeman LA, Dikman S, Meng C, et al: Nephropathy in human immunodeficiency virus-1 transgenic mice is due to renal transgene expression. J Clin Invest 100:84, 1997.)



Figure 23-11 Schematic representation of HIV-1 genome.

anchorage-independent growth in the podocytes and that expression of the other HIV-1 genes in the absence of nef did not induce the proliferative phenotype. In a subsequent study, Nef expression was shown to induce loss of expression of markers of differentiation in podocytes in vitro, including synaptopodin and CALLA.<sup>37</sup> Thus, Nef expression in podocytes in vitro is capable of recapitulating the altered podocyte phenotype found in HIVAN in vivo.

He et al have elucidated the molecular mechanisms by which Nef induces proliferation and dedifferentiation of podocytes.44 Nef expression in murine podocytes strongly induces Src kinase activity, the signal transducer and activator of transcription 3 (Stat3) phosphorylation, and activates the Ras-c-Raf-MAPK1,2 pathway (Fig. 23-12A). These pathways were also activated in podocytes in vivo in the HIV-transgenic model of HIVAN and in kidneys from patients with HIVAN. Mutation of the SH3 domain that mediates the interaction of Nef with Src family kinases completely prevented activation of Src signaling and also prevented podocyte proliferation and dedifferentiation. Moreover, Nef-induced proliferation and dedifferentiation is completely abrogated in vitro by expression of dominant-negative Src and partially prevented by inhibition of either Stat3 or MAPK1,2 individually (Fig. 23-12B and C). Thus, the ability of Nef to induce proliferation and



**Figure 23-12** Role of Src activation in Nef-induced MAPK1,2 and Stat3 phosphorylation and phenotypic changes in podocytes. Control vector-infected (Vector) or Nef-infected (Nef) podocytes were transfected with dominant negative Src (Src-DN) and then selected with hygromycin B. Inhibition of Src by Src-DN inhibited Nef-induced activation of Cyclin E, Stat3, and MAPK1,2 (**A**), as well as Nef-induced decrease in expression of synaptopodin (**B**) and Nef-induced podocyte proliferation (**C**). (Adapted from He JC, Husain M, Sunamoto M, et al: Nef stimulates proliferation of glomerular podocytes through activation of Src-dependent Stat3 and MAPK1,2 pathways. J Clin Invest 114:643, 2004.)

dedifferentiation of podocytes is mediated via SH3-dependent interaction with Src family kinase(s).

### Tat

The HIV-1 Tat protein is a 101–amino acid protein that is released from HIV-infected cells and is detectable in serum from infected patients. Extracellular Tat is capable of entering cells via endocytosis, resulting in multiple effects on viral and cellular gene expression. Tat has been shown to promote and/or inhibit apoptosis depending upon the cell type and dosage used. Studying the effects of Tat in isolation is difficult, because it is a potent transactivator of the HIV-1 LTR promoter and increases expression of the integrated HIV-1 provirus.<sup>45</sup> HIV-1 constructs and transgenes using the endogenous LTR viral promoter have much lower expression in the absence of Tat.

In studies using immortalized human podocytes derived from whites, investigators reported that exogenous Tat protein is capable of inducing podocyte proliferation and dedifferentiation.<sup>46</sup> A problem limiting the relevance of this study to HIVAN, however, is that it used podocytes derived from whites, an ethnic group in whom the development of HIVAN is exceedingly rare. Also, other groups have found that in animal models of HIVAN and in vitro podocyte assays, expression of *tat*-encoding constructs does not induce significant renal disease, podocyte proliferation, or expression of differentiation markers.<sup>41,43</sup> It is possible, however, that free extracellular Tat may have different biologic effects than Tat expressed within cells. Also, the effects of Tat differ significantly according to the dosage used. In summary, a direct role for *tat* in HIVAN pathogenesis is possible but awaits corroboration.

#### Vpr

Vpr is a 96–amino acid protein that has several important functions in the HIV-1 life cycle.<sup>47</sup> Vpr contains a noncanonical nuclear localization sequence that is important for nuclear import of the HIV-1 preintegration complex in nondividing cells such as macrophages. It is possible that this function of Vpr is also important in HIV-1 infection of renal epithelial cells. Vpr expression can induce apoptosis in several cell types and also results in cell cycle arrest at the G2-M phase. This cell cycle arrest is likely to be important for viral production, because transcription of the viral LTR promoter is enhanced during G2. Similar to Tat, Vpr is detectable in serum of HIV-infected patients and extracellular Vpr is taken up by cells and becomes localized to the nucleus.

Dickie et al recently reported that mutation of *vpr* in mice expressing the same proviral HIV-1 gag/pol deletion construct used in the HIV-1 transgenic model of HIVAN resulted in abrogation of the renal phenotype.48 The same group found that expression of a *tat/vpr* transgene under control of the viral LTR promoter in a single transgenic line was able to induce significant proteinuria in homozygous offspring. The most characteristic feature of HIVAN, the proliferation of podocytes, was not induced by Vpr, however. Crossing the tat/vpr transgenic mice with the vpr-mutant mice resulted in offspring with significantly increased proteinuria. Interestingly, expression of vpr as a transgene expressed in mice under control of the macrophage-specific promoter resulted in proteinuria and focal glomerulosclerosis. Although these studies support a role for vpr in HIVAN pathogenesis, it is not clear whether the macrophage-specific expression may have caused disease via systemic release of Vpr with subsequent uptake into renal cells or whether dysregulated macrophages had a direct role in causing the renal phenotype.

## gp120

The HIV-1 *env* gene encodes the gp160 glycoprotein, which is subsequently cleaved into the envelope proteins gp120 and gp41. gp120 is the viral surface protein that mediates attachment of the virus to target cells via binding to the CD4 receptor and a co-receptor, most commonly the chemokine receptors CCR5 or CXCR4. gp41 is a transmembrane protein that mediates fusion of the viral and cellular lipid membranes once gp120 has bound its cognate receptor and co-receptor.

Singhal and colleagues have reported that exposure of mesangial cells and tubular epithelial cells to gp120 in vitro causes several abnormalities. They have reported that gp120 induces aberrant proliferation and apoptosis of human mesangial cells<sup>49</sup> and apoptosis of human tubular epithelial cells<sup>50</sup> in vitro. The same group also determined that induction of apoptosis in tubular epithelial cells was CD4 and p38 MAPK dependent. The relevance of these studies to HIVAN pathogenesis in vivo is limited in that although several groups

have demonstrated subsets of tubular epithelial cells expression of CD4 and/or the common HIV-1 co-receptors in vitro, these findings have not been reproduced using human kidney specimens.<sup>19,31</sup>

## THE ROLE OF HOST GENES IN THE PATHOGENESIS OF HIVAN

## Studies of the Genetic Predisposition to HIVAN

Soon after the first descriptions of HIVAN as a clinical entity, it became clear that most affected patients were of African descent. In cities such as San Francisco, where most of the HIV-infected patients were white, HIVAN was rarely diagnosed, whereas urban centers with large numbers of HIVseropositive blacks saw an ever-increasing number of patients with HIVAN. Recently, the predisposition of blacks to developing HIVAN has been studied more rigorously.

Although blacks have been found to be more susceptible than most other racial groups to the development of several renal diseases, the predisposition of blacks for HIVAN is stronger than virtually any other form of renal disease. In a study analyzing data from the U.S. Renal Data System, HIVAN was found to be 12.2 times more common in blacks than in other racial groups, and the only cause of ESRD that was more closely associated with black race was sickle cell disease.<sup>51</sup> In our experience at Mount Sinai Medical Center in New York City, every patient who has been diagnosed with HIVAN in the past 10 years has been black or Hispanic. Many of the Hispanic patients with HIVAN are from Caribbean or Latin American regions with a high admixture of individuals of African descent.

The predisposition of blacks to developing HIVAN has also been reported in series from Europe. In two case series, 7/7 patients from London<sup>52</sup> and 97/102 patients in France<sup>53</sup> who were diagnosed with HIVAN were black. In an autopsy series from Switzerland that examined 239 patients who had died with HIV/AIDS between 1981 and 1989, only one case of HIVAN was detected. The individual with HIVAN was one of the only six black patients in the study.<sup>54</sup>

Among blacks, the risk of developing HIVAN is heterogeneous. Freedman et al found that HIVAN tends to occur in blacks with a family history of renal diseases.<sup>55</sup> In this series, investigators compared the prevalence of non-HIVAN ESRD in first- and second-degree family members of patients with HIVAN to the prevalence of affected family members in HIVinfected blacks without renal disease. They found that 24% of patients with HIVAN had at least one family member with ESRD as compared with only 6% of controls. After controlling for multiple factors, the authors reported that patients with ESRD due to HIVAN were 5.4 times more likely to have family members with ESRD than controls.

Despite clear evidence that genetic factors are important for HIVAN pathogenesis, the genes that modulate HIVAN susceptibility remain unknown. Genetic linkage studies have been difficult to perform because of a paucity of available multiplex families with HIVAN. One study reported that the Duffy antigen/receptor for chemokines (DARC), a chemokine receptor, is upregulated in the kidneys of HIV-seropositive children with renal disease.<sup>56</sup> Because polymorphisms in the promoter for DARC are common in blacks, Woolley et al studied whether there is an association between DARC polymorphisms and the development of HIVAN. However, no such association was detected.<sup>57</sup>

WT-1 is a transcription factor that is constitutively expressed in normal differentiated podocytes and is necessary for normal renal and genital development.<sup>58</sup> In HIVAN, podocytes demonstrate markedly decreased expression of WT-1. No studies evaluating whether the loss of WT-1 expression has a direct pathogenic role in HIVAN have been published. A recent study by Orloff et al, however, found an association between single nucleotide polymorphisms (SNPs) in the WT-1 gene and the development of FSGS.<sup>59</sup> Their study groups consisted entirely of black participants, and the groups were stratified by HIV-1 status. The investigators found that one SNP in the sixth intron of WT-1 was associated with an odds ratio of 0.23 for developing HIVAN. Interestingly, this SNP was not associated with the development of FSGS in HIV-negative subjects. Another SNP, in the WT-1 promoter, approached statistical significance for association with a decreased risk for FSGS in HIV-positive subjects. This same SNP, however, was associated with an increased risk of FSGS in the HIV-negative population. Further analysis indicated that certain haplotypes of SNPs were associated with significantly different odds ratios for the development of FSGS in HIV-positive patients. More studies are required to confirm whether SNPs in WT-1 alter susceptibility to HIVAN and to determine the molecular mechanisms by which they may exert such an effect.

## The Genetics of HIVAN in HIV-1 Transgenic Mice

Similar to humans, mice with different genetic backgrounds display marked differences in susceptibility to developing the HIVAN phenotype. For instance, when the gag/pol-deleted HIV-1 transgene is bred onto the FVB/N background, the penetrance of the renal phenotype approaches 100%. However, when the FVB/N transgenic mice (TgFVB) are bred with CAST mice, the HIVAN phenotype is completely abolished in the F1 offspring. Breeding of the transgene onto other strains of mice results in intermediate renal phenotypes. The HIVAN phenotype in these mice is independent of the level of renal expression of the HIV-1 transgene. To ascertain loci that are linked to the HIVAN phenotype, Gharavi et al backcrossed F1 animals from the TgFVB × CAST cross with TgFVB animals and used the progeny to perform genome-wide linkage analysis. Using this approach, they found several loci that were linked to the development of renal disease. The locus with the strongest linkage (Lod score 4.9) was subsequently named HIVAN1 (Fig. 23-13).60

Interestingly, the *HIVAN1* locus is syntenic to human chromosome 3q25–27. This region of the human genome has previously been linked to the development of diabetic nephropathy in Pima Indians with type 2 diabetes,<sup>61</sup> whites with type 1 diabetes,<sup>62</sup> and creatinine clearance in blacks.<sup>63</sup> The 95% confidence interval for the *HIVAN1* locus encompasses 30 centimorgans (cM) and a large number of genes, limiting the ability of the investigators to speculate on particular candidate genes until they are able to significantly reduce the size of the interval. Thus, this study demonstrated that the genetic background of the host strongly influences whether expression of HIV-1 will lead to the development of HIVAN.



**Figure 23-13** Linkage in the backcross cohort. Multipoint lod score plot for phenotypes on chromosomes 3 for the six primary phenotypes are shown. Map distance is presented in centimorgans. The positions of informative markers genotyped are shown above each plot. The dashed horizontal bar indicates the threshold for genome-wide significance for a backcross. The solid bar above the chromosome 3 lod plot indicates the 95% confidence interval for *HIVAN1* computed by bootstrap resampling (composite score). (Adapted from Gharavi AG, Ahmad T, Wong RD, et al: Mapping a locus for susceptibility to HIV-1-associated nephropathy to mouse chromosome 3. Proc Natl Acad Sci U S A 101:2488, 2004.)

#### **Host Factors for HIVAN Pathogenesis**

Although genetic linkage studies have yet to reveal polymorphisms in specific genes that confer increased risk for developing HIVAN, several studies have shed light upon host factors that mediate HIVAN pathogenesis. Most of these factors are known to influence cellular processes that are perturbed in HIVAN, including proliferation, apoptosis, inflammation, and fibrogenesis. Recent studies have also identified novel genes that are upregulated in HIVAN, but whose function and role in the disease are just beginning to be elucidated. Table 23-1 summarizes the host genes that have putative roles in the pathobiology of HIVAN.

## **Proliferation**

In "classic" idiopathic FSGS and most other progressive glomerular diseases, podocyte number decreases. In the collapsing form of FSGS that is observed in HIVAN, however, there is vigorous proliferation of podocytes, leading to "pseudo-crescent" formation (see Figs. 23-1A and 23-2B).<sup>4</sup> As

discussed previously in the chapter, the HIV-1 *nef* gene has been shown to be an important mediator of this proliferative phenotype, probably via activation of Src family kinases with subsequent phosphorylation and activation of MAPK1,2 and Stat3.<sup>41,44</sup>

The dysregulation of podocyte proliferation present in HIVAN is also manifested by alterations in the expression of cyclins and cyclin-dependent kinases. Cyclin E is upregulated in podocytes in response to HIV-1 infection. This effect is mediated by Nef.<sup>37</sup> There are also reports by some investigators of increased expression of cyclin D1 in HIVAN,<sup>64</sup> whereas others reported decreased glomerular cyclin D1.<sup>65</sup> Shankland et al reported that the CDK inhibitors p27 and p57 were downregulated in podocytes in HIVAN biopsy specimens, whereas p21 was upregulated. These findings contrast with glomerular diseases without podocyte proliferation and normal podocytes, where there is stable constitutive expression of p27 and p57 and no expression of p21.<sup>66</sup>

Basic fibroblast growth factor is upregulated in HIVAN and has been shown previously to increase proliferation of renal epithelial cells in vitro.<sup>38,67,68</sup> The combined actions of growth factors and cell cycle–regulatory proteins probably contribute to the increased epithelial proliferation observed in HIVAN.

#### Apoptosis and Fibrogenesis

Apoptotic cells are also increased in the renal epithelium in HIV-1 transgenic mice and of patients with HIVAN.<sup>16</sup> HIV-1 infection of RTECs may lead to receptor-mediated apoptosis in renal tubular epithelial cells (Fig. 23-14). Conaldi et al found that HIV-1 infection of human proximal tubular cells led to apoptosis of infected cells. They also found that infection of tubular epithelial cells induced increased expression of Fas and that infected cells are susceptible to Fas-mediated apoptosis. Apoptosis in these experiments was inhibited by adding a caspase inhibitor to the cells before Fas stimulation.<sup>15</sup>

Transforming growth factor- $\beta$  (TGF- $\beta$ ), another proapoptotic molecule, is upregulated in HIVAN.<sup>69–71</sup> TGF- $\beta$  has been implicated in the pathogenesis of several renal diseases and is an important mediator of apoptosis and fibrosis in the kidney.<sup>72</sup> TGF-β expression has been detected in glomeruli and tubular epithelial cells in HIVAN specimens. It is possible that some of the glomerular expression of TGF- $\beta$  may be induced by HIV-1 Tat protein. One group reported that exposure of mesangial cells to Tat results in increased production of TGF-β and increased production of matrix proteins.<sup>71</sup> Another group reported that HIV-1-expressing mesangial cells demonstrate increased apoptosis and proliferation.<sup>73</sup> There is no clear evidence that mesangial cells are infected by HIV-1 in HIVAN. However, because extracellular Tat is capable of transducing cells, extracellular Tat may have been responsible for inducing TGF- $\beta$  production.

HIV-1 envelope protein may also stimulate TGF- $\beta$ . Kapasi et al reported that gp120 induced expression of TGF- $\beta$  in RTECs and that TGF- $\beta$  could then aid in the recruitment of monocytes into the renal interstitium.<sup>74</sup> The stress response MAPK, p38 MAPK, was suggested to mediate the apoptotic response of tubular epithelial cells to the CD4 gp120 interaction in vitro.<sup>50</sup> Because tubular epithelial cells are not known to express either CD4 or the major co-receptors for HIV-1, the in vivo significance of these experiments remains unclear. Smad proteins, the downstream effectors of TGF- $\beta$  signaling, Table 23-1 Epithelial Factors with Putative Roles in HIVAN Pathogenesis

Epithelial Factors	References
Proliferation/Growth Factors	
Src family kinases	41, 44
Mitogen activated protein kinase (MAPK) 1, 2	41, 44
Cyclin D	64, 65, 91
Cyclin E	37
Cyclin-dependent kinase inhibitors p27, p57, p21	65, 66
Basic fibroblast growth factor	38
Vascular endothelial growth factor (VEGF)	90
Apoptosis	
Transforming growth factor-β (TGF-β)	69–71
р38 МАРК	50
Fas/ FasL	15, 49
Transcription Factors	
Nuclear factor-кВ (NF-кВ)	75
SP-1	75
WT-1	11, 12, 37, 46
Pro-fibrogenic Factors	
TGF-β	69–71
Proinflammatory Mediators	
NF-ĸB	75
Monocyte chemoattractant protein-1 (MCP-1)	74, 83
MHC Class II	83
Interleukin-8 (IL-8)	83
RANTES	83
Interferon- $\alpha$ (IFN- $\alpha$ )	83
IFN-γ receptor	83
Cell-Cell/Cell-Matrix Interactions	
Synaptopodin	11, 12, 37, 46, 65, 76
Podocalyxin	12, 37, 76
Podocan	86



Figure 23-14 Schema of cellular processes that are perturbed in response to expression of HIV-1 genes in renal epithelial cells leading to progressive renal failure.

have not been studied in the context of HIVAN, and the relative contributions of the different Smads to HIVAN pathogenesis have yet to be defined.

### **Transcription Factors**

Regulation of HIV-1 transcription in podocytes seems to occur via mechanisms similar to those in lymphocytes. The activity of the viral LTR promoter in murine podocytes requires binding of inducible nuclear factor- $\kappa$ B (NF- $\kappa$ B) and Sp1 to the viral LTR.75 These same factors are important regulators of HIV-1 transcription in human lymphocytes. Inhibition of HIV-1 transcription in murine podocytes using an inhibitor of cyclin-dependent kinase-9 (CDK-9) resulted in decreased proliferation and re-expression of podocyte differentiation markers in vitro.<sup>64,76</sup> CDK-9 binds RNA polymerase II and is a component of multiprotein complex including cyclin T and Tat that potentiates transcriptional activation of the LTR promoter.<sup>77</sup> Systemic administration of these CDK-9 inhibitors to HIV-1 transgenic mice resulted in amelioration of the HIVAN phenotype.<sup>78</sup> Both of these studies used mice or murine cell lines. Given the markedly decreased efficiency of HIV-1 transcription in murine cells due to the lack of cyclin T,<sup>77</sup> however, it is unclear whether CDK-9 inhibition would have similar therapeutic effects in podocytes from humans with HIVAN. It is also unclear whether the therapeutic effect of the CDK-9 inhibitors was mediated predominantly via inhibition of viral transcription or via inhibition of cell cycle progression through interference with the function of CDKs.

#### Inflammatory Mediators

Interstitial infiltration by leukocytes is a prominent pathologic finding in HIVAN,<sup>4</sup> and these infiltrating cells may have an important role in HIVAN pathogenesis. Several small case series have suggested that treatment with steroids has beneficial effects upon renal outcome in HIVAN.<sup>53,79–81</sup> The most prominent pathologic change in one patient who had received a renal biopsy after treatment with steroids for HIVAN was a decrease in the interstitial inflammatory infiltrate.<sup>82</sup>

Several inflammatory mediators have been reported to be upregulated in kidneys from patients with HIVAN or HIVtransgenic mice. Kimmel et al reported that RANTES, interleukin-8 (IL-8), and monocyte chemoattractant protein-1 (MCP-1) are present in higher levels in kidneys from HIVinfected patients than in kidneys from controls; however, there was no difference in levels of these proteins in patients with HIVAN as compared with HIV-positive patients without renal disease. They did find, however, that nonpolymorphic major histocompatibility complex class II protein, interferon- $\alpha$ (IFN- $\alpha$ ), and the  $\gamma$ -interferon receptor were all present in higher levels in HIVAN biopsy specimens than in HIV-positive patients without renal disease.<sup>83</sup> Although these studies implicate potential roles for the latter three proteins in HIVAN pathogenesis, it is also possible that RANTES, IL-8, and/or MCP-1 also contribute to the recruitment of inflammatory cells into the infected kidney.

NF-κB is expressed in podocytes in HIV-transgenic mice.<sup>75</sup> Expression of this protein, however, has not been well studied in human kidney specimens. NF-κB is known to be capable of inducing expression of a wide variety of chemokines, cyto-kines, and other mediators of inflammation.<sup>84</sup> It is likely that

this protein may have important roles not only in facilitating viral transcription but also in increasing production of proinflammatory molecules by infected epithelial cells, thereby contributing to the influx of leukocytes into the renal interstitium.

Production of inflammatory mediators by renal epithelial cells may have important effects upon viral expression in immune cells. O'Donnell et al reported that co-culture of human tubular epithelial cells with HIV-1–infected monocytes induced increased viral production by the monocytes. Most of this effect was mediated by the production of IL-6 and tumor necrosis factor- $\alpha$  by the epithelial cells.<sup>85</sup>

### Mediators of Cell-Matrix and Cell-Cell Interactions

Ross et al recently reported a novel gene, podocan, that is upregulated in podocytes from HIV-1 transgenic mice.<sup>86</sup> Podocan was identified using complementary DNA representational difference analysis to ascertain differentially expressed genes in podocytes from HIV-1 transgenic and normal mice. Podocan is a novel small leucine-rich repeat protein that represents a new family of small leucine-rich repeat proteins. Podocan is developmentally regulated in the kidney during embryogenesis and is expressed at low levels in normal adult podocytes. However, podocan protein markedly accumulates in sclerotic glomerular lesions in HIV-1 transgenic mice. The role of podocan in the pathogenesis of HIVAN and other diseases is currently under study.

Another gene that was found to be upregulated in podocytes from HIV-1 transgenic mice is sidekick-1 (*sdk-1*).<sup>87</sup> Sdk-1 is a transmembrane protein of the immunoglobulin superfamily. Sdk-1 and its ortholog, sidekick-2 (sdk-2), were recently shown to guide axonal terminals to specific synapses in developing neurons.<sup>88</sup> Sdk-1, like podocan, is developmentally regulated in the kidney during embryogenesis and is expressed at low levels in podocytes in normal adult kidneys. In HIVAN biopsy specimens, glomerular expression of Sdk-1 protein is greatly increased. Because podocyte processes share many similarities with neuronal axons, it is possible that dysregulation of Sdk-1 may contribute to the podocyte abnormalities that are characteristic of HIVAN, with subsequent disruption of the slit diaphragm and glomerulosclerosis.

Synaptopodin and podocalyxin are both expressed in quiescent, differentiated podocytes.<sup>13</sup> In HIVAN, however, expression of both of these proteins decreases markedly.<sup>11,12</sup> Although these proteins are increasingly recognized as being important for normal podocyte structure and function, their roles in the pathogenesis of HIVAN have not been studied.

Vascular endothelial growth factor (VEGF) is normally expressed in podocytes and tubular epithelial cells. Although the roles of VEGF in normal kidney function and homeostasis are poorly defined, glomerular expression of VEGF is elevated in some renal diseases, including diabetic nephropathy.<sup>89</sup> Podocyte-specific dysregulation of VEGF using a transgenic murine model has recently been shown to be capable of inducing a variety of renal phenotypes. Decreased expression of VEGF in podocytes led to endotheliosis, similar to the lesions of preeclampsia, whereas podocyte-specific overexpression of VEGF led to collapsing glomerulosclerosis, closely resembling the glomerular phenotype found in HIVAN.<sup>90</sup> The role of VEGF in the pathobiology of the collapsing glomerular lesion found in HIVAN warrants further study.

## CONCLUSIONS

HIVAN is the most common cause of chronic renal failure in HIV-seropositive patients. Characteristic pathologic findings in HIVAN include collapsing focal glomerulosclerosis with podocyte proliferation, microcystic dilatation of the tubules, leukocytic infiltration of the interstitium, and interstitial fibrosis. HIV-1 infects the renal epithelium, and epithelial expression of HIV-1 genes is a critical component of pathogenesis. The renal epithelium is a reservoir of HIV-1 infection that is capable of harboring transcriptionally active virus even in patients on HAART with undetectable plasma viral loads.

Several HIV-1 genes have been studied for their roles in HIVAN pathogenesis. Although HIV-1 nef seems to be an important mediator of podocyte proliferation in HIVAN, it is likely that other HIV-1 genes also contribute to the development of renal disease. Although there are clearly genetic factors that contribute to HIVAN susceptibility in humans and animal models, these factors remain largely undefined. Several cellular genes, including mediators of proliferation, apoptosis, inflammation, cell-cell and cell-matrix interactions, have been reported to have putative roles in HIVAN pathogenesis. Much has been learned regarding the factors that contribute to progressive renal disease in HIVAN in the two decades since it was first described. However, the recent dramatic improvements in mortality of patients with HIV/AIDS have not translated into decreased incidence of ESRD due to HIVAN. Further research is therefore desperately needed to rationally design new strategies for prevention and treatment of this disease.

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## Chapter 24

## Pathogenesis of Paraproteinemic Renal Disease

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Paraproteinemic renal diseases represent a large class of renal lesions that are associated with deposition of intact immunoglobulins or immunoglobulin fragments that include heavy chains and light chains (Table 24-1). The list of associated diseases includes AL-amyloidosis (composed of light chains), AH-amyloidosis (composed of heavy chains), monoclonal light-chain and light- and heavy-chain deposition disease (collectively termed ML(H)CDD in this review), monoclonal heavy-chain deposition disease, Waldenström's macroglobulinemia, immunotactoid glomerulopathy,<sup>1</sup> glomerulonephritis associated with monoclonal immunoglobulin deposition,<sup>2</sup> glomerulonephritis associated with type I cryoglobulin deposition, cast nephropathy (also known as "myeloma kidney"), epithelial cell injury related to light-chain deposition in proximal tubule,<sup>3–5</sup> and tubulointerstitial nephritis.<sup>4</sup> This review focuses primarily on two glomerular lesions, ALamyloid and ML(H)CDD, and two tubulointerstitial lesions (proximal tubular injury and cast nephropathy).

# IMMUNOGLOBULIN METABOLISM AND STRUCTURE

Because of the central role of the immunoglobulin light chain and, to a lesser extent, heavy chain and intact immunoglobulin in the molecular pathogenesis of paraproteinemic renal diseases, developing an understanding of these processes initially requires comprehension of the synthesis and metabolism of the immunoglobulin molecule and immunoglobulin fragments. Immunoglobulin G (IgG) is a heteromeric protein composed of two different gene products that are known as heavy and light chains. Before secretion, these immunoglobulin precursor proteins undergo post-translational modifications that produce intermolecular disulfide bridges between two heavy chains and two light chains to form the intact immunoglobulin molecule.<sup>6</sup> The presence of a slight excess of light chains, as compared with heavy chains, seems to facilitate the assembly and secretion of an intact immunoglobulin.<sup>7</sup> Thus, immunoglobulin light chains can also be secreted, and small amounts of polyclonal light chains can normally be found in the circulation. Circulating free heavy chains indicate a pathologic state. When a clone of plasma cells is present, significant concentrations of monoclonal light chain may be observed in the serum. Unlike the intact immunoglobulin, free light chains, with a molecular weight of about 22 kDa (one-third that of albumin), are filtered at the glomerulus.<sup>8</sup> Glomerular filtration is the primary route of removal of light chains from the circulation.<sup>9</sup> Light chains, particularly the lambda isotype, can undergo dimerization before secretion, and the dimers are also removed by glomerular filtration, albeit at a more restricted rate. Light chains in the glomerular ultrafiltrate may either bind to megalin or to cubilin, which compose the endocytic heteromeric complex that is present on the apical surface of proximal tubule epithelial cells,<sup>10–12</sup> or escape reabsorption in the proximal tubule to appear in the lumen of the distal nephron and ultimately the urine. Following endocytosis, these low-molecular-weight proteins are hydrolyzed and the constituent amino acids are returned to the circulation across the basolateral membrane of the proximal tubule.

The immunoglobulin light chain is composed of a variable  $(V_I)$  and a constant  $(C_I)$  peptide domain (Fig. 24-1).<sup>13</sup> Within

#### Table 24-1 Paraproteinemic Renal Diseases

Diseases with Predominant Glomerular Involvement Amyloidosis with amyloid composed of light chains Amyloidosis with amyloid composed of heavy chains Monoclonal light-chain and light- and heavy-chain deposition disease Monoclonal heavy-chain deposition disease Waldenström's macroglobulinemia Immunotactoid glomerulopathy Glomerulonephritis associated with monoclonal immunoglobulin deposition Glomerulonephritis associated with type I cryoglobulin deposition Diseases with Predominant Tubulointerstitial Involvement

Cast nephropathy, or "myeloma kidney" Proximal tubulopathy including Fanconi syndrome Tubulointerstitial nephritis



**Figure 24-1** Structural model of the immunoglobulin light-chain molecule. The structure of the V<sub>L</sub> domain is created by the framework regions, which form the classical immunoglobulin folds that permit exposure of the complementarity-determining regions (CDRs). Intramolecular disulfide bridges stabilize the layers. The CDRs are the sites of sequence diversity and are largely responsible for the uniqueness of the light chain. The numbers represent the approximate locations of the amino acid residues.

the globular V<sub>I</sub> domain are framework regions (FR1, FR2, FR3, and FR4), which form  $\beta$ -sheet structures that permit development of a hydrophobic core.<sup>14–19</sup> Between the framework regions are complementarity-determining regions (CDR1, CDR2, and CDR3), which form part of the antigenbinding site of the immunoglobulin molecule; these regions generally provide the sequence variability among light chains.<sup>14–16</sup> Diversity among the CDRs occurs because the V<sub>1</sub> domain is synthesized from multiple gene segments, termed V and J segments. The number of human  $V_{\kappa}$  and  $V_{\lambda}$  gene segments are estimated to be 30 to 50 and 20 to 30, respectively, whereas there are probably 5 J<sub>x</sub> and 20 to 30 J<sub> $\lambda$ </sub> gene segments.<sup>20</sup> The V<sub>L</sub> domain is a product of one V and J region, so a large number of combinatorial possibilities exists. Thus, although light chains have a similar structure, each light chain is unique. A similar process also produces the structural diversity observed with heavy chains.

The course that light chains take in the kidney permits them to interact potentially with any segment of the nephron. Unique, but not yet completely defined, peptide domains of light chains confer a tropism for certain parts of the nephron. As described in the following paragraphs, it is this tropism that produces the wide spectrum of pathology associated with light chains.

### PARAPROTEINEMIC RENAL DISEASES WITH PREDOMINANT GLOMERULAR INVOLVEMENT

Although light chains are the underlying cause of most of these renal lesions, the larger molecular weight proteins that include immunoglobulin heavy chains and intact immunoglobulins can participate directly in the pathogenesis of certain renal lesions. Diseases associated with isolated deposition of monoclonal heavy chains are particularly rare and include AH-amyloidosis<sup>21</sup> and monoclonal heavy-chain deposition disease.<sup>22</sup> Deposition of intact monoclonal immunoglobulin can also produce renal dysfunction. The usual causes of renal insufficiency associated with accumulation of monoclonal immunoglobulin M (IgM) in Waldenström's macroglobulinemia are hyperviscosity syndrome and type I cryoglobulinemia with IgM deposition within the glomerular capillary lumen. In immunotactoid glomerulopathy, deposition of monoclonal IgG in the mesangium and along the glomerular basement membrane produces fibrillar deposits reminiscent of amyloid but are larger and do not stain with Congo red.<sup>1</sup> A recent report presented 10 patients who had proliferative glomerulonephritis associated with monoclonal immunoglobulin deposition apparently unassociated with type I cryoglobulinemia.<sup>2</sup>

The two major glomerular lesions associated with light chains are AL-amyloidosis<sup>23–28</sup> and ML(H)CDD.<sup>4,18,29–38</sup> Some light chains interact directly with mesangial cells to alter their function.<sup>27,37–39</sup> It now appears that light chains that promote glomerular injury bind to receptors on the surface of the mesangial cell and undergo endocytosis via a clathrin-mediated process.<sup>40</sup> Endocytosis of light chains also seems to promote phenotypic transformation of the mesangial cell, with amyloidogenic light chains associated with ML(H)CDD promoting a myofibroblastic phenotype.<sup>41</sup> Thus, although monoclonal light-chain deposition is the basic cause for both diseases, the pathogenetic processes are very different.

When primary cultures of rat mesangial cells were exposed to two different light chains isolated from patients who had ML(H)CDD, cell proliferation was inhibited and production of matrix proteins (type IV collagen, laminin, and fibronectin) increased. By immunocytochemistry and bioassay, transforming growth factor- $\beta$  (TGF- $\beta$ ) production and activity increased when mesangial cells were exposed specifically to these monoclonal light-chain proteins. Furthermore, anti-TGF- $\beta$  antibody abolished the inhibition of cell proliferation and matrix production caused by the two light chains. None of these findings was observed in mesangial cells exposed to human albumin and two other light chains previously characterized to have tubular, but not glomerular, cell toxicity.<sup>37</sup> These observations were expanded by determining if these light chains directly altered mesangial cell calcium homeostasis.<sup>38</sup> Intracellular Ca<sup>+2</sup> signaling was determined in suspensions of rat mesangial cells using the fluorescent dye Fura-2AM with a calcium removal-addback protocol. Pretreatment of cultured rat mesangial cells with a k light chain from a patient with ML(H)CDD produced reversible dose- and time-dependent attenuation of adenosine triphosphate (ATP)- and thrombin-evoked Ca<sup>+2</sup> transients and capacitative calcium influx. Mesangial cells treated with this  $\kappa$  light chain and supplemented with *myo*-inositol (450 uM) did not demonstrate the attenuation of the ATP-evoked Ca<sup>+2</sup> transient and capacitative calcium influx. The light chain also decreased mean Ca+2 transient and capacitative calcium influx in response to thapsigargin, a Ca<sup>+2</sup> ATPase inhibitor. This inhibition was not reversed by exogenous *mvo*-inositol. Another  $\kappa$  light chain (10 µg/mL), obtained from a patient who did not have ML(H)CDD, did not affect mesangial cell calcium signaling.

Thus, light chains that cause ML(H)CDD interact directly with the mesangial cell to promote functional changes that include increased production of TGF- $\beta$ , which perhaps facilitated the myofibroblastic transformation of the mesangial cells and increased matrix protein production. Together with clinical studies that demonstrated increased production of TGF- $\beta$  and extracellular matrix proteins in affected glomeruli of patients with ML(H)CDD,<sup>34,42</sup> light chain–mediated stimulation of mesangial cells to produce TGF- $\beta$  seems to be a key pathogenetic mechanism of this disease.<sup>37</sup> The final result is development of glomerular sclerosis.

Internalization and processing of amyloidogenic light chains by mesangial cells facilitates the formation of amyloid in vitro.<sup>27,39,43</sup> Although production of TGF- $\beta$  was integrally involved in development of ML(H)CDD, active TGF- $\beta$  inhibited amyloid formation in vitro.<sup>39</sup> Unlike ML(H)CDD, the mechanism of glomerular injury in amyloidosis is largely related to replacement of the glomerular architecture with the amyloid protein.

The biochemical property(ies) of the light chain that confers nephrotoxicity remains under investigation, although advances have been made. Generally, sequence abnormalities in the V<sub>1</sub> domain seem to be responsible for both processes. AL-amyloid is composed of the  $V_L$  of  $\kappa$  or  $\lambda$  light chains as well as intact light chains.<sup>25,44,47</sup> Comenzo et al<sup>48</sup> showed a preferential use of immunoglobulin VL germ-line gene segments in AL-amyloidosis, including several genes that make minimal contributions to the normal repertoire. In addition, the tropism of organ involvement in AL-amyloidosis is significantly influenced by the V<sub>L</sub> germline gene use and clonal plasma cell burden; patients with  $\lambda VI$  clonal disease were more likely to have dominant renal involvement.48 Several studies have shown that sequence variations in the  $V_L$  domain confer amyloidogenicity,<sup>23,28,49</sup> although the ambient composition of solutes such as urea may facilitate fibril formation by partially denaturing the light chain.<sup>50</sup> Variations in the amino acid sequence of the V<sub>I</sub> domain have also been identified in light chains responsible for ML(H)CDD.<sup>18,31,32,35</sup>

#### PARAPROTEINEMIC RENAL DISEASES WITH PREDOMINANT TUBULOINTERSTITIAL INVOLVEMENT

Tubulointerstitial involvement related to monoclonal intact immunoglobulins or heavy chains does not occur, perhaps

because of significant restriction from the glomerular ultrafiltrate. In contrast, interaction of segments of the nephron with immunoglobulin light chains can result in a variety of tubulointerstitial lesions. Reabsorption of light chains by the proximal tubule can produce functional and morphologic alterations that seem to be related to distention of the endolysosomal system because of an inability to rapidly hydrolyze the light chains.<sup>51–53</sup> Clinical manifestations of this altered process can include renal failure from proximal tubular cell necrosis, or in less severe cases, acquired Fanconi syndrome. Sequence variations have been identified in the V<sub>L</sub> domain of several k light chains that cause Fanconi syndrome. These variations promote resistance to proteolysis.<sup>54,55</sup> There has also been recent interest in understanding the effect of reabsorption of filtered proteins on function of the proximal tubule in proteinuric conditions. Sengul et al demonstrated that endocytosis of light chains promoted the production of inflammatory cytokines by cells in culture through activation of NF-κB<sup>56</sup>; this process may promote tubulointerstitial inflammation and damage.

A mechanism of light chain–mediated tubule injury that is independent of proximal tubule toxicity is intratubular obstruction from precipitation of light chains in the distal nephron (Fig. 24-2).<sup>52</sup> Intraluminal protein precipitation was also shown to be the more common cause of tubular injury than isolated proximal tubule damage.<sup>52</sup> The mechanism of cast formation by light chains has been clarified and involves the co-precipitation of light chain with Tamm-Horsfall protein (THP) (Fig. 24-3).<sup>52,53,57-60</sup>

Only cells of the thick ascending limb of the loop of Henle synthesize and secrete THP, the major protein constituent of normal urine.<sup>61,62</sup> This fibrillar glycoprotein is composed of 616 amino acids and has a carbohydrate component that



**Figure 24-2** Classical histologic appearance of cast nephropathy. Numerous casts (*arrows*) are present within the tubular lumen. The surrounding epithelial cells of the nephron are compressed and distorted by the cast. Multinucleated giant cells surround one cast (*asterisk*). The glomeruli (G) are typically spared.
approaches 30% of the apparent molecular weight and consists of N-linked sugars (see Fig. 24-3).<sup>63</sup> Sialic acid residues confer an isoelectric point of 3.2; thus, the protein has a net negative charge at physiologic pH. In dilute salt solutions, THP forms filamentous strands that may exceed  $7 \times 10^6 \text{ Da}^{61}$ ; a zona pellucida domain near the C terminus (see Fig. 24-3) promotes the homotypic aggregation into filaments.<sup>64</sup> THP belongs to the class of phosphatidylinositol-linked proteins,65 permitting anchoring into the lipid bilayer of the apical surface of the cell and extension into the tubular lumen of the distal nephron. Cleavage from the glycophospholipid anchor produces a soluble form that appears in the urine. Viscosity of THP-containing solutions markedly increases when the NaCl concentration is greater than 60 mM. Increasing the concentrations of THP, H<sup>+</sup>, and Ca<sup>+2</sup> also increases viscosity of THP-containing solutions.<sup>61</sup> Although constitutively expressed, increased dietary salt intake increases expression of THP in rats.66

Intravenous infusions of nephrotoxic human light chains in rats acutely elevates proximal tubule pressure and simultaneously decreases single-nephron glomerular filtration rate; intraluminal protein casts were identified in these kidneys.<sup>67</sup> Isolated in vivo microperfusion experiments demonstrated that the casts form exclusively in the distal portion of the nephron, beginning with the thick ascending limb of Henle's loop. Removal of THP by pretreatment of rats with colchicine prevented cast formation in vivo.<sup>57</sup> Casts are seen primarily in the distal portion of the nephron in humans, although on occasion casts may also be found in proximal tubular segments and even in glomeruli in renal biopsy specimens. When examined, however, these casts generally contain THP, suggesting reflux of co-precipitated THP and light chain into the proximal nephron.<sup>68,69</sup>

Human light chains that precipitate in the rat nephron in vivo co-aggregate in vitro with human THP.<sup>53</sup> Light chains bind THP with differing affinities in a competitive fashion.<sup>58,60,70</sup> Enzymatic removal of the carbohydrate moiety of THP did not alter binding to light chains.<sup>58</sup> A single nine–amino acid binding domain for light chain has been mapped on THP (see Fig. 24-3).<sup>60</sup> By use of the yeast two-hybrid assay originally designed by Fields and Song,<sup>71</sup> the CDR3 region of both  $\kappa$  and  $\lambda$  light chains was identified as the site involved in binding THP.<sup>70</sup> A synthetic peptide that was identical to the CDR3 region of a light chain, which showed moderate

binding affinity to THP, effectively competed for binding to THP with six different light chains obtained from patients with myeloma and cast nephropathy.

In addition to intrinsic binding affinity, the environment in which THP interacts with light chains also modulates this binding and subsequent cast formation. Volume depletion slows tubular fluid flow rates, which promotes cast formation. Light chains that have a low affinity for THP and are ordinarily nontoxic can form casts in vivo under these conditions.<sup>57</sup> Increasing the concentrations of sodium, hydrogen, calcium, light chain, and THP facilitates co-precipitation in vitro.53 Addition of furosemide promotes cast formation in a dosedependent fashion.<sup>57</sup> Both low urinary pH and the presence of contrast agents also facilitate renal failure from light chains.<sup>72</sup> These laboratory findings suggest that altering the environment in which light chains interact with THP can regulate cast formation and further suggest a useful clinical approach to prevent cast formation in patients who have light-chain proteinuria. In addition to efforts to eradicate the clone of plasma cells responsible for production of the offending monoclonal light chain, it seems prudent to ensure high tubule fluid flow rates through the use of water consumption and avoidance of nonsteroidal anti-inflammatory agents. Because a diet high in salt content facilitates THP production,66 dietary intake of salt should be restricted. Furosemide, and presumably all loop diuretics, should be avoided. Hypercalcemia should be corrected promptly with bisphosphonates. Although the interaction between some light chains and THP diminishes as pH increases,<sup>59</sup> it is difficult to administer bicarbonate without increasing sodium intake and should therefore not be considered.

## SUMMARY

Scientific discoveries in the past decade have provided a clearer understanding of the pathogenesis of the multiple and varied clinical and pathologic presentations of paraproteinemic renal diseases. A central feature of many of these pathogenetic processes is the immunoglobulin light chain. As research into the molecular basis of paraproteinemic renal diseases continues, new therapeutic approaches will be designed to limit the morbidity and mortality associated with these intricate disease processes.



**Figure 24-3** Human Tamm-Horsfall protein domains. The N-terminal portion of the molecule contains three epidermal growth factor (EGF)-like motifs, the functional significance of which is unknown. A zona pellucida domain near the C terminus is required for homotypic aggregation. Between these domains is the light-chain (LC) binding site. N-linked glycosylation sites are shown (Asn), as well as the glycosylphosphatidylinisotol (GPI)-anchor recognition site

## **Acknowledgments**

This work was supported by the Office of Research and Development, Medical Research Service, Department of Veterans Affairs, by a grant from the Multiple Myeloma Research Foundation, and by generous donations from friends and family of Nila and Larry Minor. The author thanks Drs. Daniel F. Balkovetz and Anupam Agarwal and Mr. Ryan Corrick for critical evaluation of this review.

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## Preeclampsia

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### CLINICAL FEATURES AND EPIDEMIOLOGY

Preeclampsia affects about 5% of all pregnancies,<sup>1,2</sup> and is classically defined as the new onset of hypertension and proteinuria after the 20th week of gestation. The onset of preeclampsia is often insidious and asymptomatic, but may include headache, visual disturbances, epigastric pain, weight gain, and edema of the hands and face. These early signs and symptoms are important to recognize clinically, since they may herald progression to more severe, often life-threatening, disease. Severe complications of preeclampsia can include acute renal failure, cerebral edema, cerebral hemorrhage, seizures (eclampsia), pulmonary edema, thrombocytopenia, hemolytic anemia, coagulopathy, and liver injury-including the syndrome of hemolysis, elevated liver enzymes, and low platelets (HELLP). Although antihypertensive medications help to lower blood pressure and magnesium sulfate is effective in seizure prophylaxis,<sup>3</sup> delivery remains the only definitive treatment. When preeclampsia threatens to lead to severe maternal complications, urgent delivery of the fetus and placenta are often undertaken to preserve maternal health.

In the developed world, where safe emergent cesarean delivery is available, the burden of morbidity and mortality due to preeclampsia is on the neonate. Preeclampsia is associated with placental hypoperfusion, which can lead to intrauterine growth restriction and oligohydramnios. But neonatal morbidity is most often due to the sequelae of prematurity and low birth weight, including prolonged neonatal intensive care unit stays, respiratory distress, necrotizing enterocolitis, intraventricular hemorrhage, sepsis, and death.<sup>4,5</sup> The HELLP syndrome has been associated with a 15% to 20% incidence of perinatal mortality, attributable to premature delivery.<sup>6</sup>

The epidemiology of preeclampsia provides hints about pathophysiology, which are still being deciphered. Although most preeclampsia occurs in healthy nulliparous women, several risk factors are reminiscent of cardiovascular risk factors, including chronic hypertension,<sup>7,8</sup> renal disease,<sup>9,10</sup> diabetes mellitus,<sup>11,12</sup> morbid obesity,<sup>7,13,14</sup> and family history of cardiovascular disease.<sup>15</sup> Congenital or acquired thrombophilia has been associated with preeclampsia in some<sup>16,17</sup> but not all<sup>18,19</sup> studies. Rheumatologic disorders such as systemic lupus and antiphospholipid antibody syndrome also increase risk.<sup>20</sup> The importance of cardiovascular risk factors has strengthened the hypothesis that preexisting maternal vascular dysfunction or susceptibility has a pathologic role in at least some cases of preeclampsia.

### THE ROLE OF THE PLACENTA

Observational evidence suggests the placenta has a central role in preeclampsia. Preeclampsia only occurs in the presence of a

placenta—though not necessarily a fetus, as in the case of hyatidiform mole—and almost always remits after its delivery. In a case of preeclampsia with extrauterine pregnancy, removal of the fetus alone was not sufficient; symptoms persisted until the placenta was delivered.<sup>21</sup> A recent report suggests that in cases of preeclampsia with discordant twins, selective fetocide reverses preeclampsia, with the attenuation of symptoms occurring in a time frame consistent with placental involution.<sup>22</sup>

Long-standing and severe preeclampsia is associated with pathologic evidence of placental hypoperfusion and ischemia. Findings include acute atherosis, a lesion of diffuse vascular obstruction first described in 1945,<sup>23</sup> which includes fibrin deposition, intimal thickening, necrosis, atherosclerosis, and endothelial damage. Infarcts, likely resulting directly from occlusion of maternal spiral arteries,<sup>24</sup> are also common. Although these findings are not universal, they appear to be correlated with severity of clinical disease.<sup>25</sup>

Abnormal uterine artery Doppler ultrasound results, suggesting increased uteroplacental resistance to blood flow, are observed before the clinical onset of preeclampsia,<sup>26</sup> although this finding is nonspecific, limiting its use as a screening test.<sup>27</sup> The incidence of preeclampsia is increased two- to fourfold in women residing at high altitude, implying hypoxia may be a contributing factor.<sup>28</sup> Hypertension and proteinuria can be induced by constriction of uterine blood flow in pregnant primates<sup>29,30</sup> and other mammals. These observations suggest placental ischemia may be an early event.

However, evidence for a causative role for placental ischemia remains circumstantial, and several observations call the hypothesis into question. For example, the animal models based on uterine hypoperfusion universally fail to induce several of the multiorgan features of preeclampsia, including seizures and glomerular endotheliosis, the hallmark renal pathologic finding. In most cases of preeclampsia, there is no evidence of growth restriction or fetal intolerance of labor, which would be an expected consequence of placental ischemia. It may be that the pathologic evidence of placental ischemic damage that accompanies late-stage preeclampsia may be a secondary event: no studies have examined placental changes prior to the onset of clinical signs of preeclampsia. Nevertheless, recent evidence suggests that early abnormalities in placental vascular remodeling may be present in preeclampsia.

## PLACENTAL VASCULAR REMODELING

Early in normal placental development, extravillous cytotrophoblasts invade the uterine spiral arteries of the decidua and myometrium. These invasive fetal cells replace the endothelial layer of the uterine vessels, transforming them from small resistance vessels to flaccid, high-caliber capacitance vessels.<sup>31,32</sup> This vascular transformation allows the increase in uterine blood flow needed to sustain the fetus through the pregnancy (Fig. 25-1).

In preeclampsia, this transformation is incomplete (see Fig. 25-1).<sup>33,34</sup> Cytotrophoblast invasion of the arteries is limited to the superficial decidua, and the myometrial segments remain narrow and undilated.<sup>35,36</sup> Fisher and colleagues

have shown that in normal placental development, invasive cytotrophoblasts downregulate the expression of adhesion molecules characteristic of their epithelial cell origin and adopt an endothelial cell surface adhesion phenotype, a process referred to as pseudovasculogenesis.<sup>37,38</sup> In preeclampsia, cytotrophoblasts do not undergo this switching of cell surface



PREECLAMPSIA



**Figure 25-1** Abnormal placentation in preeclampsia. Exchange of oxygen, nutrients, and waste products between the fetus and the mother depends on adequate placental perfusion by maternal vessels. In normal placental development, invasive cytotrophoblasts of fetal origin invade the maternal spiral arteries, transforming them from small-caliber resistance vessels to high-caliber capacitance vessels capable of providing placental perfusion adequate to sustain the growing fetus. During the process of vascular invasion, the cytotrophoblasts differentiate from an epithelial phenotype to an endothelial phenotype, a process referred to as "pseudovasculogenesis" (top). In preeclampsia, cytotrophoblasts fail to adopt an invasive endothelial phenotype. Instead, invasion of the spiral arteries is shallow and they remain small-caliber resistance vessels (bottom). This may result in the placental ischemia. (From Karumanchi SA, Maynard SE, Stillman IE, et al: Preeclampsia: A renal perspective. Kidney Int 67:2101–2113, 2005.)

integrins and adhesion molecules<sup>39</sup> and fail to adequately invade the myometrial spiral arteries. The factors that regulate this process are just beginning to be elucidated. Invasive cytotrophoblasts express several angiogenic factors and receptors, including vascular endothelial growth factor (VEGF), placental growth factor (PIGF), and VEGFR-1 (Flt1); expression of these proteins by immunolocalization is altered in preeclampsia.<sup>40</sup> Liu and colleagues found that expression of CD146, an endothelial adhesion molecule normally expressed by invasive/ migratory cytotrophoblasts, was absent in preeclampsia.<sup>41</sup> More work is needed to uncover the molecular signals governing cytotrophoblast invasion early in placentation. These mechanisms are sure to hold key insights into the pathogenesis of preeclampsia.

#### MATERNAL ENDOTHELIAL DYSFUNCTION

The clinical manifestations of preeclampsia reflect widespread endothelial dysfunction, often resulting in vasoconstriction and end-organ ischemia.42,43 Several serum markers of endothelial activation are altered in women with preeclampsia, including von Willebrand antigen,44 cellular fibronectin,45 soluble tissue factor, soluble E-selectin, platelet-derived growth factor, and endothelin.<sup>46</sup> C-reactive protein<sup>47</sup> and leptin<sup>48</sup> levels are increased early in gestation. There is evidence for oxidative stress,49 increased lipid peroxidation,50 and platelet activation.<sup>51</sup> Decreased production of prostaglandin I<sub>2</sub>, an endothelial-derived prostaglandin, occurs well before the onset of clinical symptoms.<sup>52</sup> Inflammation is often present; for example, there is neutrophil infiltration in the vascular smooth muscle of subcutaneous fat, with increased vascular smooth muscle expression of interleukin-8 and intracellular adhesion molecule-1.53 Several of these aberrations occur well before the onset of symptoms, suggesting that they are primary, rather than secondary, effects.

In the kidney, endothelial damage results in proteinuria and produces glomerular endotheliosis This pathologic lesion is characterized by generalized swelling and vacuolization of the endothelial cells, obliteration of the endothelial fenestrae, and loss of the capillary space.<sup>54,55</sup> There are deposits of fibrinogen and fibrin within and under the endothelial cells.<sup>56</sup> The injury is specific to endothelial cells: the podocyte foot processes are almost completely normal in appearance early in disease, a finding atypical of other nephrotic diseases. Changes in the afferent arteriole, including atrophy of the macula densa and hyperplasia of the juxtaglomerular apparatus, have also been described.<sup>57</sup> Although once considered pathognomonic for preeclampsia, recent studies have shown that mild glomerular endotheliosis also can occur in pregnancy without preeclampsia, especially in pregnancy-induced hypertension.<sup>58</sup> This suggests the endothelial dysfunction of preeclampsia may in fact be an exaggeration of a process present near term in many pregnancies.

Long-term outcomes among women with a history of preeclampsia suggest the endothelial damage is not limited to pregnancy. Impaired endothelium-dependent vasodilatation, a marker for endothelial dysfunction, persists for years post-partum.<sup>59,60</sup> Cardiovascular morbidity and mortality are increased among women with a history of preeclampsia, including stroke,<sup>61</sup> ischemic heart disease,<sup>62,63</sup> and chronic

hypertension.<sup>64</sup> An increased incidence of the metabolic syndrome after preeclampsia has been described.<sup>65</sup> Whether these observations result from endothelial damage accompanying preeclampsia, or simply reflect the consequences of risk factors common between preeclampsia and cardiovascular disease, remains speculative.

## **MECHANISMS OF PREECLAMPSIA**

### **Genetic Factors**

Although most preeclampsia occurs in women with no family history, the presence of preeclampsia in a first-degree relative increases a woman's risk for severe preeclampsia two- to fourfold,<sup>66–68</sup> suggesting a genetic contribution to the disease.

Early genetic studies have focused on maternal genes, but evidence is now strong that paternal genes, expressed in the fetus, are also important. There is a striking lack of concordance in the incidence of preeclampsia between mothers who are monozygous twins,<sup>69</sup> suggesting that the maternal genetic contribution is only part of the story. Men who were products of pregnancies complicated by preeclampsia were twice as likely to father a child who was the product of a pregnancy complicated by preeclampsia compared to control men with no such history.<sup>68</sup> If a woman becomes pregnant by a man who has already fathered a preeclamptic pregnancy in a different woman, her risk for developing preeclampsia is nearly doubled.<sup>70</sup> While a woman who has completed a normotensive pregnancy increases her preeclampsia risk by changing partners in the second pregnancy, a woman with prior preeclampsia will decrease her subsequent preeclampsia risk by changing partners.71

These studies, implicating a strong paternal (thus fetal) component to the genetic predisposition, might be consistent with a single-gene inheritance model, which requires homozygosity for the same recessive gene in both mother and fetus.<sup>72</sup> Others have hypothesized a role for genomic imprinting, a type of gene control in which the allele from one parent is expressed and the allele from the other parent is silenced. According to this model, preeclampsia may arise from a mutation in a paternally imprinted, maternally active gene, which must be expressed by the fetus in order to establish a normal placenta in the first pregnancy.<sup>73</sup> Perhaps the most popular model, however, is that preeclampsia is polygenetic with many susceptibility genes contributing to the disease.

Many case-control studies have looked at polymorphisms and mutations in specific maternal susceptibility genes. The list of genes for which associations have been described is large and has recently been reviewed in detail.<sup>74</sup> Several of these have been disappointing, with early studies suggesting an association that was not borne out in later, larger studies. For example, early work suggested an association between the factor V Leiden mutation and pregnancy-induced hypertension, but subsequent work did not bear out a strong association.<sup>75</sup>

Elevated homocysteine levels, associated with vascular disease in other populations, have been noted in preeclampsia.<sup>76</sup> Although nutritional factors such as folate deficiency may contribute, especially in developing countries,<sup>77</sup> some reports suggest that mutations in the 5,10-methylenetetrahydrofolate reductase (MTHFR) gene may be at play. Several early reports suggested that the common missense mutation of MTHFR

(677T polymorphism) is more prevalent among nulliparous preeclamptic women compared with controls.<sup>78,79</sup> However, the majority of studies in a variety of ethnic populations have not confirmed an association.<sup>77,80–85</sup>

Other candidate genes that have been studied, with mixed epidemiologic results, include mutations in genes coding for prothrombin, lipoprotein lipase, superoxide dismutase, nitric oxide synthetase, and apolipoprotein E.<sup>74</sup> The possible contributions of these mutations and polymorphisms remain to be determined. As with the candidate gene approach, several large genome-wide scans seeking a specific linkage to preeclampsia have been fairly discordant and disappointing. Of published studies, only one Icelandic analysis produced a significant logarithm of the odds (LOD) score (>3.6) for the 2p13 locus.<sup>86</sup> Even in this study, however, most of the support came from two large families yielding 17 affected patients. Other studies have produced suggestive LOD scores, each for different loci. This discordance suggests that a single genetic inheritance model is unlikely. Susceptibility may in fact be due to complex interactions between two or more maternal and fetal genes and environmental factors. It is interesting to note that all these genome-wide studies and candidate gene studies have focused on maternal genetic factors only. More recently, a small Dutch genetic study suggested that polymorphism in STOX1 (a member of the winged helix gene family), a gene that is paternally imprinted, may be linked to the occurrence of preeclampsia.<sup>87</sup> The Genetics of Preeclampsia Collaborative (GOPEC) study now in progress has collected genomic information from 1,000 women with preeclampsia throughout Great Britain, along with the proband's parents, child, and partner. This study will explore both maternal and fetal contributions to risk, and its results are eagerly anticipated.

### Changes in the Renin-Angiotensin-Aldosterone System

Preeclampsia is a state of sympathetic overactivity. Maternal vascular reactivity to the vasopressors angiotensin II and norepinephrine is increased.<sup>88</sup> Endothelium-dependent vasorelaxation is impaired, both in the myometrial vessels in vitro<sup>88</sup> and in forearm blood flow in vivo.<sup>60</sup> In normal pregnancy, the renin-angiotensin-aldosterone system is activated; in preeclampsia, plasma renin levels are low compared with normal pregnancy,<sup>89</sup> likely suppression resulting from hypertension.

Wallukat and colleagues identified circulating angiotensin I (AT1) receptor autoantibodies in women with preeclampsia.<sup>90</sup> They found that these autoantibodies activated the AT1 receptor, and hypothesized that they might account for the increased angiotensin II sensitivity of preeclampsia. The same investigators later showed that these AT1 autoantibodies, like angiotensin II itself, stimulate endothelial cells to produce tissue factor, an early marker of endothelial dysfunction. Xia and colleagues found that AT1 autoantibodies decreased invasiveness of immortalized human trophoblasts in an in vitro Matrigel invasion assay.<sup>91</sup> These autoantibodies are not limited to pregnancy; they also appear to be increased in malignant renovascular hypertension in nonpregnancy.<sup>92</sup>

The angiotensinogen T235 polymorphism, a common molecular variant associated with essential hypertension and microvascular disease, was associated with preeclampsia in a U.S. and Japanese cohort.<sup>93,94</sup> Functional aspects of this

mutation are unclear, however, and the association was not confirmed in a British population.<sup>95</sup> Work by AbdAlla and colleagues has suggested that heterodimerization of AT1 receptors with bradykinin-2 receptors may also contribute to angiotensin II hypersensitivity in preeclampsia.<sup>96</sup>

### **Oxidative Stress and Inflammation**

Oxidative stress, the presence of active oxygen species in excess of available antioxidant buffering capacity, is a prominent feature of preeclampsia. Oxidative stress is known to damage proteins, cell membranes, and DNA and is a potential mediator of endothelial dysfunction. It has been hypothesized that in preeclampsia, placental oxidative stress is transferred to the systemic circulation, resulting in oxidative damage to the vascular endothelial cells throughout the body. According to proponents of this theory, oxidative stress itself may be the link between placenta and end-organ disease.<sup>97</sup>

Many studies in both mother and placenta document markers of oxidative stress in preeclampsia. In preeclamptic placentas, there is decreased expression of enzymatic antioxidants.<sup>98–100</sup> Abnormally high superoxide generation by placental tissue from women with preeclampsia has been noted.<sup>101,102</sup> Several studies have documented higher placental levels of lipid peroxidation, and increased production and secretion of isoprostanes.<sup>103</sup> Maternal serum markers for oxidative stress in preeclampsia include peroxynitrite,<sup>104</sup> protein carbonyls,<sup>105</sup> and others. Volatile organic compounds in alveolar breath (marker for oxidative stress) are increased in women with preeclampsia.<sup>106</sup>

Increased circulating fetal DNA in women with preeclampsia has been attributed to placental oxidative stress. Several studies have shown that fetal DNA in the maternal circulation rises prior to preeclampsia onset,<sup>107,108</sup> as early as 16 to 20 weeks of gestation.<sup>109,110</sup> Redman and others have suggested that reactive oxygen species (ROS) may result in deportation of syncytiotrophoblast fragments.<sup>111,112</sup> This material may contribute to endothelial activation in the mother.

Several theories exist regarding the source of free radical generation in placenta. Burton and colleagues theorized that the trigger for increased placental free radical generation is hypoxia-reperfusion,<sup>111</sup> presumably a consequence of placental vascular insufficiency outlined earlier in this chapter. Others have posited defects in the usual cellular defenses against oxidative stress. For example, glutathione S-transferases (GSTs) are an important enzyme system that provides protection against oxidative stress. Polymorphism in GST P1 is associated with preeclampsia, both in the maternal<sup>113</sup> and in the paternal<sup>114</sup> genome. There is some evidence that uric acid, consistently elevated in preeclampsia, may contribute to oxidative stress by decreasing nitric oxide production by human umbilical vein endothelial cells.<sup>115</sup>

NAD(P)H oxidases are a major source of oxygen free radical production in several cell types. Human placenta contains a functional NAD(P)H oxidase that is highly active, which could be an important source of superoxide during pregnancy and preeclampsia.<sup>116</sup> Raijmakers and colleagues showed that early-onset preeclampsia, but not late-onset preeclampsia, was associated with increased NAD(P)H-derived placental superoxide production.<sup>117</sup> AT1 receptor autoantibodies, described in the previous section, increase ROS production by activation of NADPH oxidase,<sup>118</sup> suggesting a mechanism by which these antibodies may contribute to the oxidative stress seen in preeclampsia.

Animal models and human trials also suggest a role for oxidative stress. One of the major animal models of preeclampsia is produced by infusion of L-NAME (L-nitro-arginine methylester), an inhibitor of NO synthesis, into pregnant rats. This produces a preeclampsia-like syndrome with hypertension, proteinuria, and thrombocytopenia.<sup>119</sup> A U.K. study showed that deficiency of selenium, a trace element with antioxidant properties, was associated with an increased risk for preeclampsia<sup>120</sup> But the most clinically relevant insights come from human trials: if oxidative stress is a key element in preeclampsia, antioxidant treatment might be expected to be beneficial. A randomized controlled trial by Chappell and colleagues showed that supplementation with vitamin C and E decreased preeclampsia in high-risk pregnant women (odds ratio 0.4). PAI-1 and PAI-2, markers of endothelial activation, were also decreased in the treatment group.<sup>121</sup> Similarly, treatment with the antioxidant lycopene was found to decrease the risk for preeclampsia by almost 50% in a small randomized trial.<sup>122</sup> These studies suggest that oxidative stress plays a central role in the propagation of the preeclampsia syndrome, and larger studies are ongoing.

### Imbalance in Circulating Angiogenic Factors

If placental secretion of a soluble factor into the maternal bloodstream contributes to preeclampsia, it may be detectable using gene expression profiling. Using messenger RNA microarray analysis of placenta, our group found sFlt1 (soluble fmslike tyrosine kinase, also referred to as sVEGFR1), a truncated form of the VEGF receptor, was upregulated in the placenta of women with preeclampsia.<sup>123</sup> The in vitro effects of sFlt1 included vasoconstriction and endothelial dysfunction, mimicking the effects of plasma from women with preeclampsia. Exogenous sFlt1 administered to pregnant rats produced a syndrome resembling preeclampsia, including hypertension, proteinuria, and glomerular endotheliosis.<sup>123</sup> Proteinuria and glomerular endothelial damage has also been reported by exogenous sFlt1 therapy in nonpregnant mice.<sup>124</sup> This work has generated considerable enthusiasm for sFlt1 as an important mediator in preeclampsia.

sFlt1 is an anti-angiogenic molecule that antagonizes VEGF and PIGF (Fig. 25-2). VEGF is important in both angiogenesis (the growth of new blood vessels) and in the maintenance of endothelial cell health in the basal state. Although the function of PIGF is still ill defined, it appears to act synergistically with VEGF, and may be necessary for wound healing and angiogenesis in ischemic tissues.<sup>125,126</sup> VEGF has a family of receptors, the most important of which are Flt1 (VEGFR1) and Flk1 (VEGFR2).<sup>127</sup> sFlt1 is a truncated form of the Flt1 receptor. It includes the extracellular ligand-binding domain, but not the transmembrane and intracellular domains; it is secreted (hence "soluble") and antagonizes VEGF and PIGF in the circulation by binding and preventing their interaction with their endothelial receptors (see Fig. 25-2).<sup>128</sup> Although sFlt1 is made in small amounts by other tissues (endothelial cells and monocytes), the placenta seems to be the major source of circulating sFlt1 during pregnancy, as evidenced by the dramatic fall in circulating concentrations of sFlt1 after the delivery of the placenta.<sup>123</sup>



**Figure 25-2** A schematic of the mechanism of action of sFlt1 in the vasculature of normal and preeclamptic pregnancy. Excess circulating sFlt1 binds VEGF and PIGF in the circulation, preventing these ligands from binding with their endogenous endothelial receptors. (From Bdolah Y, Sukhatme VP, Karumanchi SA: Angiogenic imbalance in the pathophysiology of preeclampsia: Newer insights. Semin Nephrol 24:548–556, 2004.)

sFlt1 levels are increased, and free (unbound) PIGF and free VEGF levels are suppressed, in the serum of women with preeclampsia.<sup>123</sup> The increase in sFlt1 precedes the onset of clinical disease by at least 5 weeks<sup>129,130</sup> and appears to more pronounced in severe and early-onset preeclampsia.<sup>131,132</sup> In several studies, decreased free PIGF levels were observed before 20 weeks of gestation in women who developed preeclampsia later in pregnancy,<sup>131,133,134</sup> though not all investigators have confirmed this finding.<sup>135</sup> Although reduction in free PIGF may be predominantly explained by increased sFlt1 production, some data suggest that the fall in PIGF may actually precede the rise in sFlt1, implicating other mechanisms such as decreased PIGF production. Since free PIGF is readily filtered through an intact glomerulus, urinary PIGF measurements can also be used for the prediction of preeclampsia.<sup>136</sup>

Derangements in other angiogenic molecules have also been observed. Levels of endostatin, an anti-angiogenic factor, are also elevated in preeclampsia.<sup>137</sup> A naturally occurring soluble form of Flk (VEGFR-2), the other major VEGF receptor, has recently been identified as being produced by the placenta.<sup>138</sup> Its role, if any, in placental vasculogenesis or preeclampsia remains unknown.

There is significant supportive evidence suggesting that VEGF and PIGF antagonism by sFlt1 could produce the endothelial dysfunction in preeclampsia. VEGF is highly expressed by glomerular podocytes, and VEGF receptors are present on glomerular endothelial cells.<sup>139,140</sup> In experimental glomerulonephritis, VEGF is necessary for glomerular capillary repair.<sup>141,142</sup> In anti-angiogenesis cancer trials, VEGF antagonists produce proteinuria and hypertension in human subjects.<sup>143,144</sup>

Recent data suggest that VEGF may be particularly important in maintaining the health of fenestrated endothelium,<sup>145</sup> which is found in the renal glomerulus, choroids plexus, and hepatic sinusoids—organs disproportionately affected in preeclampsia. It is tempting to speculate that cigarette smoking may exert its protective influence on preeclampsia risk<sup>146</sup> by its pro-angiogenic effects.<sup>147</sup>

On the other hand, genetic studies provide little support for a role for sFlt1. For example, both an Australian/New Zealand cohort<sup>148</sup> and an Icelandic cohort<sup>86</sup> have suggested a maternal susceptibility locus on chromosome 2, bearing no known relationship to sFlt1. Although it is possible that such loci are associated with transcription factors or splicing factors affecting sFlt1 production, it seems more likely that there are other as yet unidentified genetic factors that contribute to this multifactorial disease. The Flt1/sFlt1 gene is located on chromosome 13q12, and it is interesting to note that trisomy 13 has long been associated with an increased incidence of preeclampsia,<sup>149</sup> suggesting that a gene on this chromosome may be important.

Angiogenic factors are likely to be important in placental vasculogenesis. Generally speaking, VEGF is involved in all aspects of vascular development, including proliferation, migration, survival, and regulation of vascular permeability.<sup>40</sup> VEGF ligands and receptors are highly expressed by placental tissue in the first trimester.<sup>150</sup> In vitro, sFlt1 decreases invasiveness in primary cytotrophoblast culture.<sup>40</sup> Circulating sFlt1 levels are relatively low early in pregnancy, and begin to rise in the third trimester. This may reflect a physiologic antiangiogenic shift in the placental milieu toward the end of pregnancy, corresponding to completion of the vasculogenic phase of placental growth. It is intuitive to hypothesize that placental vascular development might be regulated by a local balance between pro- and anti-angiogenic factors, and that excess anti-angiogenic sFlt1 in early gestation could contribute to inadequate cytotrophoblast invasion in preeclampsia. By the third trimester, excess placental sFlt1 is detectable in the maternal circulation, producing end-organ effects. In this case, placental ischemia may not be causative, but rather the earliest organ affected by this derangement angiogenic balance. It is also interesting to note that women with preeclampsia appeared to have a decreased risk for malignancy in several studies,<sup>61,151–153</sup> suggesting an anti-angiogenic milieu that may extend beyond the pregnancy itself.

#### **Immune Mechanisms**

The possibility of immune maladaption remains one of the most enigmatic theories of preeclampsia. Normal placentation requires the development of immune tolerance between the fetus and the mother. The fact that preeclampsia occurs more often in first pregnancies or after a change in partners suggests that abnormal maternal immune response to paternally derived fetal antigens may play a role. This could result in failure of fetal-derived cells to successfully invade the maternal vessels in placental vascular development. These factors are reviewed in detail elsewhere.<sup>154</sup>

Observational studies suggest that preeclampsia risk increased in cases with exposure to novel paternal antigens: not only first pregnancies, but especially first pregnancies with a new partner<sup>155</sup> and long interpregnancy interval.<sup>156</sup> Women impregnated by intracytoplasmic sperm injection (ICSI), in which sperm were surgically obtained (i.e., the woman was

never exposed to her partner's sperm in intercourse), had a threefold increased risk for preeclampsia compared with ICSI cases where sperm were obtained by ejaculation.<sup>157</sup> Conversely, prior exposure to paternal antigens appears to be protective. The duration of sexual relationship is inversely related to incidence of preeclampsia,<sup>158</sup> and oral tolerization to paternal antigens by oral sex and swallowing is associated with decreased risk.

An intact immune system seems necessary for the development of preeclampsia: women with human immunodeficiency virus (HIV) infection on no retroviral medication have a very low incidence of preeclampsia, but the incidence returns to normal in HIV-positive women who are taking highly active antiretroviral therapy (HAART).<sup>159</sup> Other immunologic phenomena have been observed in women with preeclampsia, providing circumstantial evidence for an immunologic role, such as increased circulating immune complexes, complement activation, complement and immune complex deposits in spiral arteries, placenta, liver, kidney, skin, and increased proinflammatory cytokines.<sup>154</sup> None of these clinical and biochemical observations have provided insights into immunologic triggers, however.

On a molecular level, human leukocyte antigen-G (HLA-G) expression appears to be abnormal in preeclampsia. HLA-G is a major histocompatibility tissue-specific antigen expressed in extravillous trophoblast (tissue of fetal origin), which may play a role in inducing immune tolerance at the maternal-fetal interface. Soluble HLA-G1 isoform downregulates both CD8+ and CD4<sup>+</sup> T-cell reactivity. HLA-G also modulates innate immunity by binding to several natural killer (NK) and/or decidual receptors. In normal pregnancy, HLA-G is highly expressed by the most invasive cytotrophoblasts. Goldman-Wohl and colleagues have shown that in preeclampsia, HLA-G expression by cytotrophoblasts is either absent or reduced.<sup>160</sup> HLA-G protein concentrations are reduced in both maternal serum and in placental tissue in preeclampsia.<sup>161</sup> These investigators have hypothesized that this may affect the ability of trophoblasts to invade effectively, and could contribute to the ineffective trophoblasts invasion seen in preeclampsia. The molecular mechanisms that govern HLA-G expression, and how they might be deranged in preeclampsia, are still being elucidated.

It has been recently suggested that the NK cells in the maternal-fetal interface may play a role in maternal vascular remodeling and thus may be involved in the pathogenesis of preeclampsia. Genetic studies looking at polymorphisms in the killer immunoglobulin receptors (KIRs) on maternal NK cells and the fetal HLA-C haplotype suggest that patients with KIR-AA genotype and fetal HLA-C2 genotype were at greatly increased risk for preeclampsia.<sup>162</sup>

The possibility that infectious agents may play an etiologic or precipitory role in at least some cases of preeclampsia has continued to have sporadic support. Both anti-cytomegalovirus and anti-chlamydia antibody (immunoglobulin G) titers were increased in women with early-onset preeclampsia compared with late-onset preeclampsia and normal controls.<sup>163</sup> Another group confirmed increased titers against *Chlamydia pneumoniae* in patients with preeclampsia.<sup>164</sup> The association with *Chlamydia* is particularly interesting given its known association with coronary and other vascular disease, which shares many common risk factors with preeclampsia. Case reports have described preeclampsia with parvovirus B19 infection.<sup>165</sup> Other viral infections, such as herpes simplex virus-2 and

Epstein-Barr virus, have been associated with a lower incidence of preeclampsia.<sup>166</sup> Overall, it is safe to conclude that known infectious agents do not have a primary role in the majority of cases of preeclampsia.

## DIAGNOSIS AND THERAPY: FUTURE DIRECTIONS

Currently, there is no useful and practical screening test for preeclampsia. Management is supportive and nonspecific, centering on bed rest, antihypertensive agents, magnesium, and early delivery. As our understanding of the pathophysiology of preeclampsia becomes more complete, specific and sensitive diagnostic tools and targeted therapies may be developed. It is difficult to predict which of the many leads described in this chapter will lead to changes in preeclampsia management. Indeed, given the polygenic and multifactorial nature of preeclampsia, diagnosis and treatment seem likely to have multiple arms. For example, the combination of low PlGF and low sex hormone binding globulin (SHBG), a marker of insulin resistance, was found to be more predictive of preeclampsia than either test alone.<sup>167</sup> Other groups are examining the utility of combining abnormalities in uterine artery Doppler waveform, a promising but nonspecific marker of preeclampsia risk, with serum markers.<sup>168–170</sup> Recent retrospective studies demonstrating the feasibility of urine screening test (PIGF) followed by a confirmatory blood test for circulating angiogenic proteins (sFlt1 and PIGF) for the prediction of preeclampsia are promising.<sup>136</sup> A prospective longitudinal study examining both urine and serum serially throughout gestation for alterations in angiogenic factors is needed to determine the relevance of these markers for the early identification of preeclampsia and the prediction of its severity.

Of course, a screening or diagnostic test will become truly useful only when an effective treatment or preventative intervention becomes available. A large multicenter trial of antioxidants for primary prevention of preeclampsia is currently underway with results expected in late 2006. Pharmacologic approaches to counteract the effects of sFlt1 might prove to be an effective treatment for established preeclampsia. It is clear that in cases of early, severe preeclampsia, a treatment that would allow clinicians to safely postpone delivery even a few weeks would result in a significant impact on neonatal morbidity. Therefore, there is a great opportunity to make a substantial clinical impact if effective treatments are developed.

Clearly, a multifactorial approach is required as we continue to deepen our understanding of the preeclampsia syndrome. As our understanding continues to advance based on molecular and genetic techniques, we are hopeful that new interventions may improve our management of this important syndrome in the near future.

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## Chapter 26

## Molecular Insights into the Thrombotic Microangiopathies

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### INTRODUCTION TO THE THROMBOTIC MICROANGIOPATHIES

The thrombotic microangiopathies are a heterogeneous group of serious disorders characterized by thrombocytopenia, microangiopathic hemolytic anemia, and varying degrees of renal dysfunction.<sup>1</sup> Prominent neurologic symptoms and signs often accompany this clinical syndrome and constitute a major cause of morbidity and mortality. Histopathologically, lesions are defined by intravascular platelet aggregates that result in microvascular occlusion and secondary ischemic tissue injury.<sup>2</sup> It is generally accepted that a dysfunctional, activated endothelium is the primary cellular target that precipitates this pathologic state.<sup>2–4</sup>

Three distinct clinical syndromes comprise the thrombotic microangiopathies, differing in the severity of renal dysfunction and neurologic involvement. First described by Gasser and colleagues in 1955,<sup>5</sup> the hemolytic uremic syndrome (HUS) is the most common of the thrombotic microangiopathies and is characterized by severe renal impairment. The vast majority of patients are children who suffer a prodromal, bloody diarrheal illness typically 1 week before the onset of HUS.<sup>6,7</sup> With the institution of appropriate supportive therapies, most children have an excellent prognosis and are not prone to relapses.<sup>7</sup> This form of the disease is referred to as diarrhea-associated HUS (D+HUS). A minority of patients with HUS do not present with prodromal hemorrhagic colitis, are prone to relapses, and progress to end-stage renal disease (ESRD) despite institution of aggressive therapeutic measures.<sup>8,9</sup> These patients are said to have diarrhea-negative HUS (D-HUS), or atypical HUS. Finally, patients with prominent extrarenal manifestations, particularly neurologic involvement, and milder renal impairment constitute a syndrome first described by Moschcowitz in 1924, thrombotic thrombocytopenic purpura (TTP).<sup>10,11</sup> Without institution of prompt, daily plasma exchange, the disease is almost universally fatal. With appropriate therapy, however, over 90% of patients improve in dramatic fashion.<sup>12</sup> Because these clinical definitions are somewhat vague, clinician dependent, and overlapping, significant controversy exists in the differentiation of these clinical syndromes that may cause delays in the institution of appropriate therapies, or even institution of ineffective ones.1

In the past 20 years, significant progress has been made in our understanding of the molecular mechanisms that contribute to disease pathogenesis in the thrombotic microangiopathies.<sup>1</sup> Three major breakthroughs served as catalysts to ignite an explosion of detailed molecular and genetic investigations into these disorders. In 1982, Moake and colleagues were the first to report "unusually large" von Willebrand factor (vWF) multimers in the plasma of patients with chronic, relapsing TTP, and hypothesized that a deficiency in a plasmaborne processing activity may be causally associated with the disease.<sup>14</sup> Soon thereafter, an epidemiologic association was established between infection with Shiga toxin–producing *Escherichia coli* O157:H7, hemorrhagic colitis, and HUS.<sup>15</sup> Most recently, in 1998, Warwicker and colleagues provided the first molecular evidence th.at patients with atypical HUS have defects in the ability of host cells, particularly renal glomerular endothelial cells, to protect themselves against activation of the alternative pathway of complement.<sup>16</sup> Today, these novel molecular insights have brought us to the cusp of a revolution in the diagnosis and targeted therapy of these disorders. They are individually discussed below.

#### DIARRHEA-ASSOCIATED HEMOLYTIC UREMIC SYNDROME Eschericia – INFECTION WITH Eschericia coli 0157:H7

D+HUS is the most common of the thrombotic microangiopathies, and a major cause of acute renal failure in children. As its name implies, and distinct from other thrombotic microangiopathies, a bloody diarrheal illness with low-grade or absent fever generally precedes the onset of HUS by 2 to 14 days (median 6 days).<sup>6,15</sup> The pathology reveals hemorrhagic colitis, which can be severe. Patients display the characteristic features of microangiopathic nonimmune hemolytic anemia, thrombocytopenia, and acute renal failure. Extrarenal manifestations may also occur and involve numerous tissues, including the pancreas, skeletal muscle, and myocardium.<sup>17</sup> Central nervous system (CNS) complications occur in 20% of children with D+HUS during the acute phase of the illness.<sup>7</sup> Seizure, stroke, and coma are responsible for the majority of deaths, especially in young children and the elderly.<sup>7,17,18</sup> Histopathologically, renal glomeruli demonstrate fibrin-rich thrombi, swelling, and detachment of endothelial cells from the basement membrane, capillary wall thickening, and concomitant narrowing of the capillary lumen.<sup>2,19</sup> Evidence of vasculitis or perivascular infiltration is conspicuously absent. Accompanying cortical necrosis is often a prominent feature. Fibrin deposition and thrombosis in larger preglomerular arterioles and medium-sized vessels have also been documented.<sup>18,19</sup> The venous circulation is typically spared. Similar histopathologic lesions with accompanying parenchymal ischemic changes are also characteristic of other affected organ systems, particularly the human gastrointestinal tract<sup>20</sup> and brain.<sup>21–23</sup> These pathologic stigmata belie the central role of endothelial injury in the pathogenesis of D+HUS.4

### The Major Breakthrough–Epidemiologic Association of *E. coli* O157:H7, Hemorrhagic Colitis, and HUS

The major breakthrough in our understanding of this disease was the epidemiologic association of infection with a novel human pathogen, E. coli O157:H7, hemorrhagic colitis ,and HUS.<sup>24–26</sup> In particular, Karmali and colleagues proposed that a virulence factor produced by this enterohemorrhagic pathotype of E. coli, Shiga-like toxin (or verotoxin), was likely the causative agent.<sup>15,27,28</sup> Since these initial descriptions, E. coli O157:H7 has effectively made the transition from a medical curiosity to a major public health concern.<sup>7</sup> With an incidence of approximately eight infections per 100,000 persons per year in North America,<sup>29,30</sup> infection with E. coli O157:H7 has been linked to both sporadic cases and numerous disease outbreaks worldwide.<sup>7,31,32</sup> The vast majority of infections are caused by food or water contamination with cattle excrement, the principal reservoir for human infection with E. coli O157:H7.<sup>1,7</sup> Major outbreaks have been reported from the consumption of contaminated, undercooked hamburger meat,<sup>31</sup> commercial salami<sup>33</sup> and even alfalfa<sup>34,35</sup> and radish sprouts,<sup>36</sup> as was the case in a massive epidemic affecting elementary school children in Sakai City, Japan, in 1996. Drinking or swimming in contaminated water have also been linked to several large E. coli O157:H7 outbreaks.<sup>37</sup> In May 2000, the municipal water supply of the small town of Walkerton, Ontario, Canada, was contaminated by surface water draining into their wells. Of the nearly 5,000 residents of Walkerton, 2,300 persons developed gastroenteritis, 65 persons required hospitalization and 27 persons developed D+HUS. Of this latter group, seven persons died from their illness,<sup>38,39</sup> making D+HUS the most serious and dreaded complication of infection with E. coli O157:H7.<sup>1,7</sup> In most reported series, the risk for progression to D+HUS ranges from 1% to 8%,<sup>39–41</sup> but rates higher than 20% have been reported in several outbreaks.<sup>42,43</sup> Risk factors for progression to D+HUS include bloody diarrhea, elevated serum leukocyte count, extremes of age, and, though arguable, treatment with antibiotics.<sup>7,37,44</sup> During the acute phase of the disease, nearly 50% of patients require dialysis.<sup>7</sup> Although the vast majority of patients recover from an episode of D+HUS, and recurrence is very unlikely, 3% to 5% develop chronic renal failure and a similar percentage die of the disease.<sup>7,45</sup> Perhaps most alarming are the lack of specific therapies and apparent rise in disease incidence worldwide. 46,47

### E. coli O157:H7, Shiga-Like Toxins (Verotoxins), and the Classical Paradigm of D+HUS Pathogenesis

Commensal *E. coli* organisms colonize the colonic mucosa of human infants shortly after birth and quickly emerge as the most abundant facultative anaerobe in the human intestine. Here, they enjoy a symbiotic relationship with their host for many decades.<sup>48</sup> In contrast, other *E. coli* organisms have acquired specific virulence attributes and are important agents of human disease, in particular, gastroenteritis, urinary tract infections, and sepsis/meningitis.<sup>48</sup> Depending on the specific combination of acquired virulence factors, these pathogenic *E. coli* are categorized into various pathotypes comprising different clonal groups (serotypes) characterized by shared O (lipopolysaccharide) and H (flagellar) antigens.<sup>48,49</sup> Among enterohemorrhagic E. coli (EHEC) organisms, the O157:H7 serotype is responsible for the majority of cases of hemorrhagic colitis and D+HUS in North America, the United Kingdom, and Japan.<sup>6,48</sup> Because EHEC organisms are noninvasive and remain in the gut after ingestion of contaminated food or water, the pathogenic properties of E. coli O157:H7, especially in relation to the pathogenesis of D+HUS, are in large part ascribed to its key secreted virulence factors, the Shiga-like toxins.<sup>50</sup> Although intensive research efforts have focused on the roles of Shiga-like toxins in D+HUS, hostpathogen relationships are complex and it is likely that other determinants (both human and bacterial) contribute to disease pathogenesis. For example, it is now well appreciated that over 200 serotypes of E. coli produce Shiga-like toxins, but only a few (most prominently the O157 and O111 serogroups) are associated with human disease.<sup>6,48</sup> It is of interest that these pathogenic strains also harbor a chromosomal pathogenicity island termed the locus for enterocyte effacement (LEE), whose gene products are required for the development of characteristic attaching and effacing (A/E) lesions in the human intestine.<sup>48,51</sup> If, and how, these additional virulence factors specifically contribute to the pathogenesis of D+HUS remains to be elucidated.<sup>52</sup> Other lines of evidence that underscore the importance of non-Shiga-like toxin bacterial determinants of D+HUS include the identification of novel chromosomal pathogenicity islands discovered during the complete sequencing of the E. coli O157:H7 genome,<sup>53</sup> the recent characterization of a subtilase cytotoxin from EHEC that induces microvascular thrombosis in target organs after intraperitoneal injection in mice,<sup>54</sup> and the observation that some strains of E. coli O157:H7 associated with D+HUS do not produce Shiga-like toxins.<sup>55</sup> Notwithstanding, research on Shiga-like toxins forms the basis for our current understanding of the molecular mechanisms underlying D+HUS.<sup>1</sup>

# The Shiga Family of Toxins—Potent Inhibitors of Protein Synthesis

The Shiga family of toxins belong to a larger family of clinically relevant bacterial and plant AB toxins.<sup>50</sup> These include the bacterial cholera, diphtheria, and pertussis toxins, among others, as well as the plant toxin ricin.<sup>56</sup> The latter is derived from the seeds of the castor plant (Ricinus communis) used in the production of castor oil.<sup>57</sup> The AB toxins are so named because they share a common structural organization that is characteristic of nearly all intracellularly acting protein toxins. One or more B subunits mediate binding of the holotoxin to glycolipid receptors on the surface of target cells. This ligandreceptor interaction initiates internalization of the holotoxin and transport of the enzymatically active A subunit to the cytosol.<sup>56</sup> The Shiga family of toxins are AB<sub>5</sub> hexamers consisting of identical B subunits (7.7 kD each) noncovalently bonded to a helix at the carboxyl-terminus of the single A subunit (32 kD) in a doughnut-shaped pentameric ring.58,59 The A subunit is composed of two fragments linked by disulfide bonding: the enzymatically active A1 fragment (27 kD) and the smaller (4 kD) A2 fragment required for holotoxin assembly<sup>18,60</sup> (Fig. 26-1, upper right corner). Kiyoshi Shiga described the first member of this family, Shiga toxin, from Shigella dysenteriae, and it is in his honor that the family of toxins is named.<sup>61,62</sup> EHEC organisms are known to produce at least two genetically and antigenically distinct Shiga-like



Figure 26-1 Classical paradigm of verotoxininduced cellular toxicity in diarrhea-associated hemolytic-uremic syndrome. Verotoxins (VTs) are AB<sub>5</sub> exotoxins produced by pathogenic strains of enterohemorrhagic Escherichia coli, most prominently E. coli O157:H7. They are composed of five identical B subunits noncovalently bonded to a single A subunit in a donut-shaped pentameric ring. The A subunit consists of the enzymatically active A1 fragment and smaller A2 fragment. VT binds to susceptible cell surfaces, particularly endothelial cells, via a Gb<sub>3</sub> glycolipid receptor. This initial binding is followed by receptor-mediated endocytosis. retrograde transport of the toxin through the trans-Golgi apparatus and endoplasmic reticulum (ER). During its retrograde transport through the cell's acidic, intracellular compartments, VT is cleaved by furin to release the enzymatically active A1 fragment into the cytosol, where it potently inhibits protein synthesis by a direct and specific activity on ribosomes. (See Color Plate 1.)

toxins: Shiga toxin 1 (Stx1) and Shiga toxin 2 (Stx2).<sup>63</sup> These toxins are also known as verotoxin-1 (VT1) and verotoxin-2 (VT2), respectively, in reference to their initial characterization as cytotoxic activities against an African green monkey kidney cell line (Vero).<sup>24</sup> VT1 is nearly identical to Shiga toxin, differing by a single amino acid substitution from serine to threonine at position 45 in the toxin's A subunit.<sup>64,65</sup> The A subunits of VT1 and VT2 share only 56% amino acid sequence identity.<sup>66</sup> Unlike VT1, the VT2 subfamily of toxins is composed of a number of variants, including VT2c and VT2e. E. coli O157:H7 commonly produces VT1 and VT2, VT2 alone, or VT2 and VT2c; all combinations have been associated with D+HUS.<sup>66,67</sup> Intriguingly, E. coli O157:H7 strains that produce only VT2 family members, in particular, VT2c,68 are most closely linked with progression to D+HUS in epidemiologic studies<sup>69-72</sup> and several animal models.<sup>73-75</sup> The molecular explanation for this observation is unclear; however, slower association and dissociation rates than VT1 with their cognate receptor,<sup>76</sup> increased cytotoxicity against human renal microvascular endothelial cells,77 and associated virulence factors may be contributory.<sup>63</sup> VT2e causes the systemic edema disease of weaning piglets.78

The A subunits of the various AB toxins possess different catalytic activities. For example, diphtheria, cholera, and pertussis toxins catalyze the transfer of the adenosine diphosphate (ADP)-ribose moiety of nicotinamide adenine dinucleotide (NAD) to the cytosolic protein targets elongation factor-2 (EF-2, a component of the eukaryotic protein synthesis machinery)<sup>79</sup> and the  $\alpha$  subunits of G<sub>s</sub><sup>80</sup> and G<sub>i/o</sub><sup>81</sup> adenylate cyclase regulatory proteins, respectively. Alternatively, the A subunits of the Shiga family of toxins and ricin possess intrinsic RNA *N*-glycosidase activity and catalyze the depurination of a specific adenosine at position 4,324 from the 5' terminus of the eukaryotic 28S ribosomal RNA (rRNA) of the 60S ribosomal subunit.<sup>82–84</sup> Although the mechanism by which this occurs has not been fully elucidated, it likely involves "base-flipping" after targeting of the toxin to the evolutionarily conserved sarcin/ricin loop (SRL) of 28S rRNA, a structural element known to be important for elongation factor binding.<sup>85,86</sup> This *N*-glycosidase-mediated depurination of 28S rRNA prevents amino-acyl-tRNA from engaging the 60S ribosomal subunit and antagonizes the binding and coordinated function of elongation factor-1 and -2. The result is potent and irreversible inhibition of protein synthesis and cell death.<sup>50,82–84</sup> Verotoxins, like other AB toxins, are enzymes; even minute quantities of toxin can have pronounced effects on target cells.<sup>87–89</sup>

#### Verotoxin Receptors and the Classical Paradigm of D+HUS Pathogenesis

For the catalytic A subunit to reach its cytosolic target, the holotoxin must first bind to a susceptible cell surface and become internalized. This specificity is achieved by binding of the B subunits to cell surface glycolipid receptors in a classical receptor-ligand interaction.<sup>18</sup> Each of the five B subunit monomers is composed of a single  $\alpha$  helix and adjacent antiparallel  $\beta$  strands (or barrels) among which are housed three carbohydrate-binding sites.<sup>90,91</sup> Two sites per monomer (10 per pentamer) mediate high-avidity binding to receptor surfaces, while a third site in the monomer (5 per pentamer) seems to facilitate binding of the high-avidity sites to receptor groupings.<sup>92</sup> The third site appears to be absent from members of the VT2 family.<sup>66</sup> Two prominent membrane glycolipid receptors are characterized by the core carbohydrate sequence, galactose  $\alpha(1\rightarrow 4)$ -galactose  $\beta(1\rightarrow 4)$ -glucose

ceramide; namely, globotriaosyl ceramide (Gb<sub>3</sub>, CD77) and globotetraosyl ceramide (Gb<sub>4</sub>).<sup>93–96</sup> Gb<sub>3</sub> and Gb<sub>4</sub> glycolipid receptors are also known as the Pk and P antigens, respectively, of the P blood group system expressed on the surface of red blood cells.<sup>97,98</sup> The B subunits of VT1, VT2, and VT2c, which are the variants most important in the pathogenesis of D+HUS, bind Gb<sub>3</sub>, while VT2e, important in the pig edema disease, preferentially binds Gb<sub>4</sub>.

Cell surface expression of the glycolipid receptor Gb<sub>3</sub> is the major determinant of host cell sensitivity to verotoxin.<sup>97</sup> This is substantiated by the tissue distribution of Gb<sub>3</sub> in humans and animal models coincident with the pattern of affected host tissues in D+HUS.<sup>99-102</sup> In particular, endothelial and epithelial cells (e.g., renal proximal tubule and cortical epithelial cells) demonstrate high surface levels of Gb<sub>3</sub><sup>97</sup> Endothelial cell expression of Gb<sub>3</sub> varies with the vascular bed of origin.<sup>2</sup> For example, microvascular endothelial cells express high levels of Gb<sub>3</sub> and are exquisitely sensitive to verotoxin, whereas endothelial cell cultures derived from glomeruli and medium-sized vessels such as human umbilical vein endothelial cells (HUVECs) have a much lower cell surface expression of Gb<sub>3</sub> and are more resistant to the toxin.<sup>88,103,104</sup> These studies are in keeping with the histopathology of D+HUS characterized by preferential involvement of the microcirculation.<sup>2,19</sup> Nevertheless, it is probable that other factors contribute to a susceptible phenotype in a host cell's response to verotoxin. For example, decreasing levels of renal Gb<sub>3</sub> expression from infancy to adulthood have been postulated to explain the preferential involvement of children in D+HUS epidemics.<sup>105</sup> This dogma has recently been called into question with the demonstration that prominent renal Gb<sub>3</sub> staining may persist into adulthood, suggesting that other factors help determine disease predilection for infants and young children.<sup>106</sup> It is also now recognized that Gb<sub>3</sub>-independent mechanisms exist for verotoxin internalization by host cells. Their physiologic relevance and contribution to the pathogenesis of D+HUS remain to be elucidated.<sup>107-109</sup>

After binding to a susceptible host cell surface, verotoxin is rapidly internalized by endocytosis from clathrin-coated pits into the endosomal compartment.89 Although clathrinindependent uptake has been described, it is much slower and of uncertain in vivo relevance. Verotoxin is then transported in a retrograde fashion to the Golgi apparatus. Although the molecular details of this transport are currently unclear, it is in this manner that verotoxin escapes targeting to lysosomes for subsequent degradation. Within the trans-Golgi network (TGN), or possibly within endosomes themselves, the enzyme furin cleaves the verotoxin A subunit to generate two proteolytic fragments: the enzymatically active A1 fragment (27 kD), and a smaller, residual A2 fragment (4 kD) that remains covalently linked to the B subunit. This enzymatic reaction is potentiated by the acidic environment of these intracellular compartments, and is essential for cellular intoxication. Verotoxin is then transported in a retrograde fashion from the TGN to the endoplasmic reticulum (ER), and finally, to the cytosol where it is now available to exert its protein synthesis inhibitory activity.<sup>89,109</sup> This pathway constitutes the classical paradigm for the central role of verotoxin in the pathogenesis of D+HUS (see Fig. 26-1). Intriguingly, aberrant proteins in the ER are normally targeted to the 26S proteasome in the cytosol for ubiquitin-dependent proteasomal degradation. In the vast majority of cases, proteins destined for degradation in

this fashion are "tagged" on lysine residues with ubiquitin chains of variable length.<sup>110</sup> That verotoxin has evolved in such a way that it possesses lysine residue at a reduced frequency compared to a majority of mammalian proteins is an extremely elegant system for evading eukaryotic host defenses.<sup>110,111</sup>

### Newer Concepts in the Pathogenesis of D+HUS

In recent years, exciting newer concepts have evolved regarding the roles of verotoxin in the pathogenesis of D+HUS. The classical paradigm, although providing important mechanistic insights, cannot explain many of the disease subtleties.<sup>112</sup> For example, how does a potent protein synthesis inhibitor induce a marked inflammatory response characterized by cytokines in patients' blood and serum?<sup>113–115</sup> How does circulating verotoxin promote endothelial cell activation and a prothrombotic luminal surface in susceptible vascular beds of the microcirculation?<sup>116–118</sup> The newer concepts address these fundamental questions and add substantively to the classical paradigm by shifting the focus away from protein synthesis inhibition to other novel and equally important pathogenic properties of the toxin.

#### The Ribotoxic Stress Response and Subinhibitory Concentrations of Verotoxin

It is now well appreciated that a pronounced inflammatory response accompanies D+HUS.<sup>112</sup> This has been repeatedly documented in patients<sup>113-115</sup> and various animal models.<sup>74,119,120</sup> Indeed, an exuberant inflammatory response likely plays a major role in disease pathogenesis by activating susceptible endothelial cells, aggravating vascular injury, and promoting microvascular thombosis.<sup>116</sup> These observations, however, create a paradox in which the inflammatory response, an active process that requires de novo protein synthesis, is induced by a potent translational inhibitor. The same paradox confounds recent observations of apoptosis,<sup>121</sup> the active process of programmed cell death, in various in vitro cell culture models,<sup>104,122-124</sup> animal models,<sup>125-127</sup> as well as renal biopsies of patients with D+HUS.<sup>123,128</sup> How is the observation of these cellular phenomena that are dependent on de novo protein synthesis reconciled with the well-characterized mechanism of action of verotoxin?

The ribotoxic stress response (RSR) is an evolutionarily conserved cellular reaction to toxins and other stimuli that target the 3' end of 28S rRNA.<sup>129</sup> Central to this molecular paradigm is the activation of intracellular signaling cascades, most prominently, mitogen-activated kinases (MAPKs), which leads to the induction of specific gene expression profiles involved in the cellular response to stress.<sup>129,130</sup> This includes genes important in differentiation, cell survival, apoptosis, and cellular activation, among others.<sup>129,131</sup> Importantly, induction of the RSR is not a general feature of protein synthesis inhibitors, but appears to be a highly specific phenomenon restricted to those stimuli that interfere with the functioning of the SRL or damage the SRL in the 3' end of 28S rRNA; for example, ricin and  $\alpha$ -sarcin.<sup>130</sup> In contrast, other AB<sub>5</sub> toxins that act as potent protein synthesis inhibitors by different mechanisms (for example, diphtheria toxin and Pseudomonas aeruginosa exotoxin A catalyze the specific ADPribosylation of EF-2) fail to induce the RSR.<sup>129,130,132</sup> Recently,

Kojima and colleagues demonstrated that VT1, but not mutant VT1 lacking *N*-glycosidase activity, specifically activates MAPKs and the RSR in a human epithelial cell culture model.<sup>133</sup>

Greater credence was given to induction of the RSR in the pathobiology of verotoxin-associated D+HUS when it was discovered that even subinhibitory concentrations of verotoxin, that is, toxin concentrations that demonstrate no or minimal effects on nascent protein synthesis, could have dramatic effects of cellular gene expression profiles. First documented by Bitzan and colleagues for endothelin-1 (ET-1), a prominent physiologic vasoconstrictor,87 it is now appreciated that subinhibitory concentrations of verotoxin can act as potent activators of the endothelial phenotype characterized by genes known to be important in the inflammatory response, and apoptosis.<sup>104,134,135</sup> In this fashion, lesioning of 28S rRNA may serve as an intracellular sensor for stress by initiating specific signaling cascades that result in the induction of specific gene expression programs. Although the molecular transducers and in vivo relevance of this newer molecular paradigm remain to be definitively established, verotoxininduced RSR (independent of protein synthesis inhibition) represents a major conceptual advance in our understanding of verotoxin-associated D+HUS.

## Nuclear DNA is an Alternative Substrate for Verotoxin

Both protein synthesis inhibition and induction of the RSR require depurination of a specific adenosine in the 3' end of 28S rRNA. Recently, however, it has been appreciated that nuclear DNA can also serve as an important enzymatic substrate for verotoxin.<sup>136,137</sup> This reaction involves the specific removal of adenines from multiple sites within the DNA template, and appears to be common to toxins that possess Nglycosidase activity (e.g., ricin) rather than a consequence of generalized protein synthesis inhibition.<sup>137-139</sup> Unlike DNA glycosylases involved in DNA repair, single-strand breaks are thought to result from a weakening of the DNA sugar-phosphate backbone rather than specific apurinic/apyrimidinic lyase activity, consistent with the known mechanism of action of these enzymes.<sup>140,141</sup> Importantly, Brigotti and colleagues demonstrated that VT1 depurinates DNA in HUVECs after the onset of potent protein synthesis inhibition but prior to the onset of apoptosis.<sup>142</sup> These researchers speculate that this novel action of the toxin may be important in the induction of apoptosis, and may promote more insidious perturbations in gene expression by targeting critical regulatory regions of the genome. The relevance of this novel action of verotoxin in the pathogenesis of D+HUS remains to be determined.

## Implications for Therapy and the Way Ahead

Prior to the ready availability of dialysis therapy for patients with D+HUS in acute renal failure, mortality rates as high as 25% were reported.<sup>63</sup> Today, early disease recognition and rapid institution of dialysis have significantly improved disease outcomes. Nevertheless, 3% to 5% of patients still progress to chronic renal failure and a similar percentage die from the disease.<sup>7,45</sup> Indeed, D+HUS remains the most dreaded complication of infection with EHEC and a major cause of acute renal failure among children.<sup>6,15</sup>

The most effective therapeutic strategy to date remains prevention of infection with disease-causing strains of E. coli through public education and improved food and water safety.<sup>143</sup> No specific therapies currently exist for the treatment of D+HUS.<sup>63,144</sup> Therapy remains entirely supportive. For example, the role of antibiotics in the treatment of D+HUS is currently uncertain and extremely controversial.<sup>145</sup> While some groups proport major beneficial effects on prevention and improvement in the clinical course of D+HUS,<sup>146</sup> others report no benefit<sup>147,148</sup> or even significant harm associated with their prescription early on in the disease.<sup>37,44</sup> Similarly, antiplatelet agents<sup>149</sup> and plasmapheresis<sup>150</sup> are used at the discretion of the treating clinician with unclear supporting evidence. Anticoagulants,<sup>151–153</sup> fibrinolytics,<sup>154</sup> intravenous gammaglobulin (IVIG),<sup>155</sup> plasma infusion,<sup>156</sup> and steroids<sup>157</sup> are likely of no clinical benefit. It is hoped that recent molecular insights highlighting the central role of verotoxins in the pathogenesis of D+HUS will lead to novel therapeutic strategies.

In this regard, two general strategies have been pursued by the research community: first, the development of a vaccine to protect at-risk populations<sup>158</sup>; and second, agents to prevent the binding of verotoxin to its cognate receptor, Gb<sub>3</sub>, on susceptible host cell surfaces.<sup>63,143,144</sup> Vaccines may be employed to protect cattle from colonization with E. coli O157:H7<sup>159</sup> or humans against infection after consuming contaminated beef. These measures have proven to be effective in several animal models,<sup>160,161</sup> but their safety and efficacy remain to be tested in humans.<sup>158</sup> In recent years, however, it is the potential of verotoxin binders used early in the diarrheal phase of illness to prevent D+HUS that has received the most attention and research dollars. Verotoxin binders can be categorized as synthetic toxin binders, probiotic therapy, or monoclonal antibodies.<sup>144</sup> Synsorb-Pk is a synthetic toxin binder composed of the trisaccharide moiety of Gb<sub>3</sub> bound to a silicon-based carrier.<sup>162</sup> Despite its ability to protect Vero cells in culture from the cytotoxic activity of verotoxins, it failed to improve outcomes in patients with D+HUS in a double-blind, randomized, placebo-controlled trial.<sup>162</sup> Currently, synthetic binders and monoclonal antibody cocktails with greater affinity for verotoxins that may be administered intravenously are currently under development and remain to be tested in clinical trials.<sup>63,144,163,164</sup> It is likely that these agents will need to be administered early in the diarrheal phase of illness and not subsequent to the development of D+HUS if they are to be maximally effective.

#### ATYPICAL HEMOLYTIC UREMIC SYNDROME-DEFECTIVE HOST PROTECTION AGAINST ACTIVATION OF THE ALTERNATIVE PATHWAY OF COMPLEMENT

A subgroup of patients do not present with a prodromal diarrheal illness and infection with Shiga toxin–producing bacteria and are therefore categorized as having diarrhea-negative HUS (D-HUS).<sup>1</sup> These "atypical" patients constitute a heterogeneous population.<sup>9</sup> In most, a clear inciting factor precedes the development of HUS; for example, drugs, non-diarrheal infection, solid organ and hematologic transplantation, other systemic diseases, and pregnancy.<sup>1</sup> Patients are most often older and portend a worse prognosis.<sup>1,165</sup> These

patients will be discussed separately in the final section. In others, atypical HUS develops in the absence of easily recognizable, exogenous triggers.<sup>1,9</sup> In stark contrast to patients with D+HUS, mortality rates higher than 50% have been reported. with approximately half of survivors suffering relapses and as many as 81% progressing to ESRD.<sup>1,8,9</sup> Histopathologically, lesions are very similar to those seen in D+HUS, characterized by the accumulation of platelet- and fibrin-rich thrombi in affected renal glomeruli and, to a lesser extent, small vessels of the CNS. Renal arteriolar lesions are especially prominent.166-168 Notably, evidence of vasculitis and immune complex deposition is lacking. Familial clustering of atypical HUS has been documented in 10% to 15% of all cases.<sup>1</sup> Both autosomal-dominant and -recessive modes of inheritance have been described.<sup>8,16,169,170</sup> The incomplete penetrance and variable phenotypes most characteristic of these pedigrees likely reflect a complex disease with important genetic and environmental contributions. It is the molecular and genetic analyses of these rare families that have provided the greatest insights into disease pathogenesis and have helped establish atypical HUS as the prototypical disease of inefficient protection against activation of the alternative pathway of complement on susceptible cell surfaces-notably, of renal glomerular and arteriolar endothelial cells.<sup>16,171,172</sup>

#### Atypical HUS, the Human Complement System, and Regulator of Complement Activation Gene Cluster

Dysregulation of the human complement system has long since been implicated in the pathogenesis of renal disease.<sup>173,174</sup> Initial reports on the role of complement in HUS, appearing as a series of letters in *Lancet*, provided two important insights. First, low plasma levels of C3 and high levels of complement degradation products suggested overactivity of the human complement system as a possible etiologic factor; and second, only a small percentage (10%–15%) of patients with HUS manifested these plasma-derived laboratory abnormalities.<sup>175–178</sup> It is only in the past 10 years that careful investigations have helped to resolve this paradox and firmly establish abnormalities in the regulation of complement activation on host cell surfaces as predisposing to the condition.<sup>172,179</sup>

#### The Alternative Pathway of the Human Complement System

The human complement system is a versatile and powerful effector of innate immunity. Its functional complexity is belied by its more than 30 protein constituents in the plasma and on cell surfaces. The complement system not only provides a robust first-line defense against pyogenic bacterial infection, but also bridges innate and adaptive immunity and rids the body of immune complexes and damaged (apoptotic) host cells.<sup>180</sup> Three distinct pathways lead to activation of the complement system: the classical, mannose-binding lectin, and alternative pathways. Although they differ in their modes of activation, each pathway results in the generation of C3 convertase enzymes responsible for cleaving C3 into proteolytic fragments, C3a and C3b. C3b then contributes to the creation of the C5 convertase enzymes with subsequent formation of the membrane attack complex (MAC), a porelike, lipophilic protein complex consisting of complement components C5b to C9 that inserts into cellular membranes and results in cell lysis.<sup>181</sup> In addition, other complement components serve as opsonins and anaphylatoxins. Inherent to the complement system's ability to subserve host defense is the capacity to discriminate between self and nonself. It is therefore not surprising that many of the complement components are dedicated regulators of the complement system, focusing complement activities on microbial cell surfaces while at the same time protecting normal host cells from bystander injury. When these regulators are compromised by inherited or acquired defects, they can result in pathologic states that manifest as human disease.<sup>180-182</sup> It is indeed an inability of host cells, particularly glomerular endothelial cells, to adequately protect themselves against activation of the alternative pathway of complement that predisposes to atypical HUS.172,179,183

The alternative pathway of complement, unlike the classical pathway, does not require an antibody–cell surface interaction for activation. Low levels of C3 are spontaneously activated in vivo through the "C3 tick-over" pathway.<sup>181,184</sup> This intramolecular reaction involves the nucleophilic attack (or water hydrolysis) of an internal thioester bond in native C3 to generate a metastable, functionally "C3b-like" C3 capable of binding to exposed hydroxyls or amines on biologic surfaces. This in turn leads to an amplification step with the generation of the alternative pathway C3 convertase enzyme, C3bBb. C3bBb cleaves more plasma-borne C3, which is deposited as active C3b on permissive cell surfaces, and is thereby available (in a feedback loop) for the generation of more C3 convertase enzyme.<sup>180,184</sup>

#### Atypical HUS, Inefficient Protection Against Activation of the Alternative Pathway of Complement and the RCA Gene Cluster

Combining a constitutively active pathway with the inherent nonspecificity of its downstream effectors, it is intuitive that regulation of the alternative pathway C3 convertase is paramount to mounting an efficient antimicrobial attack without damaging normal host cells. This is accomplished by complement proteins collectively referred to as the "regulators of complement activation." Most prominent among these is factor I, a serine esterase that is uniquely capable of cleaving membrane-bound C3b so that it is not available to participate in the generation of C3bBb. Factor I, however, cannot accomplish this task alone, and relies on the participation of cofactors, including factor H, membrane co-factor protein (MCP, CD46), decay-accelerating factor (DAF, CD55) and complement receptor type 1 (CR1, CD35). In large part, it is these co-factors that discriminate self from nonself and help to focus the activities of the alternative pathway on appropriate biologic targets.180

All known regulators of the alternative pathway C3 convertase, with the exception of factor I (located on human chromosome 4), are encoded by closely linked genes on the long arm of human chromosome 1 (1q32), a chromosomal region known as the regulator of complement activation (RCA) gene cluster.<sup>185–189</sup> This genetic locus contains more than 60 genes, at least 16 of which constitute components of the complement system.<sup>186,190</sup> These complement-related genes are clustered together in tandem (head-to-tail) within two distinct gene groups: a centromeric group (approximately

650 kb of genomic DNA) containing the factor H gene (*HF1*) and factor H–related genes 1 to 5 (*FHR1-5*), and a telomeric group (approximately 900 kb long) housing *DAF*, *CR1*, and *MCP* genes, among others. In keeping with their close physical proximity in the human genome and singular biologic purpose, it is generally accepted that these complement regulatory genes share a common ancestor from which they arose by multiple events of gene duplication.<sup>185,186,191</sup>

Several earlier reports suggested a possible association between the regulators of complement activation, particularly factor H, and atypical HUS.<sup>192–195</sup> Molecular evidence, however, was lacking. The breakthrough study was reported by Warwicker and colleagues in 1998.<sup>16</sup> These investigators undertook a linkage analysis using a candidate gene approach in three families with multiple affected members. They successfully mapped the disease phenotype to the RCA gene cluster. In one of these families, and in a 36-year-old man with nonfamilial, sporadic/relapsing HUS, they found two disease mutations in the coding region of the factor H gene. This disease association has subsequently been corroborated by independent laboratories in diverse populations from around the world.<sup>8,9,196</sup> More recently, the same group sequenced the coding region of another complement regulatory protein located within the RCA gene cluster, the MCP gene, in 30 families with atypical HUS, and identified probable disease mutations in three families, including one of the original families initially screened for HF1 mutations.<sup>197</sup> Again, this disease association was corroborated by an independent laboratory.<sup>198</sup> These findings provide the molecular underpinnings of an emerging paradigm for atypical HUS as a disease of inefficient regulation in the activation of the alternative pathway of complement on host cell surfaces.

### **Complement Factor H and Atypical HUS**

Human factor H, first identified as  $\beta$ 1H globulin, is the major fluid-phase regulator of the alternative pathway of complement.<sup>189,199</sup> Synthesized and secreted constitutively by the liver,<sup>200</sup> factor H consists of a single polypeptide chain glycoprotein (150 kD) normally present at plasma concentrations of approximately 110 to 615 µg/mL.<sup>201</sup> Extrahepatic synthesis of factor H has also been documented in various cell types in vitro, including endothelial cells.<sup>202</sup> Although the contribution of non–liver–derived factor H in vivo in the regulation of the alternative pathway of complement is currently unknown, it is interesting to speculate that it affords enhanced local protection against complement-mediated tissue injury at sites of infection, inflammation, or otherwise damaged endothelium.<sup>189</sup>

Factor H is composed of 20 homologous units of approximately 60 amino acids termed short consensus repeats (SCRs) or complement control protein (CCP) modules, much like "beads on a string"<sup>203</sup> (Fig. 26-2). This organizational strategy of contiguous, globular protein domains is shared with other complement proteins,<sup>204</sup> and is reflected in the genomic structure of its single gene, HF1. Located in the centromeric group of complement-related genes in the RCA gene cluster, HF1 spans over 94 kb of genomic DNA and consists of 23 exons.<sup>189,205</sup> Each SCR is encoded by a single exon, with the exception of SCR2, which is encoded by exons 3 and 4. Its single, TATA-less promoter is responsible for the generation of at least two prominent protein-coding transcripts in the liver: full-length factor H and the alternatively spliced transcript, factor H-like 1 (FHL-1).<sup>206,207</sup> This latter transcript is translated into a 43-kD protein comprising the identical N-terminal seven SCRs of factor H, in addition to a unique four-amino acid C-terminus.<sup>208,209</sup> It is normally present in human plasma at much lower concentrations than factor H (10-50 µg/mL).<sup>201</sup> Several reports have documented cell-type and stimulusdependent differential regulation of both proteins and demonstrated factor H-like complement regulatory activity for FHL-1.<sup>189,202,208-211</sup> To date, most research has focused on factor H itself. The role of FHL-1 in the pathogenesis of atypical HUS, if any, remains to be determined.

#### Plasma-Borne Factor H Protects Endothelial Cell Surfaces Against Activation of the Alternative Pathway of Complement

Targeting the alternative pathway C3 convertase is the primary function of factor H in the regulation of complement.<sup>180,189</sup> In fluid phase, factor H rapidly binds to and inactivates C3b. On cell surfaces, this molecular interaction is modulated by the specific chemical composition of the local environment. In particular, the presence of sialic acids, polyanionic molecules (e.g., glycosaminoglycans), or sulphated polysaccharides (e.g., heparin) potentiates factor H binding to surface-bound C3b.<sup>212–216</sup> Inactivation of the C3 convertase is then achieved by one (or a combination of) three mechanisms: (1) diminishing engagement of factor B with C3b, thereby preventing the generation of C3 convertase and arresting its amplification loop; (2) promoting dissociation of the C3bBb complex (also



Figure 26-2 Modular organization and critical binding sites of human factor H. Factor H is a plasma-borne regulator of complement activation composed of 20 homologous subunits of approximately 60 amino acids termed short consensus repeats (SCRs) or complement control protein (CCP) modules. C3b, heparin (Hep), and sialic acid binding sites are depicted, as is the functional importance of SCR1-4 in conferring "co-factor activity" to the functional molecule. SCR19-20 represents a mutational "hot spot" in patients with atypical hemolyic-uremic syndrome, comprising over 70% of all disease mutations. (See Color Plate 1.)

known as "decay-accelerating activity"); and (3) acting as a co-factor for factor I in the cleavage of membrane-bound C3b (also known as "co-factor activity").<sup>217–220</sup> This permissive environment is characteristic of normal host surfaces, including the fenestrated endothelial lining of glomeruli, but not of invading microorganisms. It is therefore a fundamental determinant of self-nonself discrimination.

## **Deficiency of Factor H Predisposes to Atypical HUS**

Deficiency or homozygous deletion of factor H in two animal models (pig and mouse, respectively) leads to overactivity of the alternative pathway of complement, an associated consumptive hypocomplementemia and spontaneous progression to renal failure.<sup>183,221–223</sup> This clinicopathologic syndrome is akin to human type II membranoproliferative glomerulonephritis (MPGNII). In both humans and animals, renal histopathology is characterized by glomerular capillary wall thickening, mesangial cell proliferation, intramembranous electron-dense deposits along the glomerular basement membrane, and the notable absence of immune complex deposition. In the Norwegian Yorkshire piglet model, plasma factor H was severely reduced by aberrant secretion of a mutant protein secondary to its intracellular retention.<sup>224</sup> In a very elegant experiment using sophisticated murine transgenic techniques, it was demonstrated that the spontaneous development of renal pathology in factor H-deficient mice [Cfh(-/-)] was abrogated in double-knockouts unable to efficiently activate the alternative pathway because of concomitant homozygous deletion of factor B [Bf(-/-)].<sup>222</sup> Taken together, these experiments suggest that (1) the glomerular endothelium is particularly sensitive to depletion of factor H and (2) overactivity of the alternative pathway of complement is responsible for the development of animal MPGNII. Human cases of MPGNII have rarely been described with constitutional deficiency of plasma factor H.225 However, biochemical analyses of patients' sera almost always demonstrate a profound hypocomplementemia and circulating levels of C3 nephritic factor, a predominantly IgG autoantibody that binds to and stabilizes the alternative pathway C3bBb convertase, at least in part, by antagonizing factor H-mediated degradation.<sup>226-228</sup> Indeed, a unique monoclonal immunoglobulin lambda light (L) chain dimer (lambda L) has also been isolated from the sera of MPGNII patients that directly targets factor H and interferes with its complement regulatory functions.<sup>229,230</sup> In contradistinction, 10% to 15% of patients with atypical HUS carry heterozygous mutations in HF1, but do not share all the pathologic hallmarks of MPGNII and may not demonstrate low levels of plasma C3. This apparent paradox has been partially reconciled by careful structurefunction analyses of normal factor H and mutants observed in patients with atypical HUS.171,189

Using a battery of molecular biology techniques, including inhibitory monoclonal antibodies, enzymatic digestion, and site-directed mutagenesis, a number of investigators have characterized distinct protein-binding sites in the factor H molecule<sup>189</sup> (see Fig. 26-2). These include three C3b-binding sites (SCR1-4, SCR12-14, and SCR19-20), three heparin- and sialic acid–binding sites (SCR7, SCR13, and SCR19-20),<sup>179,189,231</sup> and distinct binding sites for C-reactive protein (CRP)<sup>232,233</sup> and adrenomedullin.<sup>234–236</sup> Although functional

characterization of these domains is incomplete, the Nterminal SCR1-4 protein module appears to confer co-factor activity.<sup>189,237</sup> Based on these analyses, one might predict that factor H mutations in atvpical HUS would preferentially target the N-terminus; however, the C-terminal SCR19-20 protein domains are the "hot-spot" for genetic mutation in atypical HUS, accounting for over 70% of all HF1 disease mutations to date.<sup>8,189</sup> Subsequent in vitro analyses of these factor H mutants have convincingly documented preserved co-factor activity and efficient regulation of the alternative pathway of complement in fluid phase. In contrast, the control of complement activation on cell surfaces was severely diminished.<sup>189,231,238–242</sup> These findings are consistent with the normocomplementemia often observed in the plasma of patients with atypical HUS.<sup>8,175–178</sup> Taken together, these studies support an emerging paradigm for atypical HUS as a disease of inefficient regulation in the activation of the alternative pathway of complement on host cell surfaces (Fig. 26-3). This situation is likely distinct from the massive overactivity of the alternative pathway of complement and secondary consumptive hypocomplementemia in patients with MPGNII.<sup>189</sup>

#### Membrane Co-factor Protein (CD46) and Atypical HUS

Human MCP, a type I transmembrane glycoprotein (gp), was first identified as a C3b-binding protein expressed on the surface of human peripheral blood leukocytes.<sup>243</sup> Initially named gp45-70 to reflect its broad eletrophoretic mobility pattern on sodium dodecyl sulphate polyacrylamide gel electrophoresis (SDS-PAGE), it was subsequently renamed when it was discovered that it possessed co-factor activity in the factor I–dependent cleavage of membrane-bound C3b.<sup>244</sup> MCP is now known to be ubiquitously expressed on the surface of nearly all human cell types (with the exception of erythrocytes), including endothelial cells of the renal glomerulus, where expression is thought to be particularly prominent.<sup>245–250</sup>

Located in the telomeric group of complement-related genes in the RCA gene cluster, MCP spans over 43 kb of genomic DNA and contains 14 exons.<sup>251-253</sup> Its single, TATAless promoter<sup>254</sup> drives the expression of multiple proteincoding, alternatively spliced transcripts.<sup>253,255–258</sup> All isoforms of MCP possess four extracellular, N-terminal SCR (or CCP) domains encoded by exons 2 to 6 (Fig. 26-4). These SCRs are followed by a domain rich in serine, threonine, and proline (STP region), a 12-amino acid juxtamembranous region of unknown sequence homology, a transmembrane domain, a cytoplasmic anchor, and a cytoplasmic tail.<sup>257</sup> The STP region is encoded by three separate exons (7 to 9, more commonly referred to as A, B and C, respectively) and represents an important site of alternative splicing.<sup>257</sup> The four major isoforms of MCP do not contain the A exon and all utilize the C exon, while the B exon is alternatively spliced to generate BC- and C-containing STP regions.<sup>257,259</sup> These two isoform types are further distinguished by attachment to one of two cytoplasmic tails (CYT-1 and CYT-2) possessing distinct signaling motifs that also arise by alternative splicing in MCP's C-terminal end.<sup>172,257,259,260</sup> Multiple isoforms may be coexpressed by a single cell type and, together with differential, isoform-specific O-glycosylation of the STP region, are major determinants of MCP's characteristic broad electrophoretic





Figure 26-3 Mechanism of action of plasma-borne factor H in protection of endothelial cell surfaces against activation of the alternative pathway of complement in diarrhea-negative hemolytic-uremic syndrome (D-HUS). In the normal individual (A), plasma-borne factor H binds C3b deposited on a damaged (or activated) endothelial cell surface. This interaction is critical in regulating the formation of C3bBb, the alternative pathway C3 convertase, and mitigating complement-mediated host cellular injury. Factor H accomplishes this important task by (1) diminishing factor B binding to C3b, thereby preventing the formation of C3bBb; (2) promoting the dissociation of C3bBb (so-called "decayaccelerating activity"); and (3) acting as a co-factor for factor I in the cleavage of membrane-bound C3b (so-called "co-factor activity"). In a patient with atypical HUS (B), factor H is functionally deficient and cannot efficiently regulate the formation of C3bBb deposited on endothelial surfaces. Membrane-bound C3b is now left unchecked to generate C3bBb in an amplification loop that results in endothelial injury and pathologic thrombosis. (See Color Plate 2.)



Figure 26-4 Structure of human membrane cofactor protein (MCP, CD46). MCP is a membranebound regulator of complement activation that may exist as multiple isoforms in a single cell type. All isoforms share four extracellular, N-terminal SCR domains, akin to those of factor H and other regulators of complement activation. These SCRs are followed by a domain rich in serine, threonine, and proline (STP region), a 12-amino acid juxtamembranous region of unknown sequence homology, transmembrane domain, cytoplasmic anchor, and cytoplasmic tail. The four major isoforms of MCP do not contain the A exon, and all utilize the C exon, while the B exon is alternatively spliced to generate BC- and C-containing STP regions. To date, all disease mutations in atypical hemolyticuremic syndrome target the shared SCR4 domain. (See Color Plate 2.)

mobility pattern on SDS-PAGE analysis.<sup>259,261</sup> Tissue-specific expression patterns of the various MCP isoforms have previously been described; for example, the less *O*-glycosylated CYT-2 isoform predominates in the brain and germ cells, while the kidneys preferentially express the more heavily *O*-glycosylated CYT-2 isoform.<sup>247,257</sup> The functional relevance of this considerable diversity in the expression of MCP and its differential posttranslational modifications is of unclear physiologic significance. Its contribution to the pathogenesis of atypical HUS, if any, remains to be elucidated.<sup>172,259</sup>

#### Cell Surface MCP Protects Endothelial Cells Against Activation of the Alternative Pathway of Complement

Like factor H, MCP regulates activation of the alternative pathway of complement on susceptible host cell surfaces by targeting the alternative pathway C3 convertase. In particular, it acts as a co-factor for the factor I-mediated cleavage of surface-bound C3b.<sup>262,263</sup> Unlike factor H, it does not possess decay-accelerating activity, nor does it interfere with the engagement of factor B and C3b in the assembly of the alternative pathway C3 convertase.<sup>172</sup> Structure-function analyses of human MCP using deletion constructs, site-directed mutagenesis, and inhibitory antibodies have identified SCR3-4 domains as responsible for C3b-binding, while the partially overlapping SCR2-4 domains are required for optimal cofactor activity.<sup>264–266</sup> MCP also binds to and displays co-factor activity against C4b, a constituent of the classical pathway C3 convertase. However, the ability of MCP to protect cells against classical pathway-mediated complement cytolysis is currently uncertain. Regulation of the alternative pathway of complement on cell surfaces is likely its primary physiologic role.<sup>263,267</sup>

## **Deficiency of MCP Predisposes to Atypical HUS**

To date, a total of four families have been described by two independent research groups with atypical HUS and putative disease mutations in the MCP gene.<sup>197,198</sup> One family harbored a six-base pair deletion in MCP, resulting in the loss of two amino acids and intracellular retention of the mutant protein.<sup>197</sup> Two other families reported by the same research group demonstrated a T822C transition resulting in the substitution of a serine to proline. While this mutant protein was efficiently expressed on the cell surface, it demonstrated markedly reduced C3b binding activity.<sup>197</sup> A fourth family had a heterozygous two-base pair deletion, resulting in the insertion of a premature stop codon and loss of the MCP protein's C-terminus (including the transmembrane domain).<sup>198</sup> These genetic defects all target the SCR4 domain, a region common to all major isoforms of MCP and known to be critical in the regulation of the alternative pathway of complement (Fig. 26-5). Taken together, these studies substantiate the role of factor H in the pathogenesis of atypical HUS, and highlight inefficient regulation in the activation of the alternative pathway of complement on cell surfaces as a fundamental determinant of disease.

#### Implications for Therapy and the Way Ahead

Currently, the care of patients with atypical HUS is largely supportive, and includes removal of clear inciting factors and





Figure 26-5 Mechanism of action of cell surface human membrane co-factor protein (MCP) in protection of endothelial cells against activation of the alternative pathway of complement in diarrheanegative hemolytic-uremic syndrome (D-HUS). In the normal individual (A), cell surface MCP binds C3b deposited on a damaged (or activated) endothelial cell surface. This interaction is critical in regulating the formation of C3bBb, the alternative pathway C3 convertase, and mitigating complement-mediated host cellular injury. MCP accomplishes this important task by acting as a co-factor for factor I in the cleavage of membrane-bound C3b, so-called "cofactor activity." In a patient with atypical HUS (B), MCP is functionally deficient and cannot efficiently regulate the formation of C3bBb deposited on endothelial surfaces. All known mutations of the MCP gene target the SCR4 domain. As illustrated, the mutant MCP protein is most representative of a T822C transition previously described in two families (see text). Membrane-bound C3b is now left unchecked to generate C3bBb in an amplification loop that results in endothelial injury and pathologic thrombosis. (See Color Plate 3.)

appropriate support of renal function. Attempts at plasma infusion or exchange during acute episodes, at best, provide only transient relief, and are notoriously ineffective at halting or slowing progression to ESRD.<sup>1</sup> It is this newer, molecular paradigm of atypical HUS that offers novel targets for therapeutic intervention and renewed hope for patients. First, it is now appreciated that overactivity of the alternative pathway of complement on susceptible host cell surfaces is a fundamental mechanistic determinant of disease. It is conceivable that inhibitors of complement activation, already in clinical development for many years, may diminish renal endothelial injury, prevent relapses, and protect against progression to ESRD.<sup>268–270</sup> Some investigators have recently called for the initiation of clinical trials in patients with atypical HUS.<sup>197</sup> Second, therapeutic replacement of factor H and MCP, respectively, in patients with corresponding disease mutations is a very elegant treatment approach. This could be accomplished by replacement with a recombinant, soluble form of the factor or gene therapy protocols. Indeed, replacement therapy with a recombinant, soluble form of MCP has already demonstrated efficacy in reducing complement-mediated injury in a mouse-to-rat xenograft model, and represents an important proof-of-principle experiment.<sup>271,272</sup> Although infusion of normal fresh-frozen plasma (which contains normal factor H) in patients harboring HF1 mutations does not prevent relapses or slow progression to ESRD,<sup>16,195,273,274</sup> it remains to be determined whether more potent, recombinant factor H or gene replacement strategies have greater clinical efficacy.<sup>1</sup> Third, outcomes of patients with atypical HUS undergoing renal transplantation have been disappointing, with recurrence of HUS in transplanted kidneys of approximately 50%.<sup>1,8</sup> Patients carrying HF1 mutations fare especially poorly, with recurrence rates reported to be as high as 80%.<sup>8,275–277</sup> This situation differs markedly with outcomes of renal transplantation in patients with D+HUS, where recurrence is decidedly uncommon.<sup>7,276</sup> It is of great clinical interest that cadaveric renal transplantation in three relatives with MCP mutations was not complicated by disease recurrence.197,278 Although it is still too early to comment on the generalizability of these preliminary observations, these favorable results are consistent with the current molecular paradigm. Unlike factor H, which is a soluble factor produced predominantly by the liver, MCP is ubiquitously expressed on the cell surfaces of a myriad of host cells, including glomerular and renal arteriolar endothelial cells of the transplanted kidney. It is therefore plausible that wild-type MCP on the engrafted kidney is protective against recurrence in patients harboring MCP mutations. Alternatively, any wild-type factor H produced by cells of the renal transplant is likely insufficient to protect against disease recurrence in patients with HF1 mutations. Combined hepatorenal transplantation may be a more rationale therapeutic strategy to ameliorate graft survival in this subset of patients.<sup>279</sup> Knowledge of the molecular mechanisms underlying disease pathogenesis may help to facilitate genetic counseling, selection of appropriate candidates (and donors) for renal transplantation, and decision making with regard to the type of transplantation that should be performed in patients with atypical HUS.<sup>172,278</sup>

Much, however, remains to be learned. Atypical HUS is a heterogeneous condition with probable multilocus, genetic contributions and important environmental influences. These important facets of a complex, nonmendelian disease are reflected in the partial penetrance characteristic of affected pedigrees and highly variable disease phenotypes, even among family members with identical mutations.<sup>172,197,278</sup> Implicit to the original work of Warwicker and colleagues, who linked the RCA gene cluster to familial atypical HUS,<sup>16</sup> it is probable that disease mutations in other regulators of complement activation will be identified.<sup>172,278</sup> In this regard, probable disease mutations in factor I, the critical serine esterase responsible for cleavage of C3b and degradation of the alternative pathway C3 convertase, have recently been identified in three patients with atypical HUS.<sup>280</sup> Why renal endothelial cells are preferentially targeted by mutations in complement regulatory genes and whether these disease mutations are implicated in the pathogenesis of the more common D+HUS remain to be elucidated.

#### Thrombotic Thrombocytopenic Purpura – Defective Processing of vWF Multimer Size

Thrombotic thrombocytopenic purpura (TTP), referred to as the "systemic clumping plague" by Joel Moake, is the most deadly of the thrombotic microangiopathies and universally fatal if left untreated.<sup>12</sup> With daily plasma exchange, however, over 90% of patients respond in dramatic fashion.<sup>281,282</sup> This contrasts markedly with the often disappointing treatment results in atypical HUS. Patients with TTP present with the clinical triad of severe thrombocytopenia (with platelet counts often less than 20,000 per cubic millimeter during acute episodes), microangiopathic hemolytic anemia, and neurologic dysfunction.<sup>1,12</sup> Fever and renal failure are less frequently observed, and complete the classical pentad ascribed to the condition by Moschcowitz.<sup>283</sup> As implied by its moniker, nearly all other organ systems may be affected. The gastrointestinal tract, pancreas, heart, lungs, and retina, for unknown reasons, are particularly vulnerable.<sup>12</sup> It is sometimes difficult to distinguish between atypical HUS and TTP on clinical grounds alone. Most clinicians agree that prominent extrarenal manifestations, especially neurologic involvement, is most consistent with a diagnosis of TTP, whereas severe renal involvement is most characteristic of HUS.<sup>1,12</sup> These clinical distinctions are, however, at best subjective and at worst ambiguous and misleading. For example, CNS complications are observed in as many as 20% of children with D+HUS,<sup>7</sup> but few clinicians would label their clinical condition as TTP. Later in this section, we will discuss how newer molecular insights may more clearly differentiate between these overlapping clinical entities, and guide clinicians to the most appropriate therapeutic strategy.

Clinically, two major subgroups of TTP are distinguished. Acute idiopathic TTP is the most common variant and typically occurs in adults (20–60 years old), preferentially affects women, and is characterized by a single acute episode.<sup>12</sup> A minority of patients (10%–30%) may suffer recurrences at irregular intervals.<sup>12,281,282,284</sup> An even smaller percentage of patients have identifiable triggers, for example, drugs (classically, the adenosine diphosphate inhibitors, ticlopidine, and clopidogrel),<sup>285</sup> pregnancy,<sup>286</sup> and infection (particularly late-stage human immunodeficiency virus [HIV] disease).<sup>12,287</sup> This latter subgroup will be discussed separately in the last section. Alternatively, patients may present with a chronic relapsing form of the disease that is typically first diagnosed in early infancy.<sup>12,288</sup> This rare disease variant is likely indistinguishable from the Upshaw-Schulman syndrome,<sup>289,290</sup> a congenital disorder characterized by chronic thrombocytopenia, microangiopathic hemolytic anemia, and a dramatic (albeit transient) response to transfusion with normal freshfrozen plasma.<sup>283,291–293</sup> Insights from both patients with the common, idiopathic, and rare chronic relapsing forms of the disease have been important for defining a shared, underlying mechanism of disease.

### The Critical Observation–Unusually Large Circulating vWF Multimers in TTP

Three early lines of evidence implicated vWF biology in the pathogenesis of TTP.<sup>294</sup> First, thrombus formation in TTP, like atypical HUS, has a predilection for small arterioles.<sup>1</sup> These small-caliber, high-flow vessels demonstrate the highest fluid shear rates in the human circulatory system, and are dependent on the presence of plasma and subendothelial matrixbound vWF multimers for efficient hemostasis. Second, the thrombi in patients with TTP are enriched in platelets and vWF protein, a pathologic entity distinct from the fibrin-rich lesions most characteristic of HUS and disseminated intravascular coagulation (DIC).<sup>1,295</sup> Like patients with HUS, characteristic secondary ischemic tissue injury and lack of perivascular inflammation complete the classical histopathologic picture and may obscure definitive diagnosis. Finally, the most compelling early evidence was the critical observation by Moake and colleagues in 1982 that patients with the chronic relapsing form of the disease demonstrated unusually large circulating vWF multimers.14 They later reported dramatic resolution of symptoms in these patients and, importantly, concomitant disappearance of ultralarge vWF multimers after transfusion with normal fresh-frozen plasma.<sup>296</sup> These researchers hypothesized that patients with this form of the disease were susceptible to periodic relapses as a result of defective processing of these unusually large thrombogenic multimers because of an activity that was lacking in their plasma.<sup>10,14,296</sup> The nature of this activity, however, would remain elusive for many years.

#### vWF Biology, Multimer Size, and TTP

vWF is a critical determinant of vascular hemostasis.<sup>297</sup> An intrinsic component of the subendothelial matrix, 298, 299 it mediates attachment of circulating platelets to exposed collagen and other substrates (e.g., proteoglycans and sulfa-tides)<sup>300,301</sup> at sites of vessel wall injury.<sup>302,303</sup> This interaction between circulating platelets and vWF is mediated by at least two prominent platelet receptors: platelet membrane gp1b $\alpha$  and platelet integrin  $\alpha_{IIb}\beta_3^{304}$  The former constitutively active receptor engages the vWF protein via its A1 domain and serves to tether circulating platelets to immobilized vWF in exposed subendothelial matrix. Characterized by fast association and dissociation rates, this molecular interaction results in the slow translocation of circulating platelets along the vessel wall, and thus permits the subsequent activation and binding of platelet integrin  $\alpha_{IIb}\beta_3$  by an RGDS sequence in the vWF protein carboxy-terminal C1 domain.305 It is this second ligand-receptor interaction that is responsible for firm platelet adhesion at sites of vascular injury. Acting in synergy, these vWF-bound platelet receptors promote the recruitment of other platelets, at least partially by vWF homotypic selfassociation, and constitute the nidus of an evolving platelet

thrombus.<sup>297,306</sup> Indirectly, vWF contributes to vascular hemostasis by binding procoagulant factor VIII, thereby preventing its rapid clearance from plasma and ensuring its availability for the generation of thrombin.<sup>304,307</sup>

#### vWF Multimer Size is a Major Determinant in Platelet-Dependent Vascular Hemostasis Under Conditions of High Fluid Shear Stress

The potential of vWF to act as a biologic adhesive in plateletdependent vascular hemostasis is directly proportional to the extent of polymerization of secreted vWF. Encoded by a single large gene (178 kb of genomic DNA) on the short arm of human chromosome 12 (12p13), vWF synthesis is restricted to endothelial cells and megakaryocytes. It is initially translated as a 2,813 amino acid pre-pro-peptide consisting of a 22amino acid signal peptide, 741 amino acid pro-peptide, and 2,050 residue mature subunit sequence.<sup>308,309</sup> Extensive posttranslational modification includes tail-to-tail dimerization of individual pro-vWF molecules within the ER by disulfidebonding of their C-terminal ends,<sup>310</sup> and subsequent multimerization within the acidic environment of the trans-Golgi network in a head-to-head configuration by additional disulfide bonding at their N-terminal ends.<sup>311</sup> These large vWF multimers are stored within specialized endothelial and megakaryocyte intracellular storage compartments, Weibel-Palade bodies, and platelet  $\alpha$  granules, respectively. Secretion of vWF multimers occurs constitutively in endothelial cells after proteolysis of the pro-peptide sequence and release of this fragment (von Willebrand antigen II) along with mature vWF multimers (as a polymer of the mature 220-kD polypeptide) into the circulation and onto the subendothelial matrix.<sup>294</sup> Typically, the vWF released constitutively by endothelial cells is composed of dimers and small multimers; and consequently, constitute the majority of vWF in normal plasma. In contrast, vWF multimers released by endothelial cells upon stimulation by secretagogues (e.g., vasopressin [or the V<sub>1</sub> receptor agonist, dDAVP], thrombin, fibrin, complement components C5-9, and histamine) are almost exclusively of high molecular weight.<sup>297,304</sup> Indeed, they may be composed of more than 40 individual subunits with a molecular mass in excess of 20,000 kD.<sup>297,304,312</sup> These large and unusually large multimers,14 typically absent in normal plasma, are functionally multivalent and therefore capable of binding to the subendothelial matrix and activated platelets with many more sites and with much greater affinity (approximately 100-fold) than vWF dimers.<sup>313–315</sup> Their importance in efficient vascular hemostasis is underscored by their selective deficiency in the bleeding diathesis, type IIA von Willebrand disease.<sup>297</sup> Of particular relevance to the vascular pathology observed in TTP, the role of these large and unusually large multimers as biological adhesives is perhaps most relevant in situations of high fluid shear, a situation most characteristic of the flow dynamics in the small arterioles of the human circulatory tree. In the presence of high shear stress, the normally globular vWF multimers "uncoil" and appear to stretch out as extraordinarily long, thin filaments (potentially as long as several millimeters).<sup>297,304,316,317</sup> Under these high shear stress conditions, the critical initial binding of platelet  $gp1b\alpha$  receptors to outstretched, unusually large vWF multimers is potentiated.<sup>315,318</sup> In this fashion, the unusually large vWF multimers serve to efficiently tether circulating platelets to sites of vascular injury. In contrast, vWF is not required for initial platelet binding to exposed subendothelial matrix in a low shear stress environment, for example, as is observed in the venous circulation.<sup>14,303,319,320</sup> The arteriolar thrombosis prominent in TTP is in keeping with the pathologic association of large and unusually large vWF multimers in patients' plasma.<sup>14</sup>

### ADAMTS13, The vWF-Cleaving Ptotease, and TTP

Proteolytic cleavage of vWF multimers was first suggested by the presence of characteristic vWF degradation products in the plasma of normal individuals.<sup>321–326</sup> Specifically, secreted vWF multimers were known to be cleaved into a series of smaller multimers that also produced, as a byproduct, stereotypic 140- and 176-kD disulfide-linked homodimers.<sup>294</sup> Although several proteases demonstrated vWF-cleaving activity in vitro, none produced these conserved proteolytic fragments normally observed in vivo.<sup>322,327-334</sup> In 1996, Miha Furlan and Han-Mou Tsai independently reported the presence of vWF-specific protease activity in the plasma of normal individuals capable of generating proteolytic fragments of the appropriate size.<sup>314,335</sup> Soon thereafter, a constitutive deficiency of this activity was documented in four patients with chronic relapsing TTP, and an inhibitory autoantibody against the vWF-cleaving protease was observed in a single patient with the more common, acquired form of the disease.<sup>288,336</sup> These preliminary reports were substantiated by the same two research groups in 1998 in two independent, large series of patients.<sup>337,338</sup> These researchers concluded that patients with the chronic relapsing form of the disease had a constitutional deficiency of the vWF-cleaving protease consistent with the persistence of ultralarge vWF multimers in their plasma even during periods of remission. In contrast, patients with acute episodes of TTP were more likely to have a plasma-borne inhibitor of the vWF-cleaving protease detectable only during acute episodes, with normalization of plasma vWF multimer profiles and vWF-cleaving protease activity accompanying clinical improvement. The nature of this inhibitor was an IgG autoantibody in all cases.<sup>10</sup> These critical observations provided the first important insights into the pathogenesis of TTP, even before full characterization of the vWF-cleaving protease itself.

## Identification of ADAMTS13 as the vWF-Cleaving Protease

Three different approaches were successfully pursued by independent laboratories to identify the vWF-cleaving protease. Gerritsen and colleagues utilized sequential chromatographic methodologies, including immunoadsorption on autoantibodies from a patient with acquired TTP, to isolate sufficient quantities of purified protein from the plasma of normal individuals for sequencing of the first 15 amino acids of its Nterminal end.<sup>339</sup> In a simultaneous report, Fujikawa and colleagues used a commercial preparation of factor VIII/vWF concentrate as starting material to successfully purify and sequence the first 20 amino acids of the vWF-cleaving protease N-terminus.<sup>340</sup> These two reports produced nearly identical sequences. In addition, by performing a sequence tags (EST)

databases, the latter group was able to predict that the vWFcleaving protease was encoded by a novel gene on human chromosome 9q34 that shared significant homology with members of a recently described family of metalloproteinases. namely, the ADAMTS (a disintegrin-like and metalloprotease with thombospondin type I motifs) family. These findings were corroborated by Levy and colleagues using a fundamentally different, and very elegant, approach.<sup>341</sup> These investigators undertook a genome-wide linkage scan of four pedigrees with familial, chronic-relapsing TTP. None of the affected members carried plasma-borne inhibitors to vWFcleaving protease, and all demonstrated ultralarge vWF multimers in their plasma. Instead of using inherently nebulous clinical criteria as the basis for their phenotypic trait, they demonstrated that vWF-cleaving protease activity reliably distinguished between affected individuals (2%-7% of normal), carriers (51%-68% of normal), and noncarrier family members. With this simple observation, they significantly increased the genetic power of their linkage analysis and potential to map the corresponding locus. These investigators successfully mapped the disease phenotype to human chromosome 9q34, and subsequently found disease mutations in the ADAMTS13 gene in all four pedigrees (and three additional patients with chronic, relapsing TTP). Unlike the mutations found in the HF1 gene linked to atypical HUS, these 12 disease mutations were distributed throughout the length of the coding sequence. To date, no overt mutational "hot-spot" has been identified. In keeping with low-level vWF-cleaving protease activity in affected individuals, no obvious null alleles were detected, implying that homozygous deficiency of ADAMTS13 may be lethal.<sup>341,342</sup> Taken together, these studies strongly suggest that ADAMTS13 is the elusive vWF-cleaving protease and its constitutional deficiency causally responsible for most (if not all) cases of familial, chronic-relapsing TTP.

### Structure and Function of the ADAMTS13 Gene

The ADAMTS13 gene is the most divergent member of the recently described ADAMTS protease family consisting of 19 known members in the human genome. All are secreted metalloproteases of the reprolysin-type with conserved ancillary domains that contain at least one thrombospondin type I repeat (TSR).<sup>343,344</sup> ADAMTS13 is comprised of 29 exons and spans 37 kb of genomic DNA.341,345 Full-length ADAMTS13 mRNA is synthesized primarily in the liver; however, expression in many other tissues and cell types has been reported.<sup>346</sup> Alternatively spliced transcripts have been detected in several tissues (including the liver), and may encode for smaller ADAMTS13 protein species lacking various C-terminal protein motifs.<sup>341,345,347,348</sup> The biologic relevance of these mRNA variants is currently unclear. The primary translation product is 1,427 amino acids in length and is detected in the plasma of normal individuals at a concentration of approximately 1 µg/mL.339 It is composed of a series of protein motifs including (from the N-terminus): a signal peptide, propeptide, reprolysin-like metalloprotease domain, disintegrin-like domain, TSR, cysteine-rich domain, ADAMTS spacer domain, seven additional TSRs, and two CUB (complement components C1r/C1s, urinary epidermal growth factor and bone morphogenic protein-1) domains<sup>341-343,345</sup> (Fig. 26-6). This multidomain structure is highly conserved between human, mouse, rat, fish, and bird, suggesting that

critical "selectable properties" of the constituent protein motifs contribute to the normal in vivo function of the ADAMTS13 protein.<sup>342,349</sup>

To date, vWF is the only known biological substrate of ADAMTS13, and vWF-cleaving activity its only biologic function. This activity is mediated by its reprolysin-like metalloprotease domain and can be inhibited, like other metalloproteases, by chelation of Ca<sup>2+</sup> or Zn<sup>2+</sup> divalent ions. In vitro, it cleaves a specific Tyr1605Met1606 bond in the A2 domain of the vWF subunit, thereby reducing vWF multimer size, generating the characteristic in vivo proteolytic fragments and inhibiting platelet adhesion.<sup>342</sup> Preliminary studies using deletion-mutation constructs and epitope mapping with IgG autoantibodies from three patients with acquired TTP suggested the critical importance of the cysteine-rich and spacer domains for vWF-cleaving activity.349,350 These results were further substantiated when an independent group reported that 25 of 25 patients with acquired TTP demonstrated inhibitory autoantibodies specific for these regions.<sup>351</sup> Although these researchers postulate a role for the cysteinerich and spacer domains in substrate recognition, their specific contribution to vWF-cleaving protease activity in vivo is not proven. Recent studies have also focused on the TSR2-8 and CUB domains in the ADAMTS13 C-terminus. TSR domains are a defining feature of the ADAMTS protease family and may serve as ligands for cellular receptors and points of attachment to components of the extracellular matrix.<sup>352</sup> Their physiologic relevance, however, is uncertain. CUB domains are not shared by other members of the ADAMTS family. They are generally thought to participate in protein-protein and protein-carbohydrate interactions.<sup>353</sup> With respect to ADAMTS13 vWFcleaving protease activity, seemingly contradictory reports have emerged. It was previously demonstrated that deletionmutation constructs lacking the two CUB (and TSR2-8) domains were not required for vWF cleavage in vitro.349,350 Further credence was given to these findings when it was recently reported that the Adamts13 gene in some inbred mouse strains (BALB/c, C3H/He, C57BL/6, and DBA/2) contained an intracisternal A particle (IAP) retrotransponson that included a premature stop codon. This results in the homozygous production of a truncated ADAMTS13 enzyme lacking its C-terminal TSR7-8 and CUB domains.<sup>354</sup> Others have suggested that the ADAMTS13 C-terminal protein motifs are critical for in vivo function, and that they serve as important points of attachment to endothelial cell surfaces, surface-bound, ultralarge vWF multimers, and/or components of the extracellular matrix. This view is substantiated by the discovery of inhibitory IgG autoantibodies<sup>351</sup> and disease mutations<sup>293,355–358</sup> involving these C-terminal domains in patients with acquired and chronic-relapsing TTP, respectively. The physiologic relevance of these protein motifs, and others, in the normal functioning of the ADAMTS13 protein remains to be elucidated.

Most recently, elegant cell biology experiments have provided unique insights into the mechanism by which ADAMTS13 may cleave vWF multimers in vivo, and contribute to pathologic thrombosis in TTP. The impetus for these studies was the observation that classical in vitro vWF activity assays require strong denaturation of the substrate and long incubation periods (up to 24 hours) under nonphysiologic conditions.<sup>335,359</sup> This long time course is not in keeping with the postulated role of the enzyme in vivo, or the rapid clinical improvement after plasma infusion/exchange in TTP patients.<sup>296</sup> It was therefore speculated that conditions present in vivo, but absent in the in vitro assays, enhanced vWF multimer cleavage. In support of this hypothesis, Dong and colleagues demonstrated extremely rapid cleavage (within seconds) of ultralarge vWF multimers anchored to endothelial cell surfaces under conditions of fluid shear stress by normal plasma or partially purified ADAMTS13, but not plasma from patients with TTP.<sup>317</sup> This interaction is likely mediated by a conformational change induced in ultralarge vWF multimers under conditions of fluid shear stress that enhance the availability of vWF binding sites to circulating ADAMTS13.360 This same research group subsequently showed that inflammatory cytokines can dramatically impede cleavage of ultralarge vWF multimers in vitro, thereby providing important mechanistic evidence for a putative link between inflammation and pathologic thrombosis.<sup>361</sup> In particular, interleukin-8 and tumor necrosis factor- $\alpha$  stimulated the release of ultralarge vWF multimers by endothelial cells. It is conceivable that this increased production could overwhelm plasma ADAMTS13 activity. These in vitro findings are consistent with the increased levels of cytokines detected in the plasma of patients with TTP during acute episodes.<sup>362</sup> It is also of significant interest that P-selectin, an adhesion molecule expressed on the surface of activated endothelial cells (and platelets),<sup>363</sup> may anchor newly secreted ultralarge vWF multimers to the endothelial cell surface at multiple sites.<sup>317,364</sup> Under normal conditions of high fluid shear stress, these cellular attachments might induce a structural conformation in surface-bound ultralarge vWF multimers amenable to cleavage by ADAMTS13. In the absence of plasma-borne ADAMTS13 activity and high fluid shear stress, however, these same interactions might subserve adherence of circulating platelets via platelet gp1ba receptors and promote pathologic thrombosis.364 Taken together, these studies support an emerging paradigm for the role of ADAMTS13 in the control of vWF multimer size on endothelial cell surfaces under conditions of high fluid shear stress, and how its deficiency leads to pathologic thombosis



Figure 26-6 Structure of ADAMTS13 (a disintegrin-like and metalloprotease with thombospondin type I motifs). ADAMTS13 is the plasma-borne von Willebrand factor-protease. It is composed of a series of protein motifs including (from the N-terminus) a signal peptide, propeptide, reprolysin-like metalloprotease domain, disintegrin-like domain, thrombospondin repeat (TSR), cysteine-rich domain, ADAMTS spacer domain, seven additional TSRs, and two CUB (complement components C1r/C1s, urinary epidermal growth factor and bone morphogenic protein-1) domains. Acquired or inherited deficiencies of ADAMTS13 activity are causally linked with thrombotic thrombosytopenic purpura. (See Color Plate 3.)

(Fig. 26-7). They also highlight repeated bouts of inflammation as a possible etiologic trigger in pathologic thrombosis. Whether binding of ADAMTS13 to the endothelial cell surface is required for cleavage of vWF multimers in vivo is currently not known. This finding could have important clinical implications, as it might explain why some patients with TTP and unusually large circulating vWF multimers demonstrate normal ADAMTS13 activity in in vitro assays.<sup>357,365</sup> Of course, other determinants of vWF multimer size may also contribute to the pathologic thrombosis observed in patients with TTP, and are briefly discussed below.

#### Other Genetic Modifiers of vWF Multimer Size—Thrombospondin-1 and the ABO Blood Group Locus

To date, most research has focused on proteolytic cleavage by ADAMTS13 as the primary mechanism for regulation of vWF multimer size in plasma. More recently, additional mechanisms have been implicated in the control of plasma vWF levels, particularly thrombospondin-1 (TSP-1) and the ABO blood group. TSP-1 is a homotrimeric glycoprotein whose individual subunits are linked by disulfide bonding. It is predominantly synthesized in megakaryocytes and endo-

thelial cells. Part of a family of five known thrombospondin extracellular glycoproteins, TSP-1 generated significant interest in the cancer community as an endogenous inhibitor of angiogenesis; however, other roles in cell-cell and cellmatrix adhesion have also been described.<sup>297</sup> Philip Hogg's laboratory has postulated an important function for TSP-1 in the control of vWF multimer size. In this model, an unpaired cysteine at position 974 in the molecule's C-terminal end acts as a free thiol capable of mounting a nucleophilic attack on the vWF intersubunit disulfide bonds. It thereby disrupts the "glue" holding the large vWF multimers together, and leads to their disassembly.<sup>297,366–368</sup> A recent report from the same laboratory using Tsp-1 null-mice failed to recapitulate these findings.<sup>369</sup> Paradoxically, average plasma vWF multimer size was reduced in Tsp-1 null-mice with more efficient processing of endothelial cell-derived large vWF multimers. These in vivo results suggest an inhibitory role for Tsp-1 in the control of vWF multimer size, at least in the murine model.<sup>369</sup> Regardless of its specific role, it is of particular interest that patients with acquired, sporadic TTP harbor autoantibodies against CD36, the cellular receptor for TSP-1 in microvascular endothelial cells.<sup>1,370</sup> Whether these autoantibodies affect TSP-1 binding, inhibit ADAMTS13 binding via its TSR domains, or play some other disparate role remains to be elucidated.



**Figure 26-7** Mechanism of action of ADAMTS13 in normal individuals and patients with thrombotic thrombocytopenic purpura (TTP). Secretagogues stimulate endothelial cells to secrete "unusually large" von Willebrand factor (vWF) multimers from their intracellular storage sites, the Weibel-Palade bodies. Attached to the cell surface (and any exposed subendothelial matrix), these vWF multimers uncoil under the influence of high fluid shear stress, exposing sites for attachment of circulating platelets (via an interaction with platelet gp1bα receptors) and cleavage by ADAMTS13. In the normal individual (**A**), ADAMTS13 successfully competes for target sites on uncoiled, surface-bound vWF multimers and cleaves them to produce characteristic vWF degradation products. In a patient with TTP (**B**), ADAMTS13 activity is severely deficient and cannot effectively compete with circulating platelets for exposed binding sites. This results in platelet aggregation and pathologic thrombosis. (**See Color Plate 4.**)

The ABO blood group and plasma vWF levels have long since been implicated in human diseases characterized by pathologic thrombosis, such as, coronary heart disease, atherosclerosis, and venous thromboembolism.<sup>371</sup> In these studies, a non-O blood type and increased plasma vWF were associated with increased thrombogenicity. These earlier claims were substantiated by Souto and colleagues, who recently reported the results of a genome-wide linkage analysis that identified the ABO locus as the major determinant of vWF plasma levels in the Spanish population.<sup>372</sup> These researchers, and others, postulated that different ABO blood groups might cause different vWF posttranslational glycosylation patterns that influence steady-state vWF plasma levels. In support of this hypothesis, it was recently shown by multimeric analysis that ADAMTS13 cleaved vWF associated with blood group O more efficiently than vWF associated with other blood groups.<sup>373</sup> These results need to be interpreted with caution. First, this effect was modest, and of uncertain physiologic significance. And second, it is perhaps not coincidental that the ABO locus identified in the above linkage analysis is on chromosome 9q34, just 140,000 nucleotides centromeric to ADAMTS13.<sup>374</sup> At the present time, the biologic relevance of TSP-1 and the ABO blood group in the regulation of plasma vWF multimer size is unclear, as are their contributions to the pathologic thrombosis observed in patients with TTP.

## Is Deficiency of ADAMTS13 Activity Specific for TTP?

The appropriate classification of patients with thrombotic microangiopathies into its two major subgroups, HUS and TTP, remains a point of strong contention in the field. To date, no objective clinical or laboratory findings reliably categorize patients into one group or the other.<sup>1,13</sup> Both groups share the hallmarks of thrombotic microangiopathies: thrombocytopenia and microangiopathic hemolytic anemia. If renal impairment is prominent and severe, a diagnosis of HUS is made. On the other hand, if renal impairment is mild and CNS manifestations dominate the clinical picture, the patient is considered to have TTP. Clearly, the clinical and laboratory findings overlap and cannot readily distinguish between these two subgroups of patients. For example, it is not unusual for patients with D+HUS to demonstrate prominent CNS involvement; indeed, it is perhaps the most important cause of mortality in D+HUS.7,17 Other patients only manifest thrombocytopenia and microangiopathic anemia without prominent renal or extrarenal manifestations, especially early on in the course of the disease.<sup>375</sup> On the ward, it is common experience, even among experienced clinicians, to change a patient's diagnostic label depending on the emergence of severe renal impairment, new neurologic symptoms and signs, and disease recurrence. This diagnostic quandary is exacerbated by the inherent phenotypic heterogeneity characteristic of all thrombotic microangiopathies, even among affected family members with inherited forms of the disease. This issue is not semantics. The different thrombotic microangiopathies portend different natural histories and warrant specific therapeutic strategies. Greater clarity in the assignment of clinical diagnoses would substantively move the field forward and improve patient care. The discovery that vWF biology, and particularly severe plasma ADAMTS13 activity deficiency, causes TTP is primed to resolve this controversy. Important questions, then, are, "Is

deficiency of ADAMTS13 activity specific for TTP? And if so, can this knowledge be used in the clinic to facilitate diagnosis, improve prognostication, and guide therapy?" These questions have elicited spirited and scholarly debates.<sup>375,376</sup>

It is now undeniable that decreased ADAMTS13 activity is causally related to TTP as the result of an inherited enzyme mutation or, more commonly, acquired plasma-borne inhibitor.<sup>10,337,338,341</sup> When clinical suspicion of TTP is high, that is, adult patients presenting with mild renal dysfunction, no antecedent diarrheal episode, and the absence of easily identifiable triggers, severe ADAMTS13 deficiency ( $\leq 0.1 \text{ U/mL}$ ) during an exacerbation is nearly universal and diagnostic for TTP.<sup>338,375</sup> Tsai and colleagues tested the specificity of this association by measuring plasma ADAMTS13 activity in randomly selected hospital patients with or without other thrombotic disorders, as well as a group of children with classical D+HUS. By and large, ADAMTS13 activity was demonstrated to be normal or mildly decreased with none demonstrating severe ADAMTS13 deficiencies akin to those observed in the TTP group.<sup>338,375,377</sup> Disenters point to numerous sporadic case reports and small case series of patients with a myriad of systemic conditions, including idiopathic thrombocytopenic purpura, systemic lupus erythematosus,378 metastatic carcinoma,379 and decompensated liver cirrhosis,380 with documented severe ADAMTS13 deficiency.<sup>376</sup> While these results seemingly implicate severe ADAMTS13 deficiency in various disorders not related to TTP, they are likely to be at least partially explained by the large number and complexity of different assays used in the assessment of plasma ADAMTS13 activity, thereby frustrating meaningful comparisons of measurements obtained by different laboratories.375,381,382 In addition, normal ranges for plasma ADAMTS13 activity for any single assay are typically very wide and established arbitrarily. For example, in the study examining ADAMTS13 activity levels in patients with decompensated liver cirrhosis, only the two patients tested with chronic, relapsing TTP had undetectable ADAMTS13 plasma activity levels.<sup>380</sup> Caution must be exercised in the interpretation of these studies.

Whether severe ADAMTS13 deficiency is specific for TTP will only be definitively answered when sensitive and specific tests are developed that are more easily translated to clinical practice and with the adoption of reference standards by the research community.<sup>283,294,375</sup> Currently, determinations of ADAMTS13 plasma activity levels are confined to a few expert research laboratories worldwide. The original methodologies developed by Furlan and colleagues<sup>337</sup> and Tsai and colleagues<sup>338</sup> are Western blotting protocols designed to demonstrate the disappearance of large vWF multimers or appearance of characteristic 176- and 140-kD degradation products, respectively, as markers for the presence of functional, plasma-derived ADAMTS13. Unfortunately, these assays are technically challenging and require the cooperation of skilled biochemists. They are also labor intensive, costly, and time consuming (>24 hours). Another major limitation is that these assays are performed under nonphysiologic (static, denaturing) conditions and may not detect all physiologically relevant mutations. In the last few years, several methodologies have emerged that differ in the substrate for cleavage, extent of protein denaturation, and technique for measurement of cleaved vWF.<sup>383–389</sup> Unfortunately, they suffer from many of the same limitations. Other methodologies have sought to more closely recapitulate the in vivo situation by adopting flow conditions and/or performing the in vitro activity assays on an endothelial monolayer.<sup>317,390</sup> The utility of these newer methodologies in the assessment of severe ADAMTS13 deficiency is currently uncertain, but their complexity almost certainly precludes their widespread usage in clinical practice. The field eagerly awaits the validation and adoption of appropriate standards for enzyme-linked immunosorbent assay (ELISA)-based methodologies that are simple, more economical, less labor intensive, and highly sensitive and specific for the determination of severe plasma ADAMTS13 deficiency.<sup>391</sup>

## Implications for Therapy and the Way Ahead

Left untreated, TTP is almost universally fatal.<sup>12</sup> With the institution of therapeutic plasma exchange or plasma infusion as soon as TTP is suspected, over 90% of patients respond in dramatic fashion.<sup>283,284</sup> Nevertheless, these highly efficacious therapeutic modalities are not without limitations and complications.<sup>375,392</sup> Plasma infusion is limited by the volume of infused plasma that can be tolerated by a patient. This is especially problematic in patients with compromised renal function. Repeated infusions expose the patient to plasma derived from a large number of donors, increasing the risk for transmission of blood-borne viruses and alloimmune reactions.<sup>392</sup> Plasma exchange necessitates placement of a central venous catheter, often in patients with severe thrombocytopenia and increased risk for hemorrhage.<sup>392–394</sup> In some adults and older children with acquired acute idiopathic TTP, daily plasma exchange may be required for prolonged periods of time, in some cases longer than 30 days.<sup>282,392</sup> These prolonged treatment periods increase the likelihood of catheter-related and systemic infections. Disease recurrence is commonplace (30%-60%) and requires the reinstitution of plasma exchange and long hospitalizations.<sup>392,395,396</sup> Other complications of plasma exchange include citrate-related paresthesias and muscle cramps, cardiorespiratory compromise, and chills and rigors.<sup>392–394</sup> It is clear that a more rationale approach to treatment would greatly improve the care of these patients.

The discovery that a severe, inherited deficiency of plasma ADAMTS13 activity causes familial TTP offers the realistic hope of specific replacement therapy in the treatment of this condition.<sup>1,375</sup> This could be accomplished by infusion of purified or recombinant ADAMTS13 protein or gene therapy protocols. Because as little as 5% enzyme activity is required to prevent or alleviate thrombotic episodes, optimism in the success of these novel therapeutic strategies is not misgiven.<sup>1,397,398</sup> Perhaps even more exciting is the possibility of testing for ADAMTS13 deficiency in at-risk children and siblings prior to the development of thrombotic complications, so that preventive replacement therapy can be instituted.<sup>375</sup> Efforts are ongoing to bring this new molecular understanding of inherited TTP to clinical fruition.

The identification of inhibitory autoantibodies against ADAMTS13 during acute episodes of acquired idiopathic TTP suggests an underlying autoimmune etiology. It is therefore conceivable that interfering with autoantibody production may be beneficial during acute episodes, especially in patients who fail to respond to plasma exchange or develop severe, treatment-associated complications.<sup>1,375</sup> These efforts have included treatment with various immunosuppressive

agents (glucocorticoids, vincristine, cyclophosphamide, intravenous immunoglobulins) and splenectomy.<sup>1,284,392,399,400</sup> This is also the therapeutic rationale for clinical trials of rituximab, a chimeric monoclonal anti-CD20 antibody that targets B cells, in patients with refractory, chronic relapsing TTP.<sup>401,402</sup> Although it is still too early to judge its efficacy, preliminary results are very encouraging.<sup>402</sup> As our understanding of the role of ADAMTS13 and vWF biology in thrombotic microangiopathies matures, more focused therapeutic strategies will emerge that target the molecular underpinnings of this frustrating disease.

## ASSOCIATED THROMBOTIC MICROANGIOPATHIES

It is increasingly recognized that thrombotic microangiopathies may complicate other systemic conditions and their therapies (Table 26-1).<sup>375</sup> These inciting triggers likely damage or activate the endothelial lining of the microcirculation in susceptible vascular beds to trigger pathologic thrombosis, hemolytic anemia, and a consumptive thrombocytopenia. Organ damage may be mild or severe, with prominent renal or extrarenal manifestations, depending on the specific trigger and individual. As such, it is often difficult (and misleading) to classify these associated thrombotic microangiopathies as either HUS or TTP. Prominent among these are drug-,<sup>403</sup> transplantation-,<sup>404-407</sup> cancer-,<sup>408,409</sup> HIV-,<sup>410,411</sup> and pregnancy-associated thrombotic microangiopathies.412 Together, they comprise a significant proportion of all thrombotic microangiopathies, especially in adulthood. To date, the roles of factor H, MCP, and ADAMTS13 in the pathogenesis of these associated thrombotic microangiopathies is unclear, 413-417 but will undoubtedly lead to more rationale therapeutic strategies for a significant number of these patients.

## CONCLUSION

The past 5 years have seen exciting advances in our understanding of the molecular mechanisms responsible for endothelial dysfunction in the thrombotic microangiopathies. These include novel mechanisms of action for verotoxin in the pathogenesis of D+HUS, the unexpected role of inefficient protection of host cell surfaces against activation of the alternative pathway of complement in D-HUS, and deficiency of the plasma-borne vWF-cleaving protease, ADAMTS13, in TTP. In addition to providing exciting potential therapeutic targets for disease intervention, these newer molecular insights offer hope for an improved molecular classification of thrombotic microangiopathies. This would substantively improve clinicians' current abilities to prognosticate and prescribe rationale and targeted therapeutic strategies for their patients.

## **Acknowledgments**

P.A.M. is a recipient of a Career Investigator Award from the Heart and Stroke Foundation of Canada and is supported by a grant from the Kidney Foundation of Canada. C.C.M. is a recipient of a Canadian Institutes of Health Research (CIHR) Postdoctoral Fellowship.

Categories	Examples
Drugs	Chemotherapeutics (mitomycin C, tamoxifen, cisplatin, bleomycin) Posttransplantation/antirejection drugs (cyclosporin, tacrolimus) Antiplatelet agents (clopidogrel, ticlopidine) Others (interferon, quinine)
Viruses	Human immunodeficiency virus (HIV) Cytomegalovirus (CMV) Human herpesvirus-6 (HHV-6) Hepatitis C virus (HCV)
Transplantation	Bone marrow transplantation Solid organ transplantation
Cancer	Hematologic malignancies Solid malignancies (especially widely disseminated cancers)
Rheumatologic disorders	Systemic lupus erythematosis (SLE) Rheumatoid arthritis Scleroderma (limited and diffuse)
Pregnancy	Pre-eclampsia, eclampsia HELLP syndrome
Other	Prosthetic heart valves Coronary artery bypass grafts Postvascular surgery

Table 26-1 Associated Thrombotic Microangiopathies

HELLP, hemolysis, elevated liver enzymes and low platelet count.

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**Figure 26-1** Classical paradigm of verotoxin-induced cellular toxicity in diarrhea-associated hemolytic-uremic syndrome. Verotoxins (VTs) are AB<sub>5</sub> exotoxins produced by pathogenic strains of enterohemorrhagic *Escherichia coli*, most prominently *E. coli* O157:H7. They are composed of five identical B subunits noncovalently bonded to a single A subunit in a donut-shaped pentameric ring. The A subunit consists of the enzymatically active A1 fragment and smaller A2 fragment. VT binds to susceptible cell surfaces, particularly endothelial cells, via a Gb<sub>3</sub> glycolipid receptor. This initial binding is followed by receptor-mediated endocytosis, retrograde transport of the toxin through the *trans*-Golgi apparatus and endoplasmic reticulum (ER). During its retrograde transport through the cell's acidic, intracellular compartments, VT is cleaved by furin to release the enzymatically active A1 fragment into the cytosol, where it potently inhibits protein synthesis by a direct and specific activity on ribosomes.



Figure 26-2 Modular organization and critical binding sites of human factor H. Factor H is a plasma-borne regulator of complement activation composed of 20 homologous subunits of approximately 60 amino acids termed short consensus repeats (SCRs) or complement control protein (CCP) modules. C3b, heparin (Hep), and sialic acid binding sites are depicted, as is the functional importance of SCR1-4 in conferring "co-factor activity" to the functional molecule. SCR19-20 represents a mutational "hot spot" in patients with atypical hemolyic-uremic syndrome, comprising over 70% of all disease mutations.

Figure 26-3 Mechanism of action of plasma-borne factor H in protection of endothelial cell surfaces against activation of the alternative pathway of complement in diarrhea-negative hemolytic-uremic syndrome (D-HUS). In the normal individual (A), plasma-borne factor H binds C3b deposited on a damaged (or activated) endothelial cell surface. This interaction is critical in regulating the formation of C3bBb, the alternative pathway C3 convertase, and mitigating complement-mediated host cellular injury. Factor H accomplishes this important task by (1) diminishing factor B binding to C3b, thereby preventing the formation of C3bBb; (2) promoting the dissociation of C3bBb (so-called "decay-accelerating activity"); and (3) acting as a co-factor for factor I in the cleavage of membranebound C3b (so-called "co-factor activity"). In a patient with atypical HUS (B), factor H is functionally deficient and cannot efficiently regulate the formation of C3bBb deposited on endothelial surfaces. Membrane-bound C3b is now left unchecked to generate C3bBb in an amplification loop that results in endothelial injury and pathologic thrombosis.





Figure 26-4 Structure of human membrane cofactor protein (MCP, CD46). MCP is a membranebound regulator of complement activation that may exist as multiple isoforms in a single cell type. All isoforms share four extracellular, N-terminal SCR domains, akin to those of factor H and other regulators of complement activation. These SCRs are followed by a domain rich in serine, threonine, and proline (STP region), a 12-amino acid juxtamembranous region of unknown sequence homology, transmembrane domain, cytoplasmic anchor, and cytoplasmic tail. The four major isoforms of MCP do not contain the A exon, and all utilize the C exon, while the B exon is alternatively spliced to generate BC- and C-containing STP regions. To date, all disease mutations in atypical hemolyticuremic syndrome target the shared SCR4 domain.



**Figure 26-5** Mechanism of action of cell surface human membrane co-factor protein (MCP) in protection of endothelial cells against activation of the alternative pathway of complement in diarrhea-negative hemolytic-uremic syndrome (D-HUS). In the normal individual **(A)**, cell surface MCP binds C3b deposited on a damaged (or activated) endothelial cell surface. This interaction is critical in regulating the formation of C3bBb, the alternative pathway C3 convertase, and mitigating complement-mediated host cellular injury. MCP accomplishes this important task by acting as a co-factor for factor I in the cleavage of membrane-bound C3b, so-called "co-factor activity." In a patient with atypical HUS **(B)**, MCP is functionally deficient and cannot efficiently regulate the formation of C3bBb deposited on endothelial surfaces. All known mutations of the *MCP* gene target the SCR4 domain. As illustrated, the mutant MCP protein is most representative of a T822C transition previously described in two families (see text). Membrane-bound C3b is now left unchecked to generate C3bBb in an amplification loop that results in endothelial injury and pathologic thrombosis.



Figure 26-6 Structure of ADAMTS13 (a disintegrin-like and metalloprotease with thombospondin type I motifs). ADAMTS13 is the plasma-borne von Willebrand factor-protease. It is composed of a series of protein motifs including (from the N-terminus) a signal peptide, propeptide, reprolysin-like metalloprotease domain, disintegrin-like domain, thrombospondin repeat (TSR), cysteine-rich domain, ADAMTS spacer domain, seven additional TSRs, and two CUB (complement components C1r/C1s, urinary epidermal growth factor and bone morphogenic protein-1) domains. Acquired or inherited deficiencies of ADAMTS13 activity are causally linked with thrombotic thrombosytopenic purpura.



**Figure 26-7** Mechanism of action of ADAMTS13 in normal individuals and patients with thrombotic thrombocytopenic purpura (TTP). Secretagogues stimulate endothelial cells to secrete "unusually large" von Willebrand factor (vWF) multimers from their intracellular storage sites, the Weibel-Palade bodies. Attached to the cell surface (and any exposed subendothelial matrix), these vWF multimers uncoil under the influence of high fluid shear stress, exposing sites for attachment of circulating platelets (via an interaction with platelet gp1bα receptors) and cleavage by ADAMTS13. In the normal individual (**A**), ADAMTS13 successfully competes for target sites on uncoiled, surface-bound vWF multimers and cleaves them to produce characteristic vWF degradation products. In a patient with TTP (**B**), ADAMTS13 activity is severely deficient and cannot effectively compete with circulating platelets for exposed binding sites. This results in platelet aggregation and pathologic thrombosis.

# The Molecular Basis of IgA Nephropathy

Stephen I-Hong Hsu and John Feehally

The initiating event in the pathogenesis of immunoglobulin A nephropathy (IgAN) is the mesangial deposition of IgA, which is predominantly polymeric IgA of the IgA1 subclass (pIgA1). With or without the additional deposition of IgG and C3 complement, this may be associated with glomerular inflammation and injury with the potential for that injury either to resolve or heal with sclerosis. Co-deposits of IgG and complement components are not mandatory for disease activity or progression, and their presence at diagnosis does not correlate with clinical outcome. Tubular atrophy and interstitial fibrosis may follow, leading to progressive renal failure (Fig. 27-1). While the deposition of IgA and the mechanisms by which IgA provokes glomerular inflammation are specific to IgAN, subsequent "downstream" inflammatory events and their consequences are generic, and appear to differ little from common mechanisms in progression of chronic renal disease.

A number of clinical observations in patients with IgAN are relevant to any description of its pathogenesis. These include:

- Mesangial IgA deposition may occur in apparently healthy individuals who have little or no clinical evidence of renal disease.
- The extent and intensity of the glomerular injury in response to mesangial IgA deposition are very variable. There may be low-grade glomerular inflammation that resolves completely; others develop slowly progressive renal insufficiency. A minority has a more fulminant necrotizing inflammation with crescent formation.
- Recurrent mesangial IgA deposition is very common after renal transplantation, increasing with duration of transplantation, occurring eventually in approximately 50% of all patients.<sup>1</sup> In addition, occasional unwitting "experiments" in which cadaveric kidneys with mesangial IgA deposits were transplanted into recipients with primary renal disease other than IgAN have usually resulted in resolution of the IgA deposits within weeks.<sup>2,3</sup> These findings strongly suggest that IgAN is a consequence of altered host susceptibility, including abnormalities of the IgA immune system, rather than an intrinsic kidney abnormality.
- Elevated serum IgA per se is not sufficient to cause IgAN. For example, in IgA myeloma there may be a large circulating load of pIgA1, but cast nephropathy rather than IgAN is the characteristic renal lesion. In human immunodeficiency virus (HIV) and acquired immunodeficiency syndrome (AIDS), even though there may be very high quantities of circulating polyclonal pIgA, IgAN is only one of a number of patterns of glomerular disease that are seen.<sup>4</sup>
- Some patients with IgAN also develop Henoch-Schönlein purpura (HSP), a systemic vasculitis in which skin, joint,

and gut involvement coincide with a renal lesion that may be indistinguishable from IgAN. The relationship between IgAN and HSP has been reviewed<sup>5</sup> and is not further discussed here.

#### OVERVIEW OF THE IMMUNOPATHOGENESIS OF IgA NEPHROPATHY

There are three elements that may contribute to the development of IgAN, and the extent to which each is operational decides the severity, tempo, and eventual outcome of IgAN in any individual. These elements are:

- 1. Synthesis and release into the circulation of pIgA1 with characteristics that favor mesangial deposition.
- 2. The "responsiveness" of the glomerular mesangium as judged by susceptibility to mesangial IgA deposition, the mesangial inflammatory response to IgA deposition, and the ability to follow this by resolution of inflammation rather than ongoing sclerosis.



**Figure 27-1** Overview of the pathogenesis of IgA nephropathy (IgAN). IgA deposition with or without associated IgG and complement leads to mesangial inflammation and injury, which may resolve or lead to progressive renal scarring and eventually end-stage renal disease (ESRD). While the deposition of IgA and the initiation of mesangial injury are specific to IgAN, subsequent "downstream" events are likely to be generic, differing little from those in other progressive renal disease. (Redrawn from Barratt J, Feehally J, Smith AC: Pathogenesis of IgA nephropathy. Semin Nephrol 24:197–217, 2004.) **3.** The tendency of the whole kidney to respond to injury by mounting a response favoring progressive renal injury, including hypertension, proteinuria, tubular atrophy, and interstitial fibrosis.

The likely interactions of these elements of pathogenesis are shown in Fig. 27-2.

IgAN is defined by a pattern of glomerular morphology and may not be one entity with a single pathogenic mechanism.



Figure 27-2 Interactions of major elements of pathogenesis of IgA nephropathy (IgAN). The interactions of different pathogenic elements required to produce IgAN are shown. Total circulating serum IgA is the source of mesangial IgA deposits in IgAN. However, the fraction of total serum IgA that has a propensity for mesangial deposition is small, and that capable of initiating GN smaller still. The precise physicochemical properties of this IgA and the pathways controlling its production are discussed in the text. The response of the mesangium, and in particular the mesangial cell (MC), to the deposited IgA is critical to the development of IgAN. A failure of MC clearance mechanisms may contribute to mesangial IgA accumulation, while MC activation with release of pro-inflammatory cytokines and extracellular matrix components will drive glomerulonephritis (GN) and glomerulosclerosis. Without an appropriate genetic predisposition to develop IgAN, IgA deposition can be a benign process with little or no risk for triggering GN. However, given an appropriate genetic background, serum IgA responses will favor mesangial IgA deposition and the mesangial response will take on a pro-inflammatory phenotype, resulting in clinically significant IgAN of varying severity. Finally, if generic progression risk factors coincide, this will increase the likelihood of progressive renal impairment and may ultimately determine the rate of decline in renal function. (Redrawn from Barratt J, Feehally J, Smith AC: Pathogenesis of IgA nephropathy. Semin Nephrol 24:197-217, 2004.)

Intuitively, it seems more probable that several mechanisms or combinations of mechanisms can all produce IgAN; our growing understanding of the pathogenesis may eventually allow a more realistic classification of different subtypes of IgAN.

#### THE HUMAN IGA IMMUNE SYSTEM

#### Structure of Human IgA

There are two subclasses of human IgA, IgA1 and IgA2, and two allotypes of the latter, IgA2m1 and IgA2m2.<sup>6</sup> IgA1 and IgA2 can both exist in monomeric (mIgA) or polymeric (pIgA) forms. IgA polymers are usually dimers (dIgA; although higher molecular weight forms are also found), and contain the 21-kD joining protein J chain. IgA and J chain are co-synthesized by the plasma cell, and the polymers are assembled before secretion.<sup>7</sup>

The main structural difference between the IgA subclasses is the 18–amino acid hinge region situated between the CH1 and CH2 domains of IgA1, which is lacking in IgA2.<sup>6</sup> Although short, the hinge region forms a distinctive feature of IgA1. It consists of an unusual repeating sequence of proline, serine, and threonine residues, and it carries multiple O-linked carbohydrate side chains (Fig. 27-3). O-glycosylation is rare in serum proteins and is not found in other serum immunoglobulins, including IgA2. The composition of each O-glycan chain is variable, and may consist of *N*-acetylgalactosamine alone with or without additional galactose and sialic acid (see Fig. 27-3). Therefore, an array of different IgA1 O-glycoforms may be found in serum IgA1 at any time.

#### **Human IgA Production**

IgA plasma cells are mainly located at mucosal immune sites, where they produce very large quantities of IgA of both subclasses, the relative proportions varying in different locations. Mucosally produced IgA is rapidly transported across the adjacent epithelial barrier into external secretions, with very little entering the blood. This active transport is mediated by the polymeric immunoglobulin receptor (pIgR), which is expressed on the apical surface of epithelial cells and recognizes J chain-containing immunoglobulins (pIgA and IgM). Once bound, the pIgR-pIgA complex is internalized and secreted at the luminal side of the epithelial cell. Such transported pIgA retains a portion of the pIgR (now termed the secretory component [SC]), forming secretory IgA (sIgA). J chain is essential for active mucosal IgA secretion, and virtually all mucosally produced IgA is polymeric.<sup>7</sup> Appreciable quantities of IgA are also made in systemic immune sites, including the bone marrow. This IgA is nearly all monomeric IgA1, and is secreted into the circulation. Therefore, human serum IgA is mainly mIgA1.6

# **Functions of Human IgA**

The major function of IgA is in mucosal defense. pIgA in mucosal secretions and on the internal side of the mucosal barrier effectively binds microbes and toxins, neutralizing them and preventing invasion further into the body. Although it has been shown to fix complement in some situations, IgA is



Figure 27-3 O-glycosylation of Human IgA1. **A**, Structure of monomeric IgA1. There are two  $\alpha$ 1 heavy [H] chains and two  $\kappa$  or  $\lambda$  light chains. The  $\alpha$ 1 heavy chain has a variable (V) region and three constant region domains (CH1-3), with an 18-amino acid (aa) hinge region lying between the CH1 and CH2 domains, from aa 223 through 240 inclusive. **B**, Amino acid sequence of the IgA1 hinge region. All nine of the serine and threonine residues (marked with arrows) are potential sites for O-glycosylation, although the completeness of glycosylation is variable, and no more than five or six sites are likely to be occupied in normal serum IgA1.<sup>151</sup> **C**, The O-glycan chain types found in IgA1. Each O-glycan chain is based on a core *N*-acetyl galactosamine unit (GalNAc; black squares) O-linked to serine or threonine. This can exist alone (chain type I), but usually carries galactose (blue circles) and sialic acid (blue stars) to form chain types II to V.<sup>152</sup> Each IgA1 molecule carries a mixture of these five chain types, giving rise to a wide array of possible O-glycoforms. (Redrawn from Barratt J, Feehally J, Smith AC: Pathogenesis of IgA nephropathy. Semin Nephrol 24:197–217, 2004.)

much less effective at doing so than IgG and IgM. This may help to prevent excessive inflammation at mucosal surfaces, where antigen exposure is continuous but is generally dealt with effectively without the need for acute and damaging inflammatory reactions.<sup>8</sup> The function of systemic IgA is less well understood, but it may have an anti-inflammatory action, hence the association between IgA synthesis and otherwise immunosuppressive cytokines such as interleukin-10 (IL-10) and transforming growth factor- $\beta$  (TGF- $\beta$ ).<sup>9</sup>

### **Control of Human IgA Production**

Systemic production of IgA appears to be under similar T-cell control mechanisms to IgG production: antigen exposure resulting in serum IgA antibody production showing broadly similar patterns of primary and secondary immune responses and affinity maturation as seen with IgG.<sup>10</sup> Type 2 T-cell cytokines (IL-4, -5, and -6) promote B-cell class switching to IgA, and subsequent proliferation and differentiation of IgAproducing cells.<sup>11</sup> IgA production is also specifically promoted by the cytokines IL-10 and TGF- $\beta$ ,<sup>12</sup> which have suppressive effects on IgG production. The control of mucosal IgA production is less well understood, although Th2 T cells are undoubtedly involved.<sup>13,14</sup> A variety of cell types probably contribute to the maintenance of a mucosal microenvironment strongly favoring pIgA production. The factors that influence co-expression of J chain by mucosal but not systemic IgA plasma cells are unknown, but may be of great relevance to our understanding of the immunopathogenesis of IgAN.15

The mucosal and systemic immune systems produce IgA with differing features and functions. The homing of subpopulations of activated T and B cells to the correct effector sites is mediated by highly specific receptor-ligand interactions; lymphocyte cell surface homing and chemokine receptors interact with location-specific vascular endothelial cell ligands.<sup>16</sup> Mucosally and systemically activated cells migrating through the circulation express distinct receptors and are recruited back to their priming tissue by recognition of the relevant vascular ligands in order to effect an immune response in the appropriate location. However, there appears to be a "mucosa-bone marrow axis," in which there is continual trafficking of antigen specific lymphocytes and antigen-presenting cells between mucosal sites and primary lymphoid tissues such as the tonsils, spleen, and bone marrow.<sup>17-19</sup> This is illustrated by the phenomenon of oral tolerance, by which systemic immune responses to mucosally encountered antigens-such as frequently encountered nonpathogenic antigens, including those derived from food or commensal bacteria-are actively suppressed.<sup>20</sup> Oral tolerance occurs in humans, but most of the evidence on its control comes from experimental animals. Oral tolerance is mediated by antigen-specific T cells that arise in the mucosa but migrate to the systemic compartment. T-cell subsets implicated in oral tolerance include Th2 cells, Tr (T-regulatory) cells, and Th3 cells, which produce the immunosuppressive cytokines IL-10 and TGF- $\beta$ ,<sup>21</sup> and  $\gamma\delta$  T cells.<sup>22</sup>  $\gamma\delta$  T cells are a minority T-cell population in most compartments of the immune system, but they have particular importance in mucosal immunity and play a pivotal role in mucosal IgA production.<sup>23</sup> The polymorphic V regions of the  $\gamma$  and  $\delta$  T-cell receptor genes are grouped in families, and characteristic V $\gamma$  and  $\delta$  families predominate in the  $\gamma\delta$  T cell populations found in different immune system compartments. Furthermore,  $\gamma\delta$  T-cell subsets expressing different V region families also differ in their homing receptor and cytokine expression,<sup>24</sup> suggesting that V region usage may define functional  $\gamma\delta$  T-cell subsets.

All cell types implicated in the control of oral tolerance are associated with promotion of IgA production. TGF- $\beta$  is a key cytokine in the control of IgA production, not only promoting IgA production, and suppressing other isotype responses, but also inducing expression of the mucosal retention receptor  $\alpha E\beta7$  by mucosal lymphocytes.<sup>25</sup> Functional analysis of these

T-cell subtypes has not yet made clear the extent of overlap between Th3 cells, Tr cells, and  $\gamma\delta$  T cells.

### **Clearance of Human IgA**

IgA and IgA-immune complexes IgA-IC are cleared from the circulation at least partly via the liver. The hepatic asialoglycoprotein receptor (ASGPR) and the Fc $\alpha$  receptor CD89 are IgA-binding receptors expressed in the liver, although in the human their relative contributions to IgA catabolism have yet to be fully elucidated.<sup>26–28</sup> IgA is also catabolized by monocytes/macrophages and neutrophils via their expression of CD89; this is another potentially important route of clearance.<sup>29</sup>

### ABNORMALITIES OF IGA AND ITS PRODUCTION IN IGA NEPHROPATHY

Numerous aspects of IgA and IgA production are abnormal in patients with IgAN (Table 27-1). Patients are heterogeneous with respect to many of these abnormalities, supporting the notion that more than one pathogenic mechanism may result in the production of pathogenic circulating IgA.

Deposited mesangial IgA must come from the blood. High plasma IgA alone is not sufficient to produce mesangial IgA deposits; therefore, patients with IgAN must produce a pool of circulating IgA molecules with special characteristics that particularly promote mesangial deposition. For these molecules to accumulate in the kidney, the rate of their deposition must exceed that of clearance.

# Characteristics of Circulating IgA in IgA Nephropathy Physicochemical Properties of Circulating IgA in IgA Nephropathy

Serum IgA levels are modestly increased in IgAN. The increase in serum IgA is accounted for by pIgA1, with mIgA and IgA2 levels generally being normal.<sup>30</sup> The serum IgA of patients is anionic,<sup>31,32</sup>  $\lambda$  light chains are over-represented,<sup>33</sup> and the IgA1 O-glycosylation profile is altered in comparison to controls (see Table 27-1).<sup>34</sup>

There is increasing evidence that abnormal O-glycosylation of IgA1 has a role in the pathogenesis of IgAN. Early studies evaluated binding of O-glycan-specific lectins to serum IgA1 from patients with IgAN.<sup>35</sup> Although lectins are convenient tools for indicating abnormal glycosylation patterns, they cannot provide precise structural information about O-glycan chains because their specificities are not absolute, and their binding may be affected by factors other than the individual O-glycan moieties present.<sup>35</sup> Nevertheless such studies have clearly and consistently demonstrated that serum IgA1 in IgAN is abnormally O-glycosylated, and strongly suggested that the abnormality takes the form of reduced galactosylation of the O-glycans, leading to increased frequency of truncated glycan chains consisting of GalNAc alone and possibly to increased exposure of the hinge region peptide itself.<sup>36</sup> More precise analysis of IgA1 O-glycosylation using carbohydrate electrophoresis,<sup>37</sup> chromatography,<sup>36,38</sup> and mass spectroscopy<sup>39,40</sup> all support a lack of O-linked galactosyl chains in IgA1 from patients with IgAN. Altered sialylation of the

Table 27-1Properties of IgA in Normal Subjects and in IgA Nephropathy (IgAN): The Common Features of Serum andMesangial IgA in IgAN

Properties of IgA	Controls		IgAN	
	Serum IgA	Mucosal IgA	Serum IgA	Mesangial IgA
Size	Mostly mIgA	Mostly pIgA	Increased plgA	plgA
Subclass	Mostly IgA1	lgA1 & lgA2	Increased IgA	lgA1
Proportion of I light chain compared with normal serum IgA	_	Not known	Increased	Increased
Charge compared with normal serum IgA	_	Not known	Anionic	Anionic
O-glycosylation	Heavily galactosylated and sialylated	Unknown galactosylation Decreased sialylation	Reduced galactosylation Increased or decreased sialylation	Reduced galactosylated and sialylation

Reproduced from Barratt J, Feehally J: IgA nephropathy. J Am Soc Nephrol 16:2088–2097, 2005.

O-glycans in IgA1 is more debatable as the specificity of sialic acid-binding lectins is unsatisfactory. Both increased<sup>41</sup> and decreased<sup>40</sup> O-sialylation have been suggested. Further confirmation will required improved analytical techniques.

There is no evidence that IgAN results from a clonal abnormality of IgA-producing plasma cells. The changes in IgA1 O-glycosylation do not result in a tightly restricted pattern with IgA1 molecules all showing a specific abnormality; rather, there is a shift that slightly favors the appearance of IgA1 molecules with glycoforms less likely to contain galactose (and perhaps sialic acid).

Any functional effects of altered IgA1 hinge region Oglycosylation are as yet unproven, but may be expected given the pivotal position of the hinge region in the IgA1 molecule. The hinge region is a short sequence consisting of only 18 amino acids, but it typically carries 10 to 12 O-glycan chains, which contribute about half of the molecular weight of the region, depending on the completeness of O-glycosylation. As the glycans extend from the peptide core, they shield it from external exposure and will influence the physical shape and electrical charge of the IgA1 molecule, thus potentially affecting interactions with proteins and receptors.<sup>42,43</sup> They may also determine the antigenicity of IgA1, as discussed below. IgA1 glycosylation also appears to influence matrix interactions; molecules lacking terminal sialic acid and galactose units have been shown in vitro to exhibit increased affinity for the extracellular matrix components fibronectin and type IV collagen.44 There have been some reports that serum IgA1 glycosylation pattern is associated with variations in the extent and pattern of histopathologic glomerular injury.45

# Antigen Specificity of IgA in IgA Nephropathy

In IgAN there is no convincing evidence of circulating IgA autoantibodies against a mesangial antigen,<sup>46</sup> but there are reports of increased levels of circulating IgA-IC. This may arise from increased antigen load and persistent IgA antibody

production, or from failure of IgA-IC clearance from the circulation. Increases in circulating IgA antibodies against a variety of antigens have been described, although no single pathogenic antigen has been established. As is the case for total serum IgA, the increase in IgA antibody titers seems to be restricted to IgA1,<sup>47,48</sup> and PIgA may be over-represented.<sup>49</sup> Since the majority of PIgA is mucosal in origin, particular attention has been focused on mucosally encountered antigens as potential triggers for the development of IgAN, and there is convincing evidence for an increase in circulating PIgA1 antibodies against a variety of mucosal antigens, both microbial and environmental. However, the same can also be seen for systemically encountered antigens,<sup>47,48,50</sup> suggesting that there is a general tendency to overproduce PIgA1 antibodies in IgAN.

Large immune complexes that persist in the circulation are most susceptible to mesangial trapping. IgA-IC composed of pIgA will be likely to meet these criteria: they will be large by virtue of the polymeric nature of the IgA, and the low efficiency of IgA complement fixation may favor both large size and persistence in the circulation, as complement interrupts IC lattice formation and is involved in immune complex internalization by phagocytes. Furthermore, in a study of antibody responses to systemic immunization with tetanus toxoid in IgAN, the pIgA1 antibody response was not only increased and prolonged,49 but the IgA was also of low affinity, although IgG affinity was normal.<sup>10</sup> This suggests that some IgA antibodies may be functionally abnormal in IgAN, leading to failure of antigen clearance and consequent persistence of IgA production and circulating IgA-IC. Another intriguing explanation for the presence of circulating IgA-IC in IgAN is provided by the demonstration in patients' serum of IgG and IgA antibodies against agalactosyl IgA1 O-glycans and the "naked" IgA1 hinge region peptide.51,52 This raises the possibility that in some IgA-IC, abnormally O-glycosylated IgA1 is actually the antigen rather than the antibody, and therefore continued production of abnormally O-glycosylated IgA1 will ensure the persistence of circulating IgA-IC.

#### Altered IgA Clearance in IgA Nephropathy

Failure of normal IgA and IgA-IC clearance mechanisms may also facilitate their persistence in the serum. This has not been thoroughly studied in IgAN, as the normal pathways of IgA catabolism in the human are not well understood. An in vivo study tracking the clearance of radiolabeled IgA from the circulation has demonstrated that the liver has an important role, and that the rate of clearance is reduced in IgAN.<sup>53</sup> The hepatic ASGPR is probably a key receptor in this process, and its interaction with IgA1 could be affected by abnormal Oglycosylation, although recent reports suggest that the Nlinked glycans, elsewhere in the IgA1 molecule, may be the major mediators of IgA1-ASGPR binding.<sup>28</sup> However, a study of IgA1 binding to the hepatocyte cell line HepG254 demonstrated increased binding of IgA1 from patients with IgAN, arguing against defective clearance by this route, although it is still possible that a small pool of nephritogenic IgA1 molecules has different clearance properties.

Another route of IgA catabolism is by monocytes and neutrophils, which express FcaRI (CD89). In IgAN, there is downregulation of CD89 expression on these circulating myeloid cell types, which may lead to reduced clearance of IgA from the circulation.<sup>29</sup> It has also been shown that mIgA purified from patients with IgAN binds less well to CD89 than mIgA from healthy controls, and this may act to disrupt the efficiency of systemic IgA clearance in IgAN.<sup>55</sup> Alterations in the post-translational modification of CD89 have also been reported in IgAN. Myeloid CD89 molecules isolated in IgAN are consistently larger (60-85 kD) than those of controls (55-75 kD) and show decreased binding to a sialic acidspecific lectin, indicating impaired sialylation of surface CD89 molecules.<sup>29</sup> This could modify the receptor-ligand interaction and may help explain the reduced binding of mIgA seen in IgAN.

# Characteristics of Mesangial IgA in IgA Nephropathy

Analysis of IgA deposited in the mesangium should provide crucial information about those characteristics of IgA that promote mesangial deposition, but opportunities for such studies have been limited compared with studies of serum IgA. The mesangial deposits always contain IgA1, while the presence of IgA2, IgG, and IgM are variable and do not seem to be required for development or progression of glomerulonephritis (GN).<sup>56</sup> The deposited IgA has been shown to bind SC, and therefore to consist at least partly of J chaincontaining pIgA. However, glomerular SC itself has not been identified in most studies, suggesting that sIgA is not involved, although one recent study does raise again the possibility of a role for sIgA in mesangial deposits.<sup>57</sup> Compared with serum IgA, IgA eluted from biopsy sections displays a predominance of  $\lambda$  light chain and is more anionic.<sup>58</sup> Opportunities to study the O-glycosylation of deposited IgA1 are limited by the modest amount of IgA that can be eluted from a typical renal biopsy core. However, it is clear from two recent studies that the mesangial IgA1 has an O-glycosylation pattern that exaggerates the abnormality seen in serum IgA1, suggesting that altered O-glycosylation is a factor directly promoting mesangial deposition (see Table 27-1).40,59

Analysis of the antigen specificity of mesangial IgA has not produced consistent findings; a wide range of viral and bacterial antigens have been detected in mesangial deposits, suggesting that the significant abnormality is in the IgA system, resulting in production of IgA with nephritogenic features during the immune response to certain infections, rather than implicating these pathogens per se as causative agents.

It therefore seems that deposited IgA has the same abnormal physicochemical features as serum IgA in IgAN (summarized in Table 27-1), but that the mesangial IgA is "enriched" for these abnormalities. This suggests that circulating IgA consists of molecules heterogeneous in all these respects, and it is those molecules at the most abnormal end of the spectrum that deposit in the mesangium. Therefore, to produce mesangial IgA deposits, a subtle increase in circulating IgA with these characteristics is probably more significant than large changes in total IgA, or other physicochemical types of IgA. Which of the pathogenic IgA features are actually responsible for deposition is not clear, but altered O-glycosylation is perhaps the most attractive candidate, since it can affect the threedimensional shape and charge of the IgA1 molecule, which may in turn modify the interactions of IgA1 with cells and proteins, and since there is good evidence for its direct involvement in complex formation.51,52

# Control of Production of Pathogenic IgA in IgA Nephropathy

Whether the production of the pIgA1 deposited in the mesangium occurs in the mucosa or the marrow has been a matter of debate. The association of episodic macroscopic hematuria with respiratory and gastrointestinal tract infection that is characteristic of IgAN led to the suspicion that IgAN is intimately linked with abnormal mucosal antigen handling. This is also suggested by the consistent observation that both mesangial IgA and the increase in serum IgA are polymericthe type of IgA that is normally produced at mucosal surfaces rather than in systemic immune sites-and several studies that show increased serum levels of pIgA antibodies against mucosal antigens.<sup>60–62</sup> However, the presence of pIgA in the circulation cannot be simply attributed to mucosal overproduction and "spillage" into the circulation. Mucosal pIgA plasma cell numbers are normal or even reduced in IgAN,63,64 while pIgA antibody levels in mucosal secretions are not elevated, and are sometimes lower than controls.<sup>65</sup> On the other hand, increased pIgA1 plasma cell numbers are found in the bone marrow in IgAN,<sup>66,67</sup> and systemic antigen challenge results in increased titers of circulating pIgA1 antibodies,48,49 with normal levels in mucosal secretions.<sup>68</sup> Therefore pIgA1 overproduction seems to occur in systemic immune sites such as the bone marrow, with both systemic and mucosal antigen challenges resulting in this systemic over-responsiveness. The IgA immune response to systemic immunization is both exaggerated and prolonged in IgAN.<sup>49</sup> This may be related to a functional defect of IgA antibody affinity<sup>10</sup> leading to antigen persistence in the patients, although IgG function appears to be normal, and the patients do not show any signs of overt mucosal or systemic immunodeficiency.

Alternatively, the systemic immune microenvironment in IgAN may have an inherent bias towards pIgA production. A number of studies have shown that peripheral blood mononuclear cells and circulating T cells overexpress Th2 cytokines,<sup>69–71</sup>

as well as IL-10 and TGF- $\beta$ ,<sup>72–74</sup> both of which are potent promoters of IgA. However, studies of circulating lymphocyte populations must be interpreted with caution, since it is not possible to be confident which subpopulations of lymphocytes are represented, and what are the origin and destination of the cells identified.

Unfortunately, the specific factors that influence the type of IgA produced in a given situation are not yet fully elucidated. Normally, mucosal IgA production is almost exclusively polymeric, while systemic IgA is monomeric. Presumably, elements of the mucosal microenvironment promote J-chain expression. It is also possible that other features of the pathogenic IgA phenotype, such as light-chain usage and O-glycosylation, are under similar control to polymer production, and that the pathogenic IgA is actually a "mucosal phenotype" aberrantly occurring in the circulation. IgA1 is O-glycosylated as part of the synthetic process within the plasma cell by the action of various intracellular glycosyltransferases. Abnormal IgA Oglycosylation in IgAN arises from a synthetic defect, since there is no evidence for excess postsecretory degradation of the Oglycan chains,<sup>75</sup> and the amino acid sequence of the IgA1 hinge region, which provides the template for O-glycosylation, also appears to be normal.<sup>76</sup> The O-glycosylation defect in IgAN appears to take the form of reduced galactosylation. The enzyme  $\beta_{1,3}$ -galactosyltransferase ( $\beta_{1,3}$ GT) catalyzes the addition of galactose to O-linked GalNAc. One functional study implicates a defect in  $\beta_{1,3}$ GT in peripheral blood B cells,<sup>77</sup> but there is no evidence for an overt defect in the activity of this enzyme in bone marrow B cells in IgAN (KS Buck, unpub-lished observations). However, these studies investigated total B cells, and it is still possible that a minority B-cell subpopulation with abnormal  $\beta_{1,3}$ GT function is responsible for the production of abnormally glycosylated IgA1 in IgAN. It has recently been recognized that  $\beta$ 1,3GT is only functional in the presence of a chaperone protein, Cosmc.<sup>78</sup> A gene expression study has shown that expression of the  $\beta$ 1,3GT gene is unchanged in the B cells in IgAN, whereas the Cosmc gene is underexpressed<sup>79</sup>; the functional implications of these expression studies has not yet been elucidated. There is also evidence of differences in Oglycosylation patterns between IgA1 and IgD (the only other immunoglobulin with O-glycosylation sites),<sup>80</sup> implying there is not a widespread defect in plasma cell glycosylation machinery in IgAN. With current evidence, the hypothesis that a β1,3GT gene defect underlies the abnormal O-glycosylation of IgA1 in IgAN remains unproven.

The glycosylation of secretory IgA appears to differ from that of serum IgA in normal human subjects with lower sialylation,<sup>81</sup> demonstrating that the degree of O-galactosylation may well vary between immune sites, although the factors controlling this remain unknown. More informative are recent data indicating that circulating IgA antibodies to mucosal antigens have glycosylation patterns different from those directed against systemic antigens, a difference present in IgA and in control subjects.<sup>82</sup> Taken together, these findings imply that, "aberrantly" glycosylated pIgA1 may be normal in the mucosa, and an increase in the serum in IgAN may be a consequence of defective or misplaced IgA immune responses, rather than any intrinsic defect in post-translational glycosylation machinery. In view of the evidence for an imbalance between the mucosal and systemic IgA systems in IgAN, mucosal-type antigen-handling or regulatory influences may

be aberrantly displaced to systemic sites. One possibility is that IgAN results from a breakdown of oral tolerance. Support for this theory includes the presence in the circulation of IgA antibodies against food and mucosal environmental antigens,<sup>62,83-86</sup> and evidence of low-level chronic inflammation in the intestinal mucosa.<sup>87,88</sup>  $\gamma\delta$  T cells are particularly implicated as mediators of oral tolerance in the mouse,<sup>22</sup> and although their role in human oral tolerance is unproven, there are several reports of aberrant  $\gamma\delta$  T cell populations in IgAN. Cells expressing V $\delta$ 3 are deficient from both the duodenal mucosa and the bone marrow in IgAN.<sup>89,90</sup> Another study found that total circulating  $\gamma\delta$  T cell numbers were increased in IgAN, and further increased during a systemic immune response,<sup>50</sup> although the functional pattern of these  $\gamma\delta$  T cells was not investigated. Finally, peripheral blood  $\gamma\delta$  T cells from patients with IgAN have been shown to express TGF- $\beta$  (an important cytokine in murine oral tolerance) and specifically to promote IgA production by B cells in culture.<sup>91</sup>

Another possibility is that there is a defect in the T-cell homing, which normally ensures that activated T cells circulating in response to mucosal or systemic antigen exposure will home to the mucosa or bone marrow respectively and promote an antibody response in the appropriate site. This homing is achieved by the expression of site-specific integrin homing receptors. One study of circulating T cells reports an increase in the expression of the systemic homing receptor in IgAN, which would be consistent with a shift of pIgA1 production to the marrow.<sup>92</sup> But this finding needs further confirmation.

#### THE ROLE OF THE MESANGIUM IN IgA NEPHROPATHY

### **Mechanisms of Mesangial IgA Deposition**

Although accumulation of IgA in the mesangium is the pathogenic hallmark of IgAN, not all IgA deposition is associated with the development of GN.93 Furthermore, IgA deposition is not necessarily an irreversible phenomenon: mesangial IgA deposits in kidneys inadvertently transplanted into recipients who originally did not have IgAN disappear,<sup>2,3</sup> and sequential biopsy studies suggest that clinical remission is accompanied by disappearance of IgA deposits.<sup>94</sup> Together this suggests that the mesangium is normally capable of clearing finite amounts of IgA, so mesangial IgA must accumulate in IgAN because the rate of IgA deposition either exceeds this clearance capacity or the deposited IgA is in some way resistant to mesangial clearance. Accepting that the process of IgA deposition is not directed against mesangial antigens, the IgA molecule must therefore possess certain intrinsic physicochemical features that promote mesangial deposition in IgAN (see Table 27-1). Not all features of this pathogenic IgA will apply to all IgA molecules, perhaps explaining the poor correlation between total serum IgA levels and mesangial IgA deposits. But even if the levels of circulating pathogenic IgA are low, its continuous presence in the circulation due to intrinsic abnormalities in regulation of IgA production will mean glomeruli have a prolonged pathogenic IgA exposure, that is, a high "load" of pathogenic IgA, resulting in incessant mesangial IgA accumulation and glomerular damage.

It remains unclear which physicochemical characteristics of pathogenic IgA dictate mesangial deposition, and may therefore be found in individuals with mesangial IgA but no GN, and which features are responsible for the initiation of a proinflammatory glomerular response in susceptible individuals. In animal models of GN, macromolecular Ig-containing complexes are particularly prone to mesangial deposition, and therefore it seems likely that the increased levels of serum IgA macromolecules in IgAN will promote mesangial deposition through nonspecific, size-dependent mesangial trapping. The exaggerated O-glycosylation defect detected in mesangial IgA1 may promote this phenomenon: it has been shown that aberrantly glycosylated IgA1 molecules have an increased tendency both to self-aggregate<sup>44</sup> and form antigen-antibody complexes with IgG antibodies directed against IgA1 hinge epitopes.<sup>52</sup> Furthermore, altered IgA1 O-glycosylation is a feature also seen in other conditions associated with glomerular IgA deposition.<sup>39,95</sup> There is also evidence from transgenic mice that soluble CD89-IgA complexes generated after binding of IgA to membrane bound CD89 are associated with massive mesangial IgA deposition.96 It is possible that circulating FcaRI-IgA complexes may form part of the circulating pool of macromolecular "pathogenic" IgA in human IgAN, although more recent data suggest that CD89-IgA complexes may not be specific to IgAN.97

In addition to nonspecific, size-dependent mesangial trapping, there is also evidence that IgA deposition may be influenced by interactions between IgA and specific mesangial matrix components. Studies in renal biopsy material show rebinding of IgA eluates to autologous glomeruli and to some other IgAN samples but not to normal glomeruli, suggesting that specific IgA-matrix interactions may be present.<sup>98</sup> IgA1 glycosylation again appears to influence matrix interactions; molecules lacking terminal sialic acid and galactose units in vitro have increased affinity for the extracellular matrix components fibronectin and type IV collagen.<sup>44</sup> The anionic pI of mesangial IgA may also promote interactions with such mesangial proteins.

Although immunoglobulins using  $\lambda$  light chains do seem to predominate in glomerular deposits in various renal diseases,<sup>94,99</sup> it is unlikely that  $\lambda$  light chain usage per se is the driving force behind deposition in IgAN, since significant amounts of IgA1 $\lambda$  are found in the circulation of all individuals.

# Mesangial Cell IgA Receptors and IgA Clearance

For there to be mesangial IgA accumulation, the rate of mesangial IgA deposition must exceed that of mesangial clearance. The principal candidate pathway for IgA clearance is through mesangial cell (MC) receptor-mediated endocytosis and catabolism of IgA deposits. Unfortunately, the published data on the expression of IgA receptors by MC are inconsistent (Table 27-2). Although preliminary evidence has been reported that MCs express known IgA receptors, including CD89 (Fc $\alpha$ RI), polymeric Ig receptor, and hepatic ASGPR,<sup>100,101</sup> none of these reports have been definitely confirmed. It has been reported that human MCs express the transferrin receptor (CD71), which can act as an IgA receptor, and that this may be overexpressed in the mesangium in IgAN.<sup>102,103</sup> There is some in vitro evidence that CD71 may

also enhance MC proliferation through a positive feedback loop with pIgA1.<sup>104</sup> Understanding of the range and significance of MC IgA receptor(s) is still incomplete; for example, there is also preliminary evidence that human MCs may in addition express a novel  $Fc\alpha R$ .<sup>105</sup>

Independent of the receptor involved, there is in vitro evidence that MCs are capable of receptor-mediated endocytosis and catabolism of IgA, supporting the role of the MC as a major contributor to mesangial IgA clearance.<sup>102,106</sup> It is not yet known whether there are abnormalities of MC IgA binding in IgAN; however, it is possible that impaired binding could lead to defective mesangial IgA clearance and thereby contribute to IgA accumulation and the development of GN.

#### Mechanisms of Initiation and Progression of Glomerular Inflammation in IgA Nephropathy

Although IgG and complement components are often codeposited, IgA alone appears sufficient to provoke glomerular injury in the susceptible individual. In animal models it has been shown that passive transfer of either "pathogenic" IgA or T-cell-depleted allogeneic bone marrow cells from IgANprone mice can trigger the development of IgAN in previously normal animals.<sup>107,108</sup> Furthermore, bone marrow transplanted from normal donors lowers total serum IgA, including the macromolecular IgA fraction, while simultaneously attenuating the glomerular lesions seen in these IgAN-prone mice.<sup>109</sup> Separately it has been shown that deposition of pIgA, but not mIgA, initiates GN, suggesting that those IgA macromolecules prone to mesangial trapping are also capable of initiating inflammation.<sup>110</sup> The development of GN following IgA deposition is believed to result from both IgA-induced activation of MC and local complement activation.

# Mesangial Cell Activation

There is strong in vitro evidence that cross-linking of MC IgA receptors with macromolecular IgA elicits pro-inflammatory and pro-fibrotic transformation in MC. Consistent with the mesangial hypercellularity seen in renal biopsy specimens, MCs proliferate in response to IgA.<sup>111,112</sup> Furthermore, exposure to IgA upregulates secretion of both extracellular matrix components and the pro-fibrotic growth factor TGF- $\beta$ .<sup>113</sup> IgA is also capable of altering MC-matrix interactions by modulating integrin expression, and this may have an important role in remodeling of the mesangium following glomerular injury.<sup>114</sup> Exposure of MC to IgA is also capable of initiating a pro-inflammatory cascade involving MC secretion of platelet activating factor (PAF), IL-1 $\beta$ , IL-6, tumor necrosis factor- $\alpha$  $(TNF-\alpha)$ , and migration inhibitory factor (MIF); the release of the chemokines monocyte chemotactic protein-1 (MCP-1), IL-8, IL-10; and development of an amplifying pro-inflammatory loop involving IL-6- and TNF-α-induced upregulation of MC IgA receptors.<sup>115</sup> There is also evidence that activation of MCs by co-deposited IgG may synergistically contribute to the development of a pro-inflammatory MC phenotype and thereby influence the degree of glomerular injury.<sup>116</sup>

pIgA1 from patients with IgAN enhances mesangial activation compared with the response to normal human pIgA1.<sup>117,118</sup> It is not yet certain which specific physicochemical properties of mesangial IgA affect MC activation; however, there is some

Receptor	Demonstration of Receptor mRNA Using Human MC or Renal Biopsies	Demonstration of Receptor Protein Using Human MC or Renal Biopsies	Functional Effects of MC Receptor Cross-linking
plgR	No	No	N/A
Hepatic ASGPR	No	No	N/A
FcαRI (CD89)	Yes	No	N/A
Transferrin receptor (CD71)	Yes	Yes	Not known
Novel FcαR	N/A	Yes	MC proliferation Cytokine & ECM synthesis Activation of 2 <sup>nd</sup> messenger pathways and upregulation of transcription factors*
Fcα/μR	Yes	No	No
Novel ASGPR	N/A	Yes	Catabolism of desIgA

Table 27-2 Review of Evidence on Identification of the Mesangial Cell (MC) IgA Receptor(s)

Only studies using human MC or human renal biopsy data have been included (reviewed by Monteiro<sup>109</sup>).

\*These functional effects of receptor cross-linking have been observed with whole IgA molecules and most have been shown to be  $Fc\alpha$  dependent. This does not therefore exclude the possibility that the observed effects could have been due to cross-linking of CD71 or  $Fc\alpha/\mu R$ .

plgR, polymeric immunoglobulin receptor; ASGPR, asioaloglycoprotein receptor; FcαR, receptor binding the Fc region of IgA;

 $Fc\alpha/\mu R$ , receptor binding both IgA and IgM; ECM, extracellular matrix components; deslgA, desialylated IgA.

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in vitro evidence that undergalactosylated IgA glycoforms from patients with IgAN reduce proliferation, increase nitric oxide synthesis and the rate of apoptosis, and enhance integrin synthesis in cultured MCs.<sup>117,119,120</sup> IgA1-immune complexes that contain galactose-deficient IgA1 enhance MC proliferation in vitro.<sup>121</sup>

# Complement

Although involvement of the complement cascade is not essential for the development of IgAN, there is evidence that local complement activation can influence the extent of glomerular injury. In rats, dIgA and pIgA, but not mIgA, can activate complement to induce glomerular damage.<sup>113</sup> Mesangial IgA activation of C3 probably occurs through the mannan-binding lectin (MBL) pathway, leading to the generation of C5b-9, sublytic concentrations of which can activate MCs to produce inflammatory mediators as well as matrix proteins.<sup>122,123</sup> C3 and MBL are not only deposited in the kidney in IgA,<sup>56,112,124</sup> but can also be synthesized locally by MCs, and in the case of C3, by podocytes as well.<sup>125</sup> It is likely, therefore, that once MCs have bound IgA, they are capable of activating complement, independent of any systemic complement activity, by utilizing endogenously generated C3 and MBL.<sup>115,125</sup> MCs also synthesize complement regulatory proteins,<sup>126</sup> which may explain why C5b-9 generation in IgAN does not usually result in mesangiolysis. By contrast, the downregulation of CR1 by podocytes in IgAN may render podocytes highly sensitive to complement attack.<sup>127</sup>

# **Cellular Effector Mechanisms**

IgAN is not generally associated with a marked glomerular cellular infiltrate, suggesting that most of the glomerular

injury is mediated by an expansion in resident glomerular cells. However, as glomerular lesions become more severe, the number of mononuclear cells increases both in the mesangium and Bowman's space.<sup>128,129</sup> In crescentic IgAN, not only macrophages but also activated T cells can be detected in glomeruli.<sup>130</sup>

# Progression or Resolution of Mesangial Injury

While mesangial IgA deposition and the initiation by IgA of glomerular inflammation are specific to IgAN, mechanisms of the subsequent mesangial injury followed either by resolution or progressive sclerosis are likely to be generic, not differing substantially from those seen in other forms of chronic mesangial proliferative GN unrelated to IgA. These processes have been extensively studied in vitro and in animal models of mesangial proliferative GN (particularly the anti-Thy1.1 model). MCs have a tremendous capacity to reconstitute normal mesangial morphology even after pronounced mesangial proliferative injury. This occurs through MC apoptosis and the production of anti-mitogenic factors, the removal of excess matrix through the action of mesangial proteases and antifibrotic factors, and the production of factors that will counteract various pro-inflammatory products.<sup>131</sup>

# ANIMAL MODELS OF IgA NEPHROPATHY

Several animal models that mimic the disease phenotype of human IgA nephropathy to varying degrees have been extensively characterized.<sup>132</sup> These include the outbred ddY mouse model of spontaneous IgAN associated with relatively high serum IgA levels with wide individual variation but in the absence of hematuria<sup>133</sup>; an inbred high IgA strain (HIGA) of the ddY mouse established by selective mating to obtain mice exhibiting uniformly high serum IgA levels from a young age along with polymeric IgA dominance and mesangial proliferative GN with IgA deposition<sup>134</sup>; the uteroglobin knockout and transgenic mouse models<sup>135,136</sup> that exhibit significant microhematuria and massive proteinuria, although a metaanalysis of six case-control genetic association studies of the uteroglobin G38A polymorphism suggest lack of association in human IgAN<sup>137</sup>; and the soluble CD89 transgenic mouse presumed to result from exogenous expression of the human Fc $\alpha$  receptor (there is no murine homolog of the *CD89* gene) and the formation and mesangial deposition of  $Fc\alpha R$ -IgA complexes,<sup>138</sup> although more recent data indicate that human FcaR does not bind mouse IgA and that injection of recombinant human CD89 in mice does not induce mesangial IgA deposition.139 Less well-characterized animal models of IgAN include minks with Aleutian disease,140 experimental murine IgA-IgG nephropathy induced by Sendai virus infection,141 the wasting marmoset model with features of both celiac disease and IgAN,<sup>142</sup> the (NZW x C57BL/6)F<sub>1</sub>-hbcl-2 transgenic mouse,<sup>143</sup> and the "back-pack" mouse model of renal mesangial IgA dimers deposition.<sup>144</sup>

Caution should be exercised in extrapolating the mechanisms involved in the development of IgA nephropathy in animal models to the highly specific IgA1-mediated glomerulopathy observed in human IgAN, since there are significant differences between animals and humans in the control of IgA production and in the structure of IgA. For example, IgA in all nonprimate mammals is structurally more like human IgA2 than IgA1, and no nonprimate species has a hinge region analogous to human IgA1. Nonetheless, since evidence continues to support the paradigm of human IgAN as a genetically and clinically heterogeneous entity, these animal models may prove useful for the study of additional genetic variations at loci that contribute major genetic effects to the development of human IgAN, such as those that control serum IgA levels and polymeric predominance. These animal models have proven highly informative regarding immune and inflammatory events that follow mesangial IgA deposition, and may thus be considered important models for the study of the progression of glomerular injury in human IgAN.

# OVERVIEW OF THE MOLECULAR GENETICS OF IGA NEPHROPATHY

As previously emphasized by others, the human IgAN phenotype does not exhibit classic mendelian inheritance patterns (i.e., human IgAN is not a monogenic disorder).<sup>145</sup> The emerging model for the immunopathogenesis of IgAN involves the interplay of multiple discrete immunologic abnormalities related to the abnormal overproduction (quantitative trait) of mucosal type IgA1 in the systemic compartment (spatial trait) and possibly other protein functional abnormalities related to a propensity for mesangial deposition of polymeric IgA1 (functional trait). If this hypothesis is correct, then the disease-associated genetic variations at identified *IGAN* loci may also be less likely to occur in the form of "classic" nonsense/missense/splice site mutations and deletions/insertions that affect protein structure and function, as has been the case for the classic monogenic mendelian podocytopathies (congenital nephrotic syndrome, steroidresistant nephrotic syndrome, focal segmental glomerulosclerosis) in which mutations occur in structural protein components of the slit-diaphragm.<sup>146</sup> Rather, the molecular genetics of primary IgAN may more appropriately be considered to follow a paradigm recently demonstrated for the genetically complex human autoimmune diseases such as systemic lupus erythematosus, rheumatoid arthritis, psoriasis, and Crohn's disease,<sup>147–149</sup> for which multiple loci have been identified by family-based genetic studies. Disease-associated genetic variations are increasingly being identified in these and other complex diseases as specific single-nucleotide polymorphism (SNP) alleles in noncoding regions or synonymous SNPs in coding regions, which function as *cis*-acting elements that alter the transcriptional activity of a disease gene and/or messenger RNA stability and therefore the expression level of the encoded protein. Indeed, recent studies indicate that 30% to 50% of human genes with coding SNPs can present allelic variation in gene expression.<sup>150,151</sup>

A reasonable working model to describe the molecular genetic basis of IgAN may be that a small subset of genetic loci contributes strong effects (IgAN disease/susceptibility loci) that underlie the primary immunologic defects observed in IgAN. Each locus may occur at a different prevalence rate in different racial/ethnic groups such that there may be a predominant disease locus responsible for disease among European whites, while a distinct locus is more commonly responsible for disease among Asians, while a subset of disease loci may occur in combination in a single affected individual. These disease loci will be detectable by genome-wide scan for linkage, a methodology that has been used successfully to identify major disease/susceptibility genes, but that has limited power to detect genes of modest effect. Variations at these major genetic loci are necessary, but may not be sufficient for the development and/or progression of IgAN in the absence of the contributions from a potentially large number of "modifier genes" with modest genetic effects but high prevalence that are best identified using genetic association studies. When considered together in various allelic combinations, these loci that each contribute strong or modest effects may define distinct genetic "bar codes" or "signatures" for the numerous but finite number of disease phenotypes (development and/or progression of IgAN) and endophenotypes (nephritic vs. nephrotic clinical presentation, histopathologic subclass, severity of disease, responsiveness of proteinuria to angiotensin-converting enzyme [ACE] inhibitors and/or angiotensin II receptor blockers [ARBs], etc.) observed in human IgAN.

# GENETIC STUDIES BASED ON LINKAGE ANALYSIS

#### Genome-Wide Scan for Linkage to Familial IgA Nephropathy in Humans

The strongest evidence for the existence of genetic factors in the development and/or progression of IgAN comes from descriptions of familial IgAN, largely in white populations.<sup>135,152–155</sup> Familial IgAN was initially reported to be associated with a higher risk for progression to end-stage renal disease,<sup>156</sup> although a more recent study failed to confirm this

finding and suggests that familial and sporadic IgAN may share a common pathogenic mechanism.<sup>157</sup> While the preproved to be a highl

valence rate among both Asian and white populations that undergo renal biopsy remains high,<sup>158,159</sup> IgAN is rarely reported in African or American blacks.<sup>132</sup> The race/ethnicity distribution but not the severity of IgAN differs significantly from that of other major glomerulonephritides.<sup>160</sup>

Taking a family-based approach, Gharavi and colleagues reported the successful identification by linkage analysis of a major disease locus designated IGAN1 on chromosome 6q22-23 in a white cohort.<sup>161</sup> Only 60% of the families in the study were linked to 6q22-23, suggesting the existence of genetic heterogeneity. Recently, this locus was excluded by linkage analysis in a single Japanese family consisting of four affected individuals, seven unaffected individuals, and two individuals whose status was unknown.<sup>162</sup> To date, no specific genetic variation associated with disease/susceptibility has been identified at the gene-rich IGAN1 locus. Future studies of this kind that aim at dissection of increasingly genetically homogeneous cohorts must consider the importance of defining distinct clinical subtypes of IgAN that may exist within the single pathologic ascertainment criterion currently used to diagnose IgAN: light microscopic evidence of mesangial deposits of IgA by immunofluorescence.

As with all family-based genetic studies, there is a high degree of dependency on access to sufficient numbers of clinically well-phenotyped and genetically informative cohorts. To address the paucity of cohorts with biopsy-proven IgAN available for the conduct of linkage-based, association-based, and sequence-based approaches, the European IgA nephropathy consortium has published the details of its IgAN Biobank resource.<sup>163</sup> This IgAN Biobank contains at minimum 72 multiplex extended pedigrees, 159 trios, and 1,068 cases and 1,040 matched controls. All subjects enrolled were white and belonged to various geographic areas in Germany, Italy, and Greece.

#### Genome-Wide Scan for Linkage to IgA Nephropathy in Murine Models

A genome-wide association study conducted in the ddY spontaneous outbred model of murine IgAN identified three regions on chromosomes 1 ( $\chi = 16.5$ , P = 0.00054, genomewide significant P = 0.0014), 9 ( $\chi = 22.1, P = 0.0001$ , genomewide significant P = 0.0001), and 10 ( $\chi = 16.4$ , P = 0.00016, genome-wide significant P = 0.0054) that were significantly associated with onset of glomerular injury.<sup>164</sup> Notably, the peak marker on murine chromosome 10 was found to be in a region syntenic to the human IGAN1 locus on chromosome 6q22-34. In addition, the peak marker on chromosome 1 was located very close to the L-selectin gene locus, a candidate gene for human IgAN that encodes a well-characterized homing receptor for T cells, consistent with a role for genetic variations in the *L*-selectin gene as a mechanism underlying the proposed abnormal production of undergalactosylated mucosal IgA1 at systemic sites of IgA1 production in human IgAN. The peak marker for a final locus on chromosome 12 ( $\chi = 17.1$ , P = 0.00018, genome-wide significant P = 0.0014) identified as a susceptibility locus for high serum IgA levels was noted to be very close to the IgA heavy chain (Igh) gene.

Quantitative trait loci (QTL) analysis of F2 animals generated by crossing the inbred HIGA mouse strain derived from

the selective mating of ddY mice with BALB/c mice has also proved to be a highly successful strategy for mapping multiple quantitative traits characteristic of the HIGA strain. Polymeric (trimeric) IgA dominance was mapped to the hinge region of the IgA heavy-chain gene on chromosome 12.165 The researchers noted that the DBA/2J strain of mice that shares the same amino acid sequence in the hinge region as the HIGA strain and that also exhibits a similar pattern of trimeric IgA dominance by size analysis of serum IgA was characterized by low levels of serum IgA,<sup>165</sup> suggesting that genetic variation in the hinge region located on the  $C\alpha 1$  exon of the IgA heavy chain plays a specific role in trimeric IgA formation in HIGA mice but is distinct from the susceptibility locus for high serum IgA identified at the IgA heavy-chain locus in ddY mice as described above.<sup>164</sup> Indeed, QTL analysis mapped two loci to the quantitative trait of high serum IgA (hyperserum IgA) on chromosomes 2 (logarithm of the odds [LOD] = 5.01) and 4 (LOD = 4.45), with an additional suggestive locus on chromosome 1 (LOD = 3.49), while the quantitative trait of glomerular IgA deposition was mapped to chromosome 15 (LOD = 4.40).<sup>166</sup> Notably, while serum IgA level was weakly correlated with the intensity of glomerular IgA deposition in 244 F2 mice, none of the OTLs identified for hyperserum IgA were significantly associated with glomerular IgA deposition.

A 350-kb region containing several Ig heavy-chain genes and the I $\alpha$  exon of the immunoglobulin A (Ig $\alpha$ ) germline switch region on mouse chromosome 12, which is responsible for the natural fourfold higher IgA levels in the inbred C3HeB/FeJ (C3H) mouse strain as compared with the C57Bl/6J (B6) strain, has been independently identified by a one-step QTL mapping process along with a second independent, chemically induced mutation within the immunoglobulin joining (IgJ) gene on chromosome 5 that causes a twofold elevation of IgA levels when transferred from C3H to B6.<sup>167</sup> In addition to independently confirming the importance of the IgA heavy-chain gene region at map position 58.0 cM on mouse chromosome 12 in the regulation of the quantitative trait of hyperserum IgA, this study demonstrated that interaction of two independent genetic loci was associated with a dramatic 40-fold upregulation of serum IgA, suggesting that genetic control of both IgA expression and distribution contribute synergistically to the regulation of serum IgA levels.

### GENETIC CASE-CONTROL ASSOCIATION STUDIES

#### Pre-Genomic Era Identification of "At-Risk Alleles and Genotypes" in Candidate Genes

Although a large pre–genomic era literature exists based on small population-based case-control genetic association studies attempting to implicate various candidate genes (e.g., components of the renin-angiotensin-aldosterone pathway, mediators of inflammation and/or vascular tone, components of the mesangial matrix, and various receptors for polymeric IgA1 expressed in MCs) in the development and/or progression of IgAN, essentially all have exhibited the phenomenon of repeated nonreplication of results ("the replication problem").<sup>132,145,152</sup> This has raised the question of validity and the need to reappraise the methodologic framework that has been the foundation of hundreds of such studies in IgAN genetics research that were conducted in the 1990s and that continue to the present day.

# Repeated Nonreplication of Results – The Case of the ACE I/D Polymorphism

A widely studied example of the dilemma of repeated nonreplication of results is represented by genetic case-control association studies of the angiotensin I-converting enzyme (ACE) gene insertion/deletion (I/D) polymorphism in the development and/or progression of IgA nephropathy, as well as a whole host of common human diseases and conditions, including cardiovascular disease; complications of diabetes such as retinopathy and nephropathy; glomerular, tubulointerstitial, and renal cystic renal diseases; and even renal allograft survival.<sup>132,152,168</sup> The interest in studying the ACE I/D polymorphism is based on evidence for "biologic plausibility." Rigat and colleagues reported in 1990 that the ACE I/D polymorphism in intron 16 of the human ACE gene accounts for half of the variation in serum ACE levels in a white study cohort.<sup>169</sup> This is due to the presence of a transcriptional repressor element in the I allele.<sup>170</sup>

There have been numerous population-based studies that either support or refute an association between the D allele and progression of renal disease in these conditions.<sup>132,152</sup> Recent meta-analyses have concluded that the D allele is not associated with renal disease progression in patients with IgA nephropathy or diabetic nephropathy<sup>168,171</sup> Despite more than a dozen generally small genetic case-control studies of the ACE I/D polymorphism in both white and Asian IgAN cohorts, no conclusions can be confidently drawn from these studies regarding the association between the D allele or DD genotype and development and/or progression of IgAN. Populationbased genetic association studies of other genes encoding proteins in the renin-angiotensin-aldosterone system (RAAS) such as angiotensinogen (AGT) and the angiotensin II type 1 receptor (ATR1), as well as renin (REN) and aldosterone synthase (CYP11B2), have also generated conflicting results, as have similar studies of the "expanded" RAAS that includes 11B-hydroxysteroid dehydrogenase type 2 (11BHSD2) and the mineralocorticoid receptor (MLR).<sup>172</sup> In general, the approach has been to genotype by polymerase chain reaction/ restriction fragment length polymorphism (PCR-RFLP) a single common polymorphism in each gene. It is remarkable that to date, the role of the RAAS, whose components ACE and ATR1 are the targets of the important ACE inhibitor and ARB classes of drugs, respectively, has not been convincingly demonstrated by any genetic association study.

#### The Haplotype Block Structure of the Human Genome and Implications for Conducting Genetic Association Studies

In the post-genomic era, there has been a renewed interest in conducting genetic association studies, especially SNP-based, whole-genome association studies, to identify genetic variations associated with the development and/or progression of a number of common human diseases. This renewed interest reflects the important finding that linkage disequilibrium (LD), the phenomenon that particular alleles at nearby sites can co-occur on the same haplotype more often than expected by chance,<sup>173,174</sup> is highly structured into discrete blocks separated by hotspots for recombination.

The haplotype block model for LD has important implications for the way in which genetic association studies should now be conducted, and may explain at least in part the problem of repeated nonreplication of results that has plagued such studies in the past. Why might genotyping solely for the ACE I/D polymorphism in IgAN result in repeated nonreplication of results? Based on the haplotype block model of LD, the ACE I/D polymorphism is but a single marker variant in the ACE gene. Researchers have assumed that the D allele defines a single population of subjects at risk for disease. This assumption may prove incorrect since within the common SNP haplotype block that contains the ACE I/D polymorphism, more than one haplotype pattern may share the D allele, only one of which is associated with risk for disease. The lumping of such subgroups defined by haplotypes that share the D allele may explain at least in part the basis for discrepant reports of genetic association with disease.

### Criteria for a Valid Genetic Case-Control Study

The Journal of the American Society of Nephrology has recently defined a set of minimum criteria for association studies using polymorphic genetic markers, in which it is acknowledged that the common SNP haplotype block model should ideally be taken into account in order for a manuscript to be considered seriously for peer review.<sup>175</sup> Based on these guidelines, only genetic association studies that employ one or more methodologically valid approaches and satisfy the minimum rigorous conditions for a reliable genetic association study (e.g., biologic plausibility, haplotype relative risk analysis to identify statistically significant "at-risk haplotype[s]" associated with small P values, use of family-based methodologies, such as the transmission equilibrium test [TDT/sib-TDT] or the family-based association test [FBAT] to directly study trios/sib-trios and extended families or to verify the absence of significant population stratification bias [admixture] inherent in population-based case-control association studies, and the study of moderately large [i.e., adequately powered] cohorts) will be reviewed in the following section. Since the existence of admixture has not been ruled out in any published population-based association study of IgAN, only familybased studies will be reviewed.

#### Post-Genomic Era Family-Based Association Studies to Identify "At-Risk Haplotypes" in Candidate Genes

Only five studies examining three candidate genes have employed the family-based TDT study methodology and/or analysis of "at-risk" haplotypes, the majority published in 2006, reflecting the emergence of the first studies to attempt to satisfy minimum criteria for a valid association study. Three SNP polymorphisms in two contiguous genes at the *selectin* gene cluster at chromosome 1q24-25 (712C>T[P238S] in the coding region and -642A>G in the promoter region of the *Lselectin* gene; 1402C>T[H468Y] in the coding region of the *Eselectin* gene) were previously reported to be in tight LD and to occur in two haplotypes (IgAN-associated TGT and wild-type [Wt] CAC).<sup>176</sup> The researchers have recently shown that overexpression of an adenoviral construct expressing the diseaseassociated P238S substitution of the L-selectin gene is associated with significantly less rolling adhesion of stably transfected Chinese hamster ovary (CHO) cells perfused over IL-1β-activated human umbilical vein endothelial cells, as compared with Wt and control adenovirus expressing CHO cells.<sup>177</sup> The disease-associated -642A>G promoter variant was also shown to be associated with significantly less transcriptional activity. In contrast, the H468Y substitution in the E-selectin gene did not exhibit a functional difference in rolling adhesion. These findings suggest that in Japanese subjects, the disease-associated TGT haplotype in the selectin gene cluster can influence the quality and quantity of Lselectin gene products, and may play a potential role in inflammatory processes such as leukocyte-endothelial interactions that may be important in the pathogenesis of IgAN. Notably, the L-selectin gene has been previously suggested as a candidate susceptibility gene based on the previously reported genome-wide scan of the ddY mouse model<sup>164</sup> and because of its well-known function as a T-cell homing receptor.

A family- and haplotype-based association study employing the TDT methodology has shown that 2093C and 2180T SNP variants in the 3'-untranslated region of the Megsin gene were significantly more frequently transmitted from heterozygous parents to patients than expected in the extended TDT analysis (increased co-transmission in 232 Chinese families, P < 0.001), while haplotype relative risk (HRR) analyses showed that these same SNP alleles were more often transmitted to patients (HRR = 1.568, P < 0.014 for the 2093C allele; HRR = 2.114, P < 0.001 for the 2180T allele).<sup>178</sup> The same group using a similar approach recently reported that the Megsin 23167G SNP variant is associated with both susceptibility and progression of IgAN in 435 Chinese patients and their family members using TDT and HRR analysis.<sup>179</sup> The GG genotype was found to be associated with severe histologic lesions and disease progression. Megsin is a member of the serpin (serine proteinase inhibitor) superfamily that is upregulated in the context of mesangial proliferation and extracellular matrix expansion in IgAN, and therefore represents a strong candidate gene for susceptibility to IgAN.

A haplotype of the interferon- $\gamma$  (*IFN* $\gamma$ ) gene consisting of the 12-CA repeat allele in tight LD with the +874A SNP variant has recently demonstrated an association with susceptibility to IgAN without influencing survival in an FBAT analysis of 53 Italian patients, 45 complete trios, 4 incomplete trios, and 36 discordant siblings from the collection of the European IgAN Consortium.<sup>180</sup> The +874T/A SNP lies within a putative nuclear factor- $\kappa$ B (NF- $\kappa$ B) transcription factor binding site. Notably, the +874A variant is associated with transcriptional downregulation of *INF* $\gamma$  gene promoter activity, consistent with the known role of NF- $\kappa$ B in the transcriptional regulation of the *INF* $\gamma$  gene.

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# Chapter 28

# Glomerulonephritis Caused by Antineutrophil Cytoplasmic Autoantibodies

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Anti-neutrophil cytoplasmic autoantibodies (ANCAs) are directed against cytoplasmic constituents of granulocytes and monocytes and cause vasculitic lesions predominantly in small vessels of vulnerable organs, particularly the lungs and kidneys. ANCAs were first described by Davies in 1982, and then in 1985 an association of ANCA and Wegener's granulomatosis was reported.<sup>1,2</sup> Three years later, our own investigations of patients with pauci-immune necrotizing glomerulonephritis identified perinuclear ANCAs that were specific for myeloper-oxidase (MPO-ANCAs).<sup>3</sup> Over the ensuing years, the everexpanding ANCA community focused on understanding the role of MPO-ANCAs, and soon thereafter proteinase 3 (PR3) emerged as a target antigen for ANCAs (PR3-ANCAs) with a characteristic cytoplasmic staining pattern.<sup>4–8</sup>

ANCA effects are predominantly seen in vessels smaller than arteries, in particular glomerular capillaries and alveolar capillaries.<sup>9</sup> Histologically, the glomerular lesions in ANCA disease include segmental fibrinoid necrosis and crescent formation, with remarkably few if any immune deposits. Hematuria, proteinuria, and renal insufficiency caused by glomerulonephritis are frequent clinical features of these patients.

The lack of ANCA and ANCA antigen deposits along the vascular wall has made it particularly challenging to demonstrate a causal role for these autoantibodies in renal disease. Recently, an animal model developed by our group convincingly demonstrates that anti-MPO antibodies alone can cause necrotizing and crescentic glomerulonephritis. The model uses MPO knockout mice as the host to generate anti-MPO antibodies. The splenocytes from MPO-/- mice immunized with murine MPO are transferred into Rag2-/- mice, which lack the ability to initiate V(D)J rearrangement and thus lack T and B lymphocytes. These mice develop circulating anti-MPO antibodies and necrotizing and crescentic glomerulonephritis and small-vessel vasculitis in the lung, lymph node, and spleen.<sup>10,11</sup> Furthermore, intravenous infusion of purified anti-MPO immunoglobulin G (IgG) from immunized MPO<sup>-/-</sup> mice into Rag2<sup>-/-</sup> and wild-type B6 mice caused the development of necrosis and crescents in glomeruli in 100% of the animals, in contrast to the control anti-bovine serum albumin (anti-BSA) IgG, where 0% developed glomerular lesions. Equally important, immunofluorescence microscopy demonstrated a paucity of immunoglobulin or complement in the glomeruli of mice with anti-MPO antibody-induced glomerulonephritis, thus modeling the pauci-immune characteristic of human ANCA glomerulonephritis. There is a rat model of ANCA glomerulonephritis, and in this model immunization of the animal with human

MPO is done in combination with a second insult in order to induce crescentic glomerulonephritis and vasculitis.<sup>12,13</sup>

The strongest data supportive of the pathogenicity of ANCA come from the numerous in vitro studies over the last 14 years. Early in vitro studies documented that ANCA could activate neutrophils and monocytes. The ANCA pathogenic process, as depicted in Fig. 28-1, begins when ANCAs interact with neutrophils and monocytes. This interaction involves both the binding of the  $F(ab')_2$  to ANCA antigens expressed on the cell surface and by the binding of the Fc region to its receptors.<sup>6,14–20</sup> This interaction results in leukocyte activation with induction of respiratory burst, degranulation, and release of neutrophil and monocytes and their noxious products interact with the endothelium and other vessel wall structures, resulting in inflammatory damage. Observations that support this mechanism are (1) the presence of ANCAs in patients with



Figure 28-1 Schematic of ANCAs and their interaction with neutrophils causing neutrophil degranulation and tissue injury. (From Jennette JC, Falk RJ: Pathogenesis of the vascular and glomerular damage in ANCA-positive vasculitis. Nephrol Dial Transplant 13[Suppl 1]:19, 1998.) (See Color Plate 5.)

a distinctive pattern of pauci-immune glomerulonephritis that is shared by microscopic polyangiitis (MPA), Wegener's granulomatosis, and Churg-Strauss syndrome,<sup>21,22</sup> (2) the correlation of an ANCA-positive titer with disease activity,<sup>23</sup> and (3) the observation that some patients with drug-induced (e.g., by thiouracils) ANCAs develop vasculitis and glomerulonephritis, which resolves after discontinuation of the drug.<sup>24</sup>

In the following sections we will discuss recent advances in our understanding of the many components of ANCA disease, including what may be acting as an inciting immunogen, how the autoantibodies, once produced, affect neutrophils, and how the proteins released from activated neutrophils cause vascular injury.

#### WHAT INCITES AN AUTOIMMUNE RESPONSE?

#### **A New Theory**

A pressing quesiton in autoimmunity is "what causes or what allows autoantibodies to develop?" There have been a number of theories describing the origin of autoimmunity, including examples of molecular mimicry,<sup>25-29</sup> antigen drift, and aberrant T-cell regulation.<sup>30,31</sup> None of these have provided answers to the cause of this human autoimmune disease. A recent discovery in the field of ANCA glomerulonephritis opens new avenues of research that could lead to an understanding of how autoimmune disease develops in general.<sup>32</sup> The seminal observation was made while attempting to map epitopes on the PR3 molecule recognized by autoantibodies from PR3-ANCA patients. We were screening a bacterial library expressing small fragments of the human PR3 protein. Sequence analysis of positive colonies revealed that in some cases the patients' sera were reactive with a protein produced from DNA that had inserted into the vector in the wrong orientation, that is, the protein was translated from antisense RNA of the PR3 gene (PRTN3), thus producing a protein complementary to the PR3 protein (for definition of terms see Fig. 28-2). This finding, which we felt might have broad implications to the understanding of autoimmunity, set off a series of experiments to elucidate the basis for this observation. It took 4 years of research to be satisfied that what was observed was both



Figure 28-2 Definition of terms. Antisense RNA is a general term used to describe a sequence of RNA that is complementary to messenger RNA (mRNA). The sequence of the antisense RNA is the same as the DNA template strand or antisense strand. A complementary protein is one that is translated from antisense RNA. The hydropathy of the complementary protein is exactly opposite to that of the mRNA-coded protein from that same gene. Thus, the individual folding patterns result in contour structures that can interact in a lock and key manner.

repeatable and had a valid explanation. These studies provide the foundation for a refined theory for the development of autoimmunity, the Theory of Autoantigen Complementarity. The theory proposes that the initiator of an autoimmune response is not necessarily the autoantigen, but instead may be a peptide that is "antisense" or complementary to the autoantigen. As depicted in Fig. 28-3, the first immune response is the production of an antibody specific for a complementary protein. Secondarily, an anti-antibody response produces an immunoglobulin that now reacts with the corresponding "sense" or self-protein (e.g., PR3), which is the autoantibody (e.g., PR3-ANCA). It appears that proteins, complementary in amino acid sequence to the known autoantigen, incite the initial immune response in PR3-ANCA glomerulonephritis. An invited commentary on this work states, "The work of Pendergraft et al. brings together what has, until now, been a set of peculiar and seemingly unrelated observations. What we must do now is hone, test and prod this new paradigm. We might just find that the idea of an antisense-initiated idiotypic network has something to offer for treating a wide range of autoimmune disorders."33

The theoretical framework for the Theory of Autoantigen Complementarity draws on concepts proposed in the late 1960s by Mekler<sup>34,35</sup> and also by Blalock and colleagues, who built on the concepts of Mekler and put forth the Molecular Recognition Theory.<sup>36,37</sup> Mekler founded the concept that a sense protein has a natural affinity for its complementary protein counterpart due to inverted hydropathy. For example, the complementary amino acid for the hydrophilic amino acid arginine (R) (codon CGG) is the hydrophobic amino acid proline (P) (coded by the antisense codon CCG). A review by Heal and colleagues cites numerous studies proving that sense proteins and their complementary counterparts have a natural affinity, and that increasing affinity correlates with increasing



Figure 28-3 Schematic of the theory of autoantigen complementarity. The theory proposes that the immunogen that begins the sequence of events leading to the production of autoantibodies is not the autoantigen or its mimic, but rather its complementary peptide or its mimic. Step 1: The complementary proteins may be introduced by invading microbes or they may be produced by the individual through translation of antisense RNA. An antibody is produced in response to the complementary protein. Step 2: A second antibody is elicited against the first antibody, referred to as an anti-idiotypic response. Step 3: The resultant anti-idiotypic antibodies react with the autoantigen, whose amino acid sequence is complementary to the sequence of the initiating antigen. Step 4: Complementary proteins have a natural affinity because the hydropathy of one is the opposite of the other. (See Color Plate 5.)

peptide length.<sup>38</sup> Computational studies illustrate that ligands and their receptors share regions of complementarity, and this was demonstrated in a study showing interleukin-2 (IL-2) binding to its receptor is blocked by a complementary peptide of IL-2.<sup>39–41</sup> Specificity of this inhibitory peptide was confirmed using a scrambled version of the same amino acid.

A receptor binding its ligand is analogous to an antibody binding its antigen. Indeed, it was shown that an antigen is complementary to its antibody in the epitope-binding site.<sup>42</sup> To take this one step further, if one antibody is directed against a sense protein and a second antibody is directed against the complementary protein counterpart, these two antibodies are now complementary to each other.<sup>42</sup> In theory, these two antibodies would be considered to be an idiotypic pair. Is complementarity the molecular basis for Niels Kaj Jerne's idiotypic network theory? He hypothesized that the antigen-binding site of an antibody (idiotype) can act as an antigen and elicit anti-antibodies (anti-idiotypes).<sup>43,44</sup> In turn, anti-idiotypes elicit anti-anti-idiotypes directed against their complementarity-determining regions and so on. Under normal conditions the network is balanced, but when an antigen is introduced, the equilibrium is disturbed. The immune system attempts to restore balance, which leads to an immune response against the antibody. Jerne and colleagues demonstrated such a phenomenon in multiple scientific reports, including one in which they were able to precipitate anti-idiotypic antibodies from rabbits immunized with immunoglobulin.45

The principles of molecular recognition and idiotypy have been discussed in the context of autoimmunity by researchers for some time. Erlanger and colleagues reported that they could inhibit the binding of an antibody to thyroid-stimulating hormone by adding a second antibody specific for the hormone's receptor, that is, the antibodies bound each other in an idiotypic fashion, thus competing out one's binding to the hormone.46-48 Shoenfeld and coworkers demonstrated in multiple animal models of autoimmunity that anti-idiotypes raised against autoantibodies induced the production of antianti-idiotypes that possessed characteristics of the initial autoantibodies and caused disease after immunization.<sup>49</sup> They hypothesized that antibodies regulate each other by suppressing or augmenting the immune reaction.<sup>33</sup> Specifically, anti-double-stranded DNA (anti-dsDNA) antibody-positive mice treated with anti-dsDNA anti-id antibodies, purified from commercial intravenous immunoglobulin, showed a decline in their anti-dsDNA antibody level, and had decreased proteinuria, reduced renal disease, and an increase in life span.50,51

#### Complementary Proteinase 3 Protein or its Mimic Incites the ANCA Immune Response

The Theory of Autoantigen Complementarity postulates that human ANCAs develop through a pathway that starts with exposure to a complementary PR3 protein. This was demonstrated in mice after immunization with complementary PR3 protein. The animals launched an immune response producing antibodies against the immunogen, and as predicted the animals secondarily produced antibodies against the immunogen's sense counterpart, PR3,<sup>52</sup> It follows that the question now is how and from where do PR3-ANCA patients get exposed to a complementary PR3 protein or its mimic. It is highly likely that microbes carry proteins that are molecular mimics of complementary PR3.<sup>52,53</sup> Many investigators have focused on potential associations between infection and vascular inflammation (see review<sup>54</sup>). Interestingly, the onset of ANCA disease is commonly associated with a flulike illness.<sup>55</sup> A database sequence analysis of complementary PR3 identified a variety of pathogenic organisms with homologous proteins, including Ross River virus, *Staphylococcus aureus*, and *Entamoeba histolytica*,<sup>52</sup> all shown earlier to be linked with ANCA disease.<sup>1,56,57</sup> Moreover, systemic administration of lipopolysaccharide (LPS) in conjunction with anti-MPO IgG in the murine ANCA model confirmed that ANCAs and proinflammatory stimuli act synergistically to induce vasculitis.<sup>58</sup>

Recent reports suggest that other autoimmune diseases might arise and/or progress, at least in part, through a process in line with the Theory of Autoantigen Complementarity.<sup>59</sup> A group led by Tzioufas showed that roughly half of anti-Lapositive sera from patients with Sjögren's syndrome or systemic lupus erythematosus reacted with peptides complementary to the La/SSB and Ro/SSA autoantigens. This same group recapitulated their findings in a mouse model by immunizing mice with sense or complementary peptides corresponding to an epitope within La/SSB.<sup>60,61</sup>

Theoretically, a basic local alignment search tool (BLAST) database search of complementary proteins corresponding to other known autoantigens would identify potential microbial sources. We tested this idea, and the results were very intriguing.<sup>62</sup> Bullous pemphigoid (BP), a chronic, blistering, and subepidermal autoimmune skin disease occurring primarily in the elderly, is caused by pathogenic autoantibodies directed against the BP180 ectodomain of hemidesmosomes.<sup>63</sup> When the complementary protein sequence of BP180 (FYSIHAVPIGQYAP) was entered into the database, one microbe identified was the bacterium Clostridium tetani, and interestingly, there is historical documentation of an association between vaccination for tetanus and the onset of bullous pemphigoid.<sup>64–67</sup> As another example, patients with myasthenia gravis have autoantibodies that target the acetylcholine receptor, causing muscle weakness and fatigue due to impaired neuromuscular transmission. The main immunogenic region appears to be a continuous 10- to 15-amino acid fragment of the α subunit.<sup>68</sup> When we performed the complementary protein search (FHTAIVIWIPFZVVIH), two of the microbes that were identified were Clostridium diphtheriae and C. tetani; again, vaccinations for tetanus and diphtheria have been linked with onset of myasthenia gravis in children.<sup>69,70</sup> Identification of potential microbial mimics for other known autoantigens is straightforward, especially if the epitope is defined. The complementary protein sequence can be derived by an in-frame translation of the hypothetical antisense RNA strand of the autoantigen gene. This sequence can be entered into the basic local alignment search tool (http://www.ncbi.nlm.nih.gov/BLAST/) to generate a list of proteins with regions of homology.

A second source of complementary proteins that must be considered is the possibility that individuals transcribe and translate antisense RNA from their own genes, thus producing these proteins themselves. Evidently, 2% of the normal human transcriptome consists of natural antisense transcripts (NATs).<sup>71</sup> Indeed, approximately 50% of the PR3-ANCA patients tested synthesize antisense PR3 RNA.<sup>52</sup> Interestingly, this antisense PR3 transcript was not in the NAT database;

thus, it was termed pathologic antisense transcripts (PATs), since these transcripts appear to be strictly associated with ANCA disease.<sup>52</sup> ANCA disease may not be the only condition where antisense transcripts are associated with disease. Increased amounts of antisense RNAs were reported to be associated with tumor progression.<sup>72</sup> Whether these antisense transcripts are translated into protein product is in question, but it seems plausible, since others have identified proteins coded from sense messenger RNA (mRNA) and from antisense RNA of the same gene.<sup>73</sup> Interestingly an unprecedented report by Van den Eynde and colleagues described their discovery of a protein in humans that is translated from antisense RNA.<sup>74</sup> Transcription of the antisense RNA is initiated within its first intron extending into the promoter of the gene encoding the sense RNA. Examination of the protein sequences indicates that these two proteins (RU2S and RU2AS) are translated in the same reading frame, thus conforming to the definition of a "complementary protein pair." The sense RU2S transcript appears to encode a housekeeping mRNA, and is expressed in all tissues examined. The antisense RNA RU2AS mRNA is restricted to expression in the kidney, bladder, liver, and testis. These results are striking in that they clearly demonstrate that the antisense RNA of a gene can be transcribed and encode a protein product.

Heal and colleagues propose that one reason there are fewer genes in humans than expected is that proteins arise from translation of both sense RNA and antisense RNA.<sup>38</sup>

#### IS THE PRESENCE OF CIRCULATING PR3-ANCA OR MPO-ANCA SUFFICIENT TO CAUSE DISEASE IN HUMANS?

#### Aberrant Gene Expression in Circulating Leukocytes of ANCA Patients

Recent data point to a pathologic component in ANCA disease that might be equally as important as the presence of anti-MPO or anti-PR3 autoantibodies. It was discovered that mature leukocytes and monocytes from the majority of ANCA patients with active disease have high levels of PR3 and MPO mRNA.<sup>75,76</sup> This is astonishing in light of the dogma that these genes are silenced before the cells leave the bone marrow (Fig. 28-4). Increased transcripts correlated with disease activity and absolute neutrophil values, but not with "left shift," drug regimen, cytokine levels, hematuria, proteinuria, ANCA titer, serum creatinine, sex, or age.<sup>75</sup> This anomaly appears to be ANCA disease specific, since upregulation of these genes was not observed in patients with end-stage renal disease, rheumatoid arthritis, or lupus, or in healthy volunteers. To our knowledge, this is the first report of this phenomenon in non-neoplastic cells. This finding, which we feel has broad implications for understanding ANCA disease, raises the question of whether increased transcription of PR3 and MPO causes increased protein on the cell surface, thus placing these individuals at risk for the development of ANCA disease. Indeed, there are reports indicating a correlation between higher concentrations of surface PR3 and development of disease. One report introduced the concept that subsets of neutrophils express PR3 molecules on their surface and that the proportion of neutrophils presenting PR3 is genetically controlled and highly stable.<sup>77</sup> The researchers found that the



Figure 28-4 Schematic of signal transduction pathways associated with anti-neutrophil cytoplasmic autoantibodies.

phenotype of increased PR3 surface expression was significantly over-represented in patients with ANCA-associated vasculitis. These data raise the hypothesis that in addition to the presence of anti-MPO or anti-PR3 autoantibodies, a second critical component in the etiology of this disease is the reactivation of once-silenced genes leading to increased antigen availability.

An added note, the utility of leukocyte transcriptional profiles is underscored by the discovery of increased PR3 and MPO gene expression in patients. It is highly feasible that expression profiling will be an informative and noninvasive approach to following ANCA disease activity in the near future. This approach to predict disease activity in IgA nephropathy was successful in generating a unique profile using iterative bioinformatics.<sup>78</sup>

### HOW DO ANCA AFFECT NEUTROPHILS AND MONOCYTES?

ANCA-mediated effects on neutrophils and monocytes are conferred by both the  $F(ab')_2$  region binding to its antigen and the engagement of the Fc region with the Fc receptor.<sup>15,20,79–84</sup> The extent to which the  $F(ab')_2$  region contributes has been debated for some years; however, now it is clear that antibodyantigen interactions are fundamental for the neutrophilic perturbations required for vascular injury.<sup>16-18,83,85</sup> Crosslinking of ANCA target antigens with the F(ab'), region triggers superoxide  $(O_2^{-})$  release, which was reduced by only 33% after blocking FcyIIa receptors.<sup>17</sup> ANCAs uniquely affect gene expression through the F(ab')<sub>2</sub> portion of the antibody. In vitro treatment of leukocytes from healthy donors with ANCA IgG or  $F(ab')_2$  results in activation of a number of differentially expressed genes, as compared with genes activated by normal IgG or F(ab')<sub>2</sub>, such as differentiation-dependent gene 2 (DIF-2), IL-8, and cyclo-oxygenase-2 (COX-2). Activation of transcription by ANCA infers changes in cellular signaling pathways. How is signaling induced by binding of the  $F(ab')_{2}$ to antigen? Feasibly, the  $F(ab')_2$  serves as a tethering molecule. Perhaps cross-linking of surface antigens by ANCAs results in clusters of associated molecules, thereby forming lipid raftlike structures, particularly if the antigens are binding other proteins on the cell surface, such as Mac-1 (CD11b/CD18)<sup>86</sup>

or a  $\beta_2$ -integrin.<sup>87</sup> Reportedly, ANCA F(ab')<sup>2</sup> fragments and the whole IgG molecule differentially activate K-ras through cooperative activation of Src kinase and phosphatidylinositol-3-kinase (PI3K).<sup>88,89</sup> A schematic of potential signal transduction pathways responsive to ANCAs is shown in Fig. 28-5. ANCAs antagonize IP3/DAG generation; thus, they could modulate the protein kinase (PKC)/PI3K pathways.<sup>18</sup> ANCAinduced signals are synergistic with tumor necrosis factor- $\alpha$ effects,<sup>17,82</sup> probably through the Src kinase pathways.<sup>88,89</sup> ANCAs activate transcription of the IL-1 $\beta$  gene,<sup>90</sup> indicating that ANCAs activate p38 map kinase (MAPK).<sup>91</sup> ANCAs affect integrin-mediated events, providing another link with p38 MAPK. The intertwined complexity of the signal transduction network makes it difficult to pinpoint the pathways crucial to ANCA pathogenesis with the currently available information. Research in this area is certainly needed for development of therapeutic interventions at the neutrophil level.

#### HOW DO AUTOANTIBODIES THAT TARGET NEUTROPHILS AND MONOCYTES CAUSE GLOMERULAR LESIONS?

The paradigm of ANCA pathogenesis must include several events that occur simultaneously or sequentially, including the generation of ANCAs, leukocyte activation, and injured endothelium. Indications are that renal tissue in patients has increased IL-18 deposition, resulting in increased neutrophil recruitment and priming on the endothelium.<sup>92</sup> The endothelium was originally considered a direct target of ANCA-mediated effects because of the histologic pattern of



**Figure 28-5** Transcription of primary granule constituents is terminally silenced once a granulocyte matures and leaves the bone marrow.<sup>4</sup> Myeloperoxidase (MPO) and proteinase 3 (PR3) mRNA transcripts are found almost exclusively at the early promyelocyte stage. Neutrophil maturation-linked gene silencing results in morphologic changes in nuclear DNA three or four lobes structures joined by thin DNA-containing filaments.<sup>6,7</sup>

necrotizing vascular injury, a pathologic hallmark of the ANCAs. A mechanism whereby ANCAs would physically interact with endothelial cells is not obvious, since the expression of ANCA antigens (PR3 and MPO) is restricted to myeloid lineage cells.<sup>93,94</sup> Nonetheless, there arose a controversy in the 1990s of whether or not cytokines could influence PR3 and MPO expression on the endothelial cell surface<sup>95-97</sup> rendering the endothelium a direct target for ANCA-induced injury.<sup>98–100</sup> Conflicting reports on PR3 expression in endothelial cells spurred another search for mRNA of PR3 and MPO using TaqMan polymerase chain reaction. These data confirmed the findings of King and coworkers that endothelial cells do not express ANCA antigens.<sup>101</sup> In light of this information, it is highly unlikely that PR3 or MPO production by an endothelial cell is a common feature or that such rare and undetected production would be responsible for the major pathogenic events in ANCA-associated systemic vasculitis. Current knowledge continues to support the view that ANCA pathogenesis is a direct result of the autoantibodies' effects on neutrophils and monocyte causing activation inappropriately, consequently leading to endothelial and vascular injury.

How do these inappropriately released granule constituents cause endothelial injury? Several years ago, it was discovered that PR3 can traverse the endothelial plasma membrane, and, once internalized, induce apoptosis.<sup>102</sup> It appears that this apoptotic function specifically affects endothelial cells because this effect was not observed in epithelial cells. Endothelial cells also internalize MPO.<sup>102</sup> Unlike PR3, MPO does not cause apoptosis, but does cause an increase in intracellular oxidant radicals. Currently, what is known about PR3-mediated endothelial cell apoptosis is that both the catalytically inactive and active forms of PR3 induce apoptosis; however, the kinetics of death are quite different. To study the mechanism(s) of inactive PR3-induced apoptosis, the PR3 molecule was subcloned and expressed as three fragments: N-terminal (PR3n), middle (PR3m), and C-terminal (PR3c). Each fragment contains only one member of the catalytic triad, rendering the fragments enzymatically inactive. We found that the 100-amino acid Cterminal region of the PR3 molecule carries the apoptotic function, and this fragment alone induced apoptosis in a time frame (12-24 hours) similar to that of the full-length inactive molecule (Fig. 28-6).<sup>102</sup> These findings support the hypothesis that the mechanism of PR3-induced apoptosis does not necessarily require its proteolytic function.

Proteolytically active PR3 can induce apoptosis in endothelial cells in 4 to 6 hours compared with the 24 hours required for inactive PR3.<sup>102,103</sup> This difference can be explained, in part, by data that show that PR3 is a caspase-like protease that can cleave proteins normally cleaved by caspases during the apoptotic program.<sup>104,105</sup> Thus, the speculation is that PR3 sidesteps caspase requirements and accelerates the apoptotic process. For example, nuclear factor- $\kappa B$  (NF- $\kappa B$ ) is a substrate for PR3 and for caspases (Fig. 28-7). Sequence analysis showed that the PR3 cleavage site is unique with respect to the reported caspase cleavage site. Another caspase-3 substrate that is also cleaved by PR3 is p21 (Waf1/Cip1/Sdi1) (see Fig. 28-7),<sup>52,106,107</sup> a protein known as a major determinant of cell fate.<sup>108</sup> For example, cleavage of p21 is required for endothelial cell death.<sup>105</sup> Reportedly, PR3 mimics caspase-2 and -3 by cleaving the Sp1 transcription factor, 109 and mimics caspase-1 in its ability to process IL-1B.<sup>110</sup> It appears that PR3 performs similar functions in tissues at sites of neutrophil and monocyte recruitment based on findings that the p21 fragment was identified in inflamed bowel tissue, coincident with detectable PR3.<sup>52</sup> Moreover, PR3 has the capacity to specifically process biologically important proteins, such as angiotensinogen,<sup>111</sup> transforming growth factor- $\beta$ 1,<sup>112</sup> TNF- $\alpha$ <sup>110,113</sup> and IL-32.<sup>114</sup> The caspase-like function of PR3 provides a unique mechanism of cross talk between leukocytes and endothelial cells at sites of inflammation impacting both cytokine networks and cell viability.

PR3 can regulate signaling pathways.<sup>115</sup> PR3 causes sustained activation of c-Jun N-terminal kinase 1 (JNK 1), a primary apoptosis-signaling pathway.<sup>103</sup> PR3 can induce IL-8 production in endothelial cells, and furthermore, addition of  $\alpha_1$ -antitrypsin inhibitor does not significantly influence this effect, indicating that proteolytic activity is not required.<sup>116</sup> In addition, the pro-form of PR3 can compete with granulocyte colony-stimulating factor for binding to its receptor,<sup>117</sup> thus setting a precedent for the involvement of PR3 in receptormediated events.

MPO is internalized into endothelial cells by an energydependent process,<sup>102,118</sup> which is inhibitable by heparin or endoglycosidase, suggesting involvement of cell surface glycosaminoglycans.<sup>49,119</sup> In fact, MPO was shown to transcytose intact endothelium and co-localize with the extracellular matrix protein fibronectin. Exposure to MPO results in cellular damage by activation of the MPO-H<sub>2</sub>O<sub>2</sub>-halide system, which in the presence of chloride leads to the formation of potent oxidants. Chloramide intermediates are formed that lead to the fragmentation of extracellular matrix protein, a process that continues even after the consumption of HOCI has ceased.<sup>120</sup> In the presence of the substrates H<sub>2</sub>O<sub>2</sub> and NO<sub>2</sub><sup>-</sup>,



Figure 28-6 Ribbon model of proteinase 3 molecule. The 100-amino acid apoptosis domain appears as the darkest strand. (See Color Plate 5.)

MPO catalyzes nitration of tyrosine residues on extracellular matrix proteins, especially fibronectin.<sup>118,121</sup> The radicals generated by MPO cause consumption of nitric oxide and alter nitric oxide's signaling and vasodilatory effects during inflammation.<sup>122</sup>

Identification of an endothelial receptor(s) for MPO and/or PR3 could reveal functional roles not yet associated with these proteins, and offer an explanation for the focal nature of vascular injury seen in ANCA vasculitis. It is possible that only those vascular beds expressing the receptor(s) are susceptible to injury. Of equal importance, unraveling the complex potentials of these proteins at the cellular level could impact approaches of therapeutic intervention in inflammatory diseases.

### CONCLUSION

Studies of the molecular biology of ANCA glomerulonephritis have added much to our understanding, but much remains to be elucidated in terms of what causes and modifies this dreadful disease. A recent breakthrough was the identification of complementary proteins as a potential *cause* of ANCA glomerulonephritis offering an explanation of *how* it develops, as delineated in the Theory of Autoantigen Complementarity. The novelty and the tremendous potential of this research make it very exciting as we continue to ascertain the mechanistic processes surrounding complementary proteins in ANCA glomerulonephritis.

Neutrophils and monocytes, which contain abundant amounts of ANCA target antigens, are the critical cells in the pathogenesis of ANCA glomerulonephritis. Leukocytoclasia is a hallmark of this disease process, and recent observations in our animal model of anti-MPO antibody-induced glomerulonephritis demonstrated that the complete removal of neutrophils ameliorates disease activity.<sup>123</sup> Establishment of this credible mouse model of ANCA glomerulonephritis was a major accomplishment that will enable us to advance the understanding of the pathogenic processes that cause glomerular injury and the immunogenic events that give rise to the ANCA autoimmune response.

A second critical component in the etiology of ANCA glomerulonephritis is the reactivation of once-silenced genes in mature neutrophils and monocytes, leading to increased antigen availability. These observations raise a number of questions and open the intriguing possibility that a new therapeutic approach could be considered in these patients. If we could learn what regulates MPO and PR3 messages, conceivably we could selectively turn off antigen production and ameliorate disease activity.

We now have microarray data on leukocytes from over 30 ANCA patients with more than 200 controls, including patients with IgA nephropathy, systemic lupus erythematosus, rheumatoid arthritis, and inflammatory bowel diseases. Using



Figure 28-7 Proteinase 3 (PR3), human neutrophil elastase (HNE), and p21 cleavage sites compared with caspase cleavage sites. an iterative bioinformatics approach, we have identified genes that correlate with ANCA glomerulonephritis disease activity and are still uncovering others. Now, we are ready to embark on an approach that uses candidate genes as markers of clinical disease activity. The clinical exigency is great, for there is no existing clinical marker of disease activity, including ANCA titers, that reliably answers the critical clinical conundrum of disease status for patients and their doctors with ANCA glomerulonephritis, or for any autoimmune disease.

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### Plate 5



Figure 28-1 Schematic of ANCAs and their interaction with neutrophils causing neutrophil degranulation and tissue injury. (From Jennette JC, Falk RJ: Pathogenesis of the vascular and glomerular damage in ANCA-positive vasculitis. Nephrol Dial Transplant 13[Suppl 1]:19, 1998.)



**Figure 28-3** Schematic of the theory of autoantigen complementarity. The theory proposes that the immunogen that begins the sequence of events leading to the production of autoantibodies is not the autoantigen or its mimic, but rather its complementary peptide or its mimic. Step 1: The complementary proteins may be introduced by invading microbes or they may be produced by the individual through translation of antisense RNA. An antibody is produced in response to the complementary protein. Step 2: A second antibody is elicited against the first antibody, referred to as an anti-idiotypic response. Step 3: The resultant anti-idiotypic antibodies react with the autoantigen, whose amino acid sequence is complementary proteins have a natural affinity because the hydropathy of one is the opposite of the other.



**Figure 28-6** Ribbon model of proteinase 3 molecule. The 100-amino acid apoptosis domain appears as the darkest strand.

### Chapter 29

# Systemic Lupus Erythematosus and the Kidney

Vicki Rubin Kelley, Laurence Morel, and Mary H. Foster

Systemic lupus erythematosus (SLE, lupus) is one of the most mysterious human diseases. This autoimmune illness afflicts more than 1 million persons in the United States and has a bewildering constellation of forms. Lupus is a heterogeneous disease; the clinical manifestations wax and wane, and range from mild to lethal. Lupus is triggered by the complex interactions of genetic and environmental susceptibility factors. The resulting immune dysregulation of B- and T-cell autoreactivity leads to diverse clinical manifestations, including nephritis, arthritis, serositis, skin lesions, hematopoietic cytopenias, cerebritis, and arteritis. Kidney disease occurs in a large proportion of patients with lupus (30%-50%).<sup>1</sup> Even with optimal therapy, up to one fourth of these patients progress to end-stage renal disease (ESRD). The survival rate in lupus patients at 10 years is 80% to 90%, but drops an additional 20% in patients with nephritis.<sup>2</sup> Thus, kidney disease is a major cause of morbidity and mortality in lupus.

Lupus nephritis (LN) is characterized by mononuclear cell infiltration, antibody deposits in the kidney, and aberrant cytokine expression. These features of inflammation result in renal damage. This chapter reviews the advances in the genetics and pathogenesis of lupus. In the first section, the genetics of human and animal models is reviewed. This is followed by a section on pathogenesis, encompassing the immune abnormalities (B and T cell) leading to the loss of tolerance and initiation of disease, humoral components such as nephritogenic autoantibodies, complement and Fcy receptors that mediate disease, and downstream events that are responsible for inflammation, most notably the role of macrophage and T cells in LN. This overview highlights the need for continuing intensive and comprehensive studies on genetic susceptibility and critical pathogenetic factors to facilitate predicting and treating patients with LN.

#### **GENETICS**

#### **SLE is a Multifactorial Disease**

Susceptibility to develop SLE is a multifactorial process in which both genetic and environmental components play a role. The strength of the genetic contribution and familial aggregation to a multifactorial disease is measured with a parameter called  $\lambda_{s}$ , which compares the relative risk for disease in siblings of patients to that of the population at large. The  $\lambda_{s}$  value of 15 to 20 for SLE indicates a strong genetic basis.<sup>3</sup> Other major autoimmune diseases, such as type I diabetes and multiple sclerosis, have a  $\lambda_{s}$  similar to SLE.<sup>4</sup> The inheritance of this type of multigenic diseases has been coined "threshold liability."

Contrary to mendelian inheritance, in which there is an obligate relationship between the inheritance of an allele and a specific phenotype, a threshold liability disease develops when an individual cumulates a number of genetic and environmental factors that is greater than the disease threshold.<sup>3</sup> The involvement of nongenetic factors in SLE susceptibility is clearly illustrated by a concordance rate between monozygotic twins of 34% to 50%<sup>4</sup>; if SLE susceptibility were purely genetic, the concordance rate would be 100%. Although the existence of environmental/nongenetic factors is still hotly debated.

The existence of specific inbred strains of mice that spontaneously develop a lupus-like disease also strongly argues in favor of a genetic basis for SLE susceptibility.<sup>5</sup> As will be detailed below, parallel genetic studies have been conducted in murine models and human populations. Genetic analyses of the murine models have been very useful to understand the threshold liability mode of inheritance and the intricate level of interactions that exist between susceptibility genes.<sup>6</sup> It is not known yet if the same genes mediate lupus in mice and in humans, but it is strongly believed that many of these genes belong to the same functional pathways, as illustrated by the results of multiple gene-targeting experiments (Table 29-1).

The exact number of genes involved in SLE susceptibility is currently unknown. This value may actually be difficult to define, and may not be informative per se, because, as will be illustrated by the data discussed below, SLE susceptibility results from various combinations of different genes. With a few exceptions, the identity of these genes is also unknown. Rapid progress is being made, however, toward their identification, largely benefiting from the completion of the human and mouse genome sequencing projects and the development of high throughput genotyping techniques.<sup>7</sup> Two strategies have been employed in parallel to identify lupus susceptibility genes.

One approach evaluates candidate genes that have been selected based on their function in the immune system (e.g.,  $Fc\gamma RIIIa$ ) or their aberrant expression in lupus patients (e.g., IL-8). Statistical associations have then been evaluated on sequence variations within these genes (most often single nucleotide polymorphisms, or SNPs) between patients and controls (Table 29-2). This approach presents inherent limitations; the most obvious is the bias toward genes with an expected contribution to the disease, thus confining the search to the realm of known pathogenic pathways. In addition, a number of statistical issues can hinder result interpretation, which may explain why independent studies have reached opposite conclusions on the association of specific polymorphisms with SLE or LN (see Table 29-2).

Gene	Protein	Mm chr	Putative QTL*	Туре	LN†	Reference
Apoptosis						
Cflar	FLIP	1	Bsxb1 SLEN2	Retroviral expression in lymphocytes	++	84
Bcl2	BCL-2	1		Tg with Eµ enhancer	++	85
Tnfsf6	FasL	1		<i>Gld</i> mutation	+	86
Bcl2l11	Bim	2		КО	+	87
Pik3r1	p85PI3K	3		Constitutive mutant Tg with Lck promoter	++	88
Gadd45a	GADD45α	3		КО	++	89
E2f2	E2F-2	4	Nbal	КО	++	90
ler-3	IEX1	17		КО	++	91
Tnfrsf6	FAS	19		Lpr mutation	+++	92
Pten	PTEN	19		Hemizygosity	+	93
Lymphocyte ac	tivation					
Pdcd1	PD-1	1		КО	++	46
Ptprc	CD45	1		E613E mutation	++	94
Fcgr2b	FcγRIIB	1		КО	++	52
Lyn	LYN	4		KO, gain of function mutation	++	95,96
Tnfsf13b	BLyS	8		Tg	++	97
Csnk2a2	CK2α	8	16p12	Tg in MRL/Ipr	+	98
Fli 1	Fli-1	9		Tg H-2K <sup>k</sup> promoter	++	99
lfng	ΙΕΝγ	10		Tg involucrin promoter Plasmid encoded	++ +	100 101
Tnfrsf13b	TAC 1	11		КО	++	102
Pecam1	CD31	11		КО	+	103
Gpr132	G2A	12		КО	+	104
Prkcd	ΡΚϹδ	14	SLEN1	КО	++	105
lfnar1	IFNaR1	16		KO in MRL	++	106
Emk	Par-1	19		КО	++	107
Tnfsf5	CD40L	Х		Tg K14 promoter Tg V <sub>H</sub> promoter	+ +	108 109
Clearance						
Ssa2	SS-A/Ro	1		КО	++	110
Crp, Sap	CRP, SAP	1		hTg, KO	++	111,112
Tgm2	Tgase 2	2	Wbw1	КО	+	113
Mertk	MER	2	Wbw1	КО	+	114
Clqa	Clqα	4	Nba1	КО	+	115
Mfge8	MFG-E8	7	Nba	КО	+	116
Igh-6	secretory IgM	12		КО	+	117
Dnase1	DNase 1	16		КО	++	118
C4	C4	17		КО	+	119

Table 29-1	Mouse Genes,	Deletion,	Overexpression,	or Mutation	Resulting in	Lupus Nephritis

Gene	Protein	Mm chr	Putative QTL*	Туре	LN†	Reference
Miscellaneous						
Mgat5	N-acetyl- glucosaminyl- transferase V	1		КО	+	120
Nrf2	NRF2	2		КО	++	121
Spp1	Osteopontin	5		Tg		56
Esr1	ERα	10		КО	++	122
114	IL-4	11		Tg class I promoter	++	123,124
Zfpn1a3	AIOLOS	11		КО	++	125
Man2a1	αMannosidase II	17		КО	+	126

Table 29-1 Mouse Genes, Which Deletion, Overexpression, or Mutation Resulted in Lupus Nephritis-cont'd

\*See Figure 29-1.

<sup>†</sup>Relative severity, age of onset, or penetrance of renal pathology in each model.

Mm chr, Mus musculas chromosome; QTL, quantitative trait loci; LN, lupus nephritis; KO, knockout.

This approach corresponds conceptually to the analysis of genetically engineered mice in which a gene of interest is either overexpressed as a transgene, or "knocked out," resulting in a null allele (see Table 29-1). This approach is obviously drastic, and does not correspond to the more subtle functional variants that are likely to occur in spontaneous mouse models or in SLE patients. Nonetheless, these studies have been very informative, and have shown that most of the genes whose aberrant expression result in SLE fall into three categories: apoptosis regulation, lymphocyte activation, and apoptotic products/ immune complex clearance.<sup>3</sup> Overexpression or deficiency in a large number of genes has been found to result in a lupuslike syndrome in mice.<sup>8,9</sup> An obvious interpretation of this result is that there are many ways leading to SLE and that prevention of systemic autoimmunity has to be tightly controlled. However, these results have to be interpreted with caution, since the mixed genetic background in which many of the gene-targeting effects are evaluated (C57BL/6 X 129) results by itself in the development of autoantibodies.<sup>10,11</sup>

The other approach relies on genome-wide scans of families of SLE patients or specific crosses between susceptible and resistant mouse strains using anonymous DNA markers. Subsequent statistical analyses have mapped the location of genomic regions named quantitative trait loci (QTLs) linked to SLE or LN susceptibility (Tables 29-3 and 29-4). In contrast to the candidate gene approach, genome scans have the potential to identify susceptibility genes with either unknown function, or with functions not previously associated with SLE. However, the identification process for each of these genes is a long and complicated journey. The functional significance of a QTL is a probability that one or several susceptibility alleles are contained within this region. Validation of these statistical results in human studies is achieved through independent replications with other family sets. Several scans have been performed with various sets of SLE families that met the four American College of Rheumatology (ACR) criteria, and so far, eight QTLs have been validated<sup>12</sup> (Fig. 29-1). In the mouse,

standard validation requires the production of congenic strains, which can be accomplished in two ways. The QTL in the susceptible strain can be replaced with the corresponding interval from the resistant strain, and validation is obtained through a loss of phenotype, i.e., a decrease in SLE penetrance, severity, or time of onset. Alternatively, the susceptible interval is bred on the resistant strain, and validation is achieved with a gain of phenotype, i.e., the presentation of an SLE-related phenotype.<sup>7</sup> In addition to their validation value, the "gain-ofphenotype" congenic strains are also a powerful tool to perform functional studies to unravel the mechanisms by which the susceptibility alleles may contribute to the disease process. Congenic strains are also the necessary step toward the production of a high-resolution genetic map, and the eventual identification of the susceptibility alleles.

#### **Genetic Determinants of Lupus Nephritis**

SLE is a very heterogeneous disease, affecting various target organs, including the kidneys. It is still a matter of debate within the research community whether end organ targeting has a genetic basis. A number of studies, however, favor a genetic basis for renal involvement in SLE. The risk for developing ESRD is 2.6- to 5.6-fold greater in African Americans than in European Americans,<sup>13</sup> and a number of studies have shown a genetic component to ESRD, either in general<sup>14</sup> or associated with diabetic nephropathy<sup>15</sup> or LN.<sup>16</sup> In the mouse, susceptibility to experimental immune-mediated nephritis varies greatly among strains, which implies a genetic basis.<sup>17</sup> Interestingly, the NZW genome, which contributes to SLE pathogenesis when combined with other genomes such as NZB and BXSB, is associated with a high susceptibility to nephrotoxic autoantibodies.<sup>18</sup>

Nephritis is one of the most serious outcomes associated with a high mortality and morbidity in SLE. Consequently, renal involvement may represent a marker of disease severity rather than a distinct genetic etiology. LN is also strongly Table 29-2 Genes and Polymorphisms Significantly Associated with Lupus Nephritis (LN), or Increased LN Severity, in SLE Patients

Gene	Protein	Position	Allele	LN*	Comments <sup>†</sup>	LN neg <sup>‡</sup>	Comments <sup>§</sup>
FcγRlla	FcγRIIA	1q23	R/H131	20	Meta-analysis	22	Meta-analysis
FcγRIIIa IL-10	FcγRIIIA IL-10	1q23 1q31-q32	V/F158 (CA) <sub>n</sub> in promoter A-597C and T-824C	23 37 39 38	Meta-analysis Mexican Americans Taiwanese Chinese	60	mixed
PDCD1	PD-1	2q37	PD1.3A	61	Swedes and CA	62	RA
CCR5	CCR5	3p21	D32	63	Spaniards		
IL-8	IL-8	4q13-q21	C-845C	64	Mixed ethnicity U.S.		
SPP1	Osteopontin	4q21-q25	C707T	55	CA		
DRB 1	DRβ1	6p21.3	DRB1*1501	34	Italians	66 67	CA** Koreans**
			DRB1*0301 DRB1*13	34 65	Italians Mixed ethnicity U.S.		
DQA	DQα	6p21.3	DQA*0101	34	Italians		
DQB	DQβ	6p21.3	DQB*0201	34 65	Italians Mixed ethnicity U.S.		
ER	Estrogen receptor	6q25.1	РрХх	68	Chinese		
eNOS	eNOS	7q36	Intron 4 repeat	69	Koreans		
PAI-I	Plasminogen activator inhibitor	7q21.3-q22	-675 4G4G INDE	70	Chinese		
MBL2	Mannose- binding lectin	10q11.2-q21	Gly 54 Asp	71	Spaniards		
UG	Uteroglobin	11q12.3-q13.1	A38G	72	Italians		
VDR	Vit D receptor	12q12-q14	bb Bsml RFLP allele	73	Japanese	74,75	Taiwanese
IFNγ	IFNγ	12q14	allele 114	76	Japanese		
IG VH3	lg VH3	16p11.2	Hv3005	77	Koreans		
MCP-1	MCP-1	17q11.2-q21.1	A-2518G	40,41	Koreans Mixed ethnicity U.S.	63 78	Spaniards Japanese
ACE	ACE	17q23	Alu I/D	79 80	Japanese Mixed ethnicity U.S.	81 82	Koreans Israeli
EPCR	Endothelial protein C receptor	20q11.2	A6936G	83	Mixed ethnicity U.S.		

\* Reference of the study reporting a significant association with LN. † Ethnic groups analyzed in the study reporting significant association with LN. ‡ Reference of the study reporting a lack of association with LN. § Ethnic groups analyzed reporting a lack of association with LN. \*\* Association with SLE, but not LN. CA, Caucasians; AA, African Americans.

QTL	Position	Ethnicity	Reference	SLE QTL*	Candidate Gene <sup>†</sup>	Mouse QTL <sup>‡</sup>
SLEN2	2q34-35	CA	43,44	SLE2B	PCDC1	Bxsb1
SLEN	3q23	AA	43	3q21-23		
SLEN	4q13.1	CA	43		IL-18	
SLEN 1	10q22.3	CA	43,44			
SLEN	11p13	AA	43			
SLEN3	11p15.6	AA	43			

Table 29-3 Quantitative Trait Loci (QTL) Linked to Human Lupus Nephritis (LN)

\*Overlap with a QTL identified in systemic lupus erythematosus (SLE) patients ascertained with 4 ACR criteria (see Fig. 29–1). <sup>†</sup>Genes with polymorphisms significantly associated with LN co-localizing with the SLEN loci (see Table 29-2).

<sup>‡</sup>Overlap with a mouse locus that has been linked to GN (see Table 29-4 and Fig. 29.1).

CA, Caucasians; AA, African Americans.

associated with a number of other disease markers, most notably with the production of anti-double-stranded DNA (anti-dsDNA) immunoglobulin G (IgG) antibodies. It is therefore possible that some of the LN susceptibility genes are in fact genes that predispose to the production of these antibodies. One should keep in mind these considerations when interpreting the results compiled below associating a specific gene or linking a chromosomal region to LN susceptibility.

#### **Genetics of Human Lupus Nephritis**

#### **Association Studies**

Polymorphisms in about 20 genes have now been significantly associated with an increased LN frequency (see Table 29-2). Most of these studies were conducted with relatively small data sets, and were most likely underpowered. It is therefore not surprising that for nearly half of these genes, independent studies on unrelated data sets have reported an absence of association. The discrepancy in some results can also be the consequence of ethnic-specific associations. The frequency of the susceptibility alleles and interacting alleles may vary significantly among populations, making the ethnic stratification of the case and control population a critical parameter and a common source of statistical error in association studies.

Polymorphisms in only two genes,  $Fc\gamma RIIa$  and  $Fc\gamma RIIIa$ , have been the subject of enough independent studies to carry out meta-analyses of their association with LN. A large number of functional and genetic studies have implicated the Fc $\gamma$ receptors in the development of systemic autoimmunity in both humans and animal models. The  $Fc\gamma RIIa$  and  $Fc\gamma RIIIa$ genes encode low-affinity IgG receptors, CD32 and CD16, respectively. Their primary role is to mediate immune complex clearance, with CD32 functioning as an inhibitory receptor and CD16 as an activating receptor, with far-reaching effects in the regulation of the immune response, including lymphocyte activation and B-cell tolerance.<sup>19</sup>

Two meta-analyses have been conducted on the association between the R/H 131 polymorphism in the *FcγRIIa* gene (which impacts CD32's avidity to bind IgG2) and LN. Although the first analysis concluded to a significant association,<sup>20</sup> the validity of the statistical methodology was questioned.<sup>21</sup> A subsequent meta-analysis conducted on the same data set<sup>22</sup> concluded that the R/R genotype of *Fc* $\gamma$ *RIIa* was significantly more frequent in SLE patients than in controls (odds ratio [OR] = 1.30, 95% confidence interval [CI] = 1.10–1.52), but a significant correlation was found with the *absence of nephritis* (OR = 1.27, 95% CI = 1.04–1.55).

A meta-analysis of 11 association studies of the V/F158 polymorphism in FcyRIIIa<sup>23</sup> showed that the F158 allele, which binds IgG1 and IgG3 with a lower affinity, was significantly associated with LN (OR = 1.2, 95% CI = 1.06–1.36). When comparing FF versus VV homozygotes, the risk was higher (OR = 1.47, 95% CI = 1.11-1.93). This analysis, however, did not find a significant association between V/F158 and SLE. This supports the hypothesis that FcyRIIIA plays an essential role in immune complex clearance, preventing the deposition of pathogenic autoantibodies in the kidney, in the absence of a direct role in immune dysregulation. Congruent with this hypothesis was the finding that autoimmune mice rendered deficient in the FcR y chain, which forms part of the FcyRIII and FcyRI receptors, were protected from kidney disease, although the production of pathogenic autoantibodies was unchanged.<sup>24</sup> These later data and others<sup>25</sup> suggest that glomerular binding of pathogenic autoantibodies is FcyRIII dependent.

IgG2 and IgG3 are the most common isotypes forming the immune complexes that are deposited in the kidneys of LN patients. Consequently, the FcyRIIa-H/R131 and FcyRIIIa-V/F158 polymorphisms might not be independent risk factors, and it has been suggested that they are in linkage disequilibrium.<sup>26</sup> Polymorphisms in these two genes may therefore interact with other susceptibility alleles of genes affecting immune complex clearance to enhance SLE risk.<sup>27</sup> One should note that the relative risk associated with each individual FcyR allele is typically low, in spite of the associations being highly significant. It has been suggested that genotyping errors due to the high degree of sequence homology between the FcyR genes might contribute to underestimated OR values.<sup>12</sup> Nonetheless, the OR values for these alleles fall within the range reported for other individual susceptibility alleles in autoimmune diseases, such as CTLA-4 for type I diabetes and autoimmune thyroid disease.<sup>28</sup> Epistatic interactions, in which the co-expression of two susceptibility alleles results in a phenotype with a greater amplitude than would be expected from the simple sum of the two individual phenotypes, have been demonstrated with

Table 29-4 Quantitative Trait Loci (QTLs) Linked to Lupus Nephritis (LN) in Crosses Between Lupus-susceptible Strains and Various Resistant Strains, and Their Validation in Congenic Strains When Applicable

QTL	Position (chr, cM)	Susceptible Strain	<b>Resistant Strain</b>	Congenic Nephritis	References
<b>Bxs1</b> Bxs2	<b>1 (32.8)</b> 1 (63.1)	<b>BXSB.Yaa</b> BXSB.Yaa	<b>B10</b> B10	<b>Y</b> N	<b>47,49</b> 47,49
Sle1 Hmr1 Nba2	1 (87.9) 1 (92.3) 1 (92)	NZM2410 (NZW) SJL NZB	B6 DBA/2* B6.H-2 <sup>z</sup>	N NA† N	127–129 130 131.132
Cgnz1 Sle1d	1 (92.3) 1(95)	NZM2328 NZM2410 (NZW)	C57/L B6	Y <sup>‡</sup> Y§	133,134 57
<b>Bxs3</b> Agnz1	<b>1 (100)</b> 1 (106.3)	<b>BXSB.Yaa</b> NZM2328	<b>B10</b> C57/L	<b>Υ</b> 11 Υ‡	<b>47,49</b> 133,134
Wbw1	2 (85)	NZW	PL/J	NA	135
Bxs5¶	3 (63.1)	B10	BXSB.Yaa	NA	47
Nbwa2 Sle2 Lbw2 Nba1 Imb1/Mott	4 (31) 4 (41) 4 (53) 4 (60) 4 (69)	NZB NZM2410 (NZW/NZB) NZB NZB	BALB/c B6 NZW NZW NZW	NA N NA NA	136 127,128,137 138 139 140
Sle6	5 (20)	N7W	B6 Sle1		141
Lxw2	6 (23)	NZW	BXSB	NA	142
Lrdm1 <b>Sle3</b> Nba3 Nba**	7 (2.7) <b>7 (27.8)</b> 7 (31) 7 (45)	MRL.1pr <b>NZM2410 (NZW)</b> NZB NZB/W	CAST/Ei <b>B6</b> SWR SM	NA <b>Y</b> NA NA	143 <b>127,128,144</b> 145 146
Lmb4 Sle**	10 (35) 10 (69)	MRL.1pr NZM2410 (NZW)	B6.1pr B6	NA N <sup>††</sup>	147 141
Nbal** Sle**	11 (9) 11 (20)	NZB NZM2410 (NZW)	BALB/c.H-2 <sup>z</sup> B6	NA N <sup>††</sup>	148 141
Nbaw1 Lrdm2	12 (3.5) 12 (22.3)	NZB MRL.1 pr	BALB/c CAST/Ei	NA NA	136 143
Bxs6 Nba** Nba**	13 (24) 13 (59) 13 (72)	BXSB.Yaa NZB NZB/W	B10 B10.A <sup>z</sup> SM	NA NA NA	149 150 146
Swrl2 Nba** Lprm3¶	14 (35) 14 (40) 14 (45)	SWR NZB C3H.1pr	NZB BALB/c.H-2 <sup>z</sup> MRL.1pr	NA NA NA	151 148 152
Nwa1	16 (38)	NZW	NZB	NA	148
Lbw1 Sle4 <sup>‡‡</sup> H-2 Wbw2 Agnz2	17 (19.2) 17 (19.6) 17 (19.8) 17 (24) 17 (48.5)	NZW NZM2410 (NZW) BXSB NZW NZM2328	NZB B6 NZW PL/J C57/L	NA N NA NA	138 153–155 142 135 133
Lbw6	18 (49)	NZB	NZW	NA	138

Bold characters highlight LN susceptibility loci that have been confirmed through congenic analysis.

\* HgCl<sub>2</sub>-induced autoimmunity.
<sup>†</sup> NA: No congenic strain carrying this locus has been reported.
<sup>‡</sup> Resistance of C57/L locus on NZM2328 background.
§ Not detected by linkage analysis, but only with B6.*Sle1* subcongenic strains.

| QTL linked to anti-dsDNA Abs.

¶ Transgressive locus. \*\* Unnamed locus in the NZB or NZB/W strain.

<sup>††</sup> Morel, unpublished.

<sup>‡‡</sup> Protective locus.



**Figure 29-1** Lupus nephritis quantitative trait loci (QTLs) identified in whole genome scans in murine models and human systemic lupus erythematosus (SLE) patients. The 19 murine autosomes are represented by vertical lines with their centromere at the top and tick lines every 10 cM. On the left side are shown QTLs significantly linked to glomerulonephritis (GN), at the approximate position of the peak marker published for each locus. The colors correspond to the lupus strain in which the locus has been identified (see inset). Details on each locus are presented in Table 29-4. On the right are indicated in black the name and location of human QTLs linked to lupus nephritis (see Table 29-3). In addition, the location of confirmed QTLs linked to SLE<sup>12</sup> are indicated in gray. Alignments of the human loci of the mouse chromosomes were performed using http://www.informatics.jax.org/reports/homologymap/mouse\_human.shtml.

congenic mice.<sup>29,30</sup> Similar interactions are suspected to occur in humans, although they have been difficult to demonstrate, largely due to genetic heterogeneity.

Most autoimmune diseases have been associated with specific major histocompatibility complex (MHC) alleles. For SLE, significant association has been reported with human leukocyte antigen (HLA) class II (DRB1 and DQA) or class III (C4 and TNF- $\alpha$ ) genes.<sup>31,32</sup> In addition, a recent genome-wide analysis has provided a strong evidence of linkage (log of odds [LOD] = 4.19) between the MHC locus and SLE.<sup>33</sup> The association between these genes and LN has been more tenuous; this might be explained, at least in part, by the small number of LN patients considered. Significant associations have been reported, however, between DR $\beta$ 1, DQ $\alpha$ , and DQ $\beta$ and LN (see Table 29-2). In the most extensive study reported so far, conducted on 244 Italian white LN patients,<sup>34</sup> a very high risk factor was found for the combination of the DRB1\*1501 and DOA1\*0101 alleles (OR = 65.96, 95% CI = 9.35–1326.25), illustrating how epistatic interactions between alleles can drastically alter disease susceptibility. However, association studies within the MHC locus have to be interpreted with great caution. This locus thus encompasses approximately 4.5 Mb of DNA in which more than 180 genes are expressed, 40% of which have known function in immune regulation. Moreover, many of these genes are in tight linkage disequilibrium (LD), which means that combinations of alleles of these genes are inherited in blocks, the size of which depends on the population structure. Consequently, association studies cannot discriminate which one among genes in LD contributes to the disease. To begin to address this question, the HLA locus in 334 SLE families was genotyped with 158 markers.<sup>35</sup> Three DRβ1/DOβ haplotypes were identified to be significantly associated with SLE, and two of these haplotypes were narrowed down to an approximately 500-kb region. The same type of analysis is still lacking for LN, but there is a strong likelihood that DR $\beta$ 1 and DQ $\beta$ , or genes in close proximity, correspond to SLE and LN susceptibility alleles. The definitive identification of these genes and alleles will require the upcoming completion of the haplotype map of the human genome (HapMap), and more specifically, the MHC HapMap.<sup>36</sup>

Besides the Fc $\gamma$  receptors and the MHC class II genes, cytokines and chemokines are the most common class of genes that have been associated with LN (see Table 29-2). Noticeably, associations between *IL-10*<sup>37–39</sup> and *MCP-1*<sup>40,41</sup> polymorphisms and LN have been independently found in unrelated data sets, indicating that these genes are likely to play a role in the development of LN by regulating the level of inflammation, either systemically or locally in the kidney.

#### **Linkage Analyses**

A phenotype stratification strategy has been applied to genome scan results in order to address the genetic basis of various specific clinical manifestations.<sup>42</sup> Using this approach, six SLEN QTLs have been linked to LN, three of them in African Americans and three in white Americans<sup>43</sup> (see Fig. 29-1 and Table 29-3). Among them, SLEN2 (2q34-35) and SLEN1 (10q22.3) have subsequently been validated in an independent data set.<sup>44</sup> Interestingly, only one of the SLEN loci, SLEN2, overlaps with a validated SLE QTL, SLE2B at 2q35-37. *PDCD1*, a strong candidate gene for SLE2B,<sup>45</sup> produces an inhibitory

receptor on T cells, and its deletion in C57BL/6 mice results in a lupus-like disease.<sup>46</sup> The overlap between SLEN2 and SLE2B does not entail that the same gene accounts for both linkages, as the genomic intervals are not precisely defined and contain a large number of genes; finer mapping will be required to resolve this issue. Another potential overlap is at 3q21-23, a region in which nominal evidence of linkage has been obtained in two family collections ascertained with the four ACR criteria<sup>3</sup> and in one family collection stratified by LN.<sup>43</sup>

Interestingly, SLEN2 also overlaps with the mouse QTL Bxsb1 (see Table 29-4 and Fig. 29-1). This locus was initially identified in a male progeny from a cross between lupus susceptible strain BXSB and resistant strain C57BL/10.47 The BXSB strain is unique among the lupus models in that disease is greatly accelerated by the expression of Yaa (Y-linked autoimmune accelerating locus), which corresponds to an as yet unidentified gene.<sup>48</sup> Development of glomerulonephritis (GN) in a congenic line co-expressing Bxsb1 and Yaa on a C57BL/10 background subsequently confirmed the presence of a GN susceptibility gene (or group of genes) in this locus.49 Bxsb1 linkage to GN in females (without Yaa expression) has not yet been evaluated. SLEN2 was identified in a data set in which the female:male ratio was likely to reflect the typical 9:1 ratio observed in SLE patients. If SLEN2 and Bxsb1 correspond to the same gene, it would imply that other genes in the human genome could functionally replace Yaa and synergize with SLEN2.

It is noticeable that the 6p11-23 HLA locus was not found linked to LN, in spite of its significant linkage to SLE and the strong association between HLA class II genes and LN. LN linkage analysis was performed only by one group, although on two independent data sets. It is therefore not clear whether this discrepancy between linkage and association studies reflects population heterogeneity and/or statistical distortion resulting from the stratification scheme, or if it indicates that HLA genes contribute essentially to SLE susceptibility, but not as much to nephritis.

### Genetic of Lupus Nephritis in Animal Models

#### Single Gene Studies

As noted above, a large number of gene knockout experiments or transgenic overexpression have resulted in the presentation of a lupus-like syndrome.<sup>8,9</sup> The majority of these mice show some form of immune complex-related renal pathology and could therefore be considered as models of LN (see Table 29-1). As for SLE in general, most of the single genes that affect LN are involved either in apoptosis regulation, lymphocyte activation, or apoptotic products/immune complex clearance (see Table 29-1), although some of these genes clearly overlap between these categories. Decreased apoptosis results in the accumulation of autoreactive lymphocytes, which are normally eliminated at peripheral tolerance checkpoints. Increased activation may allow autoreactive lymphocytes to respond to subthreshold signals, or bypass the necessity of appropriate secondary signals. Finally, impaired clearance of immune complexes and/or apoptotic materials may expose antigenpresenting cells (APCs) and lymphocytes to stimulatory signals for extended periods of time, or in an inappropriate form. More directly relevant for renal pathology, uncleared immune

complexes can accumulate in the kidney and accelerate the inflammatory process.

With the exception of the Fcr $\gamma$  gene<sup>24</sup> discussed earlier, the primary defect in each of these models is likely to be systemic autoimmunity, with GN developing as a consequence. It is interesting, however, that some of these mutations, such as  $Cbl-b^{-/-}$ , 50 result in the production of anti-dsDNA antibodies, without GN being reported. It is not clear whether this absence of renal outcome is due to quantitative or qualitative differences in the type and fine specificity of the autoantibodies being produced. The importance of the latter and the role played by the genetic background have been clearly illustrated by Pdcd1 (PD-1) deficiency. On a C57BL/6 genetic background, PD-1 deficiency results in the production of anti-dsDNA antibodies and GN,46 whereas on a BALB/c background, the same mutation induces the production of antibodies against cardiac troponin I, and ensuing autoimmune cardiomyopathy.<sup>51</sup> Similarly, deficiency in Fcgr2 encoding FcyRIIb, an Fcy receptor expressed on B cells that acts as a Bcell receptor signaling inhibitor, is strongly associated with GN when expressed on the appropriate background, such as C57BL/6,<sup>52</sup> FAS deficiency,<sup>53</sup> the Sle1 or Yaa loci,<sup>54</sup> but not on other backgrounds such as BALB/c (see Table 29-1). This shows that epistatic interactions are crucial in determining *Fcgr2* contribution to SLE in general and LN in particular.

Interestingly, only a few genes that have a polymorphism associated with LN have resulted in nephritis in the mouse when either knocked out (*Pdcd1* [PD-1], *Esr1* [ER $\alpha$ ]), or overexpressed as a transgene (*Ifng* [IFN $\gamma$ ]). On the other hand, an osteopontin polymorphism was significantly associated with increased susceptibility to LN,<sup>55</sup> but overexpression of the murine gene induced systemic autoimmunity but not nephritis.<sup>56</sup> A number of reasons probably contribute to this reduced overlap, chiefly the requirement for the appropriate genetic background and the absence of testing functional genetic variants associated with human LN in the mouse.

Finally, some of these single genes affecting GN are located within the support interval of human or mouse QTLs linked to this phenotype, and may therefore be considered as candidate genes (see Table 29-1). In all cases, however, the resolution of the genetic map is not sufficient to provide a significant weight to these co-localizations.

#### Murine Linkage Analyses

The large number of QTLs that have been reported for SLE susceptibility<sup>8,9</sup> result from multiple factors. First, there are several models of murine SLE, most frequently spontaneous models such as the (NZB X NZW)F<sub>1</sub>, MRL-*Fas(lpr)*, and BXSB-Yaa strains, but also induced, such as the mercury-induced model.<sup>5</sup> Second, each susceptible strain contains multiple loci associated with SLE. Third, complex genetic interactions between the susceptible and resistant genomes take place in a cross, and changes in the resistant strain reveal different loci. Finally, multiple SLE-related phenotypes have been ascertained in QTL analyses, and QTLs have been found linked to subsets of these phenotypes.

Among these SLE-related phenotypes, 40 QTLs show linkage to GN (see Table 29-4). Only 12 of these have been evaluated individually in a congenic strain. Six of these loci did not impact on the renal phenotype of congenic strains. It is probably safe to speculate that at least 50% of the remaining

28 loci would also fail to show a GN phenotype when individually evaluated by congenic analysis. This emphasizes again the power of genetic interactions, which allow for a QTL to be linked to phenotypes to which it contributes only in combination with other undetermined loci. OTL analyses rarely assess genetic interactions adequately. This is largely due to the insufficient statistical power provided by the sample size of the crosses typically produced for genome-wide scans. Four susceptible loci (Bxs1, Sle1d, Bxs3, and Sle3) resulted in renal pathology on a resistant background, and two resistance loci (Cgnz1 and Agnz1) resulted in an absence of nephritis when bred on the susceptible strain. Interestingly, all these loci but Sle3 are located on mouse chromosome 1, which is syntenic with regions linked or associated with human LN (Fig. 29-1). Bxs1 and Bxs3 were identified in BXSB-Yaa, while Sle1d, Sle3, Cgnz1, and Agnz1 correspond to NZW alleles in the NZM2410 strain for the Sle loci, and NZM2328 for the gnz loci. The Sle1d locus was not by itself identified via a genome scan, but through the generation of Sle1 congenic recombinants and their breeding to NZW to produce a disease phenotype.<sup>57</sup> By itself, Sle1d does not have a phenotype and may represent a true nephropathic locus, contrary to the other loci that are also associated with autoantibody production.

The true value of these loci will only be achieved when the underlying susceptibility gene is identified. This is not a simple task, and only a small number of susceptibility genes involved in autoimmune diseases have been identified.7 The initial OTL identified through linkage analysis corresponds to a large genomic interval, typically 20 to 30 cM long, which contains hundreds of genes. The first task is to narrow down this interval by generating congenic recombinants and screening them for the presentation of the phenotype of interest, in this case GN. This task has been complicated by the fact that most strong QTLs correspond to clusters of weaker loci, such as for Sle1,<sup>5</sup> and that the phenotype of each of these individual subloci may have a very low penetrance, requiring interactions with other loci to reach a detectable level of disease. Once a locus is mapped down to a small "critical interval," usually less than 1 Mb, the list of genes contained in that region can be accessed thanks to the completion of the Mouse Genome Sequencing Project (http://www.ensembl.org/Mus\_musculus/), and candidate genes can be selected based on their known function in the disease process. These candidate genes can then be evaluated for functional polymorphisms that could account for the phenotype associated with this locus. We have just recently completed this process for Sle1c<sup>58</sup> and Sle1b,<sup>59</sup> and proposed Cr2 and genes from the SLAM/CD2 family as candidate genes for these respective loci. Although polymorphisms in these genes do not by themselves contribute to GN, these studies pave the way to a true understanding of the genetics of LN.

#### **PATHOGENESIS**

# Loss of Tolerance and Initiation of Systemic Lupus Erythematosus

SLE arises when environmental insults superimposed on genetic susceptibility disrupt normal immune regulation and tolerance. It has been estimated that in healthy individuals 55% to 75% of newly generated B-cell receptors and a similar percentage of positively selected thymocytes are autoreactive

and subject to central regulation.<sup>156–158</sup> Autoreactive cells that escape deletion, receptor editing or anergy in the bone marrow or thymus, as well as autoreactive B cells generated in germinal centers by somatic hypermutation, are subsequently exposed to a peripheral network of mechanisms that prevent activation of mature lymphocytes. Insights into the mechanisms that control reactivity to prototypic lupus autoantigens and that fail in autoimmune-prone individuals have been revealed through study of T- and B-cell receptor transgenic models. Autoimmune-prone MRL-Fas(lpr) and NZBW/F1 strains bearing Ig transgenes reactive with prototypic lupus autoantigens produce transgenic autoantibodies, revealing defects in B-cell tolerance (reviewed in 159), and T-cell receptor (TCR)transgenic T cells from lupus-prone MRL/++ mice transferred into mice bearing target self-antigen are resistant to anergy induction.<sup>160</sup> Altered tolerance may result from abnormalities in any of a large number of signaling and biologic pathways. Numerous intrinsic functional defects and abnormal signaling are reported in lymphocytes in both murine and human lupus (see review<sup>161,162</sup>). Moreover, transgenic overexpression or targeted disruption of diverse molecules involved in B- and Tcell signaling, activation, and survival can lead to a spontaneous lupus-like phenotype, with variable expression of nephritis (see review<sup>163</sup>). Certain exogenous agents that induce lupus-like syndromes similarly function by modulation of tolerance thresholds.<sup>164,165</sup>

Autoimmunity is also precipitated by disruption of B- and T-cell interactions that co-regulate their respective activation and proliferation. Autoreactive B cells can either tolerize or activate autoreactive T cells,<sup>166,167</sup> and regulatory T cells or T-cell tolerance can prevent activation of autoreactive B cells.<sup>168,169</sup> Conversely, inappropriate T-cell help can block tolerance induction or reactivate silenced B cells.<sup>170-173</sup> Autoreactive and deregulated T cells are a prominent feature of systemic lupus and are implicated in autoantibody production in murine and human disease.<sup>174,175</sup> Recent studies have provided important new insights into the mechanisms by which autoreactive cells are activated: nucleosomes provide peptide Ag to recruit T-cell help for anti-DNA B cells<sup>176</sup>; target nuclear antigen is exposed on the surface of apoptotic cells, such that defective clearance of apoptotic cells promotes lupus-like autoimmunity<sup>177</sup>; and, chromatin-containing immune complexes activate B cells through co-signaling via toll-like receptors.<sup>178</sup> Elucidation of these pathways has led to potential new therapies to re-establish tolerance in human lupus.<sup>179</sup>

#### Humoral Immunity in Lupus Nephritis B Cells in Lupus Nephritis

The fundamental importance of antibodies and B cells in LN is clearly illustrated by abolition of autoimmune disease and early mortality in MRL-*Fas(lpr)* mice rendered deficient in B cells.<sup>180</sup> Parallels in human SLE are suggested by early reports of efficacy of therapeutic B-cell depletion using anti-CD20 monoclonal antibody. Efficacy is attributed in part to depletion of nuclear antigen–reactive autoantibodies, a cornerstone of diagnosis and a hallmark of lupus GN. The B-cell contribution to pathogenicity, however, is clearly not due solely to autoantibody production, in that MRL-*Fas(lpr)* mice bearing B cells incapable of secreting antibody also develop nephritis and early death.<sup>181</sup> These observations indicate a complex role for B cells in lupus pathogenesis.<sup>182</sup> Antibody-independent B-cell

functions include modulation of T-cell repertoire and memory, promotion of autoreactive T-cell activation and expansion, production of cytokines and co-signals that regulate dendritic cells and T-cell subsets, and formation of lymphoid organs.<sup>167,183–186</sup> There is evidence that these pathways are involved in lupus pathogenesis. B-cell-deficient MRL-Fas(lpr) mice fail to develop populations of activated T cells found in B-cell-sufficient mice.<sup>187</sup> B cells are highly efficient APCs, capable of capturing antigen via cell surface Ig receptors for subsequent internalization, processing, and presentation via class I or II MHC molecules. B-cell presentation of autoantigen peptides can directly activate T cells.<sup>167</sup> B-cell dependence of CD8 and CD4 T-cell activation in MRL-Fas(lpr) mice is thought to occur primarily via B cell-CD4 T-cell interactions, because enhanced CD8 T-cell activation remains intact in lupus mice lacking MHC class I antigen on B cells.<sup>188</sup> B cells were recently recognized to play a key role in early lymphogenesis, and may initiate organization of pathogenic lymphoid aggregates in lupus. B-cell chemoattractant is ectopically expressed in BWF1 mice, promoting abnormal thymic, kidney, and lung trafficking of B-1 B cells, 189,190 and antibodysecreting B cells are prominent in diseased BWF1 kidneys.<sup>191</sup> Infiltrating B cells isolated from diseased MRL-Fas(lpr) mouse kidneys secrete autoantibodies cross-reactive with glomerular antigens and DNA, suggesting an effector role in disease pathogenicity.<sup>192</sup> Conversely, a protective role for autoreactive IgM in nonautoimmune individuals was recently postulated, based on the observed worsening of lupus-like disease in C57Bl/6 and mixed 129/B6/MRL-Fas(lpr) mice lacking secreted IgM.117,193

#### Nephritogenic Autoantibodies and Mechanisms of Antibody-Mediated Injury

Glomerular antibody deposition is a hallmark of lupus GN. The properties that confer nephritogenicity to Ig have been inferred from study of spontaneous serum autoantibodies and monoclonal Ig derived through B-cell fusion and viral immortalization, and by elution of pathogenic Ig from diseased kidneys. These approaches revealed that nephritogenic autoantibodies comprise a diverse population with regard to antigen specificity, isotype, avidity, and charge, and suggest that pathogenic antibodies localize to the kidney by a variety of mechanisms (see reviews<sup>194-196</sup>). Specificity for DNA is important for pathogenesis for a subset of lupus Ig. Anti-DNA IgG is present in nephritic kidneys in both murine and human lupus, and a subset of monoclonal anti-dsDNA and anti-nucleosome IgG induce renal histopathologic lesions in naive animals.<sup>197,198</sup> Nonetheless, antinuclear, antihistone, or anti-dsDNA antibodies are neither necessary nor sufficient for development of LN. Serum anti-dsDNA titers and affinity can be dissociated from the presence and severity of renal disease in both rodent models and patients,<sup>134</sup> and a majority of Ig eluted from lupus kidneys do not bind DNA.199

Multiple mechanisms appear to contribute to renal antibody deposition in lupus. In situ formation of immune complexes, either via direct autoantibody binding to intrinsic renal components or via binding to self-antigen previously planted in the kidney, may be a dominant mechanism of Ig deposition (see reviews<sup>194,196,200</sup>). Cross-reactivity of anti-DNA autoantibodies with non-DNA glomerular antigens is well described,<sup>201</sup> and may account for renal deposition by anti-DNA Ig. Anti-DNA

eluted from nephritic kidneys as well as serum and monoclonal anti-DNA Ig cross-react with polynucleotides, phospholipids, cytoskeletal proteins, and non-nuclear glomerular antigens.<sup>199</sup> Alpha-actinin and alpha-enolase are additional renal targets recently implicated in lupus pathogenesis.<sup>202,203</sup> Subsets of SLE Ig bind in vitro to isolated glomeruli, crude renal and glomerular extracts, cultured endothelial and mesangial cells, and purified glomerular antigens. Perfusion of kidneys ex vivo and administration of polyclonal human SLE IgG and both human and murine monoclonal lupus Ig to naive recipients results in glomerular Ig deposition. Small DNA fragments and soluble proteins targeted by lupus antibodies have an intrinsic affinity for glomerular cell or basement membrane structures. Collagen, laminin, and fibronectin possess binding sites for DNA, and some cells express DNA-binding receptors. Nuclear histones, nucleosomes (DNA-histone), and cationic immune complexes can bind polyanions in the glomerular basement membrane or negatively charged glycosaminoglycans in cell membranes and serve as planted antigen for subsequent binding by anionic DNA, antihistone, or anti-nucleosome Ig. Similar mechanisms may account for selective glomerular binding by preformed circulating DNA-anti-DNA immune complexes. These autoantibodies and corresponding antigens have been recovered from human and murine lupus renal lesions. Rheumatoid factors, antibodies to the collagen-like region of complement component C1q, and certain antibody idiotypes are defined by unique antigenic determinants in the antibody variable region; these are reported in high frequency in lupus serum and kidney deposits, suggesting that some antibodies bind to previously deposited Ig or C1q. Nephritogenicity of IgG3 in MRL-Fas(lpr) lupus is attributed in part to physicochemical properties independent of antigen specificity. Murine IgG3 can self-aggregate through Fc-Fc interactions, leading to decreased solubility and cryoglobulin formation. These different mechanisms may account for the distinct histopathologic patterns of injury and clinical phenotypes observed in LN.

Lupus autoantibodies may induce renal injury by mechanisms other than immune complex deposition. Antiphospholipid antibodies interfere with in vitro phospholipiddependent coagulation, paradoxically causing a prothrombotic state in vivo; intrarenal thromboses consistent with antiphospholipid syndrome nephropathy may co-exist with lupus GN. A similar role has been proposed for antiheparan sulfate antibodies due to cross-reactivity with anionic phospholipids or binding to endothelial cell surface heparan sulfate, a physiologic ligand for antithrombin III. Select anti-dsDNA antibodies trigger complement-mediated cytotoxicity upon binding cultured kidney cells.<sup>204</sup> Direct renal cell injury, resulting in proteinuria and hypercellularity, due to autoantibody penetration of living cells with resultant disruption of cell functions, is described for select human and murine lupus antibodies.<sup>205</sup> Cell entry is triggered by antibody binding to membrane targets, such as myosin, with subsequent subcellular localization dependent on antibody specificity or expression of nuclear localization sequences within the antibody variable region (see review<sup>206</sup>).

#### **Fc Receptors**

The pathogenic potential of autoantibodies in LN is attributed largely to effector functions determined by constant region

domains of IgG that contain the binding sites for Fcy receptors and complement. Ig within renal immune deposits can engage activating FcyRs on macrophages, neutrophils, or renal parenchymal cells to promote leukocyte adhesion and trigger synthesis of multiple inflammatory mediators. Deposited Ig can also bind complement component C1q to trigger activation of the classical complement cascade to recruit anaphylatoxins and terminal complement components. However, unexpected outcomes in gene targeted experiments in rodent models of inflammation and recognition of the wide distribution and diverse functions of networks of FcRs, complement components, and their respective receptors and regulatory proteins have revealed additional and complex contributions to disease. The relevance of FcRs to the genetics of LN has been discussed in the genetics section of this chapter, and we will now briefly review the role of these molecules in the pathogenesis of this illness.

FcyRs comprise a complex family of constitutive and inducible cell surface proteins that vary in cell distribution, Ig affinity, signaling, and function. The relative balance of inhibitory (FcyRIIB) and activating (FcyRI, FcyRIIA, and FcyRIII) receptors expressed on lymphocytes, macrophages, neutrophils, and renal parenchymal cells determines the nature of the immune and inflammatory response. Engagement of activating FcyRs generally promotes, whereas inhibitory receptors dampen, immunity and inflammation. Therapeutic efficacy of intravenous immune globulin in human lupus is attributed in part to inhibition of effector responses through blockade of macrophage activatory receptors and/or engagement of inhibitory FcyRIIB receptors. In murine lupus models, activatory FcyR dependence of nephritis appears to be strain dependent. Activating FcyRs in mice share a common Fcy chain that contains an immunoreceptor tyrosine-based activation motif (ITAM). Targeted deletion of the FcR  $\gamma$  chain gene (FcRy-KO) dramatically attenuates nephritis in lupusprone (NZBxNZW)F1 mice, despite persistence of autoantibodies and prominent glomerular IgG and C3 deposition.<sup>24</sup> Alleviation of renal inflammation in MRL-Fas(lpr) mice subjected to high-dose granulocyte-colony stimulating factor (G-CSF) infusions is attributed to G-CSF-induced downmodulation of glomerular activating FcyRIII.<sup>207</sup> Conversely, FcyR deficiency has no affect on autoimmunity, nephritis, and vasculitis in MRL-Fas(lpr) mice.<sup>208</sup> In nonlupus experimental systems, targeted deletion of FcyR dramatically increases immune complex deposition, suggesting an important role in normal immune complex clearance. FcyRIII also mediates uptake of immune complexes to promote antigen presentation by dendritic cells,<sup>209</sup> and is implicated with TLR9 in dendritic cell activation by chromatin-containing immune complexes.<sup>210</sup>

Deficiency of the inhibitory IgG Fc receptor FcγRIIB promotes development of spontaneous anti-dsDNA and antichromatin autoantibodies, fatal GN, and early mortality in susceptible B6, but not in resistant Balb/c, mice.<sup>52</sup> Bone marrow transfer experiments determined that disease is due to deficiency of FcγRIIB on B cells, and not due to modulation of myeloid cell effector functions. Engagement of FcγRIIB suppresses activation of B cells, and upon self-ligation, induces Bcell apoptosis, potentially contributing to maintenance of B-cell tolerance. FcγRIIB deficiency also accelerates nephritis in B6.Yaa mice, whereas contradictory outcomes are reported in B6.Fas/lpr mice.<sup>53,211</sup> These experiments must be interpreted with caution, however, because the FcγRIIB gene-targeted deletion was originally constructed on a 129Sv/B6 hybrid background, and it was recently reported that strain 129– derived sequences near the  $Fc\gamma$ RIIB locus on mouse chromosome 1 are independently linked to autoimmunity.<sup>11</sup>

#### Complement

Complement components have diverse functions and play a complex role in the pathogenesis of lupus. Complement proteins, their receptors, and a network of cell-bound and soluble complement regulatory proteins are expressed by renal parenchymal cells as well as by myeloid and lymphoid cells. There are more than 30 known complement proteins, the functions, ligands, receptors, and regulation of which are only partially understood. Complement modulates B- and T-cell activation and maintains tolerance, promotes solubility and clearance of immune complexes and apoptotic cells, governs immune complex deposition, and mediates inflammation through production of anaphylatoxins C3a and C5a and terminal complement components. Blockade or targeted deletion of components of the complement system has been particularly useful in dissecting their relative importance in renal immune physiology and disease. A key role for complement in lupus pathogenesis is suggested by depression of C3 and C4 levels in lupus mouse and patient sera and by deposition of C1q and C3 in inflamed glomeruli and tubules. The presence in renal lesions of early complement component C1q suggests activation of the classical pathway. Notably, however, multiple observations suggest that proteins of the early classical complement pathway play primarily a protective, not a pathogenic, role in the development of SLE (see review<sup>212</sup>). Gene-targeted deletion of early components, C1ga or C4, leads to spontaneous autoantibody production and GN in genetically susceptible 129 and C57BL/6 mice, and accelerates disease in autoimmune MRL/++ and B6.Fas(lpr) mice.115,119,213-216 Independent confirmation of C1q effects may be required, because like FcyRIIB, the targeted C1q locus sits on mouse chromosome 1 in a region independently linked to autoimmunity.<sup>11</sup> A protective role for C1q in human lupus is suggested by the paradoxical association of homozygous deficiency in early components of the classical pathway (C1q, C4) with development of SLE. The mechanism of protection is unclear, but C1q deficiency is linked to impaired opsonization and clearance of apoptotic cells, which in turn is linked to loss of self-tolerance. The protection provided by C1q is independent of glomerular C3 activation.<sup>217</sup> Protection also may be related to a crucial role for complement in maintaining Bcell tolerance. Anergy induction is prevented in autoreactive B cells deficient in complement protein C4 or complement receptors CD21 (CR2) and CD35 (CR1) (alternative splice products of the Cr2 locus).<sup>119</sup>

In contrast, the role of early components of the alternative complement pathway may be primarily proinflammatory. Genetic absence of alternative pathway proteins factor B or factor D protects against proliferative GN in the MRL-*Fas(lpr)* model.<sup>218,219</sup> Activation of the alternative pathway presumably occurs via C3b, a product of classical pathway activation. Alternative pathway deficiency may abrogate disease by limiting amplification of the complement inflammatory cascade, or by poorly understood effects on B-cell tolerance or activation.

C3 plays a complex role in LN, presumably related to the site and level of expression and biologic functions of complement components, metabolites, receptors, and regulatory pro-

teins. C3 activation leads to release of C3a and C5a that recruit neutrophils, act as anaphylatoxins, induce vasodilation, and interact with myeloid, lymphoid, and parenchymal cell C3aR and C5aR, the functions of which remain poorly understood. Additionally, release of C5b initiates formation of the terminal membrane attack complex. Fragment C3b amplifies alternative pathway activation, fragments C3b/C4b bind CD35, and fragment C3d binds CD21 on B cells and phagocytic cells to paradoxically both amplify T-dependent humoral immune responses<sup>220</sup> and promote B-cell tolerance.<sup>119</sup> A dominant proinflammatory role for C3 in LN is suggested by the ability of soluble Crry-Ig fusion protein to protect MRL-Fas(lpr) mice from GN and vasculitis.<sup>221</sup> Crry, complement receptor 1related gene/protein, is a potent membrane complement regulator in rodents that inhibits C3 activation. However, genetic C3 deficiency does not ameliorate nephritis in MRL-Fas(lpr) mice and is associated with increased glomerular IgG deposition in this background.<sup>222</sup> C3 deficiency similarly has little effect on autoantibody production and immune complex GN in mixed 129/B6.Fas(lpr) mice<sup>119,214</sup> The reason for lack of protection by C3 deficiency is not known, but is consistent with the absence of an association between C3 deficiency and development of SLE in humans. The role of downstream complement components is less clear. Terminal complement components are reported in renal biopsy specimens of patients with LN and in serum of a subset of patients with active disease. A pathogenic role is suggested by amelioration of renal disease and prolonged survival in NZB/WF1 mice chronically administered anti-C5 monoclonal antibody that blocks C5 cleavage.223

How other aspects of complement biology contribute to lupus pathogenesis is not yet well explored. Cell protection from complement-induced injury is dependent in part on circulating, soluble, and renal cell membrane-associated complement regulatory proteins that limit complement consumption and bystander damage. Deletion of decay-accelerating factor (DAF, CD55), a membrane protein that restricts complement activation on autologous cells, does not alter MRL-*Fas(lpr)* LN,<sup>224</sup> a finding that may be explained by the very low level expression of DAF in MRL-*Fas(lpr)* kidney or DAF's dual role as a ligand for lymphocyte CD97. It was recently reported that human and mouse T-cell subsets express complement regulatory proteins that modulate T-cell responses (see review<sup>212</sup>); the relevance to lupus is currently unknown.

#### **Cellular Immunity in Lupus Nephritis**

Macrophages and T cells collaborate and mediate LN. The MRL-Fas(lpr) strain has proven particularly valuable for probing mononuclear cell contributions to lupus pathogenesis and testing therapeutic strategies. Disease in this strain is predictable and consistent, and the tempo is slow enough to tease apart the mechanisms, yet sufficiently rapid to be efficient and economical. Autoimmune disease in this strain shares features with the human illness. The phenotypic hallmarks include a massive lymphadenopathy, splenomegaly, circulating autoantibodies, and the infiltration of leukocytes into multiple tissues. Kidney disease is the major cause of fatality (50% = 5-6 months of age).<sup>92</sup> While the mutation in Fas(lpr) is not sufficient to cause lupus, the interaction of the MRL background genes and the Fas(lpr) mutation accelerates the tempo of nephritis. It is not clear whether this aggressive disease is attributable to the intrarenal infiltrating leukocytes,

and/or autoantibodies. It is also not known whether these elements conspire to cause renal injury. Whereas the preceding section focused on features such as the breaking of tolerance that initiates LN, and the importance of B cells and autoantibodies in the pathogenesis, this section will provide an overview of macrophages and their growth factors, T cells, and their co-stimulatory pathways that are instrumental in inflammation in the kidney.

#### Macrophages and Macrophage Growth Factors in Lupus Nephritis

The macrophage is central to the pathogenesis of kidney diseases. Macrophages mediate the renal resident cell apopotosis. We have determined that activated macrophages release molecules that induce apoptosis of tubular epithelial cells,<sup>225</sup> while others have shown that macrophages induce apoptosis of mesangial cells, but require cell contact.<sup>226</sup> Macrophages are especially abundant in the kidney of MRL-Fas(lpr) mice, rendering this strain particularly valuable for identifying molecules responsible for macrophage recruitment into the kidney, and for determining the mechanisms that arm these cells to destroy. It is important to appreciate that macrophages within the kidney during disease are not end-stage cells. These cells are dividing, and their influx, survival, and proliferation and activation in the kidney is dependent on the principal macrophage growth factor (colony-stimulating factor-1, CSF-1).<sup>227</sup> Thus, it is logical that CSF-1 in the serum and kidnev is a harbinger of autoimmune-mediated kidney disease.<sup>228</sup> In support of this concept, CSF-1 is increased in the circulation of neonatal MRL-Fas(lpr) mice, well in advance of clinically detectable kidney disease (3 mo), and increases even further in proportion to the severity of renal injury. Similarly, CSF-1 has been identified in the MRL-Fas(lpr) kidney at 1 month of age in the glomeruli and 2 months of age in vessels and tubules using in situ hybridization.<sup>229</sup> Intrarenal CSF-1 rises as kidney damage advances. By comparison, CSF-1 is not detectable in the circulation, or in the kidney in normal mouse strains. Moreover, the kidney is the major source of circulating CSF-1 in MRL-Fas(lpr) mice; transplanting a single CSF-1-expressing MRL-Fas(lpr) macrophage-rich nephritic kidney into a congenic MRL-++ strain after removal of normal kidneys results in an increase of CSF-1 in the serum to approximately one half the amount detected in MRL-Fas(lpr) mice with both kidneys.<sup>230</sup> Furthermore, rapidly (2 weeks) following transplantation, CSF-1 and macrophage-rich nephritis disappear within the donor kidney. Conversely, transplanting an MRL-++ kidney into MRL-Fas(lpr) recipients after bilateral removal of the nephritic kidneys rapidly induces (2 weeks) CSF-1 and macrophage-rich nephritis in the donor kidneys.<sup>231</sup> Taken together, this suggests that CSF-1 is a proximal stimulus in triggering cytopathic kidney autoimmune injury, and intrarenal expression of CSF-1 requires continual stimulation from a circulating factor(s).

To test the concept that CSF-1 incites autoimmune renal injury, a gene transfer strategy was constructed to deliver CSF-1 into the kidney. Renal tubular epithelial cells (TECs) were genetically modified using a recombinant retrovirus vector to express CSF-1.<sup>232</sup> These TECs are efficiently converted into "carrier cells" that secrete stable, sustained levels of CSF-1. Implanting these carrier cells under the renal capsule persistently delivers CSF-1 into the kidney and circulation. Using this gene transfer strategy, intrarenal CSF-1 elicited an influx of macrophage and T cells, resulting in interstitial nephritis in strains with Fas(lpr), but not in normal strains. Since *Fas* is instrumental in deleting autoreactive T cells, we suspect that CSF-1 draws macrophages into the kidney, but requires autoreactive T cells to incite local inflammation.

Macrophage growth factors are increased in glomeruli of patients with lupus. Upregulation of CSF-1 and another macrophage growth factor (granulocyte-macrophage growth factor, GM-CSF), have been identified in glomeruli in patients with LN.<sup>233</sup> CSF-1 is increased earlier and more abundantly in the kidney than GM-CSF in MRL-*Fas*(*lpr*)mice. Clearly, a more comprehensive evaluation of human renal injury is required to determine the importance of macrophage growth factors in the expansion of macrophages within the kidney and the extent of kidney disease. Nevertheless, we would predict strategies that prevent the intrarenal expression of CSF-1, and perhaps GM-CSF, will protect the kidney from injury in individuals genetically susceptible to autoimmune illnesses.

CSF-1 is instrumental in nephritis. The genetic deletion of CSF-1 suppresses postobstructive nephritis and autoimmune nephritis in mice.<sup>227,234</sup> CSF-1-deficient MRL-Fas(lpr) mice are protected from nephritis and injury to other tissues as compared with the wild-type strain.<sup>234</sup> Kidney protection in CSF-1-deficient MRL-Fas(lpr) mice is attributed, at least in part, to a decrease in intrarenal leukocytes and a reduction in activated macrophages in the kidney. It follows that without activated macrophages, the kidney is spared from macrophageinduced renal resident cell apoptosis. On the other hand, CSF-1-deficient MRL-Fas(lpr) mice have a reduction in multiple autoantibody and Ig isotypes in the circulation, and a decrease in IgG and complement in glomeruli. This reduction of Ig's and autoantibodies may be related to an enhanced B-cell apoptosis in the bone marrow of these mice. Thus, CSF-1 regulates multiple cell and antibody functions that may be instrumental in the pathogenesis of LN.

While CSF-1 attracts macrophages into the kidney, the fate of these cells is regulated by the CSF-1 receptor, termed *c-fms*. Macrophages within the MRL background accumulate more rapidly in the kidney than other normal strains, and have a heightened response to CSF-1.235 There is a defect in the downregulation of *c-fms* in the MRL strains, which is probably responsible for the notable presence of macrophages in the kidney of MRL-Fas(lpr) mice. Since the failure to downregulate the CSF-1 receptor may be linked to a modifying gene in the MRL background, it is intriguing to speculate that identification of these genes in mice may provide clues to identifying the counterpart in human lupus patients. Taken together, determining the expression and regulation of receptors for molecules that are integral in the pathogenesis of LN, such as CSF-1, may be prognostic indicators of the tempo of disease.

Macrophages in the MRL-*Fas*(*lpr*) strain have other abnormal functions. These include a decrease in cytokine production,<sup>236–238</sup> increased antibody-dependent cellular cytotoxicity and hydrogen peroxide production,<sup>239</sup> and decreased Fc-mediated binding and impaired phagocytosis.<sup>240</sup> In many of these studies it is not clear whether the impaired macrophage function is a consequence of the disease, or is genetically linked to either the *Fas*(*lpr*) mutation or MRL background. As in the mouse, defective macrophage functions are a feature of human SLE.<sup>241</sup> It is critical to determine whether these defects are identifiable in healthy individuals prior to the loss of renal function, or are a consequence of the disease. Nevertheless, a systematic exploration of the regulation of intrarenal macrophage functions and their impact on kidney disease will undoubtedly lead to the design of novel therapeutics.

#### **T-Cell Effectors in Lupus Nephritis**

T cells regulate a wide range of as diverse functions that are pivotal in tissue destruction and protection. T cells are notable within the kidneys in human and mouse forms of lupus. Therefore, it is important to elucidate their role in LN. The T cells in Fas-deficient Fas(lpr) strains that escape apoptosis are distinctive. The massive enlargement of lymph nodes and spleens in this strain is due to infiltration by unique doublenegative cell (DN) T cells [TCRα/β, CD4<sup>-</sup>, CD8<sup>-</sup>, B220 epitope of CD45<sup>+</sup>], the origin, proliferation, and migration of which remain controversial.<sup>242-247</sup> The abundant expression of two activation determinants, B220 and FasL, and the spontaneous cytotoxic activity suggests that DN T cells were previously activated.<sup>248</sup> Notably, DN T-cell clones propagated from the kidney of MRL-Fas(lpr) mice exclusively proliferate to renal parenchymal TEC and mesangial cells and not to other tissues.<sup>249</sup> In addition, infiltrating DN cells are evident in the kidney, lymph nodes, and spleens simultaneously. Based on these findings, we suggest that DN T cells expand in the kidney. DN T cells are class I MHC selected, and are reduced in the MRL-*Fas(lpr)* strain deficient in  $\beta_2$ -microglobulin (i.e., class I).<sup>250</sup> Class I-deficient MRL-Fas(lpr) mice are spared from an infiltration of DN and CD8 T cells into the kidney and have drastically reduced CD4 T cells. These mice are protected from renal injury.<sup>251,252</sup> Thus, class I-selected T cells are necessary for autoimmune kidney injury in the MRL-Fas(lpr) strain.

The CD4 T cells in the MRL-*Fas*(*lpr*) strain are distinctive.<sup>174,253,254</sup> CD4<sup>+</sup> and DN T cells account for the majority of T cells in nephritic MRL-*Fas*(*lpr*) kidneys. Elimination of CD4 T cells in MRL-*Fas*(*lpr*) mice protects the kidney from glomerular, tubular, and vascular pathology, an outcome similar to that achieved by eliminating TCR  $\alpha/\beta$  cells. Thus, autoimmune kidney disease in this strain requires both multiple T-cell populations.<sup>252</sup>

# Regulating T Cells: Co-stimulatory Pathways

Immune cell interactions and T-cell costimulatory pathways are instrumental in autoimmune disease induction and provide key targets for therapeutic intervention. Antigen recognition alone is not sufficient for full T-cell activation. T cells require two distinct signals to become fully activated.<sup>255,256</sup> The first signal is provided by the engagement of the TCR with the MHC and peptide complex on APCs. The second "co-stimulatory" signal is provided by engagement of one or more T-cell surface receptors with their specific ligands on APCs.<sup>257–259</sup> Signaling through the TCR alone in the absence of a co-stimulatory signal leads to prolonged T-cell unresponsiveness, or anergy.<sup>256</sup>

#### The CD28 Family

The engagement of CD28 on T cells with either B7-1 or B7-2 on APCs is the best characterized co-stimulatory pathway.<sup>260</sup> The CD28/CTLA4-B7-1/B7-2 T-cell co-stimulatory pathway is a unique and complex pathway that regulates T-cell acti-

vation.<sup>261</sup> Interaction of CD28, constitutively expressed on T cells, with B7-1 and B7-2, expressed on APCs, provides a second "positive" signal that results in T-cell activation. During T-cell activation cytokines are generated, the clones expand, and T-cell anergy is prevented. Furthermore, T-cell survival, clonal expansion, and differentiation are defective in CD28-/- mice (see review<sup>262</sup>). Thus, the CD28-B7 interaction is critical for T-cell survival.

The CD28 family is versatile; it provides "negative" signals to terminate T-cell responses. After activation, T cells express another CD28 family member, CTLA4 (CD152), with a higher affinity for B7-1 and B7-2. CTLA4 provides a "turn off" signal to terminate T-cell responses.<sup>263,264</sup> For example, CTLA4-deficient mice succumb to a fatal lymphoproliferative disorder with lymphocyte-mediated multi-organ tissue destruction, which shares some features with the MRL-*Fas(lpr)* phenotype.<sup>265,266</sup> Furthermore, CTLA4 is a plausible "master switch" for peripheral T-cell tolerance.<sup>267</sup>

The CD28 family molecules are promising therapeutic targets for LN. Eliminating the B7 pathway (genetic deletion of B7-1 and B7-2) in MRL-Fas(lpr) mice protects this strain from renal and other tissue injury.<sup>268</sup> Furthermore, prophylactic treatment of MRL-Fas(lpr) mice with CTLA4Ig (a fusion protein that binds to B7 and blocks this pathway) diminished kidney disease, but not lung disease in this strain.<sup>269</sup> This emphasizes the differential impact of the CD28/ CTLA4 pathway on target tissues in the MRL-Fas(lpr) strain. Similarly, CD28-/-MRL-Fas(lpr) mice developed less severe GN, but greater splenomegaly than WT mice.<sup>270</sup> Treating mild renal disease in another form of LN, the NZB x NZW F1 hybrid females (BW) delayed the onset of proteinuria. However, delaying treatment until the BW mice have advanced nephritis requires CTLA4Ig in combination with cyclophosphamide to retard the onset of proteinuria.<sup>271</sup> Nevertheless, BW mice survived longer whether treatment with CTLA4Ig was initiated in mice with mild or advanced nephritis as compared with controls. We anticipate that these studies will seed clinical trials using CTLA4Ig for patients with LN.

#### New CD28 Homologs

The number of co-stimulatory pathways is rapidly expanding. There are several new members of the CD28 family, including the ICOS and PD-1 pathways. The functions of these pathways are complex and have not been defined in autoimmune kidney disease, including LN. These pathways are summarized below.

## The ICOS-ICOS-L Pathway: Amplifying and Dampening Immune Responses

The more recently identified CD28 homolog, ICOS, is a T-cell costimulatory molecule first reported on activated human T cells.<sup>272,273</sup> Human ICOS shares 24% identity (and 39% similarity) with human CD28 and 17% identity (and 39% similarity) with human CTLA4.<sup>274</sup> B7-1 and B7-2 are ligands for CD28, but not ICOS. Similarly, the ligand for ICOS, ICOS-L (also termed B7h, LICOS, B7RP-1, B7H-2, GL-50), does not bind to CD28 or CTLA4.<sup>275–277</sup>

ICOS shares features that are similar to CD28. These include enhancing T-cell proliferation, cytokine production, upregulating CD154, and providing help for Ig production by B cells.<sup>272</sup> However, ICOS has properties that are distinct from

CD28 that are intriguing. Whereas CD28 is constitutively expressed on T cells, ICOS is induced upon TCR engagement. The inducible expression of ICOS shortly after T-cell activation suggests that ICOS may be critical for providing costimulatory signals to activate T cells.<sup>278</sup> ICOS is expressed on activated T cells and resting memory T cells.<sup>273</sup> ICOS expression is enhanced by CD28 costimulation, and ICOS upregulation is markedly reduced in the absence of B7-1 and B7-2, suggesting that some of the functions ascribed to CD28 are due in part to ICOS signaling.<sup>279</sup>

ICOS-L shares 20% sequence identity with the B7 molecules CD80 and CD86.<sup>280</sup> But unlike CD80 and CD86, ICOS-L does not bind to CD28 or CTLA4.<sup>273</sup> ICOS-L displayed on the cell surface has been detected on bone marrow–derived cells (B cells, dendritic cells, macrophages) and some T cells such as DN T cells.<sup>280,281</sup> ICOS-L messenger RNA expression has been detected in multiple tissues, spleen, lymph node, kidney, heart, and brain, and on parenchymal cells (TEC, endothelial).<sup>275,281</sup> And constitutive cell surface ICOS-L is upregulated by cytokines on endothelial cells.<sup>281</sup> Thus, ICOS-ICOS-L interactions between leukocytes and/or parencyhmal cells may regulate immune events.

The ICOS pathway in vivo may be as complex as the B7 pathway, imparting signals that promote and inhibit T-cell activation. In another autoimmune disease, experimental allergic encephalomyelitis (EAE), ICOS-deficient mice developed more severe disease as compared with the wild-type strain.<sup>282</sup> Similarly, ICOS blockade during antigen priming exacerbated EAE.<sup>283</sup> In contrast, ICOS blockade during the efferent immune response in EAE abrogated disease. These findings suggest that the function of the ICOS pathway is dictated by the nature of the immune reaction during stages of autoimmune disease.

The concept that parenchymal cells expressing ICOS-L are negative regulators of T cells in the kidney is supported by recent findings. Blocking ICOS-L expression on TECs (Ia<sup>+</sup>) enhances interleukin-2 production by T-cell hybridomas, suggesting that TECs may inactivate T cells.<sup>284</sup> We have recently established that renal disease in ICOS-deficient MRL-*Fas(lpr)* mice is more severe as compared with the wild-type strain (manuscript in preparation).<sup>284a</sup> The increase in disease appears to be related to local T-cell interactions within the kidney, since intrarenal nephritogenic T-cell cytokines, and not systemic cytokines, are increased in the ICOS-deficient MRL-*Fas(lpr)* strain. These studies suggest that ICOS-L on renal resident cells, such as TEC, may provide a negative regulatory signal to dampen T-cell activation, and thus are poised to protect the kidney.

## The PD-1 Pathway: A Negative Regulator of Immune Responses

A new member of the CD28 superfamily is the PD-1 pathway. PD-1, like CD28, ICOS, and CTLA4, is a transmembrane protein and belongs to the Ig superfamily. As is the case for CTLA4, PD-1 possesses only a single V-like domain, and an immunoreceptor tyrosine-based inhibitory motif (ITIM) within its cytoplasmic tail. PD-1 is homologous (23%) with CTLA4, but lacks the MYPPPY motif required for B7-1 and B7-2 binding. The PD-1 receptor is expressed on activated T cells, B cells, and myeloid cells, including macrophages. It binds two known ligands, PD-L1 and PD-L2. These ligands

are expressed on professional APCs such as dendritic cells and monocytes, and are constitutively expressed on some T and B cells, and parenchymal cells within the kidneys, heart, and lungs.<sup>285,286</sup> PD-1 is functionally similar to CTLA4: (1) Each provides negative regulation, including inhibiting downstream cell signaling events, diminishing cell proliferation, and reducing cytokine production; and (2) deleting either gene results in autoimmune disease. PD-1-/- mice (normal strains) develop an autoimmune phenotype that is dictated by the strain's background genes and includes splenomegaly, Bcell expansion with increased serum Ig's, arthritis, and cardiomyopathy.46 On the other hand, PD-1-/- on the B6 background resulted in a low incidence (3 of 10 mice) and mild GN, even in the second year of life. By comparison, PD-1-/- on the B6-Fas(lpr) background had a greater incidence (8 of 9 mice) and severity of GN, although based on the limited data, it remains modest.<sup>46</sup> Since T cells do not infiltrate the kidney in the B6-Fas(lpr) strain, we speculate that deleting PD-1 converts the kidney into a susceptible target for invading leukocytes, no longer providing a protective barrier to combat leukocytes. This may be related to T-cell interactions with leukocytes outside, or within, the kidney.

Weakly activated T cells are most readily downregulated by PD-L engagement. PD-1 signaling inhibits suboptimal levels of CD28-mediated co-stimulation.<sup>285</sup> Therefore, the threshold for T-cell activation may be a balance between activating signals, such as those delivered by CD28 and B7-1 and B7-2 interactions, and inhibitory signals mediated engagement of PD-1 and its ligands, although deleting PD-1 alters central selection in the thymus.<sup>287</sup> PD-L1 expressed on parenchymal cells in peripheral tissues may be the most formidable barrier preserving tolerance. The net effect of signaling through these different pathways on T cells present in inflamed tissues will therefore be complex, and the balance may dictate the final outcome of the immune response.

#### Renal Parenchymal Cells Regulate T-Cell Activation/Anergy

Hematopoietic cells, macrophages, dendritic cells, and B cells are well-recognized "professional" APCs. Since TECs comprise 80% of the renal cortex, TECs are well positioned to "turn on" or "turn off" T-cell activation. Thus, it seems logical to suggest that T-cell activation and anergy are regulated by TECs and other renal epithelial parenchymal cell (podocyte) surface determinants. Over a decade ago, we determined that TECs in MRL-Fas(lpr) mice expressed MHC Ia.<sup>288</sup> This prompted us to determine whether Ia+TEC, and other renal parenchymal cells, process and present antigen to T cells. We established that TECs, stimulated with IFN-y, expressed Ia determinants and functioned as APCs capable of activating T-cell hybridomas.<sup>289</sup> However, this is not a sufficiently stringent test; T-cell hybridomas are easily activated. In contrast, antigen pulsed TEC (Ia<sup>+</sup>) did not stimulate a T helper cell (Th1) clone to proliferate, but rather rendered the Th1 clone unresponsive. The interaction of the CD28 displayed on T cells with its ligand B7 expressed on APCs (Ia<sup>+</sup>) is a potent costimulatory signal that induces T-cell proliferation. This suggested that the lack of B7 on TECs was responsible for anergy in these Th1 clones.<sup>290</sup> We transfected the B7-1 gene into a SV40-transformed TEC line. Th1 clones were not induced by TECs  $(B7^+, Ia^+)$  to proliferate; however, they were not anergic since they did proliferate to

antigen-pulsed spleen cells (immunologic ignorance).<sup>291</sup> Cocultivating TECs (B7,<sup>+</sup> Ia,<sup>+</sup> antigen pulsed) with Th1 clones stimulated through the TCR via anti-CD3 antibody (Ab) caused these Th1 clones to proliferate; anti-B7 and anti-CD28 Abs blocked this response. Thus, parenchymal cells and "professional" APCs are governed by different rules. The parenchymal cells are poised to "turn off" T-cell activation. The CD28/CTLA4/B7 pathway, to date, is restricted to T cell- and bone marrow-derived APC interactions. By comparison, the ICOS and PD-1 pathways on bone marrow-derived and parenchymal cells regulate T cells. It is intriguing to speculate that converting parenchymal cells into APCs initiates autoimmune disease. Since regulation of Th1 clones and T cells is not necessarily similar, and naïve and memory T cells require different activation signals, it is critical to thoroughly determine how CD28 member co-stimulatory pathways (ICOS and PD-1) on TECs, and other kidney parenchymal cells, regulate T cells.

Kidneys in the vast majority of people remain normal. To protect the kidney following random T-cell contact with parenchymal cells, T cells must be more readily inactivated than activated. In addition, we have reported that TECs (IFN- $\gamma$  stimulated) downregulate proliferation in autoreactive Tcell clones derived from MRL-*Fas*(*lpr*) kidneys.<sup>292</sup> These data suggest that TECs deliver signals that limit the expansion of autoreactive T cells within the kidney. It is conceivable that the initiation and maintenance of T-cell activation is a regulated process, and that an imbalance in activating and anergic signals results in autoimmune LN.

#### CONCLUSION

Systemic lupus is an autoimmune syndrome characterized by multiple-organ immune injury, including severe nephritis. We have presented an overview of the genetics of mouse and human lupus and selected immune features that are integral in LN. Although we are just beginning to appreciate the complex nature of genetic and immune interactions, we are encouraged by the identification of several promising therapeutic targets for human LN.

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### Chapter 30

## Molecular and Genetic Aspects of Ischemic Acute Kidney Injury

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Ischemic acute kidney injury (AKI) is a condition that results from a mismatch between oxygen and nutrient delivery to the nephrons and energy demand of the nephrons. At times there is a clearly defined transient drop in total or regional blood flow to the kidney that results from compromise of the systemic circulation; at other times, for example in the setting of sepsis, the reduction in perfusion may not be associated with systemic signs of hypotension or circulatory compromise. AKI is frequently associated with multiple-organ failure and sepsis. Despite advances in preventative strategies and support measures, this syndrome continues to be associated with significant morbidity and mortality. The pathophysiology of AKI is complex. Figure 30-1 summarizes a complex interplay of vascular and tubular processes that ultimately lead to organ dysfunction. AKI is a state characterized by enhanced vasoconstriction, which is manifest in response to enhanced renal nerve activity and increased tissue concentrations of vasoconstrictive agents such as angiotensin II and endothelin. At the same time there is decreased responsiveness of the resistance vessels to vasodilators such as acetylcholine, bradykinin, and nitric oxide, and lower production levels of some of the vasodilators. These effects on the resistance vessels are complemented by enhanced leukocyte-endothelial adhesion, particularly in the post-capillary venules, resulting in smallvessel occlusion and activation of the leukocytes with resultant inflammation providing a positive-feedback network. The inflammation will act to result in increased amounts of mediators, which increase the interactions between leukocytes and endothelial cells and activate the coagulation pathways. The resultant effects on O2 and nutrient delivery to the epithelial cells results in damage to those cells.

The processes of injury and repair to the kidney epithelium is depicted schematically in Figure 30-2. Ischemia results in rapid loss of cytoskeletal integrity and cell polarity. There is shedding of the proximal tubule brush border, loss of polarity with mislocalization of adhesion molecules and other membrane proteins such as the Na<sup>+</sup>/K<sup>+</sup>-ATPase and  $\beta$ -integrins,<sup>1</sup> as well as apoptosis and necrosis.<sup>2</sup> With severe injury viable and nonviable cells are desquamated, leaving regions where the basement membrane remains as the only barrier between the filtrate and the peritubular interstitium. This allows for backleak of the filtrate, especially under circumstances in which the pressure in the tubule is increased because of intratubular obstruction resulting from cellular debris in the lumen interacting with proteins such as fibronectin that enter the lumen.<sup>3</sup> This injury to the epithelium results in the generation by this tubular cells of inflammatory and vasoactive mediators, which can feed back on the vasculature to worsen the vasoconstriction and inflammation. Thus inflammation contributes in a critical way to the pathophysiology of AKI.<sup>4</sup>

In contrast to the heart or brain, the kidney can completely recover from an ischemic or toxic insult that results in cell death. Surviving cells that remain adherent undergo repair with the potential to recover normal renal function. When the kidney recovers from acute injury it relies on a sequence of events that include epithelial cell spreading and possibly migration to cover the exposed areas of the basement membrane, cell dedifferentiation and proliferation to restore cell number, followed by differentiation, which results in restoration of the functional integrity of the nephron.<sup>5</sup> The kidney also probably relies on processes to repair the damaged endothelium and replace blood vessels if the injury has been severe enough to result in vascular loss. This review will focus on some of the molecular and genetic aspects of AKI.

#### VASCULAR REACTIVITY

The vasculature plays a critical role in the pathophysiology of AKI. Clearly the vasculature is instrumental in initation of the injury in the case of ischemia but also probably in the case of most other causes of AKI. In addition, the intrinsic capabilities of the kidney epithelium to repair, as introduced above, depends on the delivery of O<sub>2</sub> and other nutrients to the epithelial cell. Whereas systemic or localized disturbance of renal blood flow is a major contributor to the etiology of AKI, intrinsic renal factors contribute to the pathogenesis of the disease. Two foci of persistent vasoconstriction following injury have been identified. Persistence of pre-glomerular vasoconstriction is proposed to be triggered by a high salt load arriving in the distal tubule as a result of inadequate Na<sup>+</sup> reabsorption in the injured, more proximal parts of the tubule. In addition, studies of regional renal blood flow reveal reductions in local blood flow to the outer medulla that persist for many hours after renal injury in both experimental models of injury in rodents and in human biopsy specimens.<sup>6-12</sup> Three variables may contribute to a reduction in perfusion in the outer medulla. The medullary blood flow is post capillary, and hence low pressure. Injured endothelial cells swell and, in combination with leukocyte adhesion to the injured endothelium, will impede low-pressure blood flow. In addition, coagulation cascades may become activated. The inflammatory aspects of this response will be discussed in the next section of this review. This section focuses on the vasoconstriction. Reduced blood flow to the outer medulla can have particularly detrimental effects on the tubular cells in that region of the kidney, because the outer medulla is normally hypoxic as a result of the countercurrent exchange properties of the vasa recta.13

Local production of vasoconstrictors is markedly upregulated following ischemic injury. Measurement of renal blood



**Figure 30-1** Injury and repair to the epithelial cell of the kidney with ischemia/reperfusion. As a very early response of the epithelium to injury, the normally highly polar epithelial cell loses its polarity. This can be demonstrated by alterations in the location of adhesion molecules and Na<sup>+</sup>/K<sup>+</sup>-ATPase. In addition, early with ischemia there is a loss of the brush border of the proximal epithelial cell. With increasing time of ischemia there is cell death by either necrosis or apoptosis. Some of the necrotic debris is then released into the lumen, where it can ultimately result in obstruction, because it interacts with lumenal proteins. In addition, because of the mislocation of adhesion molecules, viable epithelial cells lift off the basement membrane and are found in the urine. The kidney responds to the injury by initiating a repair process, if there are sufficient nutrients and sufficient O<sub>2</sub> delivery subsequent to the ischemia period. Viable epithelial cells migrate and cover denuded areas of the basement membrane. These cells are dedifferentiated and express proteins that are not normally expressed in an adult mature epithelial cell. The cells then undergo division and replace lost cells. Ultimately, the cells go on to differentiate and reestablish the normal polarity of the epithelium. The role of a subpopulation of stem/progenitor cells in this process of repair is controversial.

flow in rats 1 week after ischemic injury points to persistent dysregulation of vascular tone at rest and in response to vasodilators and constrictors. In essence, the renal vasculature is tonically more constricted, is hyper-responsive to vasoconstrictors, hyporesponsive to vasodilators, and inappropriately responsive to a fall in perfusion pressure by vasoconstriction (Fig. 30-3).<sup>6,7</sup>

Endotoxemia is characterized by systemic nonrenal vasodilation and renal vasoconstriction. Early in sepsis-associated AKI in animals the predominant response is vasoconstriction with relative preservation of tubular function.<sup>14</sup> Over time, however, endotoxemia induces a hemodynamic form of AKI in animals with enhanced production of peripheral vasodilatory influences including inflammatory cytokines such as tumor necrosis factor  $\alpha$  (TNF $\alpha$ ) and interleukin-1 (IL-1) as well as inducible nitric oxide synthase (iNOS) activation with enhanced production of nitric oxide (NO). To counter-regulate this vasodilatation there are increased systemic levels of catecholamines and activation of the renin-angiotensin system at least partially in response to this systemic vasodilation, and these events can contribute to intrarenal vasoconstriction. Furthermore, the renal nerves are believed to participate in the intrarenal vasoconstriction, because denervation markedly protects the kidney against a decrease in glomerular filtration rate seen with endotoxemia.<sup>14</sup> In animal models of endotoxemia the reactivity of renal microvasculature to vasoconstrictor agents is normal or enhanced, in contrast to nonrenal beds, where response to vasoconstrictor agents is diminished.<sup>15</sup> Zager et al evaluated the effects of levosimendan, an ATP-sensitive  $K^+$  (K<sub>ATP</sub>) channel agonist, on endotoxin-induced injury.<sup>16</sup> This agent has potent vasodilatory effects because KATP channel activation hyperpolarizes the plasma membrane of the vascular smooth muscle cell<sup>17</sup> and levosimendan attenuates Ca<sup>2+</sup> entry through voltage-sensitive L-type channels that normally occurs in response to vasoconstrictor influences. The authors found that this agent had no effect on endotoxin-induced inflammation or NO production and did not protect isolated proximal tubules in vitro against hypoxia-reoxygenation injury but did



**Figure 30-2** Effects of ischemia/reperfusion on arteriolar tone. In studies performed by John Conger and his group at the University of Colorado, it was found that the post-ischemic arteriole is more sensitive to vasoconstrictive agents and less sensitive to vasodilatory agents. In addition, whereas a normal arteriole will dilate in response to a decrease in perfusion pressure, the post-ischemic arteriole constricts. Given these properties of the microvasculature of the kidney after ischemia, several investigators have attempted to use various vasodilatory agents (some of which are listed in the box) as potential therapeutic agents to target the vessels and relieve this vasoconstrictive tendency.



**Figure 30-3** Summary of the pathophysiology of AKI, which can be recognized as having both microvascular and tubular components. Furthermore, the microvascular component can be further classified into pre-glomerular and outer medullary vessel components. With AKI there is enhanced vasoconstriction in response to several agents listed in this figure. In addition, there is decreased vasodilatation to agents that are present in the post-ischemic kidney. Furthermore, the combined occurrence of increased endothelial and vascular smooth muscle cellular damage and increased leukocyte-endothelial adhesion results in activation of the coagulation system and vascular obstruction with leukocyte activation and potentiation of inflammation. At the level of the tubule there is cytoskeletal breakdown and loss of polarity, followed by, as described in Figure 30-1, apoptosis and necrosis, intratubular obstruction, and backleak of glomerular filtrate through a denuded basement membrane. In addition, the tubule cells generate inflammatory vasoactive mediators that in turn can affect the vasculature to enhance vascular compromise. This then results in a positive-feedback mechanism whereby the vascular compromise results in decreased  $O_2$  delivery to the tubules, which in turn generate more vasoactive inflammatory mediators that enhance the vasoconstriction and the endothelial leukocyte interactions.

protect the kidney against lipopolysaccharide-induced AKI. This agent had no effect on AKI induced by occluding the blood supply to the kidney for 12.5 or 20 minutes. The authors conclude the effect of levosimendan was related to a reduction in renal vascular resistance.

Other potent vasoconstrictors have been identified in the ischemic kidney, including endothelin-1, angiotensin II, thromboxane  $A_2$ , prostaglandin  $H_2$ , leukotrienes  $C_4$ ,  $D_4$ ,

adenosine, and sympathetic nerve stimulation.<sup>18,19</sup> This may not be limited to the acute ischemic phase, in that a recent study has revealed that 5 to 8 weeks after acute ischemia in the rat there was increased responsiveness of microvessels and resistance vessels of the skeletal vascular beds to angiotensin II.<sup>20</sup> Several studies indicate that blockade of endothelin receptors before an ischemic insult protects the rat kidney from injury.<sup>21–24</sup> There are two vascular smooth muscle cell receptors for endothelin: ER-A and ER-B. The former seems to function primarily in vasoconstriction, and selective blockade in rats has proven beneficial to recovery. By contrast, angiotensin receptor blockade is widely implicated in the deterioration of renal function in susceptible individuals through paralysis of post-glomerular arterioles.<sup>25,26</sup>

In the rodent model of ischemia in which the renal artery is clamped, the proximal tubule in the outer medulla is most affected after ischemic injury.<sup>27,28</sup> Even when total perfusion to the kidney is normalized, the outer medulla fails to recover normal blood flow promptly. In the outer medulla, the S3 portion of proximal tubules and the medullary thick ascending limb of Henle dominate. Both nephron segments require substrates for high levels of adenosine triphosphate (ATP) production. Cell injury is most apparent in the S3 segment of the proximal tubule in most animal models. There is some controversy as to the relative extent of proximal versus distal tubule injury in humans with AKI.<sup>1</sup>

An important finding in animal models of ischemic renal injury is that previous ischemic injury protects from future injury. This "preconditioning" effect lasts for several weeks. These studies indicate that the kidney can activate endogenous protective mechanisms. These mechanisms seem to protect vessels as well as tubules from injury.<sup>29–31</sup> Exploiting these mechanisms will probably lead to new therapies. Although the deliberate induction of sublethal renal ischemia has little practical application to patients, studies of preconditioning in the myocardium have shown that several pharmacologic agents can mediate the same protection as ischemic preconditioning.<sup>32–37</sup> During coronary bypass surgery ischemic injury sufficient to induce atrial fibrillation (5 minutes) protected the myocardium during subsequent surgery. In addition, the use of diazoxide to pharmacologically precondition the myocardium for 5 minutes before surgery has also afforded marked benefit to the myocardium during surgery.38,39 Cardiac studies have highlighted signaling pathways involving protein kinase A, protein kinase D, and mitogen-activated protein kinase (MAPK) pathways in preconditioning. We have found that NO, a pluripotential molecule derived from iNOS is a key mediator of protection in the kidney.<sup>40</sup> Intrinsic cells of the kidney continue to generate NO through increased activation of iNOS for several weeks after injury. NO is the most potent vasodilator yet described. In inflammatory diseases, iNOS-derived NO has been shown to take over the function of endothelial NOS (eNOS)-derived NO in regulating vascular tone.<sup>41</sup> One mechanism by which iNOS-derived NO protects the kidney from injury is by preventing inappropriate vasoconstriction directly as a vasodilator and indirectly by preventing upregulation of vasoconstrictors.<sup>42</sup> In support of this, Yamashita and colleagues indicate that in the preconditioned kidney, production of the vasoconstrictor endothelin-1 is markedly attenuated during ischemia.43 Because ischemic preconditioning is probably not explained entirely by changes in vascular reactivity, a later section of this review is devoted specifically to this topic.

Successful reduction of post-injury vasoconstriction in animal models with improved functional response has not translated into practical therapies for humans thus far.<sup>44</sup> This may be because animal studies are performed in a background of normal vasculature, whereas most patients with AKI have at least some degree of underlying vascular disease that could alter the response to vasodilatory drugs. In addition, the agents are given much later in the disease course in humans than they are in animals. It is the author's opinion that, although these factors are important, in humans AKI inflammation plays a critical role, and therapy directed toward the vasoconstriction alone will not be effective once there is tubular injury.

#### **ENDOTHELIUM**

Damage to the endothelium can contribute to the pathobiology of ischemic AKI in many ways. A damaged endothelial layer would contribute to vasoconstriction by less production of vasodilatory substances such as NO. If vessels are lost with ischemia and angiogenesis is inadequate, then there will be problems with repair. Injured endothelium will also contribute to the inflammatory response through upregulation of adhesion molecules whose counter-receptors are on circulating leukocytes. The enhanced interaction resulting from the upregulation of these adhesion molecules will then result in local small-vessel obstruction and enhanced activation of the leukocytes, leading to potentiation of the inflammation. The role of endothelial cells in inflammation will be discussed more extensively in the next section of this review. The concept that endothelial cells play an important role in postischemic renal injury was suggested as early as 1972 by Leaf and colleagues when they described endothelial swelling and narrowing of the blood vessel lumen as important features of post-ischemic injury and suggested that "no-reflow" in the post-ischemic period could be an important contributor to the injury and could contribute to inhibition of the ability of the kidney to recover.45 This "no-reflow" phenomenon had been previously proposed to be important for the pathophysiology of ischemic brain injury by Ames et al.<sup>46</sup> Although total kidney blood flow returns to near-normal levels after release of a clamp interrupting this flow, the distribution of blood flow does not return to normal. There is marked congestion of the outer medulla and reduction in blood flow to this region of the kidney after ischemia.47

Evidence for endothelial dysfunction in the cortex has also been presented in studies that demonstrated retrograde blood flow through peritubular capillaries upon reperfusion after ischemia.<sup>48</sup> With reperfusion, a partial transient compromise of the patency of the peritubular capillaries was also present. When human umbilical vein endothelial cells or human embryonic kidney cells stably expressing eNOS were administered either intravenously or into the renal artery after ischemia, these cells implanted into the kidney and resulted in partial functional protection against injury.<sup>48</sup> In addition, after prolonged ischemia (60 minutes) in the rat, peritubular capillaries undergo permanent damage.<sup>49</sup> The number of microvessels in the inner stripe of the outer medulla declines, with the reduction in number associated with increased tubulointerstitial fibrosis and altered concentrating ability.

#### **INFLAMMATION**

#### The Immune Response

The immune response has an innate component and an adaptive component, both of which are important contributors to the pathobiology of ischemic injury. The innate component is responsible for the early response to infection or injury and is independent of foreign antigens. Toll-like receptors (TLRs) detect exogenous microbial products, leading to activation of innate immunity<sup>50</sup> and development of antigen-dependent adaptive immunity.<sup>51</sup> It has been recognized that TLRs also recognize host material released during injury.52 Although TLRs are present on antigen-presenting cells such as monocytes/macrophages and dendritic cells as well as neutrophils, in the kidney the majority of the TLR messenger RNA (mRNA) is present on proximal and distal tubular epithelial cells as well as Bowman's capsule. Proximal epithelial cells stained more intensely for TLR4 mRNA than distal epithelial cells. Ischemia/reperfusion markedly enhance levels of TLR2 and TLR4 mRNA in the distal tubular epithelium, the thin limb of Henle's loop, and collecting ducts, perhaps associated with the increases in TNF $\alpha^{53}$  and interferon- $\gamma$  in the kidney after ischemia/reperfusion.<sup>54</sup> There was little change in the proximal tubule or glomerular capsule TLR2 or 4 mRNA levels. Whereas protein expression of TLR4 was confirmed to be upregulated by western blot analysis, the cellular localization of protein expression upregulation was unclear. The role of TLRs was further evaluated using an ischemia/reperfusion model in *Tlr2<sup>-/-</sup>* and *Tlr<sup>+/+</sup>* mice.<sup>55</sup> Significantly fewer granulocytes were

present in the interstitium of the kidney 1 day after ischemia/ reperfusion in the  $Tlr2^{-/-}$  mice, and fewer macrophages were present 1 to 5 days after ischemia/ reperfusion. Kidney homogenate cytokines KC, MCP-1 IL-1b, and IL-6 were also significantly lower in the  $Tlr2^{-/-}$  animals as compared with the  $Tlr2^{+/+}$  mice. Hence the absence of Tlr2 clearly had an antiinflammatory effect on the response to ischemia/reperfusion. This anti-inflammatory effect was associated with a functional protection, as measured by serum creatinine at 1 day after ischemia/reperfusion and blood urea nitrogen and tubular injury score 1 and 5 days after ischemia/ reperfusion.

#### Leukocyte-Endothelial Interactions

With ischemia/reperfusion endothelial cells upregulate integrins, selectins, and members of the immunoglobulin superfamily, including intercellular adhesion molecule 1 (ICAM-1) and vascular cell adhesion molecule (Fig. 30-4). Several vasoactive compounds may also affect leukocyte-endothelial interactions. In fact, protective effects of agents that have been used primarily to block vasoconstriction in animal models of ischemia such as endothelin antagonists may also have an effect on neutrophil adhesion or other aspects of leukocyte-endothelial



Figure 30-4 The role of inflammation in the pathophysiology of ischemia/reperfusion. Some of the aspects of inflammation that are prevalent in the post-ischemic kidney include the generation of inflammatory mediators by cells in the kidney, which results in increased expression of adhesion molecules on endothelial cells that are themselves injured in response to the ischemia. Systemic and local factors are also released, leading to activation of leukocytes. Furthermore, there is activation of the coagulation pathway, with activation of platelets and formation of microthrombi, further compromising the small vessels. Activated leukocytes interact through their upregulated membrane proteins with counter-receptors on the vascular endothelium to result in further activation of the leukocytes, and further potentiation of small-vessel obstruction. As a result of this activation of the leukocytes greater amounts of inflammatory mediators are released, and these inflammatory mediators then serve in a positive-feedback process to further activate the pro-adhesive characteristics and add to vasoconstriction of the vessels. The leukocytes involved include neutrophils, monocytes/microphages, and T cells.

interactions with implications for inflammation.<sup>56</sup> Vasodilators, such as NO, also can have effects to decrease inflammation. NO inhibits adhesion of neutrophils to endothelial cells, stimulated by TNFa, which would also be protective.<sup>57</sup> As discussed previously, it has been known for quite some time now that there is less flow to the medullary than the cortical region in the post-ischemic kidney.<sup>11</sup> In addition, as endothelial cells are injured with resulting cell swelling and increased expression of cell adhesion molecules, so also are leukocytes activated. Enhanced leukocyte-endothelial interactions can result in cell-cell adhesion, which can physically impede blood flow.44 Furthermore, these interactions will additionally activate both leukocytes and endothelial cells and contribute to the generation of local factors that promote vasoconstriction especially in the presence of other vasoactive mediators, resulting in compromised local blood flow and impaired tubule cell metabolism.58 Because of the anatomy of the vascular-tubular relationships in the outer medulla prone to congestion, these leukocyte-endothelial interactions probably affect the outer medulla to a greater extent than the cortex.

In an early study to evaluate the significance of endothelialleukocyte interactions and inflammation to the pathobiology of ischemic injury, we administered antibodies to ICAM-1 and found that when they were administered before or 2 hours after renal ischemia/reperfusion they protected the kidney from injury.<sup>59</sup> We further confirmed these results in finding that kidneys of ICAM-1 knockout mice also are protected.<sup>53</sup> We proposed that this upregulation of ICAM-1 was related to the upregulation of the pro-inflammatory cytokines TNFa and IL-1, which we measured to be increased by ischemia/ reperfusion. This protection was associated with decreased tissue myeloperoxidase (MPO) reflective of decreased trapped leukocytes. Dragun et al<sup>60</sup> found that pretreatment of donor kidneys with antisense deoxyoligonucleotides directed to ICAM-1 before transplantation into rats resulted in better long-term survival of the recipients, lower serum creatinine concentrations, and reduced interstitial fibrosis and other changes seen with chronic graft rejection as a consequence of delayed graft function. Administration of antisense deoxyoligonucleotides to recipient rats resulted in prolonged survival of kidney allografts and synergized with cyclosporin in further prolongation of graft survival.<sup>61</sup> This same group then perfused kidneys of cynomologus monkeys ex situ with unformulated "naked" ICAM antisense deoxyoligonucleotides and exposed to 30 minutes of cold and 30 minutes of warm ischemic time. ICAM-1 protein expression was reduced and graft function was improved, as measured by glomerular filtration rate and blood creatinine 24 hours after grafting.<sup>62</sup> Troncoso et al<sup>63</sup> recently reported that the administration of ICAM-1 antisense oligonucleotides resulted in less functional and morphologic chronic graft damage secondary to ischemia/reperfusion in the rat. A randomized trial was conducted to evaluate the use of a mouse monoclonal antibody against ICAM-1 to prevent acute rejection and delayed graft function in cadaveric renal transplantation in humans.<sup>64</sup> No difference was found in the incidence of delayed graft function between the two groups. There are concerns about the study, however, because it was underpowered and the antibody did not inhibit leukocyte adhesion to human endothelial cells whereas its Fab fragment did. Furthermore a phase I multicenter study of a monoclonal antibody specific to LFA-1 (LFA-1 is a leukocyte ligand for ICAM-1) as induction therapy

showed equivalent efficacy to ATG and a tendency to reduction in the incidence of delayed graft function.<sup>65</sup>

#### Leukocyte Subgroups

There are many additional mechanisms by which leukocytes can potentiate renal injury. Leukocytes are activated by inflammatory mediators, including cytokines, chemokines, eicosanoids, and reactive oxygen species (ROS), which upregulate adhesion molecules that engage counter-receptors on the activated endothelium. Leukocytes are recruited and activated by chemokines, which are upregulated by the proinflammatory cytokines IL-1 and TNF $\alpha$ . TNF $\alpha$ , IL-1, and interferon  $\gamma$  produce a number of injurious changes in proximal tubular epithelial cells (see later). These cytokines also disrupt cell matrix adhesion dependent on  $\beta_1$ -integrin, inducing cell shedding into the lumen.

Leukocyte subgroups contribute in different ways to ischemia/reperfusion injury. MPO activity is elevated soon after ischemic insult and may originate from macrophages and/or neutrophils.<sup>47</sup> We showed that neutrophils are seen in the interstitium early after ischemic injury in the mouse,<sup>53</sup> as have others subsequently.<sup>66</sup> If neutrophil accumulation is prevented, tissue injury is ameliorated.<sup>53</sup> It is possible that neutrophil depletion models, however, may not adequately differentiate involvement of neutrophils from that of T lymphocytes and macrophages. In a recent study Mizuno and Nakamura found that administration of hepatocyte growth factor prevents neutrophil accumulation in the mouse exposed to ischemia/reperfusion.<sup>67</sup> This reduction in tissue neutrophil accumulation is associated with reduced vascular ICAM-1 expression, reduced tubular cell apoptosis, and reduced blood urea nitrogen levels 3 and 18 hours after ischemia.

Later phases of AKI are characterized by infiltration of macrophages and T lymphocytes, which predominate over neutrophils. Knockout mice lacking CD4 and CD8, cell adhesion receptors on T lymphocytes, are protected from ischemia/reperfusion injury,<sup>68</sup> suggesting a causal role for T lymphocytes in mediating injury. Mice deficient in T cells (nu/nu mice) are both structurally and functionally protected against ischemic injury, and mice deficient in CD4<sup>+</sup> cells, but not mice deficient in CD8<sup>+</sup> cells, were protected against ischemic injury.<sup>69</sup> Addition of T cells to the nu/nu mice reconstituted susceptibility to ischemia, as did addition of CD4<sup>+</sup> T cells to CD4-deficient mice. In addition, blockade of T-cell CD28-B7 co-stimulation protects against ischemic injury in rats and significantly inhibits T-cell and macrophage infiltration and activation in situ.<sup>70</sup> The role of the T cell, however, has been recently questioned. Mice deficient in recombinationactivating gene 1 (Rag1) lack T and B cells and do not produce immunoglobulins or T-cell receptor (TCR) proteins. In the absence of these cells and their receptors, some investigators have found that Rag1-deficient mice are not protected from AKI induced by ischemia. Tubular necrosis and neutrophil infiltration are present to a degree comparable to that seen in wild-type mice.<sup>71,72</sup> One group has found that mice deficient in the T-cell receptor had structural and functional protection against ischemic injury, associated with decreased levels of TNF $\alpha$  and IL-6 but unchanged macrophage or neutrophil infiltration patterns.73 Another group, however, has found that injury in the TCR $\alpha$  mice is similar to that in wild-type animals.74

#### Complement

Complement activation is a characteristic of ischemia/ reperfusion injury in several organs, but the kidney is unique in that activation after ischemia/reperfusion occurs predominantly if not exclusively by the alternative pathway.75 Complement may also potentiate leukocyte-endothelial interactions. In several different tissues exposed to ischemia/ reperfusion, complement-dependent upregulation of endothelial cell adhesion molecules, with resulting neutrophil accumulation in the vasculature, has been implicated as a mechanism for complement-mediated injury.<sup>76</sup> Others, however, suggest that the primary effect of complement in kidney ischemia/reperfusion is on the epithelial cell as a result of a direct effect of the membrane attack complex of complement.77 Farrar et al explored the role of local intrarenal production of the third complement component C3 as distinguished from circulating C3 by using a mouse isograft model.<sup>78</sup> They found a close relationship between cold ischemia-induced injury and intrarenal C3 expression. Ischemic C3<sup>+</sup> donor kidneys transplanted into C3<sup>-</sup> recipients developed widespread tissue injury and severe functional impairment. By contrast, ischemic C3isografts transplanted into C3<sup>+</sup> recipients developed mild structural and functional deficiencies. In humans there is deposition of C3d along a significant number of tubules in biopsy specimens from patients with AKI.75

#### **Reactive Oxygen Species**

ROS that are generated during reperfusion and as a contributor to the inflammatory response then play a major role in cell injury with ischemia. The superoxide radical as well as  $H_2O_2$  and hydroxyl radical are increased in renal tissue after ischemia/reperfusion. In addition, NO reacts with superoxides to generate the highly reactive peroxynitrite molecule. One of the sites of this interaction between ROS and NO has been recently reported to be at the inner mitochondrial membrane in the kidney<sup>79</sup>. Both ROS and NO are generated within mitochondria, and the resultant formation of peroxynitrite is proposed to lead to cytochrome *c* release and apoptosis in ischemia/reperfusion.

ROS are generated by activated infiltrating leukocytes and by epithelial cells. ROS are directly toxic to tubular epithelial cells, with ROS-generating systems mimicking the effects of ischemic injury.<sup>80</sup> In some cases, scavengers (superoxide dismutase, glutathione, edaravone, vitamin E) and inhibitors of ROS (deferoxamine) have been found to protect against renal injury.<sup>81–86</sup> The presence of ROS can result in the peroxidation of lipids in cell membranes, protein denaturation, and DNA strand breaks. Lipid peroxidation by ROS enhances membrane permeability and impairs function of membrane enzymes and ion pumps. ROS disrupt the cellular cytoskeleton and cellular integrity, and break down DNA.<sup>82,87,88</sup>

ROS-induced strand breaks in DNA lead to activation of DNA repair mechanisms, including the nuclear enzyme poly(ADP-ribose) polymerase (PARP) and subsequent ATP depletion. PARP is a zinc-finger DNA-binding protein that detects DNA strand breaks or nicks and transfers ADP-ribose from nicotinamide adenine diphosphate (NAD) to nuclear proteins. Subsequent to ischemia/reperfusion, PARP is activated, NAD is depleted, and generation of cellular ATP is inhibited, worsening the injury. This amplification of injury may be the major mechanism by which ROS are directly toxic to cells. Inhibiting PARP protects renal<sup>89</sup> cells against ROSinduced injury in particular, resulting from ischemia/ reperfusion. Mice genetically deficient in PARP are protected against ischemia/reperfusion injury even though the levels of ROS and the DNA damage were similar in Parp-knockout and wild-type mice.<sup>90</sup> There was decreased inflammation in the Parp-knockout mice as demonstrated by diminished neutrophil infiltration and decreased expression of ICAM-1 as well as TNF $\alpha$  and IL-1.

Lipid peroxidation and production of ROS are regulated by various extracellular and intracellular antioxidant enzymes. A contributing factor to the increase of ROS levels after ischemia/reperfusion is the reduction of antioxidant enzyme activity. It has been reported that catalase activity is decreased after ischemia/reperfusion.82 Superoxide dismutase (SOD) is an important free radical mediating cellular damage. It is scavenged by CuZnSOD located in cytoplasm and manganese superoxide dismutase (MnSOD) in mitochondria. MnSOD is the major antioxidant enzyme located in mitochondria and is essential for life.91,92 Chien and colleagues reported that intravenous treatment with SOD in rats resulted in reduced apoptotic cell death and ROS production after kidney ischemia/ reperfusion.<sup>92a</sup> Mice expressing only 50% of the normal complement of MnSOD demonstrate increased susceptibility to oxidative stress and severe mitochondrial dysfunction resulting from elevation of ROS.93 MnSOD is susceptible to nitrotyrosylation, which results in enzyme inactivation.94,95 Overexpression of MnSOD enzyme protects the heart after ischemia/reperfusion injury.96 We found that MnTMPyP, a SOD mimetic, reduced post-ischemic lipid peroxidation and the tissue levels of  $H_2O_2$  in the kidney and protected the kidney against functional injury as measured by a reduced level of serum creatinine after ischemia.97 Transgenic mice overexpressing the antioxidants, intracellular and extracellular glutathione peroxidases, are protected against ischemic injury.<sup>98</sup> These animals have less induction of the chemokines, interleukin-8 (IL-8) and monocyte chemotactic protein-1 (MCP-1), less neutrophil infiltration, and less functional injury compared to the wild-type controls, suggesting that the effects of ROS are mediated by chemokines. Exposure of leukocytes to circulating cytokines reduces their deformability and enhances their tendency to be sequestered.<sup>99</sup> Sequestered leukocytes can then potentiate injury by further generating more ROS and eicosanoids, enhancing inflammation and vascular tone.

#### Tubule Contribution to Inflammatory Injury

Both the S3 segment of the proximal tubule and the medullary thick ascending limb are located in the outer stripe of the outer medulla. This region of the kidney is marginally oxygenated under normal conditions, and after an ischemic insult oxygenation is further compromised because the return in blood flow is delayed. Both segments of the nephron contribute to the inflammatory response in acute renal failure.<sup>100</sup> As previously described, the tubule cell express TLRs. The tubule epithelial cells are known to generate pro-inflammatory and chemotactic cytokines such as TNF $\alpha$ , MCP-1, IL-8, interleukin-6 (IL-6), interleukin-1 $\beta$  (IL-1 $\beta$ ), and transforming growth factor- $\beta$ , MCP-1, IL-8, RANTES, and ENA-78.<sup>4</sup>

Proximal tubular epithelia may respond to T-lymphocyte activity through activation of receptors for T-cell ligands that are expressed on the proximal tubule cell.<sup>101</sup> CD4<sup>+</sup> cells express CD40 ligand. When CD40 is ligated in response to interaction with CD154, CD40 ligation stimulates MCP-1 and IL-8 production, TRAF6 recruitment, and MAPK activation.<sup>101</sup> CD40 also induces RANTES production by human renal tubular epithelia, an effect that is amplified by production of IL-4 and IL-13 by Th2 cells, a subpopulation of T cells.<sup>102</sup> CD40 ligation, however, also generates an anti-inflammatory response.<sup>103</sup> There is an increase in heme oxygenase-1 promoter activity as a result of CD40 ligation. Heme oxygenase-1 in most cases has been demonstrated to protect against ischemia/reperfusion injury.<sup>104</sup> B7-1 and B7-2 can be induced on proximal tubule epithelial cells in vivo and in vitro. After B7-1 and B7-2 induction, proximal tubule epithelial cells co-stimulate CD28 on T lymphocytes, resulting in cytokine production.<sup>105</sup>

The proximal tubule epithelial cells also are involved in complement activation with ischemia/reperfusion. Loss of polarity of the cell results in loss of the normal distribution of the only complement inhibitor expressed on the surface of renal tubular cells, complement receptor 1-related protein (Crry). Normally Crry is expressed on the basolateral aspects of the cell, but after ischemia this polar distribution is lost and Crry is seen within the tubular lumen. This loss of basolateral localization occurs primarily in the proximal tubule and precedes the deposition of C3 along the circumference of the tubule.<sup>106</sup> Furthermore *Crry<sup>-/-</sup>* mice are more susceptible to ischemia/reperfusion injury than are wild-type control mice.

#### PROTECTION AGAINST INJURY BY ISCHEMIC PRECONDITIONING

An area of increasing interest is the possibility of rendering an organ resistant to subsequent injury by a prior insult or preconditioning maneuver. Ischemic preconditioning of the kidney confers protection against a subsequent ischemic attack (Fig.30-5).<sup>30</sup> This protective effect decreases with an increasing time interval between the preconditioning insult and the subsequent insult, but protection can be measured as long as 12 weeks after the initial insult (Fig. 30-6).<sup>40</sup> Prior ischemia has a marked effect to decrease tissue inflammation that occurs after the subsequent ischemia/reperfusion. This is reflected by the marked reduction in MPO accumulation in the kidney after the second ischemia/reperfusion (Fig. 30-7). Identification of the mechanisms responsible for renal ischemic preconditioning will probably facilitate our understanding of the pathophysiology of ischemic injury and guide the development of novel therapeutics aimed at mimicking the protective mechanism(s). Several candidates that could potentially serve as mediators of preconditioning have been identified. We have implicated activation of NOS40 and phosphatidylinositol 3kinase (PI 3-kinase)/Akt/PKB pathways, reduction in the relative activation of Jun N-terminal kinase (JNK) as compared with extracellular signal-regulated kinases (ERK) 1/2,12,47 induction of HSPs, heme oxygenase, and endoplasmic reticulum (ER) stress proteins.<sup>29</sup> A recent review discusses many of these candidate mediators of preconditioning in more detail.<sup>30</sup>

Of these potential mediators, the NOS pathway is particularly important to consider. We demonstrated that iNOS is responsible for a component of the long-term protection



Figure 30-5 A demonstration of ischemic preconditioning in the kidney. A 30-minute period of bilateral renal ischemia at t = 0 results in an increase in serum creatinine concentration followed by recovery of renal function. When a second bout of ischemia is introduced at 8 days after the first ischemic period, even when the second ischemic period is longer than the first (35 minutes), there is no increase in serum creatinine. By contrast the control animal that received sham ischemia surgery on day 0 and was subsequently exposed to bilateral ischemia on day 8 had a very significant increase in serum creatinine concentration. (Redrawn from Park KM, Kramers C, Vayssier-Taussat M, et al: Prevention of kidney ischemia/ reperfusion-induced functional injury, MAPK and MAPK kinase activation, and inflammation by remote transient ureteral obstruction. J Biol Chem 277:2040–2049, 2002.



Figure 30-6 Protection afforded by preconditioning is present for an extended period of time. This histogram shows that mice treated with ischemia at time 0 had significant protection against bilateral ischemia (I) even as long as 12 weeks after the first procedure. Renal function was measured by monitoring plasma creatinine levels, and it can be seen that the increase in creatinine concentration in the I/I group is not as great as that seen with animals that have undergone sham surgery (S) at time 0 and subsequent exposure to bilateral renal ischemia. Creatinine measurements were made 24 hours after the second procedure. (Redrawn from Park KM, Byun JY, Kramers C, et al: Inducible nitric oxide synthase is an important contributor to prolonged protective effects of ischemic preconditioning in the mouse kidney. J Biol Chem 278: 27256–27266, 2003.)



Figure 30-7 Effect of L-NNA on mouse kidney myeloperoxidase levels with preconditioning. Tissue myeloperoxidase (MLO) is a measure of tissue leukocytes and hence a marker for inflammation. A previous period of ischemia followed by a second period of ischemia results in a marked reduction in MLO 24 hours after the second ischemic period when compared with animals that have been rendered ischemic on day 8 after a sham procedure on day 0. When one interferes with NO synthesis using L-NNA, a second period of ischemia results in more MPO in the kidney than if this compound is not present. This provides evidence that NO synthesis is necessary to achieve the marked reduction in MPO after the second period of ischemia. O.D., optical density. (Redrawn from Park KM, Byun JY, Kramers C, et al: Inducible nitric oxide synthase is an important contributor to prolonged protective effects of ischemic preconditioning in the mouse kidney. J Biol Chem 278: 27256-27266, 2003.)

afforded the kidney by ischemic preconditioning.<sup>40</sup> Thirty minutes of prior ischemia results in a prolonged increase in the expression of iNOS and eNOS as well as HSP-25. In addition, there is increased interstitial expression of  $\alpha$ -smooth muscle actin, an indicator of long-term renal interstitial changes. Gene deletion of iNOS, but not eNOS, increased kidney susceptibility to ischemia, as did treatment with pharmacologic inhibitors of NO synthesis, including N-nitro-L-arginine (L-NNA) and L-N<sub>6</sub>-(1-iminoethyl)lysine (L-NIL), the latter a specific inhibitor of iNOS. L-NNA partially blocked the protective effect of prior ischemia on leukocyte infiltration after the second period of ischemia (Fig. 30-7). When the initial period of ischemia was reduced (15 minutes), there was less protection of the kidney from subsequent ischemia on day 8. Under these conditions there was no sustained increase in iNOS or eNOS expression, and protection was not abolished by L-NIL treatment, suggesting that the residual protection was not related to iNOS. In addition, renal function was not impaired and expression of interstitial  $\alpha$ smooth muscle actin did not change. The data indicate that iNOS plays an important role in kidney protection afforded by prolonged ischemic preconditioning and that persistent long-term changes in the renal interstitium may be critical in affording this protection by sustaining iNOS synthesis. In addition, this study is the first to demonstrate in any organ system that partial protection persists as long as 12 weeks after

an initial ischemic event. The iNOS-independent protection associated with preconditioning may be related, at least in part, to an upregulation of HSP-25.

We also proposed that MAPKs are also likely to be involved in affording protection following ischemic preconditioning.<sup>47</sup> MAPKs are ubiquitously expressed serine/threonine kinases that, in mammalian cells, play central roles in determining if the response to multiple signaling inputs will be proliferation, differentiation, or apoptosis. They also play a major role in inflammation.<sup>107</sup> In mammalian cells there are three common MAPK pathways. The best studied of these include the ERK cascade, involved in cell proliferation and differentiation in a variety of cell types. The ERK pathway is activated by growth factors and many other agonists, including vasoactive peptides. JNK, also known as stress-activated protein kinase (SAPK), and p38 are components of the two other MAPK cascades. Each pathway, when activated, involves activation of several closely related MAPK enzymes, for example ERK1 and ERK2 or JNK1, JNK2, and JNK3. JNK and p38 are activated by inflammatory cytokines (TNF and IL-1) and cellular stress, including genotoxic stress and osmolar stress. They are only minimally activated by growth factors. JNK has been implicated in proximal tubular cell injury and is increased after ATP depletion in vitro and ischemia/reperfusion injury in vivo.<sup>108</sup> Interestingly, ERK is activated predominantly in distal cells (medullary thick ascending limb),<sup>109</sup> perhaps explaining in part the differential susceptibility to injury of the proximal and distal nephron segments. ERK has been proposed to be protective in the distal tubule, and its absence in the proximal tubule has been implicated in the increased susceptibility of this segment of the nephron to ischemic injury.<sup>110</sup> The cyclic adenosine monophosphate-responsive element binding protein (CREB) has been proposed to act downstream of ERK and mediate survival in response to oxidant stress.<sup>111</sup>

It has been proposed in neurons<sup>112</sup> and proximal tubule cells<sup>110</sup> that the relative extent of JNK, p38, and ERK activation may determine cell fate, with JNK activation associated with cell death and ERK activity protective. JNK has been implicated in the mitochondrial death pathway.<sup>112</sup> When the kidney is preconditioned by ischemia, we have reported that activation of JNK and p38 is markedly reduced, as is activation of their upstream MAPK kinases (MKK7, MKK4, and MKK3/6). By contrast, activation of ERK1/2 and its upstream MAPKK activator, MKK3/4, is unaltered by preconditioning. Thus, the relative ratio of ERK1/2 activation to JNK or p38 activation is enhanced in the preconditioned post-ischemic kidney.

We explored the relationship between ERK1/2 activation and susceptibility of kidney epithelial cells to oxidative injury extensively.<sup>29</sup> We established a preconditioning model in vitro using ER stress. Ischemia/reperfusion induces ER stress.<sup>113</sup> The lumen of the ER is a location within the cell in which the microenvironment is conducive to proper folding of proteins that will ultimately be secreted or located at the cell surface. Ischemia/reperfusion results in activation of an evolutionarily conserved "unfolded protein response". Abnormal Ca<sup>2+</sup> homeostasis in the ER contributes to this response, which involves three Ca<sup>2+</sup>-dependent chaperones (GRP78, GRP94, and calreticulin), which exist in high concentration in the ER. A mild insult to the cell activates the unfolded protein response to deal with the burden of unfolded or improperly folded proteins in the ER. Transcriptional programs are turned on to enhance protein folding and promote degradation of improperly folded proteins. In addition, overall transcription in the cell is reduced so as to reduce the influx of new proteins in the ER. Increased amounts of RNA transcripts for GRP78 and other ER stress proteins are characteristic of rat models of brain, kidney, and heart ischemia/ reperfusion.<sup>114–117</sup>. We proposed that the epithelial cell might take advantage of this response as a way to protect itself and considered that this response may contribute to the protection afforded by preconditioning.

We investigated the role of the ER stress response on intracellular Ca2+ regulation, MAPK activation, and cytoprotection in LLC-PK1 renal epithelial cells in an attempt to identify the mechanisms of protection afforded by ER stress. Cells preconditioned with DTTox, tunicamycin, thapsigargin, or A23187 express ER stress proteins and are resistant to subsequent H<sub>2</sub>O<sub>2</sub>-induced cell injury. In addition, ER stress preconditioning prevents the increase in intracellular free Ca<sup>2+</sup> concentration ( $[Ca^{2+}]_i$ ) that normally follows  $H_2O_2$  exposure. Overexpression of calreticulin protects LLC-PK1 cells against  $H_2O_2$ -induced cell injury and an increase in  $[Ca^{2+}]_i$ . This fact that increasing ER Ca<sup>2+</sup> buffering protects against oxidative injury supports the proposal that the protective effect of ER stress is due, in part, to better Ca<sup>2+</sup> buffering, decreased Ca<sup>2+</sup> release, or indirect mechanisms involving cooperation between Ca<sup>2+</sup> uptake by ER and/or extrusion across the plasma membrane. Each of these mechanisms could prevent a change in [Ca<sup>2+</sup>]<sub>i</sub>. Stable transfection of cells with antisense RNA targeted against GRP78 (pkASgrp78 cells) prevents GRP78 induction, disables the ER stress response, sensitizes cells to H<sub>2</sub>O<sub>2</sub>-induced injury, and prevents the development of tolerance to H<sub>2</sub>O<sub>2</sub> that normally occurs with preconditioning.

ERK and JNK are transiently (30-60 minutes) phosphorylated in response to H2O2. ER stress-preconditioned cells have more phospho-ERK, more phospho-MEK1/2 (the upstream activator of ERK), and less JNK phosphorylation than control cells in response to H<sub>2</sub>O<sub>2</sub> exposure (Fig. 30-8). Pre-incubation with a specific inhibitor of JNK activation or adenoviral infection with a construct that encodes constitutively active MEK1 also protects cells against H<sub>2</sub>O<sub>2</sub> toxicity. In contrast, the pkASgrp78 cells have less ERK and more JNK phosphorylation with H<sub>2</sub>O<sub>2</sub> exposure (Fig. 30-9). The ability of ER stress to suppress JNK activation in response to subsequent oxidative stress might be related to the prevention of an increase in  $[Ca^{2+}]$ ; by GRP78 and other ER stress proteins. There is precedent for an interaction among proteins that regulated oxidative stress, [Ca<sup>2+</sup>]<sub>i</sub> and JNK activation. Under normal conditions the redox regulatory protein thioredoxin (Trx) has been shown to bind and inhibit the activity of apoptosis signal-regulated kinase 1 (ASK-1), a MAPKK involved in both JNK and p38 kinase activation.<sup>118</sup> Furthermore, in HaCaT keratinocytes, increased [Ca<sup>2+</sup>]<sub>i</sub> leads to oxidation of Trx, dissociation of the Trx-ASK1 complex, and activation of JNK.<sup>119</sup> By preventing a rise in  $[Ca^{2+}]_i$ , the ER stress response, including upregulation of GRP78 expression, could prevent this dissociation of the Trx-ASK1 complex and diminish activation of the JNK pathway. Although such a mechanism is speculative at this time, it represents one plausible mechanism linking oxidative stress and deregulation of [Ca<sup>2+</sup>]; to JNK activation and cell death. An increase in  $[Ca^{2+}]_i$ , however, is not the only contributor to the increased phosphorylation of JNK. This is demonstrated by our experiment with calreticulinoverexpressing cells. The cells are protected against H<sub>2</sub>O<sub>2</sub>induced cell injury associated with prevention of an increase



**Figure 30-8** ER stress due to tunicamycin modulates MAPK signaling responses to oxidative stress. Non-pretreated LLC-PK1 cells or cells preconditioned with tunicamycin (1.5 mg/mL, 16 hours) to induce ER stress were then exposed to 250  $\mu$ M H<sub>2</sub>O<sub>2</sub>. Cell injury at various times was determined by lactate dehydrogenase (LDH) release. MEK1/2, ERK, and JNK activation were determined with phosphospecific antibodies in western blots of cell lysates. Total (T) MEK1/2, ERK, and JNK were also measured with specific antibodies. Expression of GRP94 confirmed the induction of ER stress by tunicamycin. MAPK, mitogen-activated protein kinase; ERK, extracellular signal-regulated protein kinase; JNK, c-Jun N-terminal protein kinase; MEK, mitogen-activated protein kinase/extracellular signal-regulated kinase kinase; GRP78, glucose-regulated protein 78. (Redrawn from Hung CC, Takaharu I, Stevens JL, Bonventre JV: Protection of renal epithelial cells against oxidative injury by endoplasmic reticulum stress preconditioning is mediated by ERK1/2 activation. J Biol Chem 278: 29317–29326, 2003.)

in  $[Ca^{2+}]_i$ . Despite the absence of a change in  $[Ca^{2+}]_i$ , JNK phosphorylation is increased in the cells to an extent equivalent to the increase seen in control cells.

Expression of constitutively active MEK (MEK-DD) using an adenovirus also confers protection on native as well as pkASgrp78 cells (Fig. 30-10). These results indicate that GRP78 plays an important role in the ER stress response and cytoprotection. ER stress preconditioning attenuates  $H_2O_2$ induced cell injury in LLC-PK1 cells by preventing an increase in  $[Ca^{2+}]_i$ , potentiating ERK activation and decreasing JNK activation. Thus, the ER stress response modulates the balance between ERK and JNK signaling pathways to prevent cell death after oxidative injury. Furthermore, ERK activation is also an important downstream effector mechanism for cellular protection by ER stress.<sup>29</sup> Although the epithelial cell itself may be protected against subsequent injury by preconditioning, we demonstrated that the protection against ischemia was not related to the generation of new cells that were "younger" and hence less susceptible to  $O_2$  deprivation. We showed that transient urinary tract obstruction, which did not result in cell death and replacement of tubular cells, also resulted in marked protection against ischemic injury 8 days after the transient obstruction (Fig. 30-11).<sup>12,47</sup> In both the case of ischemia-related preconditioning and of obstruction-related preconditioning there was a marked decrease in outer medullary vascular congestion and leukocyte accumulation in the outer medulla after ischemia. We proposed in 2001<sup>47</sup> that the marked reduction in post-ischemic outer medullary congestion in the kidney previously exposed to ischemia argues for an important effect of



Figure 30-9 Cells expressing antisense-GRP78 were not protected against  $H_2O_2$ . Toxicity to  $H_2O_2$  (250 mM) was much greater in LLC-PK1 cells if they expressed antisense-GRP78. Toxicity was measured by lactate dehydrogenase (LDH) release at different time points.  $H_2O_2$ -induced p-ERK is reduced in tunicamycin-pretreated cells if they express antisense-GRP78. Likewise p-JNKs are activated more in the tunicamycin-treated cells expressing antisense-GRP78. (Redrawn from Hung CC, Takaharu I, Stevens JL, Bonventre JV: Protection of renal epithelial cells against oxidative injury by endoplasmic reticulum stress preconditioning is mediated by ERK1/2 activation. J Biol Chem 278: 29317–29326, 2003.)



**Figure 30-10** MEK1-DD, which increases ERK phosphorylation, protects cells against H<sub>2</sub>O<sub>2</sub>-induced oxidant damage despite inhibition of GRP78. Constitutively active MEK1-DD, the upstream activator of ERK, when administered via adenovirus (Ad), protected antisense-GRP-expressing LLC-PK1 cells against oxidant-induced injury, as measured by lactate dehydrogenase (LDH) release. (Redrawn from Hung CC, Takaharu I, Stevens JL, Bonventre JV: Protection of renal epithelial cells against oxidative injury by endoplasmic reticulum stress preconditioning is mediated by ERK1/2 activation. J Biol Chem 278: 29317–29326, 2003.)



Figure 30-11 Prior ureteral obstruction results in protection of mouse kidneys rendered ischemic 8 days later. Both ureters were clamped for 24 hours and then released. Another group received sham surgery. In the previously obstructed animals ischemia induced 8 days later resulted in very little increase in blood urea nitrogen as compared with the animals previously undergoing sham surgery.

preconditioning to prevent small-vessel leukocyte- and perhaps platelet-endothelial interactions and therefore may be explained to a large extent by decreased inflammation.

#### GENDER DIFFERENCES IN SUSCEPTIBILITY TO ISCHEMIC KIDNEY INJURY

Gender differences characterize the susceptibility or expression of many diseases. In general, these differences have been attributed to estrogen-mediated protection against pathologic conditions.<sup>121–124</sup> Male hormones, however, may also play important roles in gender differences in disease susceptibility.<sup>125–127</sup> Steroid hormones, including sexual hormones, regulate inflammation and hence could be expected to contribute to the pathophysiology of ischemia/reperfusioninduced tissue injury.<sup>12,47,58,128</sup> An important endogenous modulator of ischemia/reperfusion-induced tissue injury is NO,<sup>40,129</sup> the product of NOSs. Furthermore, sex hormones are known to regulate NO synthesis,<sup>130,131</sup> which, as described before, possesses anti-inflammatory, vasodilatory activity, and is anti-apoptotic through Akt signaling pathways.<sup>40,129,132–134</sup>

We have characterized differences in susceptibility of male and female mice to kidney ischemia/reperfusion injury and have studied the relationship of these differences to estrogen or testosterone.<sup>135</sup> Our findings reveal that the kidneys of males are much more susceptible to ischemia/reperfusion than those of females (Fig. 30-12). There is increased postischemic proximal tubule injury, apoptosis, and inflammation in males. This greater susceptibility in males is due more to the presence of testosterone than the absence of estrogen. Testosterone acts to inhibit NOS activation, Akt phosphorylation, and the post-ischemic increase in the ratio of ERK to JNK activation, leading to greater inflammatory responses. The differences in susceptibility to ischemia between male and female kidneys were eliminated by treatment of females with testosterone or dihydrotestosterone (Fig. 30-13), which cannot be aromatized to estrogen, or orchiectomy of males (Fig. 30-14). The effects of testosterone and dihydrotestosterone were not inhibited by androgen receptor antagonists.

We found that ICAM-1 expression, post-ischemic MPO activity (a measure of leukocyte accumulation in the kidney), and RAW 264.7 cell trapping after ischemia/reperfusion were greater in males than in females. Ovariectomy did not increase ICAM-1 expression or MPO activity in females, consistent with the absence of an effect of ovariectomy on renal functional impairment. By contrast, testosterone treatment of females resulted in increased ICAM-1 expression and MPO activity with ischemia, whereas orchiectomy of males resulted in reduced post-ischemic ICAM-1 expression and MPO activity. Thus, our results suggest that functionally significant post-ischemic kidney inflammatory responses probably depend more on testosterone than estrogen.<sup>135</sup> Testosterone has been shown to accentuate vascular responses to vasopressor agents,136 increase the thromboxane-to-prostaglandin ratio,137 increase platelet aggregation,138 and increase monocyte adhesion to endothelial cells,139 properties that can contribute to vasoconstriction, small-vessel occlusion, and AKI.58

Expression of eNOS and iNOS in the medulla has been reported to be greater in female rats than in males.<sup>131</sup> We found that both Ca<sup>2+</sup>-dependent (cNOS) and Ca<sup>2+</sup>-independent NOS (ciNOS) activities were greater in females than in males.135 Testosterone treatment of females resulted in a mitigated increase of post-ischemic cNOS and ciNOS activity, whereas orchiectomy in males enhanced cNOS and ciNOS activation. This effect of testosterone in vivo was mimicked in vitro in RAW 264.7 cells, where we found that testosterone reduced NO synthesis when cells are activated by lipopolysaccharide, whereas estrogen enhanced NO synthesis. We proposed that testosterone-induced inhibition of NO production is an important contributor to the gender differences in functional consequences of ischemia, although, as reflected by the effects of L-NNA in females and L-arginine in males, NO is not the only contributor to gender differences in susceptibility to ischemic injury of the kidney.<sup>135</sup>

NO has been reported to protect against apoptotic cell death through the PI 3-kinase/Akt pathway.<sup>140</sup> Camper-Kirby et al reported that young women possess higher levels of activated Akt than comparably aged men or postmenopausal women, and sexually mature female mice have higher levels of activated Akt than male mice.<sup>122</sup> In our studies, pre- and postischemic levels of activated Akt in kidney were much greater in females than in males. Castration increased the levels of baseline and post-ischemic activated Akt in males, whereas testosterone treatment of females or orchiectomy of males decreased the levels of activated Akt.<sup>135</sup> The Akt activation in the protected animals is greater and sustained longer than in nonprotected animals. Thus, the greater and prolonged activation of Akt after ischemia may contribute to gender-related kidney resistance to ischemia, and the sustained activation of Akt may be downregulated by testosterone. These would explain, at least in part, the gender differences in susceptibility to ischemia.

Testosterone treatment of females increased post-ischemic JNK phosphorylation and decreased ERK phosphorylation. Orchiectomy increased the ratio of ERK to JNK phosphorylation. Thus, testosterone-induced potentiation of post-ischemic injury is associated with the downregulation of NOS enzymes, resulting in less NO and hence less Akt phosphorylation,


Figure 30-12 Effect of gender on ischemia-induced kidney injury. BALB/c male and female mice were exposed to 30 minutes (A and B), 60 minutes (D), or varying times of ischemia (C). Creatinine clearance (A), fractional excretion of Na<sup>+</sup> (B), plasma creatinine (C) 24 hours after reperfusion, and survival (D) over time were then evaluated. Using these multiple metrics, female mice were protected against ischemic injury. \*P < 0.05 versus control;  $^{\dagger}P < 0.05$  versus female group after 60 minutes of ischemia, respectively. (From Park KM, Kim JI, Ahn Y, et al: Testosterone is responsible for enhanced susceptibility of males to ischemic renal injury. J Biol Chem 279:52282–52292, 2004.)

which results in reduced cellular stress and reduced activation of the JNK kinases.

It is possible that the forkhead family of transcription factors, which we have found to be upregulated with ischemia in males,<sup>141</sup> are involved in this effect of Akt. Forkhead proteins are transcription factors involved in the regulation of cellular survival and cell cycle control.<sup>142-144</sup> The three forkhead (or Fox) proteins FKHRL1 (FOXO3a), FKHR (FOXO1a), and AFX (FOXO4), belonging to the "O" subfamily of the Fox proteins, contain the "forkhead" DNA-binding domain and the conserved Akt phosphorylation sites. These three members are phosphorylated at several serines and one threonine by Akt.<sup>144,145</sup> We found that one of the forkhead proteins, FKHR, is phosphorylated after ischemia/ reperfusion in the rat kidney. The time course of phosphorylation is similar to the time course of activation of the forkhead protein kinase Akt/PKB kinase, with maximal phosphorylation at 24 to 48 hours after reperfusion when the process of regeneration peaks. In studies in vitro we found that phosphorylation of Akt, FKHR, and FKHRL1 were PI 3-kinase dependent, in that phosphorylation was reduced by the PI 3-kinase inhibitors, wortmannin, or LY294002. Inhibition of MAPK/ERK kinase (MEK1/2), the upstream activator of ERK1/2, had no effect on forkhead protein phosphorylation after chemical anoxia/dextrose addition. We concluded that PI 3-kinase and Akt are activated after renal ischemia/reperfusion and that Akt phosphorylation

leads to phosphorylation of FKHR and FKHRL1, which may affect epithelial cell fate in acute kidney injury.<sup>141</sup>

Recently we reported that the orchiectomized male mouse has significantly less lipid peroxidation and production of H<sub>2</sub>O<sub>2</sub> in the kidney 4 and 24 hours after 30 minutes of bilateral renal ischemia when compared with intact or dihydrotestosterone-treated orchiectomized males.97 The post-ischemic kidney expression and activity of MnSOD in orchiectomized mice were much greater than in intact or dihydrotestosteroneadministrated orchiectomized mice. Four hours after 30 minutes of bilateral ischemia superoxide formation was significantly lower in orchiectomized mice than in intact mice. We used Madin-Darby canine kidney cells, a kidney epithelial cell line, to evaluate whether we could see an effect of testosterone in vitro on kidney epithelial cells. One millimolar H<sub>2</sub>O<sub>2</sub> decreased MnSOD activity, an effect that was potentiated by pretreatment with dihydrotestosterone. In addition, orchiectomy prevented the post-ischemic decrease of catalase activity. Treatment of male mice with Mn(III) tetrakis (1-methyl-4pyridyl) porphyrin, a SOD mimetic, reduced the post-ischemic increase of plasma creatinine, lipid peroxidation, and tissue  $H_2O_2$ . These results suggest that orchiectomy accelerates the post-ischemic activation of MnSOD and reduces ROS and lipid peroxidation, resulting in reduced levels of toxic and pro-inflammatory ROS and reduced kidney susceptibility to ischemia/reperfusion injury.



**Figure 30-13** Effect of hormonal modification on ischemia-induced kidney injury in female mice. BALB/c female mice were used. Some were ovariectomized on day 0 and some were treated with testosterone (T, 500  $\mu$ g/kg unless specifically stated otherwise) or dihydrotestosterone (DHT, 500  $\mu$ g/kg) daily for 14 days subcutaneously. Nonovariectomized animals are indicated as "Intact". Others were treated with an estrogen receptor antagonist for 14 days. Some animals were subjected to 30 minutes of bilateral renal ischemia. Plasma creatinine (**A-C**) and blood urea nitrogen (BUN) (**D**) concentrations were determined 24 hours after reperfusion. \**P* < 0.05 as compared with intact females treated with vehicle (V). E, treated with 17β-estradiol; T, treated with testosterone. (From Park KM, Kim JI, Ahn Y, et al: Testosterone is responsible for enhanced susceptibility of males to ischemic renal injury. J Biol Chem 279:52282–52292, 2004.)

We evaluated whether lower susceptibility to ischemia/ reperfusion injury seen in orchiectomized mice was associated with changes in Hsp expression.<sup>146</sup> Hsp expression was measured in the kidney isolated from intact, orchiectomized, and dihydroxytestosterone-treated orchiectomized mice before and 4 hours after ischemia/reperfusion. Orchiectomy increased the expression of Hsp-27 in tubular epithelial cells in kidneys before and 4 hours after reperfusion but had no effect on Hsp-72, glucose-regulated protein (GRP-78) or GRP-94. Dihydrotestosterone treatment of orchiectomized animals resulted in prevention of this increase in Hsp-27 production. Thus, the greater resistance to ischemia/reperfusion seen in orchiectomized mice is associated with an increased expression of Hsp-27, which stabilizes the actin cytoskeleton in the proximal tubular epithelial cell.<sup>147</sup> This may contribute to reductions in post-ischemic histologic damage and functional impairment.

# REPAIR OF THE KIDNEY AFTER ACUTE INJURY

When the kidney recovers from acute injury it manifests a sequence of events that include epithelial cell spreading and possibly migration to cover the exposed areas of the basement membrane, cell dedifferentiation and proliferation to restore cell number, followed by differentiation, which results in restoration of the functional integrity of the nephron.<sup>2</sup> Repair of the kidney parallels kidney organogenesis in the high rate of DNA synthesis and apoptosis and in patterns of gene expression. Vimentin, a filament protein that is expressed in mesenchymal cells but not in the uninjured mature nephron, is detectable in proximal tubules for more than 5 days after ischemia/ reperfusion injury.<sup>148</sup> The neural cell adhesion molecule, which is expressed in metanephric mesenchyme but not in normal mature kidneys, is abundantly expressed in



**Figure 30-14** Effect of hormonal modification on ischemia-induced kidney injury in male mice. Some male BALB/c mice were castrated on day 0. Noncastrated male animals are indicated as "Intact". Some were treated with testosterone (T, 500  $\mu$ g/kg) or 17 $\beta$ -estradiol (E, 40  $\mu$ g/kg), and/or tamoxifen (5  $\mu$ g/kg). Some animals were treated with flutamide or cyproterone (5 mg/kg), both antagonists of the androgen receptor, or with vehicle (V). All treatments were daily for 14 days administered subcutaneously. On day 15 animals were subjected to 30 minutes of bilateral renal ischemia. Plasma creatinine (**A**, **C**, **D**) and blood urea nitrogen (BUN) (**B**) concentrations were determined 24 hours after reperfusion. \**,*<sup>#</sup>*P* < 0.05 compared with control and intact males treated with vehicle, respectively. (From Park KM, Kim JI, Ahn Y, et al: Testosterone is responsible for enhanced susceptibility of males to ischemic renal injury. J Biol Chem 279:52282–52292, 2004.)

proximal tubules 5 days after reperfusion of post-ischemic rat kidneys.<sup>149</sup> Thus, a molecule not expressed by normal mature renal tubule epithelial cells is expressed in proximal tubular cells during recovery from ischemia, recapitulating its expression in early renal development.

The loss of the differentiated phenotype of the epithelial cell of the proximal tubule S3 segment is reflected in several ways. The brush border breaks down,<sup>150</sup> with loss of microvilli, blebbing of the apical membrane, fragmentation, and release into the lumen, as well as endocytosis and a rapid change in cell polarity.<sup>151</sup> Abnormalities are present in the apical cortical cytoskeleton as reflected by changes in actin localization from apical to lateral cell membrane.<sup>152,153</sup>. With ATP depletion cellular free-Ca<sup>2+</sup> concentration increases, resulting in activation of proteases and phospholipases, which in turn contribute to the disruption of the cytoskeleton and further impair mitochondrial energy metabolism, interfering with production of ATP.<sup>87</sup> The apical brush border protein, villin, an actin bundling and severing protein, appears at the basolateral pole of proximal tubule cells within 1 hour after reperfusion.<sup>153</sup> Ezrin is dephosphorylated with ischemia, resulting in loss of its ability to tether the actin cytoskeleton to the membrane.<sup>154</sup> There is dephosphorylation and activation of actin-depolymerizating factor, which translocates to the apical region of the cell and enhances microvillar F-actin filament severing and depolymerization.<sup>155</sup>

ATP depletion of the S3 segment of the proximal tubule<sup>153,156</sup> also results in disruption of cell-cell junctional complexes. The tight junction serves as a boundary between the apical and basolateral plasma membrane and also as a scaffold for trafficking and signaling molecules.<sup>157</sup> The tight junction probably does not act alone. Immediately basal to the tight

junction is the adherens junction, which also contains several transmembrane-bridging proteins and serves to stabilize the tight junction.<sup>158</sup> Altered cellular distribution of tight-junction proteins ZO-1, ZO-2, occluding, and cingulin is observed with ATP depletion.<sup>159–161</sup> Disruption of the tight junction alters both paracellular permeability and cell polarity. The increase in permeability results in backleak of glomerular filtrate. The change in cell polarity has multiple effects resulting from incorrect targeting of membrane proteins. Na<sup>+</sup>/K<sup>+</sup>-ATPase, usually confined to the basolateral domain, translocates to the apical membrane resulting in impaired transcellular Na<sup>+</sup> transport and an increase in intraluminal Na<sup>+</sup> delivery to the distal tubule. The group IV cytosolic phospholipase A<sub>2</sub>, which is activated by ischemia and reperfusion,<sup>162</sup> inhibits trafficking of Na<sup>+</sup>/K<sup>+</sup>-ATPase to the cell membrane.<sup>163</sup> Enhanced phospholipase activity may result in afferent arteriole vasoconstriction and reduction in glomerular filtration rate. In transplant recipients with ischemic injury resulting in delayed graft function, Kwon et al demonstrated a striking increase in fractional excretion of both Na<sup>+</sup> and Li<sup>+</sup>, which are normally co-transported in the proximal and distal tubules.<sup>164</sup> The observed changes in fractional Na<sup>+</sup> and Li<sup>+</sup> excretion coincide with loss of Na<sup>+</sup>/K<sup>+</sup>-ATPase from the basolateral membrane of proximal tubules with ischemia.<sup>152,164</sup> It has been proposed that Na<sup>+</sup>/K<sup>+</sup>-ATPase is directly involved in tight-junction assembly.165,166

Ischemia/reperfusion results in the activation of a large number of genes.<sup>167,168</sup> Some of these genes are expressed at increased levels in the developing kidney.148,169 The genes whose expression is altered with ischemia/reperfusion fall into several functional categories. Some encode growth factors (e.g., insulin-like growth factor-1,<sup>170,171</sup> fibroblast growth factors,<sup>172–175</sup> and hepatocyte growth factor<sup>176,177</sup>), others transcription factors (e.g., paired box gene 2 and early growth response factor 1).<sup>178,179</sup> Some encoded proteins (e.g., B-cell leukemia/lymphoma protein 2, or BCL2, and BCL2-associated X protein) that have been implicated in regulation of apoptosis, a property characteristic of many renal proximal epithelial cells both during development<sup>180,181</sup> and after injury.<sup>182</sup> Other growth factors, such as epidermal growth factor,<sup>179,183</sup> and nuclear DNA-binding proteins such as Kid-1<sup>184</sup> are downregulated in both kidney development and repair after injury. When and how these genes regulate the differentiation state of the cell are ill-defined. A more complete analysis of this genomic approach to understanding the pathophysiology of AKI is currently somewhat premature, because attempts to derive insight from microarray analyses have been disappointing.168

Under normal circumstances human proximal tubule cells divide at a low rate, as evaluated by proliferative cell nuclear antigen and Ki-67 immunoreactivity.<sup>185</sup> This cell production balances the loss of tubular epithelial cells into the urine.<sup>186</sup> This turnover rate must be under tight control, because a small imbalance between cell loss and cell division would soon lead to nephron loss or marked increases in nephron and kidney size over time. This low rate of cell turnover changes markedly after an ischemic insult where there is cell death by necrosis and apoptosis and a response to replace these cells. As we have shown in proliferative cell nuclear antigen and 5-bromo 2'-deoxyuridine (BrdU) labeling studies,<sup>149</sup> and in unpublished studies by counting mitotic spindles identified by labeling them with antibodies to tubulin, this response is rapid and

extensive, involving many of the surviving cells of the straight portion of the proximal tubule.<sup>148</sup> We have interpreted this extensive proliferative capacity to reflect the intrinsic ability of the surviving epithelial cell to adapt to the loss of adjacent cells by dedifferentiating and proliferating. We will discuss the potential involvement of intrarenal and extrarenal stem cells in this process in the next section of this review. The mitogenic response may be driven in part by autocrine and paracrine growth factors at the tubular sites of severe injury.<sup>187</sup> This mitogenic potential of adult proximal tubule cells has been used as a rationale for the therapeutic use of growth factors to accelerate recovery from acute renal failure.<sup>188</sup>

Although it is important that the surviving epithelial cells undergo proliferation so as to repair the organ and restore a functional epithelium, it is also important that there be fine regulation of control of the cell cycle in the repair process.<sup>189</sup> p21WAF1/CIP1/SDI1 (p21) promotes cell cycle arrest and yet is markedly upregulated in the acutely injured kidney.<sup>190</sup> p21 promotes cell cycle arrest by binding to and inhibiting cyclindependent kinases, which, when coupled with specific cyclins, facilitates the cell cycle. The upregulation of p21 may prevent replication of cells that, if allowed to undergo cell division, would undergo apoptosis.

Epithelial cell dedifferentiation is a feature of rapidly dividing cells under controlled growth, as in the case of response to injury or that of uncontrolled growth, as in cancer. This dedifferentiated phenotype in many ways is a reflection of a change in the gene expression pattern of the cell, recapitulating the pattern that occurs during kidney development before the mesenchymal-epithelial transition has occurred. Renal mesenchymal cells are dedifferentiated and highly proliferative throughout the developmental period.<sup>191</sup> The dedifferentiated phenotype is also likely to be important for the spreading migratory behavior of the viable epithelial cells as they cover the basement membrane during the repair process. The factors responsible for and the significance of reversion to a less differentiated cell phenotype as well as its relationship to the proliferative and migratory response after renal epithelial cell injury are poorly understood.

The dedifferentiation of renal tubular cells with associated recapitulation of gene expression patterns typical of the developing nephron has major implications for the regulation of renal repair; however, the relationships between proliferation and alterations in the state of cellular differentiation have not been defined. For example, it is not clear to what stage of development the tubule cells revert and how the temporal patterns that evolve may relate to injury, proliferation, and final redifferentiation of the cell. It is not clear to what extent the inductive interactions that occur during kidney development are critical for the repair of the proximal nephron.<sup>191</sup>

Dedifferentiation of the epithelial cell may play an important role in spreading and migration of cells over the denuded basement membrane early in the recovery process. In a model in vitro of this process, Toback and colleagues scratched cells off the tissue culture plate and monitored the migration of the cells into the denuded area, as well as the gene expression pattern of the cells.<sup>192</sup> After "wounding" of the monolayer there was upregulation of the immediate-early genes encoding early growth response factor 1, the transcription factors c-fos and NAK-1, and the cytokine GRO at 1 hour, followed by peak levels of mRNA encoding connective tissue growth factor (CTGF) and c-myc at 4 hours. mRNA levels of urokinase-type plasminogen activator (u-PA) and its inhibitor (PAI-1) and HSP-70 were markedly raised 4 to 8 hours after wounding. By contrast, mRNA levels for osteopontin, epidermal growth factor, and hepatocyte growth factor (c-met) receptors, were reduced. NAK-1, PAI-1, and HSP-70 were induced or stimulated only in cells at the wound edge. Adenosine diphosphate, a potent stimulator of cell migration, stimulated expression of u-PA and PAI-1 after wounding. The RET-glial cell-derived neurotrophic factor (GDNF) pathway stimulates migration of renal epithelial cells<sup>193</sup> and may play a role in regulation of migration, but this has not been studied after ischemic injury. GDNF expression is upregulated in renal epithelial cells of the dysplastic human kidney associated with obstruction and high levels of proliferation.<sup>194</sup> Another protein, CD44, which is upregulated in the S3 cell with ischemia/reperfusion,<sup>195</sup> and its ligands, osteopontin and hyaluronic acid, are expressed at wound margins associated with cellular proliferation and migration of injured mucosal and vascular endothelial tissues. CD44 peptide is localized to the basal and lateral cell membranes. CD44 expression is markedly upregulated in the kidney with ischemia/reperfusion, and this upregulation persists for at least 7 days.<sup>196,197</sup> It is upregulated on the apical membrane of regenerating, not fully differentiated tubule cells and some interstitial cells. CD44 is also prominently expressed on infiltrating neutrophils. In addition to its role in inflammation, CD44 may be involved in migration through interactions between expressing epithelial cells and matrix proteins.

Matrix molecules probably play an important role in the migration that occurs during the repair process. Within 3 hours of reperfusion after ischemia, cellular fibronectin is deposited.<sup>3</sup> This may stimulate dedifferentiation, as suggested by studies carried out in the skin, gastrointestinal tract, and cornea. At 1 day after ischemic injury, hyaluronic acid is upregulated in the interstitium surrounding regenerating tubules. Osteopontin is upregulated in the proximal tubules after acute ischemic injury.<sup>195,198</sup> Immunoreactive osteopontin peptide continues to be localized in those tubules still undergoing repair for as long as 7 days after the injury. At later times after ischemic injury, laminin isoforms are expressed. It has been proposed that laminin deposition may regulate redifferentiation and repolarization of the epithelium.<sup>199</sup>

# Are Stem Cells Involved in Recovery from Acute Kidney Injury?

There are several possibilities for the origin of regenerating epithelial cells after injury. First, as we have discussed above, epithelial cells may dedifferentiate, proliferate, then redifferentiate into mature tubular cells, as a survival response to injury; second, bone marrow (BM) stem cells may home to the injured epithelium, where local cues trigger differentiation; third, a population of intrarenal progenitor cells may replenish the epithelial cell population after injury. Several reports have suggested that BM-derived cells participate in the repair response by directly replacing cells that have been lost.<sup>200–202</sup> One study identified these cells as hematopoietic stem cells,<sup>201</sup> another as mesenchymal stromal stem cells (MSCs).<sup>203</sup> In addition to a potential role of cells from the BM to directly replace lost epithelial cells, BM-derived cells can potentially participate in the repair process by infiltrating the interstitium of the kidney and generating paracrine factors, such as growth factors, which may facilitate repair of the

epithelium and endothelium and also may participate directly in the replacement of dead endothelial cells (Fig. 30-15). We studied kidney repair in chimeric mice expressing green fluorescent protein (GFP) or bacterial  $\beta$ -galactosidase ( $\beta$ -gal), or harboring the male Y chromosome, exclusively in BMderived cells.<sup>204,212</sup> We generated chimeric mice by treating wild-type recipient mice with lethal doses of irradiation and then transferring whole BM from male mice, or mice transgenic for  $\beta$ -gal or enhanced GFP (eGFP). Ten million cells were injected into the lateral tail vein of recipients 2 hours after irradiation. The mice were then allowed to recover for 6 weeks, after which they were subjected to either 30 minutes (males) or 45 minutes (females) of bilateral ischemia. In GFP chimeras, some interstitial cells but no tubular cells expressed GFP after ischemic injury. More than 99% of GFP+ interstitial cells were leukocytes. In female mice with male BM, occasional tubular cells (0.06%) appeared positive for the Y chromosome, but deconvolution microscopy revealed these to be artifactual. In  $\beta$ -gal chimeras, some tubular cells also appeared to express  $\beta$ -gal by X-gal staining, but subsequent to suppression of endogenous (mammalian)  $\beta$ -gal, no tubular cells could be found that stained with X-gal after ischemic injury. In contrast to the absence of BM-derived tubular cells, many tubular cells expressed proliferative cell nuclear antigen, reflective of a high proliferative rate of endogenous surviving tubular cells. The report of Lin et al<sup>205</sup> supported our conclusion that prior studies with  $\beta$ -gal were unreliable because of significant overestimation of the role of BM-derived cells to directly replace epithelial cells. There may be increased endogenous staining for  $\beta$ -gal activity with X-gal as we proposed, or there may be release of  $\beta$ -gal by infiltrating inflammatory cells which is then taken up by the tubular cells.<sup>206</sup> Although there was no evidence for eGFP<sup>+</sup> tubular cells using the eGFP reporter, these experiments provided evidence for direct BM



**Figure 30-15** Possible fates of bone marrow cells involved in kidney repair. The cells might directly replace lost tubular epithelial cells. They might replace lost endothelial cells or otherwise participate in the angiogenesis that follows an ischemic period. Alternatively, the cells can infiltrate the tissues and participate in the repair process via a paracrine effect.

stem cell involvement in making a small contribution to repopulation of the injured vascular endothelium, which could indirectly affect tubule regeneration.<sup>212</sup> These results were supported by examination of sex-mismatched chimeras. To determine the proportion of endothelial cells expressing eGFP, serial high power fields in the cortex were scored for green fluorescent cells that also expressed either vWF or CD31, two endothelial cell markers.  $0.3 \pm 0.3\%$  of endothelial cells in contralateral kidneys and  $1.6 \pm 0.4\%$  in 7-day postischemic kidneys co-expressed vWF and eGFP. Similar percentages of CD31<sup>+</sup> and eGFP<sup>+</sup> cells were found (Fig. 30-16). Together these data suggest that endothelial cell replacement by BM stem cells or fusion with BM-derived cells occurs at a low level in response to the vascular injury resulting from ischemia.

These results do not rule out, however, a role for BM-derived cells that are seen in the interstitium, in the production of paracrine factors that may facilitate repair of the epithelium. It has become clear that the injection of cell populations before or just after renal injury may prevent renal damage by stem cell-independent mechanisms. We evaluated whether BMderived MSCs could exert a benefit to protect the kidney against ischemic injury, as measured functionally by evaluating the effect of BM cells on post-ischemic serum creatinine increases. Intravenous injection of BM MSCs reduced postischemic functional renal impairment without evidence of differentiation of these cells into tubular cells of the kidney. Thus, our data indicate that intrinsic tubular cell proliferation accounts for replenishment of the tubular epithelium subsequent to ischemia. Another group has also found that MSCs protect against ischemic renal injury by a differentiationindependent mechanism.<sup>207</sup> Injection of certain cell types just after renal injury may be reno-protective by an immunomodulatory mechanism, because injected cells may be rapidly



**Figure 30-16** Quantification of BM-derived cells expressing markers of endothelial cells (vWF and CD31). Data are presented as percentage of vWF-positive or CD31-positive cells that express eGFP 7 days after ischemia. Donor BM eGFP-positive cells were transplanted into a recipient animal after exposure of the recipient to a lethal dose of radiation. eGFP-chimeric animals were then exposed to ischemia 6 weeks later. (Redrawn from Duffield JS, Park KM, Hsiao LL, et al: Restoration of tubular epithelial cells during repair of the postischemic kidney occurs independently of bone marrow-derived stem cells. J Clin Invest 115:1743–1755, 2005.)

ingested by immune cells in the spleen, liver, and lungs. MSCs, when cultured in vitro under certain conditions, may home to the kidney or another side and secrete factors that may act as pro-survival factors for the epithelium and/or the endothelium, may be pro-proliferative increasing the rate of regeneration, may suppress inflammation, or may recruit the influx and differentiation of endogenous kidney progenitor cells. These cells may either act from a kidney site or a distant site.

These data on BM-derived cells do not rule out the possibility that there are intrinsic kidney stem cells that participate in the repair process. Oliver et al have used a BrdU labeling approach to identify putative adult renal stem cells after kidney injury. They labeled 3-day-old rat pups with BrdU followed by a long chase of at least 2 months. A population of papillary label-retaining cells were identified in the renal papilla.<sup>208</sup> These cells were primarily interstitial, although a small fraction were intratubular and co-expressed renal epithelial markers. After transient renal ischemia, BrdU label was lost in the absence of apoptosis, suggesting that these stem cells proliferated in response to injury. The authors suggest that the renal papilla is a niche for progenitor cells. The use of BrdU is fraught with some concerns, however, given that it is released by dying cells and can be taken up by adjacent, dividing cells.209

Recently Challen et al reported that the "side population" of putative renal progenitor cells composed 0.1% to 0.2% of the total viable kidney cell population.<sup>210</sup> Hematopoietic stem cells are characterized by low fluorescence uptake after incubation of cells with Hoechst 33342 and Rhodamine 123. Hoechst lowfluorescence cells are designated the "side population" by virtue of their location on fluorescent-activated cell sorting dot plots. It has been proposed that this "side population" would also define a stem cell population in solid organs as well.<sup>211</sup> When Challen et al carried out mRNA expression profiling on the side population they implicated a role for Notch signaling in these cells, and in situ hybridization supported the existence of a tubular "niche" but also revealed heterogeneity, including the presence of renal macrophages in the side population. Adult kidney side population cells demonstrated multilineage differentiation in vitro, whereas microinjection of the side population cells into mouse metanephrons showed a 3.5- to 13-fold greater potential to contribute to developing kidney than non-side population cells. Introduction of these cells into an adriamycin-nephropathy model reduced albuminuria-to-creatinine ratios but did not integrate into the tubules, suggesting that the functional benefit was due to a humoral effect in renal repair.<sup>210</sup>

It is difficult to envision that the putative renal stem cells identified by Oliver et al<sup>208</sup> or the side population identified by Challen et al<sup>210</sup> are the only source of new epithelial cells in regenerating kidney, given the rapid epithelial proliferation seen in post-ischemic kidney 24 to 48 hours after injury. Additional studies are necessary to validate the presence of progenitor or stem cells within the kidney itself and to determine the contribution of these cells relative to the contribution of resident tubular differentiated cells that undergo dedifferentiation and proliferation in response to injury.

## **ORGAN CROSSTALK**

Often when we consider the cellular and molecular aspects of AKI we focus exclusively on the kidney, ignoring the effects of

other organs. Many of our patients with AKI have multipleorgan failure, and many are on positive pressure mechanical ventilation. We have reported that ventilation of the lung has distal effects on the kidney even if the kidney has not been manipulated in the rat.<sup>213</sup> Positive pressure ventilation resulted in increased microvascular leak in the lung that is dependent on NOS expression. Rats were ventilated with room air at 85 breaths/min for 2 hours with a tidal volume of either 7 or 20 mL/kg. There was significant microvascular leak in both lung and kidney with large tidal-volume (20 mL/kg) ventilation. Kidney microvascular leak was assessed by measuring 24-hour urine protein and albuminuria (Fig. 30-17) and Evans blue dve. Injection of 0.9% NaCl prevented any hypotension and the decreased cardiac output related to large tidal volume, but it did not attenuate microvascular leak of lung and kidney. Serum vascular endothelial growth factor was significantly elevated in the higher tidal-volume groups. Endothelial NOS expression significantly increased in the lung and kidney tissue with large tidal-volume ventilation but not inducible NOS. The NOS inhibitor, N-nitro-L-arginine methyl ester (L-NAME), attenuated the microvascular leak of lung and kidney and the proteinuria associated with ventilation. Endothelial NOS may mediate the systemic microvascular leak of this model of ventilation-induced lung injury. This finding may have important implications for the pathophysiology of ischemic acute tubular injury, in that enhanced microvascular permeability in the renal parenchyma of the outer medulla could be expected to contribute to outer medullary congestion and impaired blood flow through the microcapillary bed supplying the tubule structures in that region of the kidney.

Another important organ-organ crosstalk that has been appreciated to be potentially important for morbidity and mortality in patients is the kidney-heart interaction. In recent years it has become increasingly recognized that even minor changes in kidney function can be powerful cardiovascular risk factors.<sup>214</sup> In animal models ischemia to the kidney has important effects on the heart. Ischemia/reperfusion results in increased levels of immunoreactive TNF- $\alpha$ , IL-1, and ICAM-1 mRNA and MPO levels in the heart.<sup>215</sup> This increase in MPO was also seen in the lungs. There were increases in left ventricular end-diastolic diameter and decreased fractional shortening by echocardiography. Ischemia/reperfusion also resulted in increased apoptosis of cardiac cells, even when the ischemic period was insufficient to cause uremia, ruling out uremia as the cause of the distant effects.

## **GENETICS OF ACUTE KIDNEY INJURY**

Genetics may be a useful tool to study the propensity of patients for the development of AKI. Because, as discussed above, inflammation plays a critical role in the pathophysiology of AKI, it is reasonable to consider whether there are genetic variations that would account for differences in the inflammatory response and hence the development of vascular activation, tubular injury, and organ dysfunction. Polymorphisms in the TNF- $\alpha$  gene have been studied extensively in sepsis and have been associated with adverse clinical outcomes.<sup>216,217</sup> In other studies the association with development of sepsis is less clear.<sup>218</sup> In one study of patients with AKI requiring dialysis, carriers of the A allele in the TNF- $\alpha$ promoter (position -308) had greater TNF- $\alpha$  production by endotoxin-treated leukocytes, a higher APACHE II score, and increased mortality.<sup>219</sup> In this study by Jaber et al, patients who carried the G allele at position -1082 of the IL-10 promoter had higher IL-10 production and a lower risk of death after adjustment for APACHE II score, multiple-organ failure



Ventilation is at 85 breaths/min for 2 hours at 7 (VT 7) or 20 mL/kg without (VT 20) or with administered normal saline (VT 20NS)

**Figure 30-17** Effects of mechanical ventilation with high tidal volumes on urine protein excretion. Ventilation was with 85 breaths per minute for 2 hours at 7 (V<sub>T</sub> 7) or 20 mL/kg without (V<sub>T</sub> 20) or with administered normal saline (V<sub>T</sub> 20NS). Large-V<sub>T</sub> (20 mL/kg) ventilation caused significant proteinuria as compared with lower V<sub>T</sub> (7 mL/kg), which was attenuated by L-NAME administration in the rats ventilated with a large V<sub>T</sub>. The increased total proteinuria was associated with an increase in urinary albuminuria in the V<sub>T</sub> 20 group as compared with the V<sub>T</sub> 7 group. \**P* < 0.05 versus V<sub>T</sub> 7; <sup>†</sup>*P* < 0.05 versus no treatment at the same V<sub>T</sub>. (Redrawn from Choi WI, Quinn DA, Park KM, et al: Systemic microvascular leak in an in vivo rat model of ventilator-induced lung injury. Am J Respir Crit Care Med 167:1627–1632, 2003.)

score, and sepsis.<sup>219</sup> This is a nascent area of investigation. There are many candidate polymorphisms to explore in several genes encoding molecules that are important for the inflammatory response, including members of the IL-1 family, chemokines such as IL-8 and MCP-1, TLRs, HSPs, and oxidant stress-related proteins. The rationale behind examining these genes for polymorphisms in patients with AKI is presented in a recent review.<sup>220</sup>

# CONCLUSION

Ischemic renal injury is a dynamic process that often exists in the context of multiple-organ failure and involves hemodynamic alterations, inflammation, and direct injury to the tubular epithelium followed by a repair process that restores epithelial differentiation and function. Inflammation is a significant component of this disease, playing a considerable role in its pathophysiology. Although significant progress has been made in defining the major components of this process, the complex molecular and cellular interactions among endothelial cells, inflammatory cells and the injured epithelium are poorly understood, although we are gaining ground in this quest. A better understanding of the molecular, cellular, and genetic aspects underlying the response to influences that adversely affect the kidney will result in more targeted therapies to prevent the injury and hasten the repair. Progress is being made on multiple fronts, but we continue to be humbled by this disease whose mortality rate has changed little over four decades.

# **Acknowledgments**

This work was supported by the National Institutes of Health (grants DK 39773, DK 38452, NS 10828, DK54741, DK 46267).

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